

**DRAFT U.S. PRODUCERS' QUESTIONNAIRE**

**SORBITOL FROM FRANCE**

This questionnaire must be received by the Commission by no later than **MARCH 8, 2010**

*See page 4 of the Instruction Booklet for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty order concerning sorbitol from France (Inv. No. 731-TA-44 ((Third Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. **This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).**

<p>Name of firm _____</p> <p>Address _____</p> <p>City _____ State _____ Zip Code _____</p> <p>World Wide Web address _____</p> <p>Has your firm produced sorbitol (as defined in the instruction booklet) at any time since January 1, 1982?</p> <p><input type="checkbox"/> <b>NO</b> (Sign the certification below and promptly return only this page of the questionnaire to the Commission)</p> <p><input type="checkbox"/> <b>YES</b> (Read the instruction booklet carefully, complete all parts of the questionnaire, and return the entire questionnaire to the Commission so as to be received by the date indicated above)</p>
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**CERTIFICATION**

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this review in any other import-injury investigations or reviews conducted by the Commission on the same or similar merchandise.*

*I acknowledge that information submitted in this questionnaire response and throughout this review may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this review or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

_____ <i>Name of Authorized Official</i>	_____ <i>Title of Authorized Official</i>	_____ <i>Date</i>
_____ <i>Signature</i>	_____ <i>Phone: ( )</i>	_____ <i>E-mail address</i>
	_____ <i>Fax ( )</i>	

**PART I.—GENERAL INFORMATION**

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

\_\_\_\_\_hours \_\_\_\_\_dollars

I-1b. **OMB feedback.**--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

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I-3. **Support for continuation of order.**--Do you support or oppose continuation of the antidumping duty order currently in place for sorbitol from France?

France  Support  Oppose  Take no position

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**PART I.--GENERAL INFORMATION--Continued**

I-4. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No       Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-5. **Related SUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, which are engaged in importing sorbitol from France into the United States or which are engaged in exporting sorbitol from France to the United States?

No       Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-6. **Related NONSUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, which are engaged in importing sorbitol from countries other than France into the United States or which are engaged in exporting sorbitol from countries other than France to the United States?

No       Yes--List the following information.

<u>Firm name and country</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

**PART I.--GENERAL INFORMATION--Continued**

I-7. **Related producers.**--Does your firm have any related firms, either domestic or foreign, which are engaged in the production of sorbitol?

- No       Yes—Please list the following information and, if foreign producer(s), ensure that they complete the Commission's foreign producer questionnaire (contact Dana Lofgren, (202) 708-4721 or dana.lofgren@usitc.gov for copies of that questionnaire).

<u>Firm name</u>	<u>Country</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-8. **Business plan.**--In Parts II and IV of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected market conditions for sorbitol?

- No       Yes--Please provide the requested documents. If you are not providing the requested documents, please explain why not.

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\_\_\_\_\_

\_\_\_\_\_

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\_\_\_\_\_

**PART II.—TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from **Dana Lofgren, Investigator (202-708-4721, dana.lofgren@usitc.gov)**. **Supply all data requested on a calendar-year basis.**

II-1. **Contact information (Trade)**--Who should be contacted regarding the requested trade and related information?

Company contact: \_\_\_\_\_  
Name and title  
  
( ) \_\_\_\_\_  
Phone number                      E-mail address

**NOTE: REPORT YOUR ANSWERS FOR CRYSTALLINE SORBITOL ONLY.**

II-2. **Changes in operations**--Please indicate whether your firm has experienced any of the following changes in relation to the production of sorbitol since January 1, 1982?

<i>(check as many as appropriate)</i>	<i>(please describe)</i>
<input type="checkbox"/> plant openings.....	_____ _____
<input type="checkbox"/> plant closings .....	_____ _____
<input type="checkbox"/> relocations.....	_____ _____
<input type="checkbox"/> expansions.....	_____ _____
<input type="checkbox"/> acquisitions .....	_____ _____
<input type="checkbox"/> consolidations .....	_____ _____
<input type="checkbox"/> prolonged shutdowns or production curtailments.....	_____ _____
<input type="checkbox"/> revised labor agreements .....	_____ _____
<input type="checkbox"/> other (e.g., technology).....	_____ _____

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-3. **Anticipated changes in operations.**---Does your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of sorbitol in the future?

- No                       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in your response a specific projection of your firm's capacity to produce sorbitol (in 1,000 pounds) for 2010 and 2011.**

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Item	2010	2011
	Quantity (1,000 pounds)	
Capacity	_____	_____

II-4. **Anticipated changes in operations in the event the order is revoked.**--Would your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of sorbitol in the future if the antidumping duty order on sorbitol from France were to be revoked?

- No                       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue.

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-5. **Same equipment, machinery, and workers.**--Has your firm since 1982 produced, or does your firm anticipate producing in the future, other products on the same equipment and machinery used in the production of sorbitol and/or using the same production and related workers employed to produce sorbitol?

- No                       Yes--List the following information and report your firm's combined production capacity and production of these products and sorbitol in the periods indicated.

<b><u>Product</u></b>	<b><u>Period</u></b>	<b><u>Basis for allocation of capacity and employment data (indicate if different)</u></b>
_____	_____	_____
_____	_____	_____
_____	_____	_____

<b>Quantity (in 1,000 pounds)</b>			
<b>Item</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>
<b>Overall Production Capacity</b>			
<b>Production of:</b>			
Sorbitol			
Other product 1			
Other product 2			

II-6. **Constraints on production.**--Please describe the constraint(s) that set the limit(s) on your production capacity.

\_\_\_\_\_

\_\_\_\_\_

II-7. **Production shifting.**--Is your firm able to switch production between sorbitol and other products in response to a relative change in the price of sorbitol vis-à-vis the price of other products, using the same equipment and labor?

- No                       Yes--Please identify the other products, the approximate time and cost involved in switching, and the minimum relative price change required for your firm to switch production to or from sorbitol.

\_\_\_\_\_

\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-8. **Trade data.**--Report your firm's production capacity, production, shipments, inventories, and employment related to the production of sorbitol in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

Quantity (in 1,000 pounds) and value (in \$1,000)						
Item	Calendar year					
	2004	2005	2006	2007	2008	2009
<b>Average production capacity<sup>1</sup></b> (quantity) (A)						
<b>Beginning-of-period inventories</b> (quantity) (B)						
<b>Production</b> (quantity) (C)						
<b>U.S. shipments:</b>						
<b>Commercial shipments:</b>						
quantity (D)						
value (E)						
<b>Internal consumption:<sup>2</sup></b>						
quantity (F)						
value (G)						
<b>Transfers to related firms:<sup>2</sup></b>						
quantity (H)						
value (I)						
<b>Export shipments:<sup>3</sup></b>						
quantity (J)						
value (K)						
<b>End-of-period inventories</b> (quantity) (L)						
<b>Channels of distribution:</b>						
U.S. shipments to distributors (quantity) (M)						
U.S. shipments to end users (quantity) (N)						
<b>Employment data:</b>						
Average number of PRWs (number) (O)						
Hours worked by PRWs (1,000 hours) (P)						
Wages paid to PRWs (value) (Q)						

<sup>1</sup> The production capacity (see definitions in instruction booklet) reported is based on operating \_\_\_\_ hours per week, \_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary).

<sup>2</sup> Internal consumption and transfers to related firms should be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above:

<sup>3</sup> Identify your principal export markets: \_\_\_\_\_.



**PART II.--TRADE AND RELATED INFORMATION--Continued**

**II-9. Reconciliation of trade data.--**

- (a) Please note that the quantities reported in question II-10 should reconcile as follows in each period (*i.e.*, in each column):

Reconciliation

$B + C - D - F - H - J = L$

Do these data reconcile?  Yes  No--Please explain \_\_\_\_\_

$D + F + H = M + N$

Do these data reconcile?  Yes  No--Please explain \_\_\_\_\_

- (b) Please note that the quantities reported for end of period inventories should equal the beginning of period inventories reported in the subsequent calendar year (*i.e.*, line L of year 2007 should equal line B of year 2008). Do these data reconcile for each adjacent calendar year?

Yes.  No--Please explain.

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- II-10. **Transfers to related firms.**--If you reported transfers to related firms in question II-8, please indicate the nature of the relationship between your firm and the related firms (*e.g.*, joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-11. **Purchases.**--Other than direct imports, has your firm otherwise purchased sorbitol since January 1, 2004? (See definitions in the instruction booklet.)

- No             Yes-- Please indicate the reasons for your purchases (if your reasons differ by source, please elaborate) and report the quantity and value of such purchases below for the specified periods

Reasons: \_\_\_\_\_  
 \_\_\_\_\_

Quantity (in 1,000 pounds) and value (in \$1,000)						
Item	2004	2005	2006	2007	2008	2009
PURCHASES FROM U.S. IMPORTERS <sup>1</sup> OF SORBITOL FROM.—						
France:						
<i>quantity</i>						
<i>value</i>						
All other countries:						
<i>quantity</i>						
<i>value</i>						
PURCHASES FROM DOMESTIC PRODUCERS: <sup>2</sup>						
<i>quantity</i>						
<i>value</i>						
PURCHASES FROM OTHER SOURCES:						
<i>quantity</i>						
<i>Value</i>						
<sup>1</sup> Please list the name of the importer(s) from which you purchased this product. If your suppliers differ by source, please identify the source for each listed supplier. _____ _____						
<sup>2</sup> Please list the name of the domestic producer(s) from which you purchased this product. _____ _____						

II-12. **Toll production.**--Since January 1, 2004, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the production of sorbitol?

- No             Yes--Name firm(s): \_\_\_\_\_.

II-13. **FTZ.**--Does your firm produce sorbitol in a foreign trade zone (FTZ)?

- No             Yes--Identify FTZ(s): \_\_\_\_\_.

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-14. **Direct imports.**--Since January 1, 2004, has your firm imported sorbitol?

- No       Yes--**COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE**

II-15. **Effect of order.**--Describe the significance of the existing antidumping duty order covering imports of sorbitol from France in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the order.

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II-16. **Likely effect of revocation of order.**--Would your firm anticipate any changes in its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of sorbitol in the future if the antidumping duty order on sorbitol from France were to be revoked?

- No       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections you may provide.

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**PART III.—FINANCIAL INFORMATION**

Address questions on this part of the questionnaire to **Justin Jee (202-205-3186, justin.jee@usitc.gov)**.

**NOTE: REPORT YOUR ANSWERS FOR CRYSTALLINE SORBITOL ONLY.**

III-1. **Contact information (Financial).**--Who should be contacted regarding the requested financial information?

Company contact:

\_\_\_\_\_  
Name and title

( ) ( )  
\_\_\_\_\_  
Phone number Fax number E-mail address

III-2. **Accounting system.**--Briefly describe your financial accounting system.

A. When does your fiscal year end (month and day)? \_\_\_\_\_  
If your fiscal year changed during the period examined, explain below:

B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include subject merchandise:

- 2. Does your firm prepare profit/loss statements for the subject merchandise:  
 Yes  No
- 3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.  
 Audited,  unaudited,  annual reports,  10Ks,  10 Qs  
 monthly,  quarterly,  semi-annually,  annually
- 4. Accounting basis:  GAAP,  cash,  tax, or  other comprehensive (specify)

*Note: The Commission may request that your company submit copies of its financial statements, including internal profit-and-loss statements for the division or product group that includes sorbitol, as well as those statements and worksheets used to compile data for your firm's questionnaire response.*

III-3. **Cost accounting system.**--Briefly describe your cost accounting system (e.g., standard cost, job finding cost, etc.).

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

III-4. **Allocation basis.**--Briefly describe your allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

\_\_\_\_\_  
\_\_\_\_\_

**PART IV.—PRICING AND MARKET FACTORS--Continued**

III-5. **Other products.**--Please list any other products you produced in the facilities in which you produced sorbitol, and provide the share of net sales accounted for by these other products in your most recent fiscal year.

<b><u>Products</u></b>	<b><u>Share of sales</u></b>

III-6. **Raw materials from related firms.**--Does your company receive inputs (raw materials, labor, energy, or any other services) used in the production of sorbitol from any related company whose financial statements are ultimately consolidated with the financial statements of your firm?

Yes--Continue to question III-7 below       No--Continue to question III-9 below

III-7. **Inputs from related firms.**--In the space provided below, identify the inputs used in the production of sorbitol that your firm receives from related parties whose financial statements are consolidated with the financial statements of your firm.

<b><u>Input</u></b>	<b><u>Related party</u></b>

**PART IV.—PRICING AND MARKET FACTORS--Continued**

III-8. **Inputs from related firms at cost.**--All intercompany profit on inputs purchased from related parties that is eliminated pursuant to formal financial statement consolidation should also be eliminated from the costs reported to the Commission in question III-10 (i.e., costs reported in question III-10, to the extent that they reflect inputs purchased from related parties, should only reflect the related party's cost and not include an associated profit component). Reasonable methods for determining and eliminating the associated profit on inputs purchased from related parties are acceptable.

Has your firm complied with the Commission's instructions regarding costs associated with inputs purchased from related parties?

Yes  No—Please contact **Justin Jee (202-205-3186, justin.jee@usitc.gov)**.

III-9. **Nonrecurring charges.**--For each period for which financial results are reported in question III-10, please indicate in the schedule below the specific nonrecurring charges, the particular expense/cost line items from question III-11 where the associated charges are included, a brief description of the charges, and the associated values (*in \$1,000*). Nonrecurring charges would include, but are not limited to, items such as asset write-offs and accelerated depreciation due to restructuring of the company's sorbitol operations. (In the far left column, please provide a brief description of each nonrecurring charge and indicate the particular expense line items where the associated charges are included in question III-10).

	Fiscal years ended--					
	2004	2005	2006	2007	2008	2009
1.						
2.						
3.						
4.						
5.						
6.						
7.						

**PART IV.—PRICING AND MARKET FACTORS--Continued**

III-10. **Operations on sorbitol.**--Report the revenue and related cost information requested below on the sorbitol operations of your U.S. establishment(s).<sup>1</sup> Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost.<sup>2</sup> Provide data for your six most recently completed fiscal years in chronological finding from left to right. If your firm was involved in tolling operations (either as the toller or as the tollee) please contact **Justin Jee (202-205-3186)**, before completing this section of the questionnaire. Please be sure to reconcile financial data in this Part with trade/shipment data (including exports) in Part II, Trade and Related Information.

Quantity (in 1,000 pounds) and value (in \$1,000)						
Item	2004	2005	2006	2007	2008	2009
<b>Net sales quantities:</b> <sup>3</sup>						
Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales quantities						
<b>Net sales values:</b> <sup>3</sup>						
Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales values						
<b>Cost of goods sold (COGS):</b> <sup>4</sup>						
Raw materials						
Direct labor						
Other factory costs						
Total COGS						
<b>Gross profit or (loss)</b>						
<b>SG&amp;A expenses:</b>						
Selling expenses						
General and administrative expenses						
Total SG&A expenses						
<b>Operating income (loss)</b>						
Other income and expenses:						
Interest expense						
All other expense items						
Continued Dumping and Subsidy Offset Act funds received <sup>5</sup>						
All other income items						
All other income or expenses, net						
<b>Net income or (loss) before income taxes</b>						
<b>Depreciation included above</b>						
<sup>1</sup> Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations. <sup>2</sup> Please indicate the amount of profits or (losses) on inputs from related firms that were eliminated pursuant question III-8. <sup>3</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. <sup>4</sup> COGS should include costs associated with internal consumption and transfers to related firms. <sup>5</sup> Please report funds received under this act in the period(s) in which they were received. Do not report these funds as an offset to operating expenses.						

**PART IV.—PRICING AND MARKET FACTORS--Continued**

III-11. **Asset values.**--Report the total assets associated with the production, warehousing, and sale of sorbitol. If your firm does not maintain some or all of the specific asset data in the normal course of business, please estimate it based upon some rational method (such as production, sales, or costs) that is consistent with your cost allocations in the previous question. Provide data as of the end of your six most recently completed fiscal years in chronological finding from left to right.

Item	Value (in \$1,000)					
	Fiscal year ended--					
	2004	2005	2006	2007	2008	2009
<b>ASSETS</b> associated with the production, warehousing, and sale of product:						
<b>1. Current assets:</b>						
A. Cash and equivalents						
B. Accounts receivable, net						
C. Inventories						
D. All other current						
E. Total current (lines 1.A..1.D)						
<b>2. Non current assets:</b>						
Property, plant, and equipment (PPE):						
A. Original cost of PPE						
B. Less: Accumulated depreciation						
C. Equals: Book value of PPE						
D. All other non-current						
E. Total non-current (lines 2.C +2.D)						
<b>3. Total assets</b> (lines 1.E and 2.E)						

III-12. **Capital expenditures and research and development (R&D) expenditures.**--Report your firm's capital expenditures and research and development expenditures on sorbitol. Provide data for your six most recently completed fiscal years in chronological order from left to right.

	Fiscal year					
	2004	2005	2006	2007	2008	2009
Capital expenditures						
R & D expenses						



**PART IV.—PRICING AND MARKET FACTORS--Continued**

Further information on this part of the questionnaire can be obtained from **Clark Workman (202-205-3248, [clark.workman@usitc.gov](mailto:clark.workman@usitc.gov))**

IV-1. **Contact information (Price)**--Who should be contacted regarding the requested pricing and related information?

Company contact:

\_\_\_\_\_

( )

\_\_\_\_\_

\_\_\_\_\_

**NOTE: REPORT YOUR ANSWERS FOR CRYSTALLINE SORBITOL ONLY.**

**PRICE DATA**

This section requests quarterly quantity and value data, f.o.b. your U.S. point of shipment, for your commercial shipments to unrelated U.S. customers during 2007–2009 of the following products produced by your firm.

**Product 1.**--Crystalline sorbitol coarse powder: 1800 lb. or 1 metric ton (2205 lbs) super sack for food or pharmaceutical use.

**Product 2.**--Crystalline sorbitol coarse powder: 300 lb. drum for food or pharmaceutical use.

**Product 3.**--Crystalline sorbitol coarse powder: 50 lb. bag or box for food or pharmaceutical use.

**Product 4.**--Crystalline sorbitol granular: 200 lb. drum for food or pharmaceutical use.

Please report sales on a delivered basis to your final customer, including U.S.-inland transportation costs to your customer's facility. Please note that total dollar values should reflect the FINAL NET amount (i.e., should be net of all deductions for discounts or rebates). See instruction booklet for details.

**NOTE: REPORT YOUR ANSWERS FOR CRYSTALLINE SORBITOL ONLY.**

**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-2. **Pricing data.**---Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> produced and sold by your firm.

<b>(Quantity in pounds, value in dollars)</b>				
<b>Period of shipment</b>	<b>Product 1</b>		<b>Product 2</b>	
	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>
<b>2004:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2005:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2006:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2007:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2008:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2009:</b>				
January-March				
April-June				
July-September				
October-December				
<sup>1</sup> Net values ( <i>i.e.</i> , gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), delivered to your customers' U.S. facility (include U.S.-inland transportation costs). <sup>2</sup> Pricing product definitions are provided on the first page of Part IV.				
<b>Note.</b> --If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product:				
Product 1: _____				
Product 2: _____				

**PART IV.—PRICING AND MARKET FACTORS--Continued**

**IV-2. Pricing data. --Continued**

(Quantity in pounds, value in dollars)				
Period of shipment	Product 3		Product 4	
	Quantity	Value	Quantity	Value
<b>2004:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2005:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2006:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2007:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2008:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2009:</b>				
January-March				
April-June				
July-September				
October-December				
<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), delivered to your customers' U.S. facility (include U.S.-inland transportation costs). <sup>2</sup> Pricing product definitions are provided on the first page of Part IV.				
<b>Note.--</b> If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product:				
Product 1: _____				
Product 2: _____				

**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-3. **Price setting.**-- How does your firm determine the prices that it charges for sales of sorbitol (*check all that apply*)? If your firm issues price lists, please include a copy of a recent price list with your submission. If your price list is large, please only submit some sample pages.

- Transaction by transaction
- Contracts
- Set price lists
- Other--Please describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

IV-4. **Discount policy.**-- Please indicate and describe your firm's discount policies (*check all that apply*).

- Quantity discounts
- Annual total volume discounts
- No discount policy
- Other--Please describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

IV-5. **Pricing terms for sorbitol.**--

- (a) What are your firm's typical sales terms for its U.S.-produced sorbitol (*e.g.*, 2/10 net 30 days)? \_\_\_\_\_.
- (b) On what basis are your prices of domestic sorbitol usually quoted? (check one)  
 F.o.b.--Please specify point: \_\_\_\_\_  Delivered

IV-6. **Contract versus spot.**--Approximately what share of your firm's sales of its U.S.-produced sorbitol in 2009 were on a (1) long-term contract basis (multiple deliveries for more than 12 months), (2) short-term contract basis (multiple deliveries up to and including 12 months), and (3) spot sales basis (for a single delivery)?

<u>Type of sale</u>	<u>Share of sales (percent)</u>
Long-term contracts	_____
Short-term contracts	_____
Spot sales	_____



**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-10. **Short-term contract bids.**-- Please identify the three largest short term contracts (12 months or less) on which your firm submitted bids for delivery of sorbitol during 2007-09 and complete the information requested in the table below.

Customer Name	Length of contract (months)	Quantity (pounds)	Your firm's price quote (dollars per pound)	Date of your firm's quote	Winning price quote (dollars per pound)	Name of winning bidder	Delivery date

IV-11. **Lead times.**--What is the average lead time between a customer's order and the date of delivery for your firm's sales of your U.S.-produced sorbitol?

<u>Source</u>	<u>Share of sales in 2009</u>	<u>Lead time</u>
From inventory	_____	_____
Produced to order	_____	_____
<b>Total</b>	<b>100 %</b>	

IV-12. **Shipping information.**--

- (a) What is the approximate percentage of the total delivered cost of sorbitol that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ percent.
- (b) Who generally arranges the transportation to your customers' locations? (check one)  
 Your firm or  purchaser
- (c) What proportion of your sales are delivered within 100 miles of your production facility? \_\_\_\_\_ percent. Within 101 to 1,000 miles? \_\_\_\_\_ percent. Over 1,000 miles? \_\_\_\_\_ percent.

**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-13. **Geographical shipments.**-- What is the geographic market area in the United States served by your firm's sorbitol? (check all that apply)

<b>Geographic area</b>	<b>√ if applicable</b>
<b>Northeast.</b> --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
<b>Midwest.</b> --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
<b>Southeast.</b> --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
<b>Central Southwest.</b> --AR, LA, OK, and TX.	
<b>Mountains.</b> --AZ, CO, ID, MT, NV, NM, UT, and WY.	
<b>Pacific Coast.</b> --CA, OR, and WA.	
<b>Other.</b> --All other markets in the United States not previously listed, including AK, HI, PR, VI, among others.	

IV-14. **End uses.**--Describe the end uses of the sorbitol that you manufacture. For each end-use product, what percentage of the total cost is accounted for by sorbitol?

<u>End use</u>	<u>Share of total cost (percent)</u>
_____	_____
_____	_____
_____	_____

IV-15. **Changes in end uses.**--Have there been any changes in the end uses of sorbitol since the imposition of the finding in 1982?

No             Yes— Please describe any changes in end uses of sorbitol.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

IV-16. **Anticipated changes in end uses.**--Do you anticipate any changes in terms of the end uses of sorbitol in the future?

No             Yes--Please describe and identify the time period.

\_\_\_\_\_

\_\_\_\_\_

**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-17. **Substitutes.**--Please list in order of importance any products that may be substituted for sorbitol. For each possible substitute product, please list the applications, describe the degree of substitutability, and indicate whether changes in the price of the substitute affect the price for sorbitol.

Substitute	Application(s) and degree of substitutability	Have changes in the prices of this substitute affected the price for sorbitol?
1.		<input type="checkbox"/> No <input type="checkbox"/> Yes--Please explain. <hr/> <hr/>
2.		<input type="checkbox"/> No <input type="checkbox"/> Yes--Please explain. <hr/> <hr/>
3.		<input type="checkbox"/> No <input type="checkbox"/> Yes--Please explain. <hr/> <hr/>
4.		<input type="checkbox"/> No <input type="checkbox"/> Yes--Please explain. <hr/> <hr/>
5.		<input type="checkbox"/> No <input type="checkbox"/> Yes--Please explain. <hr/> <hr/>

IV-18. **Changes in substitutes.**--Have there been any changes in the number or types of products that can be substituted for sorbitol since the imposition of the finding in 1982?

No             Yes--Please explain.

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IV-19. **Anticipated changes in substitutes.**--Do you anticipate any changes in terms of the substitutability of other products for sorbitol in the future?

No             Yes--Please describe.

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**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-20. **Raw materials.**--To what extent have changes in the prices of raw materials affected your firm's selling prices for sorbitol since the imposition of the finding in 1982? Also discuss any anticipated changes in your raw material costs in the future.

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IV-21. **Changes in factors affecting supply.**--Have any changes occurred in any other factors affecting supply (e.g., changes in availability or prices of energy or labor; transportation conditions; production capacity and/or methods of production; technology; export markets; or alternative production opportunities) that affected the availability of U.S.-produced sorbitol in the U.S. market since the imposition of the finding in 1982?

- No                       Yes--Please note the time period(s) of any such changes, the factors(s) involved, and the impact such changes had on your shipment volumes and prices.

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IV-22. **Availability of supply (U.S.-produced).**--

(a) Do you anticipate any changes in terms of the availability of U.S.-produced sorbitol in the U.S. market in the future?

- Increase                       No change                       Decrease

(b) If you anticipate changes in supply, please identify the changes, including the time period and the impact of such changes on shipment volumes and prices.

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**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-23. **Availability of “nonsubject” import supply.**--Has the availability of NONSUBJECT sorbitol (*i.e.*, sorbitol imported from countries other than France) changed since the imposition of the finding in 1982?

No             Yes--Please explain.

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IV-24. **Shifting sales.**--Describe how easily your firm can shift its sales of sorbitol between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints that would prevent or retard your firm from shifting sorbitol between the U.S. and alternative country markets within a 12-month period.

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IV-25. **Product changes.**--Have there been any significant changes in the product range, product mix or marketing (including sales over the internet) of sorbitol since the imposition of the finding in 1982?

No             Yes--Please describe and quantify if possible.

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IV-26. **Anticipated product changes.**--Do you anticipate any changes in terms of the product range, product mix, or marketing (including sales over the internet) of sorbitol in the future?

No             Yes--Please identify, including the time period.

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**PART IV.—PRICING AND MARKET FACTORS--Continued**

**IV-27. Demand trends.--**

- (a) How has the demand within the United States for sorbitol changed since the imposition of the finding in 1982? What principal factors affect changes in demand?

Increased       No Change       Decreased       Fluctuated

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- (b) How has the demand outside the United States (if known) for sorbitol changed since the imposition of the finding in 1982? What principal factors affect changes in demand?

Increased       No Change       Decreased       Fluctuated

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**IV-28. Anticipated demand trends.--**

- (a) Do you anticipate any future changes in sorbitol demand within the United States?

No       Yes--Please describe and identify the time period.

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- (b) Do you anticipate any future changes in sorbitol demand outside the United States?

No       Yes--Please describe and identify the time period.

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**PART IV.—PRICING AND MARKET FACTORS--Continued**

IV-29. **Price comparisons.**--Please compare market prices of sorbitol in U.S. and non-U.S. markets, if known. Provide specific information as to time periods and regions for any price comparisons.

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IV-30. **Market studies.**--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss sorbitol supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including France, and (3) the world as a whole. Of particular interest is historical data and forecasts for the future.

IV-31. **Barriers to trade.**--Are your exports of sorbitol subject to any tariff or non-tariff barriers to trade in other countries?

- No             Yes--Please list the countries and describe any such barriers and any significant changes in such barriers that have occurred since the imposition of the finding in 1982, or that are expected to occur in the future.

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