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Application for Determination of Employee Stock Ownership Plan

OMB No. 1545-0284

For IRS Use Only

(Under se	ction 409	or 49	75(e)(7)	of the	Internal	Revenue	Code)
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	l Revenue Ser			Attach to Form	า 5300.					
1	Name of e	mployer o	r plan sponsor			2 Employer identific	ation number	3 Three-digit p	olan nu	mber
4	This appli	ication is	for (complete one):							
а				ship plan under section	409					
b										
5	 An employee stock ownership plan under section 4975(e)(7) Type of plan: 									
a	<u> </u>	-sharing	b 🗌	Stock bonus	c 🗆	Money purchase a	nd stock bonu	s		
ΔΙΙ		0	ines 6a through 6h.)					T	Yes	No
6a				in employer securities a	s defined in se	action 409(1)?				
b		-					n 409(e)?			
c										
	be distributed in the form of employer securities, and, if the securities are not readily tradable on an established market, can									
	the participant require the employer to repurchase the securities under a fair valuation formula within the time frames prescribed									
	by law? (See section 409(h).)									
d						provide that				
	participants are entitled to receive distributions in cash? (See section 409(h)(2).)									
е	e If the plan is maintained by an S corporation, does the plan provide that participants are entitled to receive distril					tributions in				
	cash? (See section 409(h).)									
f	Does the plan provide that a participant may elect to diversify a portion of his or her account investment in employer securities,									
	as require	ed by sec	tion 401(a)(28)(B)?.							
g	g With respect to activities that are carried on by the plan, are all valuations of employer securities acquired after December						ecember 31,			
	1986, whi	ich are n	ot readily tradable or	an established securit	ies market ma	ide by an independe	nt appraiser? (See section		
	401(a)(28)									
h Does the plan provide that a participant may begin receiving a distribution of his or her account that is attributab										
				1986 (within the guidelin	nes of section	409(o)) after reaching	normal retiren	nent age, or		
			ity, or other separation							
_				blete lines 7a and 7b.)		0				
7a										
b	Does the plan provide that, even if the employee plan or ESOP credit is recaptured or redetermined, amounts transferred to the plan for such credit shall remain in the plan and, if allocated, shall remain allocated?									
DI				(Complete lines 8a thro				• • • •		
8a		-		the meaning of section	•					
b		-		loan proceeds must be						
с	securities, repay such loan, or repay a prior loan as required under Regulations section 54.4975-7(b)(4)? Does the plan provide for the establishment and maintenance of a suspense account as required under Regulations									
	54.4975-1	· ·								
d	Does the	plan pro	vide that the collatera	I must be limited to qu	alifying employ	ver securities purchas	ed with such e	exempt loan		
	or a prior exempt loan as required under Regulations section 54.4975-7(b)(5)?									
е	Does the p	plan provi	de that no person entit	led to payment under an	exempt loan sh	all have any right to th	e ESOP's asset	s, other than		
	collateral g	given for t	he loan, contributions (other than contributions of	femployer secu	rities) made to repay th	ne exempt loan,	and earnings		
	attributabl	e to the c	ollateral and the invest	ment of the contributions	as required ur	der Regulations section	on 54.4975-7(b)(5)?		
f	amount e	dual to th	ne sum of contribution	ade with respect to an s and earnings received	l durina or prio	r to such vear less su	uch pavments i	n prior vears		
	as require	ed under	Regulations section 5	54.4975-7(b)(5)?						
g	•	•		ployer securities will be				0		
	section 54.4975-11(d)(4)?									
h	Are the participants' rights to plan assets acquired by use of the exempt loan protected as specified in Regulations section									
9	54.4975-11(a)(3)(i) and (ii)?									
5	of the plar	n attributa	able to employer secu	rities acquired by the pla	n (in a sale to v	which section 1042 ap	plies) cannot ad	ccrue for the		
40	benefit of the persons specified in section 409(n) during the nonallocation period?									
10	nonalloca	tion year	, accrue to the benefi	t of a disqualified perso	ne to employe n? (See section	on 409(p).)		ay, uuring a		
		Under p	enalties of perjury, I	declare that I have ex	amined this a	pplication, including			, and I	to the
Sig		best of	my knowledge and I	belief it is true, correct	, and comple	te.				

Here			
	Signature	Date	Title