SUPPORTING STATEMENT FOR FORM SSA-9741

QUARTERLY STATISTICAL REPORT ON RECIPIENTS AND PAYMENTS UNDER STATE ADMINISTERED STATE ASSISTANCE PROGRAMS FOR AGED, BLIND, AND DISABLED (INDIVIDUAL AND COUPLES) RECIPIENTS

20 CFR 416.2010, 416.2098

OMB No. 0960-0130

A. <u>Justification</u>

1. The Social Security Administration (SSA) provides funding to the state Disability Determination Services (DDSs) for expenditures related to State-administered supplements under the Supplemental Security Income (SSI) program. Four times a year, SSA creates aggregate reports about the SSI program based on DDS input. These reports are used by SSA and federal and state agencies. We use form SSA-9741 to collect data for this quarterly statistical report. The respondents are State DDSs.

Sections *1616* and *1618* of the *Social Security Act* and sections *20 CFR 416.2010* and *416.2098* of the *Code of Federal Regulations* 1) give SSA the authority to collect State DDS information, 2) require that SSA gather payment data, and 3) mandate that States demonstrate to SSA they are in compliance with SSA rules.

- 2. SSA uses the information it collects from the State DDSs via form SSA-9741 to prepare the Quarterly Statistical Report. SSA uses the report to: 1) supplement the information it already has about federally-administered programs; 2) more fully explain the impact of the public income support programs on the needy, aged, blind, and disabled; and 3) monitor State compliance with the mandatory pass-along provision. States and other Federal agencies use data from this report as well for various purposes.
- 3. SSA did not create an electronic version of this form under its Government Paperwork Elimination Act plan due to the low number of respondents.
- 4. The nature of the information being collected and the manner in which it is collected preclude duplication. There is no other collection instrument used by SSA that collects data similar to that collected here.
- 5. This collection does not impact small businesses or other small entities.
- 6. If SSA did not collect this information, SSA would be in violation of the rules cited in Item 1, and we would be losing a valuable source of information about DDS activities. Since we only collect the information once per quarter, and it is for a quarterly report, we cannot collect it less frequently.

There are no technical or legal obstacles that prevent burden reduction.

- 7. There are no special circumstances that would cause us to conduct this information collection in a manner inconsistent with 5 CFR 1320.5.
- 8. SSA published the Advance 60-day Federal Register Notice on March 28, 2008, at 73 FR 16734, and we received no public comments. We published the 30-day Federal Register Notice on May 28, 2008, at 73 FR 30656. If we receive any public comments in response to this Notice, we will forward them to OMB.
 - Periodically, we consult with the State DDSs and the American Public Welfare Association about this form. Our last consultation was in 2003.
- 9. SSA provides no payment or gifts to the respondents.
- 10. We protect and hold confidential the information we are requesting in accordance with 42 U.S.C. 1306, 20 CFR 401 and 402, 5 U.S.C. 552 (Freedom of Information Act), 5 U.S.C. 552a (Privacy Act of 1974), and OMB Circular No. A-130.
- 11. The information collection does not ask any questions of a sensitive nature.
- 12. Approximately 31 DDSs take 60 minutes each to complete form SSA-9741 four times a year. The total annual burden is therefore 124 hours. The total burden is reflected as burden hours, and we did not calculate a separate cost burden.
- 13. Under a cooperative agreement, the State DDSs spend time to collect and review the information reported on this form. However, State DDSs are reimbursed for their time (see item 14, below).
- 14. The annual cost to the Federal government for using this form is \$38,000. This cost reflects both SSA's administrative/printing costs and the cost of reimbursing the states that gather and review this information.
- 15. There is no change to the public reporting burden for this form.
- 16. Selected data from the report based on this form are published in the Statistical Abstract of the United States, the Social Security Bulletin, the Annual Statistical Supplement, and the Supplementary Security Income Annual Statistical Report.
- 17. OMB granted SSA an exemption from the requirement that the expiration date for OMB approval be printed on its program forms. SSA produces millions of public-use forms, many of which have a life cycle longer than that of an OMB clearance. SSA does not periodically revise and reprint its public-use forms (e.g., on an annual basis). This exemption was granted so that otherwise useable editions of forms would not be taken out of circulation because the expiration date had been reached. In addition, we avoid Government waste because stocks of forms will not have to be destroyed and reprinted.

18. SSA is not requesting an exception to the certification requirements at 5 CFR 1320.9 and related provisions at 5 CFR 1320.8(b)(3).

B. <u>Collections of Information Employing Statistical Methods</u>

SSA does not use statistical methods for this information collection.