

**Application for Approval  
FHA Lender and/or Ginnie Mae  
Mortgage-Backed Securities Issuer**

**U.S. Dept of Housing and Urban Development**  
Federal Housing Administration and  
Government National Mortgage Association

OMB Approval Numbers 2502-0005  
(exp. 03/31/2009)

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Public Reporting Burden for this collection of information is estimated to average 1.25 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The information requested on this form is required by 24 CFR Part 202 and Sec. 306(g) of the National Housing Act or by HUD Handbooks 4060.1 and 5500.3. The information collected assists FHA and Ginnie Mae in determining which lenders should be approved to participate in the FHA single family and multifamily insurance programs and/or the Ginnie Mae Mortgage Backed Securities Program. It is used to help FHA minimize its risk in insuring single family and multifamily mortgages and Ginnie Mae to minimize its risk. Applicants are not required to respond to this collection of information unless a currently valid approved OMB control number is displayed on the form.

**Privacy Act Statement.** Names and Social Security Numbers are requested in order for the Department to obtain positive identification of the applicant's officers, directors, stockholders and employees who have authority to obligate the applicant. The information requested will be used solely to determine the eligibility of the individuals to participate in the Department's mortgage insurance programs. The Department is authorized to request this information by Executive Order 9397 and it will not be disclosed outside the Department without prior consent except as required or permitted by law. The Social Security Numbers are provided to HUD on a voluntary basis. Failure to provide this information could cause delay in processing of the applications.

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**Instructions for Completing Form HUD-11701:** Applicants seeking approval as a FHA-approved lender, both mortgagee and loan correspondent, under the Title I and/or Title II programs and/or as an approved issuer with the Government National Mortgage Association ("Ginnie Mae") must complete the appropriate sections of this form and submit the required documentation listed in these instructions. Qualified applicants may obtain one of the following types of approval:

**1. FHA Approval Only:** Applicants seeking only FHA approval must complete Sections A, B, and C of this form and provide the required documentation listed on page ii of these instructions for the different types of approval along with a nonrefundable \$1,000 application fee. The application fee covers applying for either Title II or Title I approval or for both at the same time. A FHA approved lender who is only Title II or Title I approved does not have to pay an application fee to apply for the other approval.

**FHA Lender Approval Types**

- 1. Nonsupervised Loan Correspondent:** This is the only type of approval a mortgage broker can apply for and allows them to originate Title II single family loans and/or Title I loans. Correspondent lenders and mortgage lenders who only want to originate should apply for this type of approval.
- 2. Nonsupervised Mortgagee:** Correspondent lenders and mortgage lenders should apply for this type of approval if they want to do any of the following lender functions in addition to origination: underwriting, servicing and owning any type of FHA insured loan.
- 3. Supervised Loan Correspondent:** Banks, savings banks and credit unions should apply for this type of approval if they only want to originate Title II single family loans and/or Title I loans.
- 4. Supervised Mortgagee:** Banks, savings banks and credit unions should apply for this type of approval if they want to do any of the following lender functions in addition to origination: underwriting, servicing and owning any type of FHA insured loan.
- 5. Government Mortgagee:** Federal, State and local government agencies should apply for this type of approval if they want to do any of the following lender functions: origination, underwriting, servicing and owning any type of FHA insured loan.
- 6. Investing Mortgagee:** Any entity that only wants to own FHA insured loans should apply for this type of approval.

All approval types, except an investing mortgagee, can fund FHA loans.

Applicants must apply for Title II lender approval to participate in the FHA single family forward (203b, 203k, 234c, etc.) and reverse (HECM) loan programs and the FHA multifamily loan programs. Applicants must apply for Title I approval to participate in the FHA Title I loan programs (property improvement and manufactured home loans). Applicants can apply for both Title I and Title II at the same time. Information on the Title II single family loan programs and the Title I loan programs is available at: <http://www.hud.gov/offices/hsg/sfh/insured.cfm>. Information on Title II multifamily loan programs is at: <http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

**2. Conversion of Existing FHA Approval Type:** A FHA approved Title I and/or Title II mortgagee or loan correspondent who wishes to convert to the other type of lender approval must complete Section A and each changed item in Section C (if there are no changes regarding officers or owners, item 11 in Section A may be omitted) of this form and provide the required documentation listed on page ii of these instructions for the different types of conversions along with a nonrefundable \$300 conversion fee..

**3. Ginnie Mae Approval Only:** Applicants seeking only Ginnie Mae approval must complete Sections A, B, D or E, and F of this form and provide the required documentation listed on page iii of these instructions along with a nonrefundable application fee of \$250, made payable to Ginnie Mae via wire transfer only. The fee is to be wired directly to Ginnie Mae's Office of Finance while the application package itself must be sent to the appropriate address shown below.

**4. FHA and Ginnie Mae Approval:** Applicants seeking both FHA and Ginnie Mae approval must complete Sections A, B, C, D or E, and F of this form and provide the required documentation listed below. Separate fees are to be paid for each program as specified above. The application and fee must be sent to both FHA and Ginnie Mae at the appropriate addresses shown below.

### Required Documentation for New FHA Lender Approval

The following are the required documentation to be submitted with form HUD-11701 for verifying that an applicant meets FHA requirements for each type of FHA lender approval. References are to paragraphs in the Title II Mortgagee Approval Handbook 4060.1, Rev-2 at: <http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/>

If only applying for Title I approval, please see the Title I Lender Approval Handbook 4700.2, at: <http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/> and Title I Letters TI-469, TI-478 and TI-03-01 at: <http://www.hud.gov/offices/adm/hudclips/letters/title1/>

Required documentation for each type of approval is check marked.

		<input type="checkbox"/> Non-supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> Non-supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> Supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> Supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> Government Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> Investing Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>
1	Copy of \$1,000 Application Fee Check <i>Paragraph 2-7.</i>	✓	✓	✓	✓		✓
2	State License or Registration <i>Paragraphs 2-3 and 3-2(A)7</i>	✓	✓				✓
3	State DBA Approval, if applicable <i>Paragraphs 2-4 and 3-2(A)8</i>	✓	✓	✓	✓	✓	✓
4	Business Credit Report on Applicant <i>Paragraph 3-2(A)4</i>	✓	✓				✓
5	Audited Financial Statements <i>Paragraphs 2-5, 2-6 and 3-2(A)6</i>	✓	✓				
6	LLC Documents, if applicable <i>Paragraphs 2-2(C) and 3-3(B)</i>	✓	✓				✓
7	Partnership Agreement, if applicable <i>Paragraphs 2-2(B) and 3-3(A)</i>	✓	✓				✓
8	Evidence of office facilities <i>Paragraphs 2-11(A) and 3-2(A)9</i>	✓	✓				
9	Funding Program <i>Paragraph 3-2(A)13a &amp; 3-2(A)13b</i>		✓				✓
10	Sanctions Letter <i>Paragraphs 2-10 &amp; 3-2(A)14</i>	✓	✓				✓
11	Quality Control Plan <i>Paragraphs 7-1 through 7-12, as appropriate</i>	✓	✓	✓	✓	✓	
12	Fidelity Bond \$300,000 minimum <i>Paragraph 3-2(A)10</i>		✓		✓	✓	✓
13	Errors & Omissions Insurance \$300,000 minimum <i>Paragraph 3-2(A)11</i>		✓		✓	✓	✓
14	Resume(s) <i>Paragraph 3-2(A)5</i>	✓	✓			✓	✓
15	Credit Reports on Principals <i>Paragraph 3-2(A)4</i>	✓	✓				✓
16	Combination Sponsor/Funding Letter <i>Paragraphs 3-2(A)1, 3-2(A)13</i>	✓		✓			

### Required Documentation for Conversion of Existing FHA Lender Approval

The following are the required documentation to be submitted with form HUD-11701 for verifying that an applicant meets FHA requirements for the conversion being requested. References are to paragraphs in the Title II Mortgagee Approval Handbook 4060.1, Rev-2 available at: <http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/>

If only applying to convert your Title I approval, please see the Title I Lender Approval Handbook 4700.2, at: <http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/> and Title I Letters TI-469, TI-478 and TI-03-01 at: <http://www.hud.gov/offices/adm/hudclips/letters/title1/>

Required documentation for each type of conversion is check marked.

		<input type="checkbox"/> To Non-supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> To Non-supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> To Supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>	<input type="checkbox"/> To Supervised Mortgagee Approval Handbook 4060.1, Rev-2 available at: <a href="http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/">http://www.hud.gov/offices/adm/hudclips/handbooks/hsgl/</a>
1	Copy of \$300 Conversion Fee Check <i>Paragraph 2-7.</i>		✓	✓	✓
2	Audited Financial Statements <i>Paragraph 6-16(E)</i>			✓	
3	Funding Program <i>Paragraphs 3-2(A)13a, 3-2(A)13b and 6-16</i>			✓	
4	Fidelity Bond \$300,000 minimum <i>Paragraphs 3-2(A)10 &amp; 6-16</i>			✓	✓
5	Errors & Omissions Insurance \$300,000 minimum <i>Paragraphs 3-2(A)11 &amp; 6-16</i>			✓	✓
6	Combination Sponsor/Funding Letter <i>Paragraphs 3-2(A)1, 3-2(A)13 and 6-16</i>		✓		✓
7	Certification that all FHA Insured Mortgages held or serviced have been transferred to a FHA mortgagee that is approved to hold and service FHA insured mortgages <i>Paragraph 6-16</i>		✓		✓

### Required Documentation for Ginnie Mae I and/or Ginnie Mae II Approval.

The following are the required documentation to be submitted with form HUD-11701 for verifying that an applicant meets Ginnie Mae requirements for issuer approval. For additional information on the Ginnie Mae I and II programs see Handbook 5500.3. All applicants will be approved to issue Ginnie Mae I and II single-family securities; however, in order to securitize Ginnie Mae II pools or loan packages, applicants must also execute form HUD-11709, Master Agreement for Servicer's Principal and Interest Custodial Account, and form HUD-11709, Master Agreement for Servicer's Principal and Interest Custodial Account, and form HUD-11709-A, ACH Debit Authorization.

Required documentation for each type of approval is check marked.

		<input type="checkbox"/> Ginnie Mae I	<input type="checkbox"/> Ginnie Mae II
1.	Evidence of Wire Transfer to Ginnie Mae for Nonrefundable application fee (\$250)	√	√
2.	HUD Form 11702	√	√
3.	Certificate of Insurance - Fidelity Bond reflecting adequate coverage and proper Ginnie Mae loss payee endorsement	√	√
4.	Certificate of Insurance - Errors and Omissions reflecting adequate coverage and proper Ginnie Mae loss payee endorsement	√	√
5.	Evidence of Quality Control Plan for underwriting, origination, and servicing of mortgage loans and secondary marketing. Provide a copy of quality control findings for the past six months and management's response to those findings	√	√
6.	Audited Financial Statements for latest three years (2 copies)	√	√
7.	Most recent interim financial statement (balance sheet and income statement) signed by an officer as true and correct	√	√
8.	Dollar value of loans to officers/directors/affiliates as of most recent financial audit	√	√
9.	Dollar value of pledged assets as of the most recent financial audit	√	√
10.	List of affiliated issuer(s) participating in the Ginnie Mae program, along with their four-digit Ginnie Mae issuer identification number(s)	√	√
11.	List name(s), address(es), telephone number(s), and contact person(s) for mortgage insurance companies, warehouse lenders, and investors for whom the applicant is currently doing business	√	√
12.	HUD Form 11709		√
13.	HUD Form 11709-A		√

<b>Addresses for Submission of Application for FHA Lender Approval or Application for Conversion of Existing FHA Lender Approval</b>	<b>Lockbox Addresses for FHA Application Fee and Fee Cover Sheet</b>
<p><b>U.S. Mail</b></p> <p>FHA Lender Approval &amp; Recertification Division 451 7th Street, SW, Rm B133 / P3214 Washington, D.C. 20410-8888</p> <p><b>Overnight delivery</b></p> <p>FHA Lender Approval &amp; Recertification Division 490 L'Enfant Plaza East, SW, Suite 3214 Washington, D.C. 20024-2118</p>	<p><b>Title II Fee:</b></p> <p>HUD P.O. Box 198619 Atlanta, GA 30384 Fee Cover Sheet: <a href="http://www.hud.gov/offices/adm/hudclips/forms/files/11701a.doc">http://www.hud.gov/offices/adm/hudclips/forms/files/11701a.doc</a></p> <p><b>Title I Fee:</b></p> <p>HUD P. O. Box 198608 Atlanta, GA 30384 Fee Cover Sheet: <a href="http://www.hud.gov/offices/adm/hudclips/forms/files/11701b.doc">http://www.hud.gov/offices/adm/hudclips/forms/files/11701b.doc</a> If applying for both, sent the fee to the Title II lockbox</p>

<b>Addresses for Submission of Ginnie Mae Application and Fee</b>	
<p>U.S. Mail</p> <p>Ginnie Mae 451 7th Street, SW, Room B-133 Washington, D.C. 20410-9000</p>	<p>Overnight delivery</p> <p>Ginnie Mae 550 12<sup>th</sup> Street, SW, Third Floor Washington, DC 20024</p>

**Application for Approval  
FHA Lender and/or Ginnie Mae  
Mortgage-Backed Securities Issuer**

**U.S. Department of Housing and Urban Development**  
Federal Housing Administration and  
Government National Mortgage Association

OMB Approval Numbers 2502-0005  
(exp. 03/31/2009)

**All Applicants are required to complete Sections A and B.**

<b>Section A. General Information</b>			
Applicant's Business Name			Phone (includes area code and extension)
DBA (if applicable)			FAX (includes area code)
Geographic Address of Home Office (must be a physical address) <b>Attention</b> (Use a title, not an individual's name)			email address of applicant
Street Address			Contact person for this application
City	State	Zip Code	Contact person's phone number and extension
County			Contact person's FAX number
Mailing Address Check if same as <input type="checkbox"/> Geographic <b>Attention</b> (Use a title, not an individual's name)			Contact person's email address
Street Address/P.O. Box			Date of Incorporated, Established or Chartered
City	State	Zip Code	Under Laws of the State of (if applicable)

Program Approval(s) Requested <input type="checkbox"/> FHA Title II Single Family <input type="checkbox"/> FHA Title II Conversion <input type="checkbox"/> FHA Title II Multifamily <input type="checkbox"/> FHA Title I Conversion <input type="checkbox"/> FHA Title I  <input type="checkbox"/> Ginnie Mae Single Family <input type="checkbox"/> Ginnie Mae Multifamily		Minority / Women Owned Business (Optional) <input type="checkbox"/> Minority Owned <input type="checkbox"/> Women Owned <input type="checkbox"/> Minority Owned / Women Owned
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Applicant's Business Type <input type="checkbox"/> Bank <input type="checkbox"/> Mortgage Broker <input type="checkbox"/> Investing Only <input type="checkbox"/> Savings Bank <input type="checkbox"/> Correspondent Lender <input type="checkbox"/> Service Provider <input type="checkbox"/> Credit Union <input type="checkbox"/> Mortgage Lender <input type="checkbox"/> Servicing Agent <input type="checkbox"/> Government Agency			Applicant Taxpayer Identifying Number (9 digits)  Non-Profit (Yes or No)	Fiscal Year End (month)
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All Applicants **must** provide the information below for all officers, along with owners having a 25% or greater ownership interest in the applicant. If additional space is needed, use a separate sheet. Please indicate which one officer will be in charge of the day-to-day operations of the applicant's FHA business by checking the box in front of one name.

Officer/Owner Name	Title (if applicable)	SSN or TIN	% Ownership
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			
<input type="checkbox"/>			

Previous editions obsolete

## Section B. History and Business Status

Please check the appropriate answer for each question below. If any of the questions require a "Yes" answer, provide an explanation on a separate sheet.

<p>1. <input type="checkbox"/> Yes <input type="checkbox"/> No Is the applicant the subject of any assessments, or contingent liabilities not disclosed in its financial statements?</p> <p>2. <input type="checkbox"/> Yes <input type="checkbox"/> No Has the applicant or any of its principals, officers, individuals serving on the Board of Directors, or individuals acting as authorized signatories, ever been, or are any presently suspended, terminated, debarred, sanctioned, fined, convicted, denied approval, or refused a license by any Federal, State, or local government agency, or a government-related entity, where the action is related to the responsibilities that are commensurate with those of the financial services industry?</p> <p>3. <input type="checkbox"/> Yes <input type="checkbox"/> No Is the applicant or any of its principals, officers, individuals serving on its Board of Directors, individuals acting as authorized signatories, or employees currently involved in a proceeding or subject to an investigation that could result, or has resulted, in suspension, fine, or disbarment by a Federal, State, or local government agency, conviction in a criminal matter, bankruptcy or denial of fidelity insurance or mortgagee's errors and omissions insurance coverage?</p>	<p>3. <input type="checkbox"/> Yes <input type="checkbox"/> No Have any mortgage insurance companies, secondary marketing agencies or warehouse lenders, or broker/dealers denied the applicant approval in the three previous fiscal years being reported? Provide the date and reasons for each denial.</p> <p>5. <input type="checkbox"/> Yes <input type="checkbox"/> No Has the applicant been subject to any past or present action by HUD, VA, Fannie Mae, Freddie Mac, or other government-related entity to indemnify the entity against loss?</p> <p>6. <input type="checkbox"/> Yes <input type="checkbox"/> No Is the applicant currently subject to regulatory or supervisory action by any regulatory agency? Regulatory actions include, but are not limited to, supervisory agreements, cease and desist orders, notices of determination, memorandum of understanding, unresolved audits, and investigations. Supervisory actions include, but are not limited to, the appointment of a trustee, conservator, or managing agent.</p> <p>7. <input type="checkbox"/> Yes <input type="checkbox"/> No Has the applicant or any owner, principal, or managing executive been involved, through ownership or otherwise, with a previously defaulted Ginnie Mae Issuer(s)?</p>
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Section C: FHA Title I and Title II only				Approval Type		Applicant Type	
<b>Premium Address</b> Check if same as: <input type="checkbox"/> Geographic <input type="checkbox"/> Mailing				<input type="checkbox"/> Nonsupervised Loan Correspondent  <input type="checkbox"/> Nonsupervised Mortgagee		<input type="checkbox"/> Mortgage Broker <input type="checkbox"/> Correspondent Lender <input type="checkbox"/> Mortgage Lender  <input type="checkbox"/> Correspondent Lender <input type="checkbox"/> Mortgage Lender	
Title I & Title II	Attention (Use a title, not an individual's name)						
	Street Address/P.O. Box						
City:			State:	Zip Code:			
<b>Payee Address</b> Check if same as: <input type="checkbox"/> Geographic <input type="checkbox"/> Mailing <input type="checkbox"/> Premium				<input type="checkbox"/> Supervised Loan Correspondent  <input type="checkbox"/> Supervised Mortgagee  <input type="checkbox"/> Government Mortgagee  <input type="checkbox"/> Investing Mortgagee		<input type="checkbox"/> Bank <input type="checkbox"/> Credit Union <input type="checkbox"/> Savings Bank  <input type="checkbox"/> Bank <input type="checkbox"/> Credit Union <input type="checkbox"/> Savings Bank  <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Local  <input type="checkbox"/> For-Profit <input type="checkbox"/> Not-for-Profit	
Title I & Title II	Attention (Use a title, not an individual's name)						
	Street Address/P.O. Box						
City:			State:	Zip Code:			
<b>CHUMS Address</b> Check if same as: <input type="checkbox"/> Geographic <input type="checkbox"/> Mailing <input type="checkbox"/> Premium <input type="checkbox"/> Payee				<input type="checkbox"/> Mortgagee code (HUD use)		Institution code (HUD use)	
Title II only	Attention (Use a title, not an individual's name)						
	Street Address/P.O. Box						
City:			State:	Zip Code:			
<b>Endorsement Address</b> Check if same as: <input type="checkbox"/> Geographic <input type="checkbox"/> Mailing <input type="checkbox"/> Premium <input type="checkbox"/> Payee <input type="checkbox"/> CHUMS				Supervised Only	Examined and Supervised  <input type="checkbox"/> Federal Reserve System <input type="checkbox"/> Federal Deposit Insurance Corp. <input type="checkbox"/> Office of Thrift Supervision <input type="checkbox"/> National Credit Union Assoc. <input type="checkbox"/> Other (Specify)		Examined and Supervised code (HUD use)
Title II only	Attention (Use a title, not an individual's name)						
	Street Address/P.O. Box						
City:			State:	Zip Code:			
Title II Sponsor Home Office Mortgagee ID (10 digits)		Participate in the following FHA loan programs		Originate	Service	Title II Home Office Lender ID, if prior approval (10 digits)	
		<input type="checkbox"/> Title II Single Family Loans		<input type="checkbox"/>	<input type="checkbox"/>		
Title I Sponsor Home Office Mortgagee ID (10 digits)		<input type="checkbox"/> Title II Multifamily Loans		<input type="checkbox"/>	<input type="checkbox"/>	Title I Home Office Lender ID, if prior approval (10 digits)	
		<input type="checkbox"/> Title I Loans		<input type="checkbox"/>	<input type="checkbox"/>		

**Section D. Ginnie Mae I and/or II Applicants (Fannie Mae and/or Freddie Mac Approved)**

1. FHA Mortgagee Number (If applicable)

2. Fannie Mae Seller/Servicer Number(s)

3. FHLMC Seller/Servicer Number(s)

4. Total Dollar Amount of Mortgage Servicing Portfolio

(Servicing)	(Sub-servicing)
\$	\$

- On a separate sheet, please provide a description of any restrictions on the applicant's activities that have been imposed by Fannie Mae and/or Freddie Mac. Please also provide a copy of the applicant's annual eligibility certification report and the most recent compliance report from Fannie Mae and/or Freddie Mac.
- For those applicants applying for approval in Ginnie Mae's multifamily program, provide eligibility certification of multifamily approval by Fannie Mae and/or Freddie Mac.
- For those applicants who are not approved for Fannie Mae's and/or Freddie Mac's multifamily program, provide two resumes showing the relevant experience in multifamily origination and servicing for the past ten years.

**Section E. Ginnie Mae I and/or II Applicants (No Fannie Mae or Freddie Mac Approval)**

1. FHA Mortgagee Number (If applicable)

- On a separate sheet, please provide a brief description of the applicant's history. Please include operating and business plans.
- On a separate sheet, please provide the following: Mortgage loan operations and volume of originations segregated by loan type (i.e., conventional, FHA/VA, RHS) during the last three years for single family residential and multifamily loans.
- On a separate sheet, please list Investors, number of loans and dollar amount for whom the applicant services mortgages (subservicing is to be identified and broken out separately using the same format).

- On a separate sheet, please list Investors to whom the applicant sells mortgages.
- On a separate sheet, please list at least four resumes (minimum of three full-time officers and one full-time employee) for the key officers and employees of the applicant. The resume must show the employee's name, Social Security Number, date of birth, and the relevant experience pertaining to the mortgage banking industry. Please include each employee's employment history for the past ten years by name of the employer, date, title, supervisor, and a brief description of the duties, responsibilities, and accomplishments. Each resume must also include an original signature and date.

**Section F. Ginnie Mae Certifications (All Ginnie Mae Applicants)**

- The financial statements submitted to Ginnie Mae are complete and accurate statements of the applicant's financial condition.
- To the best of its knowledge and belief, the information and data contained herein are true and correct. Further, it is the opinion of the undersigned that it has powers and authority sufficient to act as an issuer of Ginnie Mae mortgage-backed securities.
- Each applicant warrants that while the application is pending action by Ginnie Mae, the applicant will notify Ginnie Mae in writing of a change in any material factor that could affect the application decision.**
- Agreements: The undersigned applicant by submitting this application agrees to issue and administer Ginnie Mae mortgage-backed securities and service pooled mortgages in accordance with Section 306(g) of the National Housing Act, its applicable regulations; and the applicable "Government National Mortgage Association Mortgage-Backed Securities Guide" (Ginnie Mae I: Ginnie Mae II: Handbook 5500.3).
- HMBS Program Only: Applicants who intend to issue HMBS must provide additional documentation to Ginnie Mae to demonstrate the following:
  - Net Worth Requirement of \$500,000
  - Servicing of HECM loans and/or ability to track and monitor Participation accounting.

**All Applicants are required to sign and date the application.**

**FHA Certification** The undersigned agrees to comply with the provisions of the HUD regulations and the requirements of the Secretary of HUD. **I certify that I am authorized to execute this application on behalf of the applicant.**

Applicant Signature	Date:
	Name:
	Title (must be President, Vice President, Partner, or Managing Member)
Approved U.S. Department of Housing and Urban Development, Director, Office of Lender Activities and Program Compliance By: (Signature of Director, Lender Approval and Recertification Division)	Title II Number:
	Title I Number:
	Date