

# STATEMENT OF REGISTRATION INSTRUCTIONS

## General Notes:

- Complete all items. If "none" applies to an item, so state.
- If more space is required to complete an item, electronic attachment pages are available for most items.
- Important: Changes in the information contained in this application by law must be reported promptly in accordance with 22 CFR 122.4. Clearly identifying or otherwise highlighting the changed information will facilitate analysis.

Block 1. Show the legal business name, physical office address, and telephone number of the registrant. No P.O. Box address accepted.

Block 2. If you have been, or are currently, registered with the Directorate of Defense Trade Controls (PM/DDTC), give your PM/DDTC registrant code number.

Block 3. For DDTC use only and should be left blank. Registrants will be advised of the fee schedule on the DDTC website. For renewals, registrants will be notified of their annual fee via mail 60 days prior to expiration date. Payment must be submitted by check or money order and must be in U.S. currency, payable through a U.S. financial institution. Cash and foreign currency will not be accepted. Make check or money order payable to "U.S. Department of State." Send to one of the addresses provided below.

Block 4. Give the most applicable legal organizational description. If "other" is selected, explain legal organizational structure. Select one.

Block 5. Indicate the nature of your business as a Manufacturer, Manufacturer/Exporter, or Broker of Defense Articles and Services (e.g., hardware, data, software, services) and select only one. Separate registration required for Broker. Manufacturer or Manufacturer/Exporter must be a U.S. person. Broker may be a U.S. person or a foreign person and the appropriate entry marked in Block 5.

Block 6. Enter the date (mm-dd-yyyy), city, county, state, and country where your legal business, as listed in Item 1, commenced doing business. You must attach a copy of the document(s) issued by the government authority enabling you to engage in business in the U.S. (e.g. Articles of Incorporation) or foreign country, as appropriate.

Block 7. Enter the full name, title, date (mm-dd-yyyy), place of birth (city, state, & country), social security number, complete physical home address, and country of citizenship (list all citizenships held, actual or pending, including whether or not you are a U.S. person under 22 CFR 120.15). No P.O. Box address accepted.

Block 8. Enter U.S. Munitions List (USML) category (22 CFR 121), generic name, in addition the U.S. Government agency (if applicable) for USML articles manufactured, and/or exported, brokered, or defense services provided.

Block 9. List U.S. defense-related subsidiaries/affiliates, wholly or partially owned by registrant for USML articles manufactured, and/or exported, or defense services provided. Telephone number is not mandatory but could facilitate analysis.

Block 10. List defense-related foreign subsidiaries/affiliates, wholly or partially owned by registrant for USML articles manufactured, and/or exported, or defense services provided. Telephone number is not mandatory but could facilitate analysis.

Block 11. Give complete name, address, and telephone number of parent company.

Block 12. Is the registrant owned and/or controlled by foreign (non U.S.) person(s)? See 22 CFR 122.2 (c) for definition of ownership or control. If "Yes," you must explain in the transmittal letter the specific percentages of ownership and control held by each foreign (non U.S.) person.

Block 13. Company entities or subsidiaries may not register separately.

Block 14. The individual signing this form must be a senior officer empowered by the registrant. The signer must be a U.S. person unless the signer is a broker, which includes U.S. and non-U.S. persons. Violations and penalties are explained in 22 CFR 127.

Send to: Postal Mailing Address  
U.S. Department of State  
Directorate of Defense Trade Controls  
Compliance and Registration Division  
2401 E Street, NW, SA-1, Room H1200  
Washington, DC 20522-0112

Send to: Express Mailing Address and Courier Delivery Address  
U.S. Department of State  
Directorate of Defense Trade Controls  
Compliance and Registration Division  
2401 E Street, NW, SA-1, Room H1200  
Washington, DC 20037

## PRIVACY ACT AND PAPERWORK REDUCTION ACT STATEMENTS

**AUTHORITIES** U.S. Department of State's authorities to register persons engaged in the business of manufacturing, exporting, or importing any defense article or defense service are 22 USC 2778 (b) (1) (A) (i) and 22 CFR Part 122. The authorities to register brokers are 22 USC 2778 (b) (1) (A) (ii) (I) and 22 CFR 129.3 and 129.4.

**PURPOSE** The purpose of registration is to provide the U.S. Government with necessary information on individuals and entities engaged in certain manufacturing, exporting, and brokering activities.

**ROUTINE USES** The information solicited on this form is made available as a routine use to appropriate agencies whether Federal, State, local or foreign, for intelligence, law enforcement, and administrative purposes, or pursuant to a court order. It may also be used to send required reports to Congress about certain defense trade transactions.

**SOCIAL SECURITY NUMBER** Disclosure of the social security number(s) is voluntary and for the purpose of facilitating coordination with the Department of Treasury to review the registration statement for law enforcement concerns in accordance with 22 USC 2778 (b) (1) (B). Refusal to provide requested social security number, by itself, will not result in registration being denied, but may result in delays in the processing of a registration request.

\*Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: U.S. Department of State (A/ISS/DIR) Washington, DC 20520.



8. U.S. Munitions List Articles Manufactured and/or Exported, Brokered, or Defense Services Provided:

Purchasing U.S.  
Government  
Agency (If Any)

Category	Commodity/Service	Purchasing U.S. Government Agency (If Any)

Additional page(s) attached

9. Names and Addresses of Registrant's Wholly- and Partially-Owned U.S. Subsidiaries/Affiliates:

Yes (Specify)       No

- a. Name \_\_\_\_\_
- b. Physical Street Address (no P.O. Box) \_\_\_\_\_  
\_\_\_\_\_
- c. City \_\_\_\_\_
- d. State/Province \_\_\_\_\_
- e. Zip/Postal Code \_\_\_\_\_
- f. Telephone Number (Country/Area Code) \_\_\_\_\_  
\_\_\_\_\_

Additional page(s) attached

11. Name, Address, and Telephone Number of Registrant's Parent Company (if any)

- a. Name \_\_\_\_\_
- b. Physical Street Address (no P.O. Box) \_\_\_\_\_  
\_\_\_\_\_
- c. City \_\_\_\_\_
- d. State/Province \_\_\_\_\_
- e. Country \_\_\_\_\_
- f. Zip/Postal Code \_\_\_\_\_
- g. Telephone Number (Country/Area Code) \_\_\_\_\_  
\_\_\_\_\_

Additional page(s) attached

10. Names and Addresses of Registrant's Wholly- and Partially-Owned Foreign Subsidiaries:

Yes (Specify)       No

- a. Name \_\_\_\_\_
- b. Physical Street Address (no P.O. Box) \_\_\_\_\_  
\_\_\_\_\_
- c. City \_\_\_\_\_
- d. State/Province \_\_\_\_\_
- e. Country \_\_\_\_\_
- f. Zip/Postal Code \_\_\_\_\_
- g. Telephone Number (Country/Area Code) \_\_\_\_\_  
\_\_\_\_\_

Additional page(s) attached

12. Is The Registrant  Owned  And/Or Controlled

By Foreign Persons (22 CFR 122.2 (c)):

Yes (Specify)       No

13. Does Registrant Submit Federal Income Tax Forms Separately From Company in Block 11?

Yes       No

14. Registrant's Statement:

Under Penalty According to Federal Law (see 22 CFR 127; 22 USC 2778, 18 USC 1001)

I, \_\_\_\_\_ Warrant The Truth of All Statements Made Herein  
Type Full Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date (mm-dd-yyyy)

\_\_\_\_\_  
Title/Position of Senior Officer

\_\_\_\_\_  
Email

**Additional Directors, Officers, Partners, Owners  
Block 7**

<u>Name</u>	<u>Date of Birth (mm-dd-yyyy)</u> _____	<u>Home Address</u>
a. Last _____	<u>Place of Birth</u>	a. Physical Street Address
_____	_____	_____
b. First _____	a. City _____	_____
_____	_____	_____
c. Middle _____	b. State/Province _____	_____
d. Country of Citizenship(s)	_____	b. Apt. (no P.O. Box) _____
_____	c. Country _____	c. City _____
e. Social Security Number	_____	d. State/Province _____
_____	_____	_____
f. Position	_____	e. Country _____
_____	_____	f. Zip/Postal Code
_____	_____	_____

<u>Name</u>	<u>Date of Birth (mm-dd-yyyy)</u> _____	<u>Home Address</u>
a. Last _____	<u>Place of Birth</u>	a. Physical Street Address
_____	_____	_____
b. First _____	a. City _____	_____
_____	_____	_____
c. Middle _____	b. State/Province _____	_____
d. Country of Citizenship(s)	_____	b. Apt. (no P.O. Box) _____
_____	c. Country _____	c. City _____
e. Social Security Number	_____	d. State/Province _____
_____	_____	_____
f. Position	_____	e. Country _____
_____	_____	f. Zip/Postal Code
_____	_____	_____

<u>Name</u>	<u>Date of Birth (mm-dd-yyyy)</u> _____	<u>Home Address</u>
a. Last _____	<u>Place of Birth</u>	a. Physical Street Address
_____	_____	_____
b. First _____	a. City _____	_____
_____	_____	_____
c. Middle _____	b. State/Province _____	_____
d. Country of Citizenship(s)	_____	b. Apt. (no P.O. Box) _____
_____	c. Country _____	c. City _____
e. Social Security Number	_____	d. State/Province _____
_____	_____	_____
f. Position	_____	e. Country _____
_____	_____	f. Zip/Postal Code
_____	_____	_____



**Additional Names and Addresses of Registrant's Wholly- and Partially-Owned U.S. Subsidiaries  
Block 9**

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Zip/Postal Code _____
f. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Zip/Postal Code _____
f. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Zip/Postal Code _____
f. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Zip/Postal Code _____
f. Telephone Number (Country/Area Code) _____

**Additional Names and Addresses of Registrant's  
Wholly- and Partially-Owned Foreign Subsidiaries  
Block 10**

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

**Additional Name, Address, and Telephone Number of Registrant's Parent Company  
Block 11**

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____

a. Name _____
b. Physical Street Address (no P.O. Box) _____ _____
c. City _____
d. State/Province _____
e. Country _____
f. Zip/Postal Code _____
g. Telephone Number (Country/Area Code) _____