#### **APPENDIX E**

# DATA COLLECTION INSTRUMENT FOR WIC PARTICIPANTS VERSION A: PREGNANT, BREASTFEEDING AND POST-PARTUM

#### **VERSION B: INFANTS AND CHILDREN**

Public reporting burden for this collection of information is estimated to average 24 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis, Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

# **WIC Participants Survey**

### PART 1: PRE-CODED FROM AGENCY DATA

### NOTE: TO MAINTAIN CONSISTENCY OF NUMBERING FOR THE SAME ITEMS ACROSS VERSIONS, SOME NUMBERS MAY BE EXCLUDED.

P1. Sampled participant

- a. Name:
- b. Address:
- c. Phone number(s):
- P2. Information on sampled participant from state/local records
  - a. Category
    - □ Pregnant
    - □ Breastfeeding [SKIP TO P2d]
    - □ Postpartum [SKIP TO P2d]
  - b. THIS QUESTION NUMBER DELIBERATELY SKIPPED
  - c. Start date of current certification for this pregnancy (MM/DD/YYYY). [SHOULD BE PRIOR TO JULY 31, 2008]
  - d. Start date of original certification for this pregnancy. [MAY BE SAME AS P2c OR EARLIER.] (MM/DD/YYYY)
     \_\_\_\_\_ / \_\_\_\_
  - e. [IF R.=PREGNANT, SKIP TO P2f. FOR BREASTFEEDING & POSTPARTUM ONLY, NOTE THE FOLLOWING FROM RECORDS:] Did R. receive benefits while pregnant?
    - YesNo
  - f. Birthdate of participant (MM/DD/YYYY)
  - g. Gender of participant
    - □ Male
    - □ Female

Page E(A)-2

NO

- h. Is participant..? [IF IN RECORDS. OTHERWISE ASK IN Q49 AT END OF SURVEY]
  - □ Hispanic or Latino?
  - $\Box$  Not Hispanic or Latino?
  - □ Not indicated in records (COMPLETE Q49)
- i. Race/ethnicity of participant [IF IN RECORDS. OTHERWISE ASK IN Q50 AT END OF SURVEY]
  - American Indian or Alaska Native
  - $\Box$  Asian American
  - $\Box$  Black or African American
  - □ Native Hawaiian or Other Pacific Islander
  - □ White
  - $\Box$  Multiracial (Two or more of the above)
  - □ Not indicated in records (COMPLETE Q50)

# j. Primary language of participant [IF NOTED IN RECORDS]

 $\square$ 

 $\square$ 

 $\square$ 

 $\square$ 

- □ English
- □ Arabic
- □ Cambodian
- Cantonese/Mandar
- 🗌 Farsi
- □ French/Creole
- ☐ Fulani
- □ Hindi

**Russian** 

Hmong

Khmer

Korean

Laotian

Punjabi

Portuguese

🗌 Somali

- SpanishSwahili
- Tamil
- □ Tagalog
- 🗌 Urdu

VEC

- □ Vietnamese
- Other: SPECIFY

### P3. Do records indicate proof of...?

	IES	NU
a. Identification		
b. Categorical eligibility		
c. Adjunctive income eligibility		
d. Non-adjunctive income eligibility		
e. Residential eligibility		
f. Nutritional eligibility		

P4. What justification or documents were used to establish...? (NOTE FOR ALL THAT APPLY)

,	Adjunctive or	Non-Adjunctive	Residential	Nutritional
	other State-	income eligibility	eligibility	eligibility
	defined automatic			[TYPE IN UP TO 8 CODES]
NOTE "1"	income eligibility Adjunctive			
IF DOC IS	Food Stamps	Most recent tax return	Drivers license	
NOTED IN	Medicaid	□ W-2 form	U Current	
FILE; NOTE "2"		Statement from	with address	
IF	Children's	bank or other	on it	
ACTUAL COPY IS	Medicaid	financial institution	Written statement from	
IN FILE	Other State-defined		reliable third	
	Supplemental	Check or pay stub	party	
	Security Income (SSI)	Signed statement	Checkbook	
	Food Distribution	by employer	Rent receipt,	□ None
	Program on Indian	Eligibility letter	mortgage receipt or lease	
	Reservations (FDPIR)	signed by official state/local agency	Document	
	Free/Reduced-	Statement of	Unspecified	
	Meal School	benefits by public	Other:	
	Lunch/Breakfast Program	agency or court	SPECIFY	
	Low-Income	Current utility bill, rent, mortgage		
	Energy Assistance	receipt or tax bill		
	Document	Written statement		
		from reliable third party		
	Other: SPECIFY	<ul> <li>Document</li> </ul>		
	None	Unspecified		
		Other: SPECIFY		
		None		

- P5. Number in WIC family/economic unit
  - a. IF DISCERNIBLE FROM AGENCY RECORDS: Relationships relative to sampled participant [DO NOT INCLUDE PARTICIPANT]

Adults counted	Infants &	How many of total -
		- from columns one
economic unit	yrs) counted in	and two receive
who are	WIC family/	WIC?
	economic unit	
		(WRITE #)
(WRITE#)		
	N/A	
N/A		
	N/A	
	N/A	
	N/A	
	N/A	
	N/A	
LL TOTAL COL	UMNS FROM AI	BOVE
Total	Total	Total
sampled WIC parti total number of pe	This totalplus 1 for the sampled WIC participant equals number of WIC participants in family/ economic unit	
	who are (WRITE #) (WRITE #) (URITE #)	in WIC family/ economic unit who are (WRITE #) (WRITE

### P6. PRE-CODED ITEMS ABOUT THE LOCAL AGENCY

- a) Administration of local agency: \_\_\_\_\_
  - 1) By State directly
  - 2) By local government
  - 3) By public or private third party organization

# b) How does local agency refer to food instruments with its WIC clients? [USE DATA FROM STATE AGENCY LETTER #1]

- 1) Food coupons
- 2) Food checks
- 3) Food instruments
- 4) Other: SPECIFY \_\_\_\_\_
- c) What is the name of Food Stamp program in this State? [USE DATA FROM STATE AGENCY LETTER #1]

[OPEN END]

### PART 2: WIC PARTICIPANT TELEPHONE SURVEY

### SCREENER

SA. NAME OF WIC PARTICIPANT SAMPLED...

SB. NAME OF RESPONDENT TO BE INTER-VIEWED. THIS WILL BE A PARENT, GUARDIAN OR FOSTER PARENT IF WIC PARTICIPANT IS AN INFANT OR CHILD

### •USE <u>VERSION A</u> IF Q-SA (SAMPLED PARTICIPANT) AND Q-SB (PERSON INTEVIEWED) ARE *THE SAME*. THIS WILL BE ALL PREGNANT, BREASTFEEDING OR POSTPARTUM PARTICIPANTS

- $\Box$  Contact made by Phone
- □ Non- Contact Reasons:

\_\_\_\_ No Answer

\_\_\_\_ Normal Busy

\_\_\_\_\_ Answering Machine

\_\_\_\_\_ Wrong Number

S1. Hello, may I speak to [WIC PARTICIPANT] ?

A. Yes [WHEN R. IS REACHED, CONTINUE]

No [GET TIME AND DATE WHEN R. CAN BE REACHED. TERMINATE.]

B. Time \_\_\_\_\_ Date\_\_\_\_\_

This is \_\_\_\_\_\_ of Macro International calling on behalf of USDA'S WIC program from which you are currently receiving food benefits. We are conducting a confidential survey about what people like about WIC and how WIC can be improved. You are under no obligation to answer any question, and you can end the interview at any time. The interview takes approximately 25 minutes, and again, any information you give us will be confidential.

### ADD INFORMED CONSENT LANGUAGE FROM IRB.

S2. May we continue?

\_\_\_\_ Accept

\_\_\_\_ Refuse

### S3. IF POSSIBLE LANGUAGE DIFFICULTIES, ASK: May we continue in English?

### □Yes [CONTINUE]

### □No [ASK "What language do you speak?" AND RECORD ANSWER. IF QUESTION NOT UNDERSTOOD, ASK "Español?" OR OTHER LIKELY LANGUAGE (AS PRECODED IN P2j) AND RECORD ANSWER. TELL R. YOU WILL CALL BACK LATER.]

IF REFUSAL, SAY: This research is really important to the WIC program because they need to hear feedback from people who use the program. We're interviewing 2400 WIC participants, including yourself, all around the country. Your name was randomly chosen and your answers will be kept confidential and grouped with other people's answers, so neither the Food and Nutrition Service nor your local agency will ever know your specific answers. Nothing you say will change your benefits. The survey shouldn't take all that long. You are not required to answer any question, and you can end the interview at any time. I'd really like to do the survey now. However, if now is inconvenient, we could schedule a different time.

- SEE IF R. WILL DO INTERVIEW NOW.
- IF YES, GO BACK TO Q2; CHANGE TO ACCEPT, THEN CONTINUE
- IF NOT, SEE IF R. SUGGEST TIME/DATE AND NEGOTIATE AS INTERVIEWER'S SCHEDULE PERMITS.

TIME DATE (ENTER "0" IF R. REFUSES)

• IF R. STILL REFUSES, THANK & TERMINATE.

# **TELEPHONE SURVEY**

Public reporting burden for this collection of information is estimated to average 24 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis, Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

The questions I am going to ask are about your satisfaction and experiences with WIC. This takes about 20 minutes and your feedback will be grouped together with answers from other people. Since your answers are confidential, nothing you say will change your benefits.

### WIC PROGRAM PARTICIPATION

1. Let's begin by talking about your experience with WIC. Is this the first time you've received WIC benefits **for yourself** or have you participated before this with another pregnancy/child? [IF PREGNANT, SAY: pregnancy. IF BREASTFEEDING/ POSTPARTUM, SAY: child]

□NEW TO WIC [SKIP TO Q3]

PARTICIPATED BEFORE [CONTINUE]

- 2. How many times have you participated before? [ASK, THEN SKIP TO Q4]
  - $\Box 1$
  - $\Box 2$
  - $\Box$ 3 or more
- 3. Why didn't you participate before this? [DO NOT READ; CHECK ALL THAT APPLY]

THIS IS MY FIRST CHILD/PREGNANCY

 $\Box$ DIDN'T LIVE IN USA

 $\Box$ DIDN'T KNOW ABOUT WIC

DIDN'T THINK QUALIFIED FOR WIC (FOR CATEGORY REASON)

DIDN'T THINK QUALIFIED FOR WIC (FOR INCOME REASON)

DIDN'T TRUST WIC

DIDN'T QUALIFY FOR WIC

LACK OF TRANSPORTATION TO CLINIC, TRANSPORTATION DIFFICULTIES

□ SCHEDULE DIFFICULTIES

 $\Box$  Services (including waiting time) take too much time

□ WAITING SPACE AT CLINIC IS LIMITED

LACK OF CHILD CARE

LANGUAGE BARRIERS

PROBLEMS QUALIFYING FOR BENEFITS

DIDN'T HAVE PAPERS TO PROVE ELIGIBILITY
DIFFICULTIES KEEPING APPOINTMENT TIMES
WIC FOOD SELECTION NOT DESIRABLE
WIC FOOD STORES NOT CONVENIENT (HOURS OR LOCATION)
WIC FOOD HARD TO FIND ON SHELVES (BRANDS, QUANTITIES)
IMMIGRATION CONCERNS
DIDN'T NEED FOOD BENEFIT
DON'T KNOW
OTHER: PLEASE SPECIFY

[IF Q3= THIS IS MY FIRST CHILD/PREGNANCY, AUTOMATICALLY CODE Q4 AS THIS IS FIRST, ONLY CHILD AND SKIP TO Q5.]

4. [IF R.=PREGNANT, ASK:]

How many other children do you have? [IF R.=BREASTFEEDING OR POSTPARTUM, ASK:]

How many other children do you have, or is this your first baby?

- 0. This is first, only child
- 1. 1 OTHER CHILD
- 2. 2 OTHER CHILDREN
- 3. 3 OTHER CHILDREN
- 4. 4 OTHER CHILDREN
- 5. 5 OTHER CHILDREN
- 6. 6 OTHER CHILDREN
- 7. 7 OTHER CHILDREN
- 8. 8 OTHER CHILDREN
- 9. 9 OR MORE OTHER CHILDREN

[CLARIFY: And were these children all born to you? IF ANSWER IS NO, RE-ASK QUESTION, How many other children have been born to you, or is this your first baby?]

SKIP TO Q7 IF ANY OF FOLLOWING ARE TRUE:

- R.=PREGNANT
- R.=BREASTFEEDING AND P2e=YES (i.e. Rec'd benefits when pregnant)
- R.=POSTPARTUM IF P2e=YES (i.e. Rec'd benefits when pregnant)
- 5. According to the records, you did not receive benefits while you were pregnant, that is, before the baby was born. Is that correct?

□YES [CONTINUE] □NO [SKIP TO Q7] DIDN'T LIVE IN USA DIDN'T KNOW ABOUT WIC DIDN'T TRUST WIC DIDN'T QUALIFY FOR WIC LACK OF TRANSPORTATION TO CLINIC, TRANSPORTATION DIFFICULTIES **SCHEDULE DIFFICULTIES** SERVICES (INCLUDING WAITING TIME) TAKE TOO MUCH TIME WAITING SPACE AT CLINIC IS LIMITED LACK OF CHILD CARE **LANGUAGE BARRIERS** PROBLEMS QUALIFYING FOR BENEFITS DIFFICULTIES KEEPING APPOINTMENT TIMES **WIC** FOOD SELECTION NOT DESIRABLE WIC FOOD STORES NOT CONVENIENT (HOURS OR LOCATION) WIC FOOD HARD TO FIND ON SHELVES (BRANDS, QUANTITIES) ☐ IMMIGRATION CONCERNS DIDN'T NEED FOOD BENEFIT DON'T KNOW OTHER: PLEASE SPECIFY

# SATISFACTION WITH LOCAL CLINIC, SERVICES, FOOD STORES

- 7. Thinking about the WIC clinic that you are familiar with, how satisfied are you with the people that work there and the services they provide? Would you say you are [READ]...?
  - $\Box$  Very Satisfied
  - $\Box$  Somewhat Satisfied
  - □ Neither Satisfied nor Dissatisfied
  - $\Box$  Somewhat Dissatisfied, or
  - □ Very Dissatisfied
- 7a. Thinking about the WIC clinic's location and building facility, would you say you are [READ]...?
  - □ Very Satisfied
  - □ Somewhat Satisfied
  - $\Box$  Neither Satisfied nor Dissatisfied
  - $\Box$  Somewhat Dissatisfied, or
  - □ Very Dissatisfied

Looking at specific qualities or characteristics of the clinic...

8. How would you rate the [INSERT FROM BELOW]? Would you say it is Excellent, Very Good, Good, Fair or Poor? [REPEAT SCALE UNTIL R. LEARNS IT]

Excellent-----Very Good------Fair-----Poor

### [ROTATE START POINT]

- a) Customer friendliness of the WIC staff
- b) Quality of service you get
- c) Helpfulness of the staff
- d) Staff's ability to speak your language
- e) Safety of the clinic's location
- f) Convenience of the clinic's location for you
- g) Convenience of its operating hours
- h) Amount of time you must wait until you are seen by WIC staff
- i) Size and space of the waiting area
- j) Activities provided to occupy children while you wait
- k) Way they handle paperwork for certification
- 1) How they deliver your food -[INSERT WORD USED IN P6b]
- 9. Now, think about the food benefits that you receive **for yourself**. How would you rate them in the following areas? Use the same scale: Excellent, Very Good, Good, Fair or Poor. How would you rate the food benefits for...

Excellent-----Very Good------Good------Fair -----Poor

- a) Providing the right quantity of food?
- b) Offering foods that you like to eat?
- c) Offering food choices in sizes and brands that you can find on the shelf? For example, if the coupon says a 46 oz container of juice in one of these 3 brands, you can find them in the store where you shop.
- 10. Are there certain WIC foods that, on a regular basis, you do not purchase for some reason?

□ YES [CONTINUE] □ NO [SKIP TO Q12] 11. Which ones do you not purchase? [DO NOT READ LIST. JUST CHECK OFF ALL THAT APPLY. FOR EACH ONE CHECKED, ASK:] Why not)? AFTER R. ANSWERS, ASK, Anything else?

ITEMS NOT REDEEMED	Why don't you redeem them? [CODE OR WRITE IN MAIN REASON]	PRECODES 1 – Dislike, don't like
□ CARROTS		2 – Not accustomed to eating it (including cultural differences)
□ CEREAL		3 – Food allergies
□ CHEESE		4 – Don't know how to prepare
DRY BEANS, PEAS		5 – Too much trouble to prepare 6 – Problems getting food to home 7– Couldn't find/ Lost the food
EGGS		coupons
□ Formula		8 – Store did not have item in stock
□ JUICE		9 – Did not need at that time 10 – Other: SPECIFY
□ Milk		
□ PEANUT		
BUTTER		*
🗌 TUNA		

12A. For food items you did redeem, was there **too much** of any food?

□ YES (Which Foods?.....) □NO (SKIP TO 12b)

[DO NOT READ. JUST CHECK OFF ALL THAT APPLY]

TO	O MUCH
	CARROTS
	CEREAL
	CHEESE
	DRY BEANS, PEAS
	Eggs
	Formula
	JUICE
	Milk
	PEANUT BUTTER
	TUNA
	OTHER

12B. For food items you did redeem, was there too little of any food?

☐ YES (Which Foods?.....)☐ NO (SKIP TO 13)

[DO NOT READ. JUST CHECK OFF ALL THAT APPLY]

TO	O LITTLE
	CARROTS
	CEREAL
	CHEESE
	DRY BEANS, PEAS
	EGGS
	Formula
	JUICE
	Milk
	PEANUT BUTTER
	TUNA
	OTHER

- 13. Which description best fits the store where you most often redeem your WIC food [INSERT WORD USED IN P6d]? [READ FULL LIST]
  - $\Box$  Large grocery store or supermarket
  - $\Box$  Small grocery store
  - $\Box$  Convenience store
  - $\Box$  Specialty food store, such as one that specializes in ethnic foods
  - □ Store that carries only WIC-approved items
  - $\hfill\square$  Large combination food store-retailer such as a Walmart or a Target
  - □ Military commissary
  - □ [IF ILLINOIS, READ]: WIC Food Centers
  - [DON'T READ] OTHER [ASK: Can you describe it for me? AND TYPE BRIEF DESCRIPTION \_\_\_\_\_]
- 14. Using the scale of Excellent, Very Good, Good, Fair or Poor that we used earlier, what overall rating would you give the store where you do most of your WIC shopping."
  - □ EXCELLENT
  - □ VERY GOOD
  - □ GOOD
  - □ FAIR
  - □ POOR

- 15. Do you buy your WIC items at the same store where you do most of your other food shopping?
  - $\Box$  YES [SKIP TO Q17]
  - □ No [CONTINUE]
- 16. Why not? [DO NOT READ. CODE ANSWER ALL THAT APPLY]
  - EXPENSE: WIC STORE MORE EXPENSIVE, REGULAR STORE LESS EXPENSIVE
  - EXPENSE: REGULAR STORE MORE EXPENSIVE, WIC STORE LESS EXPENSIVE
  - □ TRANSPORTATION: WIC STORE LESS CONVENIENT TO GET TO, REGULAR STORE MORE CONVENIENT
  - □ TRANSPORTATION: REGULAR STORE LESS CONVENIENT TO GET TO, WIC STORE MORE CONVENIENT
  - □ COURTESY: WIC STORE NOT CUSTOMER-FRIENDLY, REGULAR STORE FRIENDLIER
  - □ COURTESY: REGULAR STORE NOT CUSTOMER-FRIENDLY, WIC STORE FRIENDLIER
  - □ REGULAR STORE DOES NOT PARTICIPATE IN WIC PROGRAM
  - □ REGULAR STORE DOESN'T CARRY RIGHT SIZES/SELECTIONS OF WIC FOODS
  - OTHER: PLEASE SPECIFY \_\_\_\_\_
- 17. I am going to give you a list of reasons why some people go to the store that they do for WIC purchases. For each one, please tell me how important it is to you by giving a number from 0 to 5, with 5 meaning extremely important and 0 being Not Important at all. How important is it that [INSERT FROM BELOW]:

Extremely important

Not at all important 5------1-----0

### [ROTATE START POINT]

- a) It is the same store where you do your other shopping
- b) The store clerks are friendly and helpful
- c) The store clerks speak your language
- d) The location is safe
- e) The location is convenient, easy to get to
- f) The store hours are convenient
- g) The store has the right sizes and brands of WIC foods
- h) The prices on non-WIC items are reasonable
- i) It specializes in WIC items

# IMPACT OF TRAINING AND COUNSELING ON BEHAVIOR

- 18. Let's talk about some of the services at the WIC agency. In addition to your scheduled appointments, have you attended any group education sessions that were recommended to you by the WIC staff?
  - □ YES [CONTINUE]
  - □ NO [SKIP TO Q23]

19. Were any of these seminars about? [READ]	YES NO	20. IF YES IN Q19, ASK: Did the seminar influence you to make any lifestyle changes? YES NO	21. IF YES IN Q20, ASK: Specifically, what changes did you make?	22. IF NO IN Q20, ASK: Why not? What about the program or session didn't work for you?
Nutrition or preparing nutritious meals?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>Eating more healthy</li> <li>How to cook healthy meals</li> <li>Avoiding bad foods</li> <li>OTHER [SPECIFY]</li> </ul>	<ul> <li>Boring, not interesting</li> <li>Too long</li> <li>Too complicated</li> <li>Poor teacher</li> <li>Not practical, useful</li> <li>Foods I don't eat</li> <li>I already knew it</li> <li>OTHER [SPECIFY]</li> </ul>
Breastfeeding your baby?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>How to do it</li> <li>Dealing with problems</li> <li>Helping my baby to do it</li> <li>Getting my family to accept it/cooperate</li> <li>OTHER [SPECIFY]</li> </ul>	<ul> <li>Boring, not interesting</li> <li>Too long</li> <li>Too complicated</li> <li>Poor teacher</li> <li>Not "hands-on"</li> <li>I already knew it</li> <li>OTHER [SPECIFY]</li> </ul>
Disciplining your child?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>Better parenting</li> <li>Being more patient</li> <li>Learning what works</li> <li>OTHER [SPECIFY]</li> </ul>	<ul> <li>Boring, not interesting</li> <li>Too long</li> <li>Too complicated</li> <li>Poor teacher</li> <li>Not realistic</li> <li>I already knew it</li> <li>OTHER [SPECIFY]</li> </ul>

Educating your			
Educating your child?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>Better parenting</li> <li>Being more patient</li> <li>Learning what works</li> <li>Learning new techniques</li> <li>OTHER [SPECIFY]</li> <li>I already knew it</li> <li>OTHER [SPECIFY]</li> </ul>
Living a healthy lifestyle?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>Making changes (general)</li> <li>Stopping smoking</li> <li>Eating healthy</li> <li>OTHER [SPECIFY]</li> <li>Boring, not interesting</li> <li>Too long</li> <li>Too complicated</li> <li>Poor teacher</li> <li>I already knew it</li> <li>OTHER [SPECIFY]</li> </ul>
Smoking cessation?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>Stopped smoking</li> <li>Cut back smoking</li> <li>Trying to stop smok'g</li> <li>Reducing 2<sup>nd</sup> hand smoke for family</li> <li>OTHER [SPECIFY]</li> <li>Boring, not interesting</li> <li>Too long</li> <li>Too complicated</li> <li>Poor teacher</li> <li>I already knew it</li> <li>OTHER [SPECIFY]</li> </ul>
Accessing, or making use of, other social services?	$YES \longrightarrow NO$	YES $\longrightarrow$ NOT $\longrightarrow$ (To Q22)	<ul> <li>Learning what they are, what I/we qualify for</li> <li>Getting referrals</li> <li>Finding out where they're located</li> <li>Getting Food Stamps</li> <li>Getting Medicaid</li> <li>Getting TANF (housing assistance)</li> <li>OTHER [SPECIFY]</li> </ul>

23. I am going to read you a list of potential benefits of the WIC program. Please indicate how valuable they are to you by giving me a number from 0 to 5, with 5 meaning extremely valuable and 0 being not valuable to you at all. How important is [INSERT FROM BELOW]?

Extremely valuable

ble Not at all valuable 5-----0

### [ROTATE START POINT]

- a) Time to talk with other mothers
- b) Money saved on grocery bills
- c) Health information
- d) Nutrition information
- e) Checking blood, height and weight
- f) Advice from WIC staff
- g) Vouchers for foods I know are nutritious
- h) Helps me stay on time with shots for my child
- i) Taught me about breastfeeding
- j) Taught me about the foods babies need
- k) Taught me about the foods children need
- l) Taught me about the foods I need

24. How much one-on-one nutrition counseling have you received in person for this most recent pregnancy/baby? [IF R.=PREGNANT, READ: pregnancy. IF R.=BREASTFEEDING/POSTPARTUM, READ: child]. Would you say...? [READ UNTIL R. INDICATES ANSWER]

- □ None at all [VERIFY: "You received no counseling about nutrition and healthy eating at the clinic?" IF AFFIRMED, SKIP TO Q30]
- $\Box$  One session only
- $\Box$  2-3 sessions
- $\Box$  4-5 sessions
- $\Box$  6-7 sessions
- $\square$  8 or more sessions
- 25. Not counting the paperwork or other processing time, how much time would you say the actual counseling lasted, on average? [IF AN HOUR OR MORE, VERIFY, "Is this on *average*?"]

HOURS MINUTES

### 26. What topics do you remember talking about with the nutrition counselor? [DO NOT READ AT FIRST--PROBE AND CHECK **UNAIDED** RECALL] [THEN READ LIST TO CHECK **AIDED** RECALL]

		UNAIDED YES	AII YES	DED NO
a)	Healthy weight			
b)	Fruits and vegetables			
c)	Protein			
d)	Getting enough iron			
e)	Calcium for bone health			
f)	Vitamin C			
g)	Other vitamins and food supplements			
h)	Food safety			
i)	Physical activity			
j)	Eating/preparing healthy meals			
k)	Picky eaters			

### 27. Was the nutrition counseling useful to you?

- □ YES [CONTINUE]
- $\Box$  No [SKIP TO Q29]

# 28. Why? [DON'T READ LIST. CHECK ALL THAT APPLY.] [PROBE: ANYTHING ELSE?] [SKIP TO Q30 AFTER QUESTION.]

- □ LEARNED NEW THINGS
- $\hfill\square$  Counselor seemed to understand me/care about me
- $\hfill\square$  It motivated me to make changes/helped me set goals
- $\Box$  Helped me eat/be healthier
- □ OTHER: SPECIFY \_\_\_\_\_
- 29. Why not? [DON'T READ LIST. CHECK ALL THAT APPLY.] [PROBE: ANYTHING ELSE?]
  - □ BORING/NOTHING NEW LEARNED
  - □ REPETITIVE
  - □ LANGUAGE PROBLEMS
  - $\Box$  Too fast. Felt rushed
  - □ DISTRACTIONS (NOISE, PEOPLE, CONFUSION)
  - $\hfill \Box$  Counselor didn't understand/tailor to individual concerns
  - OTHER: SPECIFY \_\_\_\_\_

# **CURRENT SITUATION & BEHAVIORS**

SKIP TO Q32 IF R.=PREGNANT AND Q4= FIRST, ONLY CHILD

- 30. At the current time, what, if any, health insurance do you have for your child/ren? [IF R. SAYS SOMETHING LIKE "AETNA, BLUE CROSS/BLUE SHIELD, KAISER, OR UNITED HEALTHCARE", CLARIFY WHETHER IT IS PRIVATE INSURANCE THROUGH AN EMPLOYER OR NOT. IF MORE THAN ONE GIVEN, ASK FOR MAIN ONE.]
  - □ NONE
  - □ MEDICAID
  - □ STATE CHIP CHILDREN'S HEALTH INSURANCE PROGRAM
  - $\Box \quad \text{OTHER STATE PROGRAM}$
  - □ MILITARY/TRICARE
  - $\hfill\square$  Private insurance through an employer
  - □ PRIVATE INSURANCE <u>NOT</u> THROUGH AN EMPLOYER (I.E. THEIR OWN INSURANCE)
  - □ OTHER: PLEASE SPECIFY: \_\_\_\_\_
- 31. What, if any health insurance, do you have for yourself? [IF MORE THAN ONE GIVEN, ASK FOR MAIN ONE.]
  - □ NONE
  - □ MEDICAID
  - $\Box \quad \text{OTHER STATE PROGRAM}$
  - □ MILITARY/TRICARE
  - □ PRIVATE INSURANCE THROUGH MOTHER/SPOUSE'S EMPLOYER (E.G. MILITARY)
  - □ PRIVATE INSURANCE NOT THROUGH MOTHER/SPOUSE'S EMPLOYER
  - OTHER: PLEASE SPECIFY: \_\_\_\_\_\_

32. Are you, or members of your family, getting food through the... [READ LIST]?

		YES	NO
a)	Food Stamp program, also known as [INSERT		
	FROM P6c]?		
b)	Free or reduced price School Lunch or Breakfast program?		
c)	Summer Food Service program, for kids when not in school?		
d)	Food Distribution Program on Indian Reservations (FDPIR)?		
e)	Temporary Emergency Food Assistance program?		
ŕ	Child and Adult Care Food program, which provides free lunches for children at day care centers?		
g)	Local/community food bank or pantry?		
h)	Commodity Supplemental Food Program, which provides food packets that are distributed through State and local agencies? [IF Q32h=YES, SKIP TO Q33]		
	(22)		

- i) Have you ever participated in Commodity Supplemental Food Program in the past?
  - □ YES
  - $\Box$  No [SKIP TO Q33]
- j) How long ago did your participation in that program stop?

 YEARS AGO
 MONTHS AGO

- 33. Now thinking about how your family eats generally, which of the following statements best describes the food you had to eat in your household during the last 12 menths? Did your household \_\_\_\_\_\_ [PEAD LIST12 [CHECK ONE ONLY]]
  - 12 months? Did your household... [READ LIST]? [CHECK ONE ONLY]
  - □ Have enough to eat [SKIP TO Q35]
  - $\Box$  Sometimes do not have enough to eat, or
  - $\Box$  Often not have enough to eat

34A. Now I am going to read a series of statements that people sometimes make about food and meals. For each statement, tell me if the statement was often, sometimes or never true for you in the last 12 months. [REPEAT SCALE AS NECESSARY]

101 you in the last 12 months. [KEI EAT SCALE I	
1) We worried whether our food would run out	
before we got money to buy more.	□ OFTEN □ SOMETIMES □ NEVER TRUE
2) The food that we bought just didn't last and we didn't have money to get more.	□ OFTEN □ SOMETIMES □ NEVER TRUE
3) We couldn't afford to eat balanced meals.	$\Box$ Often $\Box$ Sometimes $\Box$ Never true
<ul> <li>IF R.=PREGNANT AND Q4=FIRST, ONLY CHILD, SKIP TO Q34b.</li> <li>4) We relied on only a few kinds of low-cost food to feed our children because we were running out of money to buy food.</li> </ul>	□ Often □ Sometimes □ Never true
5) We couldn't feed our children a balanced meal, because we couldn't afford that.	$\Box$ Often $\Box$ Sometimes $\Box$ Never true
<ul><li>6) The children were not eating enough because we just couldn't afford enough food.</li></ul>	□ OFTEN □ SOMETIMES □ NEVER TRUE
34B. In the last 12 months, did you or other adults household ever cut the size of your meals or meals because there wasn't enough money f	$\square$ skip $\square$ No [SKIP TO 034C]
<ol> <li>How often did this happen— almost every month, some months but not every month, or in only 1 or 2 months?</li> </ol>	<ul> <li>Almost every month</li> <li>Some months but not every month</li> <li>Only 1 or 2 months</li> </ul>
34C. In the last 12 months, did you ever eat less th felt you should because there wasn't enough for food?	
34D. In the last 12 months, were you ever hungry, didn't eat, because there wasn't enough mor food?	

34E. In the last 12 months, did you lose weight because there wasn't enough money for food?		□ YES □ NO	
34F. In the last 12 months, did you or other adults in your household ever not eat for a whole day because there wasn't enough money for food?		□ YES □ No [SKIP TO Q34H.]	
34G. How often did this happen— almost every month, some months but not every month, or in only 1 or 2 months?		ST EVERY MONTH MONTHS BUT NOT EVERY MONTH 1 OR 2 MONTHS	

SKIP TO Q42 IF R.=PREGNANT <u>AND</u> Q4=THIS IS FIRST,ONLY CHILD] [USE "child" INSTEAD OF CHILDREN IN Q35H-L IF R.=BREASTFEEDING/ POSTPARTUM <u>AND</u> Q4=FIRST, ONLY CHILD]			
34H. In the last 12 months, did you ever cut the size of any of the children's meals because there wasn't enough money for food?		□ YES □ NO	
34I. In the last 12 months, were the children ever hungry			
but you just couldn't afford more food?			
34J. In the last 12 months, did any of the children ever skip a meal because there wasn't enough money for food?		□ YES □ NO	
34K. How often did this happen— almost ALMOST EVE		ERY MONTH	
every month, some months but not every $\Box$ SOME MONT		THS BUT NOT EVERY MONTH	
month, or in only 1 or 2 months? $\Box$ ONLY 1 OR 2		2 months	
34L. In the last 12 months, did any of the children ever not eat for a whole day because there wasn't enough money for food?		□ YES □ NO	

IF R.=BREASTFEEDING/POSTPARTUM <u>AND</u> Q4=THIS IS FIRST,ONLY CHILD, THEN SKIP TO Q38.

35. You said you have [READ NUMBER FROM Q4] other children in addition to the baby [FOR PREGNANT ADD: that is coming]. Of these other children, how many were breastfed, even if only for a short time?

[RECORD NUMBER. NUMBER CAN NOT EXCEED NUMBER FROM Q4. IF Q35= 0, SKIP TO Q36d]

- 36. Did you breastfeed after the last baby before this one, even if only for a short time?
- □ YES [GO TO Q36a ]
- □ NO [GO TO Q36d) ]

a) For how long	b) Of that time	a) Why did you stop	d) Why did you not
a) For how long	b) Of that time, how much of that	c) Why did you stop	d) Why did you not
did you breast-		breastfeeding? [AFTER	breastfeed? [AFTER
feed that baby?	time was the baby	THIS QUESTION, SKIP	THIS QUESTION, SKIP
(Probe if needed)	exclusively	TO Q42 IF	TO Q42 IF
	breastfed, with no	R.=PREGNANT; OR TO	R.=PREGNANT]
	other food?	Q38 IF	
		R.=BREASTFEEDING	
<2 wks		OR POSTPARTUM	
[SKIP TO Q36d]		[DO NOT READ. CHECK ALL THAT APPLY]	[DO NOT READ. CHECK ALL THAT APPLY]
		HEALTH ITEMS	HEALTH ITEMS
NUMBER OF	NUMBER OF	1. Baby had difficulty	
WEEKS OR	WEEKS OR		, ,
MONTHS ("99" IF	MONTHS ("99" IF	nursing 2. Not producing enough	nursing 2. Not producing enough
DON'T KNOW)	DON'T KNOW)	breast milk	breast milk
		3. Baby not gaining	3. Baby not gaining
[1] WEEKS	[1] WEEKS	enough weight	enough weight
	[2] MONTHS	4. Nipples sore, cracked	4. Nipples sore, cracked or
[9] DOESN'T	[9] DOESN'T	or bleeding	bleeding
KNOW	KNOW	5. Mother or baby became	5. Mother or baby became
		sick	sick
		TIME/DUTY ITEMS	TIME/DUTY ITEMS
		6. Other children to take	6. Other children to take
		care of	care of
		7. Went back to work or	7. Went back to work or
		school	school
		8. Wanted my body back	8. Wanted my body back to
		to myself	myself
		9. Wanted/needed	9. Wanted/needed
		someone else to feed	someone else to feed
		the baby	the baby
		10. Too many household	10. Too many household
		duties	duties
		PREFERENCE ITEMS	PREFERENCE ITEMS
		11. Did not like	11. Did not like
		breastfeeding	breastfeeding
		12. Did not want to be tied	12. Did not want to be tied
		down	down
		13. Embarrassment	13. Embarrassment
		14. Husband/partner did not	14. Husband/partner did not
		want me to breastfeed	want me to breastfeed
		15. Felt it was the right time	15. Felt it was the right time
		to stop	to stop

### FOR BREASTFEEDING AND POSTPARTUM ONLY:

- 38. Now, do you or did you breastfeed your most recent baby, even if only for a short time?
- □ YES
- □ NO [GO TO Q39d ]

# 39. Is it still ongoing or did you stop breastfeeding? [DO NOT READ ANSWERS]

- □ ONGOING [SKIP TO 44]
- □ STOPPED

a) For how long	b) Of that time, how	c) Why did you stop	d) Why did you not
did the breast-	much of that time	breastfeeding? [AFTER	breastfeed?
feeding last in	was the baby	THIS QUESTION, SKIP	
total?	exclusively breastfed,	TO Q40]	
(Probe if needed)	with no other food?		
<2 wks		DO NOT READ. CHECK ALL	DO NOT READ. CHECK ALL
[SKIP TO Q39c]		THAT APPLY]	THAT APPLY]
		HEALTH ITEMS	HEALTH ITEMS
		1. Baby had difficulty	1. Baby had difficulty
NUMBER OF	NUMBER OF	nursing	nursing
WEEKS OR	WEEKS OR MONTHS	2. Not producing enough	2. Not producing enough
MONTHS ("99" IF	("99" IF DON'T KNOW)	breast milk	breast milk
DON'T KNOW)		3. Baby not gaining	3. Baby not gaining
	[1] WEEKS	enough weight	enough weight
[1] WEEKS	[2] MONTHS	4. Nipples sore, cracked or	4. Nipples sore, cracked or
[2] MONTHS	[9] DOESN'T	bleeding	bleeding
[9] DOESN'T	KNOW	5. Mother or baby became sick	5. Mother or baby became sick
KNOW		TIME/DUTY ITEMS	TIME/DUTY ITEMS
		6. Other children to take care	6. Other children to take care
		of 7 Want back to work or	of 7 Went back to work or
		<ol> <li>Went back to work or school</li> </ol>	<ol> <li>Went back to work or school</li> </ol>
		<ol> <li>Wanted my body back to myself</li> </ol>	<ol> <li>Wanted my body back to myself</li> </ol>
		9. Wanted/needed someone	9. Wanted/needed someone
		else to feed the baby	else to feed the baby
		10. Too many household duties	10. Too many household duties
		PREFERENCE ITEMS	PREFERENCE ITEMS
		11. Did not like breastfeeding	11. Did not like breastfeeding
		12. Did not want to be tied	12. Did not want to be tied down
		down	13. Embarrassment
		13. Embarrassment	14. Husband/partner did not
		14. Husband/partner did not	want me to breastfeed
		want me to breastfeed	15. Felt it was the right time to
		15. Felt it was the right time to	stop
		stop	

40. What, if anything, might have helped you to breastfeed? [AFTER QUESTION, SKIP TO Q44]

- 1. HELP BABY THAT HAD TROUBLE NURSING
- 2. SHOW ME WAYS TO MAKE IT HURT LESS
- 3. SHOW ME WAYS TO MAKE IT EASIER
- 4. SHOW ME HOW TO PUMP MILK
- 5. TALK TO UNSUPPORTIVE HUSBAND/PARTNER
- 6. TALK TO UNSUPPORTIVE MOTHER/GRANDMOTHER
- 7. TELL ME HOW TO WORK IT INTO MY SCHEDULE
- 8. NOTHING
- 9. OTHER [SPECIFY] \_\_\_\_\_
- 41. What one thing might have helped you breastfeed for a longer period of time? [AFTER QUESTION, SKIP TO Q44]
  - 1. HELP BABY THAT HAD TROUBLE NURSING
  - 2. SHOW ME WAYS TO MAKE IT HURT LESS
  - 3. SHOW ME WAYS TO MAKE IT EASIER
  - 4. SHOW ME HOW TO PUMP MILK
  - 5. TALK TO UNSUPPORTIVE HUSBAND/PARTNER
  - 6. TALK TO UNSUPPORTIVE MOTHER/GRANDMOTHER
  - 7. TELL ME HOW TO WORK IT INTO MY SCHEDULE
  - 8. NOTHING
  - 9. OTHER [SPECIFY] \_\_\_\_\_

### FOR PREGNANT ONLY:

- 42. With your upcoming baby, are you planning to breastfeed?
  - □ YES [CONTINUE]
  - $\Box$  No [SKIP TO Q44]
- 43. For how many months in total from the baby's birth, are you planning to breastfeed? \_\_\_\_\_\_MONTHS ("99" IF DOESN'T KNOW)

FOR EVERYONE:

- 44. What, if any, **advantages** do you see of breastfeeding? [UNAIDED AWARENESS. DO NOT READ. CHECK ALL THAT APPLY] [PROBE: ANYTHING ELSE?]
  - □ BETTER/HEALTHIER BABY
  - □ MOTHER-BABY BONDING, CLOSENESS
  - □ BREASTFEEDING ENJOYABLE
  - □ EASIER, MORE CONVENIENT
  - □ CHEAPER/PROVIDED FOR FREE
  - $\hfill \hfill \hfill$
  - OTHER: SPECIFY\_\_\_\_\_
- 45. What, if any, **disadvantages** do you see of breastfeeding? [UNAIDED AWARENESS. DO NOT READ. CHECK ALL THAT APPLY] [PROBE: ANYTHING ELSE?]
  - $\hfill\square$  Not enough breast milk to satisfy baby
  - $\hfill\square$  Hard to do when one is going back to work or school
  - □ PAIN OR DISCOMFORT
  - $\hfill\square$  No one else can feed the baby
  - $\Box$  Too time-consuming
  - $\hfill\square$  Too much work compared to formula
  - $\hfill\square$  More expensive compared to formula
  - $\hfill\square$  Friends/family are  $\underline{\text{not}}$  familiar with it cannot help me
  - OTHER: SPECIFY \_\_\_\_\_

# **FRIENDS**

- 46. Do you have friends who you think are eligible for WIC but who haven't applied for WIC benefits?
  - □ YES
  - 🗌 No
- 47. Do you know anyone who was in WIC but dropped out before their certification period was over?
  - □ YES
  - □ No

- 48. What, do you think, are the main reasons that people don't participate in WIC? PROBE: Anything else? [DO NOT READ. CODE UP TO THREE REPLIES.]
  - $\hfill\square$  Lack of transportation to clinic, transportation difficulties
  - $\Box$  They don't know that WIC exists
  - □ INCONVENIENT HOURS/DAYS CLINIC OPEN
  - $\hfill\square$  Services (including waiting time) take too much time
  - $\hfill\square$  Waiting space at clinic is limited
  - $\Box$  Lack of child care
  - □ LANGUAGE BARRIERS
  - □ PROBLEMS QUALIFYING FOR BENEFITS
  - □ DIFFICULTIES KEEPING APPOINTMENT TIMES
  - □ WIC FOOD SELECTION NOT DESIRABLE
  - □ WIC FOOD STORES NOT CONVENIENT (HOURS OR LOCATION)
  - □ WIC FOOD HARD TO FIND ON SHELVES (BRANDS, QUANTITIES)
  - □ IMMIGRATION CONCERNS
  - □ DIDN'T NEED FOOD BENEFIT
  - OTHER: PLEASE SPECIFY\_\_\_\_\_

### **DEMOGRAPHICS**

We're almost done with this survey. I'd like to ask a few questions for classification purposes only.

### SKIP IF RECORDED IN PART 1: P2h

49. Are you ... [READ]

- □ Hispanic or Latino?
- □ Not Hispanic or Latino?
- □ REFUSED

### SKIP IF RECORDED IN PART 1: P2i

- 50. How would you characterize yourself in terms of race? [READ ALL. CHECK AS MANY AS APPLY]
  - □ American Indian or Alaska Native
  - Asian American
  - □ Black or African American
  - □ Native Hawaiian or Other Pacific Islander
  - □ White
  - □ Multiracial (Two or more of the above)
  - □ REFUSED

- □ Refused
- □ Elementary school (6 years or less of education)
- □ Some high school (7 11 years of education)
- $\hfill\square$  High school diploma or GED
- $\Box$  Some college
- □ Associate's degree
- □ Bachelor's degree
- Advanced degree

52. What is your first language, that is, the language you speak at home?

□ Hmong

☐ Khmer

□ Korean

□ Laotian

Punjabi

- English
  - 1
- ArabicCambodian
- Cantonese/ Mandarin
- □ Farsi
- French/Creole
- RussianSomali

- □ Spanish
- 🗌 Swahili
- 🗌 Tamil
- 🗌 Urdu
- □ Vietnamese
- □ Other: SPECIFY

FulaniHindi

# IF R. HAS NOT BEEN CHOSEN FOR IN-HOME AUDIT, READ:

Thank you so much for your help in answering this survey. Your feedback, combined with other anonymous responses, will help improve the WIC program. Thanks again. Have a great day/evening.

# PART 3: TELEPHONE SCREENER FOR IN-HOME AUDIT (Version A)

### IF R. HAS BEEN CHOSEN FOR IN-HOME AUDIT, READ:

Thank you so much for your help in answering this survey. Your feedback, combined with other confidential responses, will really help improve the WIC program.

### SCREENER

SA. Our contract with USDA's WIC asks us to randomly select **half** of the people who complete the telephone survey to see if we can ask you some additional questions at your home. This would take 30 minutes or less and you would receive \$20 for your time. Again, your answers will be confidential and not have any effect on benefits, either good or bad. The purpose of the in-home interview would be to ask about who makes up your family group and to understand more about your family's income and expenses -- as a way of better understanding people's needs...

Is there a time you would be available? For example, would you be free at... [INTERVIEWER SET TIME/DATE]?

TIME: \_\_\_\_\_AM/PM DATE: \_\_\_\_\_

- YES [SKIP TO SD]
- NO, NOT FREE AT THAT TIME [SKIP TO SC]
- NO, REFUSAL [CONTINUE]
- SB. Can I ask why you don't want to participate? While the in-home interview is voluntary, it only takes a bit of your time and is a great way to make \$20, paid in cash, Again, your responses will be anonymous and will not affect your WIC benefits in any way. We can set a time that works with your schedule.

SC. When would be a good time for you?

TIME: \_\_\_\_\_AM/PM DATE: \_\_\_\_\_ [IF REFUSAL, ENTER "0" FOR TIME AND DATE]

- LET R. SUGGEST TIME/DATE AND NEGOTIATE AS INTERVIEWER'S SCHEDULE PERMITS. THEN SKIP TO SD
- IF R. STILL REFUSES, ASK THE FOLLOWING QUESTION: I'm sorry you don't wish to participate in the study. For statistical purposes only, I need to know if you still live at [READ ADDRESS FROM P1], or if you have moved?
  - □ NOT MOVED [THANK & TERMINATE]
  - □ MOVED [ASK: Do you now live in another state?]
    - a. YES [THANK & TERMINATE]
    - b. NO [CONTINUE]
- When you changed addresses, did you also have to use a new WIC agency or could you use the same one as before?
  - a. NEW AGENCY [THANK & TERMINATE]
  - b. SAME AGENCY AS BEFORE [THANK & TERMINATE]
- SD. Great. Let me just confirm your address and telephone number(s).
  - READ ADDRESS & TELPHONE NUMBER ON FILE. IF ADDRESS IS CORRECT MOVE TO SE. IF DIFFERENT SAY:

### IS THIS INFORMATION CORRECT?

- $\Box$  YES [IF YES, SKIP TO SE]
- □ NO
- 1) I see that you've moved. When you moved, did you [READ]:
  - □ Move within the same area so that you could use the same WIC agency or
  - $\Box$  Move to a different area with a new WIC agency?
- SE. ASK: Is there a second telephone number where you can also be reached?
  - YES [PHONE NUMBER: \_\_\_\_\_]
  - □ NO

### IF YES, RECORD IT.

SF. TELL RESPONDENT YOU WILL CONFIRM THE APPOINTMENT A DAY OR TWO AHEAD OF TIME AND MAKE SURE THEY ARE IN ACCORD.

# IN-HOME AUDIT [INTERVIEWER WILL CARRY MACRO IDENTIFICATION]

Public reporting burden for this collection of information is estimated to average 24 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis, Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

 Hi. Thanks for agreeing to do the second part of our survey. Your answers are completely confidential and, as I mentioned when we set this up, nothing you say will have any bearing on your benefits. The WIC program is just trying to get a better idea of who participates in the program and their circumstances. At the end, I will be giving you \$20 in appreciation of your time.

### **IDENTITY AND RESIDENCY**

 The first thing we need is some identification—silly as it may seem—and proof that you live here. [IF R. HAS TROUBLE WITH THIS REQUEST, READ OFF SOME OF THE ACCEPTABLE TYPES OF ID AND RESIDENCY PROOF FROM LIST.]

Identification proofs [CHECK AT LEAST ONE]	Residency proofs [CHECK AT LEAST ONE]
□ State-issued license or ID	□ State-issued license or ID w/address
U.S. passport w/photo	□ State/federal correspondence w/address
□ Foreign passport w/photo	□ WIC folder
□ WIC folder	Checkbook w/address
□ W-2 form or Tax bill w/name	□ Rent or mortgage receipt, lease w/address
Birth certificate	Utility or tax bill w/address
□ Social Services letter w/ name	Documents from public school w/address
Social Security or Green card	□ Written statement from reliable third party
Hospital or immunization record	(e.g. non-profit aid organization)
Other: SPECIFY	Other: SPECIFY

### PRIMARY FAMILY/ECONOMIC UNIT

3. Let's begin by having you tell me the names of all the persons who live or stay with you whether they are related to you or not. I will type in the names so I can follow up with some questions. [PROBE: ANYONE ELSE?]

RECORD ALL NAMES IN LIST FORM.

1)		
2)		
3)		
4)	ETC.	

# VERSION A: PREGNANT, BREASTFEEDING OR POSTPARTUM 2-20-09

AFTER ALL PERSONS ARE LISTED, ASK FOLLOWING QUESTIONS FOR EACH PERSON:				
4. What is their relationship to [SAY You OR NAME	1. Spouse	11. Uncle/aunt		
OF SAMPLED PARTICIPANT IF DIFFERENT]?	2. Partner	12. Cousin		
	3. Child	13. Nephew/niece		
	4. Step-child	14. Parent-in-law		
	5. Foster child	15. Brother-in-law/sister-in-law		
	6. Parent/ Guardian	16. Other relative		
	7. Step-parent	17. Other non-relative		
	8. Foster parent	18. Child in Temporary Care		
	9. Brother/Sister	of Friends/Relatives		
	10. Grandparent			
5. Is this individual male or female?		□1-Male		
		□2-Female		
6. How old is this person?		YEARS		
7. FOR ANY CHILD LESS THAN 5 YEARS OR AN	Y WOMAN GREATER	□ 1-Yes		
THAN 14 YEARS ASK: Is this person receiving	WIC now?	□ 2-No		
8. OTHERWISE, IF Q6≥15, ASK: Do you conside				
of your family group that is, you are sharing in				
you were a family OR do you feel that you eac	h keep your income and	1-Share like family		
expenses and food separately?	□ 2-Separate finances			
IF Q6<15, ASK: Do you consider [READ NAME				
group that is, you are responsible for taking ca				
all in the same family?	-			
9. PROGRAM WILL CALCULATE NUMBER OF PI	EOPLE IN PRIMARY	□NUMBER OF PEOPLE		
ECONOMIC UNIT	IN PRIMARY			
[Q4=1,2,3, 4 OR 5] or [Q8=1] and [Q6≥15]	ECONOMIC UNIT			
10. COMPUTER WILL COMPARE THE NUMBER C	F PEOPLE IN PRIMARY E	CONOMIC UNIT (Q9) WITH #		
OF HOUSEHOLD MEMBERS IN WIC RECORD	S (P5-TOTAL IN PRIMARY	ECONOMIC UNIT).		
IF Q9=P5, SKIP TO Q11				
IF Q9 <p5, bac<="" records="" say:="" show="" td="" that="" the="" wic=""><td>k in [INSERT MONTH/DATE</td><td>E OF CERTIFICATION]you</td></p5,>	k in [INSERT MONTH/DATE	E OF CERTIFICATION]you		
had [INSERT #] adults and [INSERT #] child	ren in this household, which	is more than we listed today.		
Have we left someone off the list? Or perhaps there is someone on our list today who should be				
counted as part of your main family unit but was not? [PROBE & ADD NEW NAMES OR				
INFORMATION IN Q2-9 AS APPROPRIATE]				
IF Q9>P5, SAY: The WIC records show that back in [INSERT MONTH/DATE OF CERTIFICATION] you				
had [INSERT #] adults and [INSERT #] children in this household, which is fewer than we have listed				
here. Can I verify that everyone on our list here IS part of your main family unit? [PROBE & DELETE				
NAMES OR INFORMATION IN Q2-9 AS APPROPRIATE]				
NOTE: IF RESPONDENT HAS DIFFICULTY RECALLING TIME PERIOD USE NARRATIVE APPROACH				
IN WHICH A TIME IS LINKED TO A SALIENT EVENT] FROM PRIMARY ECONOMIC UNIT LIST, COMPUTER WILL GENERATE A LIST OF "POTENTIAL				
WAGE EARNERS" – DEFINED AS ALL THE ADULTS AND ALL CHILDREN > 15 YEARS				
WAGE LANNENS - DEFINED AS ALL THE AD	OLIS AND ALL CHILDREN			

### ADJUNCTIVE OR AUTOMATIC ELIGIBILITY

- 11. [SKIP TO Q12 IF P3c=NO (i.e., no adjunctive eligibility)] The WIC records show that you qualified for WIC because you, or a member of your family, participate in the [FILL IN FROM P4] program. Can you quickly show me a document that demonstrates your or their participation in that program such as the certification card, the award letter you got, or an active program voucher? [IF NO, PROBE: Do you have anything at all? AND EVALUATE WHAT THEY COME UP WITH. MARK YES OR NO BOX BELOW AND CONTINUE]
  - □ YES, PROOF SHOWN ENTER :

a) NAME OF PROGRAM RECIPIENT ON PROOF SHOWN. [MAKE SURE IT MATCHES SOMEONE IN THE PRIMARY ECONOMIC UNIT; OTHERWISE ASK FOR ANOTHER DOCUMENT/CARD AS PROOF]	
b) DATE OF DOCUMENT/CARD ISSUANCE (MM/DD/YYYY)	[TYPE IN] 99 NO DATE [PROBE: Do you have anything that shows the dates for your participation in the program? IF NO ASK FOR DATE OF FIRST ELIGIBILITY.]
c) DATE OF DOCUMENT/CARD OR ELIGIBILITY EXPIRATION (MM/DD/YYYY)	[TYPE IN] 99 NO DATE [PROBE: Do you have anything that shows the expiration date?]
d) NAME OF ISSUING AGENCY	[TYPE IN] 99 NOT EVIDENT [PROBE: Do you have anything that shows the agency name?]
e) NUMBER ON DOCUMENT/CARD	[TYPE IN] 99 NO NUMBER
f) DOCUMENT/CARD SHOWN	<ul> <li>Certification card [SKIP TO Q13]</li> <li>Award letter [SKIP TO Q13]</li> <li>Active program voucher [SKIP TO Q13]</li> <li>Food Stamp EBT card [SKIP TO Q13]</li> <li>Other [IF ANY DOUBTS ABOUT VALIDITY, HAND R. MACRO DISCLOSURE FORM TO FILL OUT AND SIGN. REFER TO PRECODED QUESTION P4 AND USE R-7 FOR TANF; R-1 FOR FOOD STAMPS/ MEDICARE]</li> </ul>

- NO, PROOF NOT SHOWN OR WRONG PROOF SHOWN. HAND R. MACRO DISCLOSURE FORM AND HAVE THEM FILL IT OUT AND SIGN IT. FORMS TO USE ARE LISTED. [CONTINUE TO Q12]
  - R-7 TANF [NOTE: R- # FORMS ARE MACRO'S INFO RELEASE FORMS]
  - R-1 FOOD STAMPS, MEDICAID, CHILDREN'S MEDICAID OR CHIP

### INCOME ELIGIBILITY

12. Now I am going to ask you about the income earned by you and other primary members of this household. WIC is interested in the accuracy of their data records in this area. The information you share with me will be confidential and will be combined with that from other people, so WIC won't know your or anybody else's personal information.

So let's start with [READ NAME OFF LIST OF PRIMARY ECONOMIC UNIT. RESPONDENT SHOULD BE FIRST ON LIST.]

[NOTE: IF RESPONDENT HAS DIFFICULTY RECALLING TIME PERIOD USE NARRATIVE APPROACH IN WHICH A TIME IS LINKED TO A SALIENT EVENT]

<ul> <li>a) Thinking back to [INSERT MOST RECENT CERTIFICATION MONTH/YEAR], did [INSERT you/NAME] receive any income from [READ FROM BELOW]?</li> <li>CHECK ONLY IF YES</li> </ul>	<ul> <li>b) FOR EACH ITEM CHECKED IN a), ASK: How much did [INSERT you/NAME] earn?</li> </ul>		c) Can you show me some evidence of that income such as [READ FROM LIST ACCOMPANYING EACH ITEM]
□ Wages, salary, fees (excluding military pay) YES → NO	\$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Pay stub/earnings statement</li> <li>W-2 form</li> <li>2007 IRS tax return</li> <li>Other:</li> <li>None: GIVE FORM R-3, WHICH WILL COLLECT INFO ON:</li> <li>POSITON HELD</li> <li>FIELD OF WORK</li> <li>HOURS/WEEK</li> <li>-ZIP CODE</li> <li>FOR USE IN DETERMINING AVERAGE WAGE IN AREA</li> </ul>
$ \begin{array}{c} \square & \text{Military pay} \\ \text{YES} \longrightarrow \\ \text{NO} \\ \downarrow \end{array} $	\$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Leave and earnings statement</li> <li>Other:</li> <li>None: GIVE FORM R-5</li> </ul>

$ \begin{array}{c} \square & \text{Tips and bonuses} \\ \text{YES} & \longrightarrow \\ \text{NO} \\ \downarrow \end{array} $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>W-2 form</li> <li>Other:</li> <li>None</li> </ul>
<ul> <li>□ Net income from self employment (from farm and non-farm business)</li> <li>YES → NO</li> <li>↓</li> </ul>	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Business records</li> <li>Expense receipts</li> <li>Other:</li> <li>None</li> </ul>
$ \begin{array}{c} \square & \text{Unemployment compensation} \\ \text{YES} \longrightarrow \\ \text{NO} \\ \downarrow \\ \end{array} $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Copy of check, check stub</li> <li>Letter of determination</li> <li>Other:</li> <li>None</li> </ul>
$ \square  \text{Workers compensation} \\ \text{YES} \longrightarrow \\ \text{NO} \\ \downarrow $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Copy of check, check stub</li> <li>Award statement</li> <li>Statement from insurance company</li> <li>Other:</li> <li>None</li> </ul>
	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Copy of check</li> <li>Support agreement</li> <li>Divorce/separation decree</li> <li>Court order</li> <li>Other:</li> <li>None: GIVE FORM R-6</li> </ul>
$ \begin{array}{c} \square  \text{Alimony} \\ \text{YES} \longrightarrow \\ \text{NO} \\ \downarrow \end{array} $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Copy of check</li> <li>Support agreement</li> <li>Divorce/separation decree</li> <li>Court order</li> <li>Other:</li> <li>None: GIVE FORM R-6</li> </ul>

$ \bigcirc Social Security \\ YES \longrightarrow \\ NO \\ \downarrow \\ \downarrow \\ \bigcirc SOL  D  i $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>SSA Award letter</li> <li>Statement of benefits</li> <li>2007 IRS tax return (line 14a on 1040A)</li> <li>Other:</li> <li>None</li> </ul>
$ SSI - Fed government YES \longrightarrow NO                                  $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Notice of benefits</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None</li> </ul>
$ \begin{array}{c} \square  SSI \longrightarrow \\ YES \longrightarrow \\ NO \\ \downarrow \end{array} $	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Notice of benefits</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None</li> </ul>
<ul> <li>Any private or public pension, annuity or survivor's benefits</li> <li>YES →</li> <li>NO</li> <li>Image: Provide the second second</li></ul>	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Notice of benefits</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None: GIVE FORM R-1</li> </ul>
<ul> <li>☐ Medical assistance (any)</li> <li>YES →</li> <li>NO</li> <li>↓</li> </ul>	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Notice of benefits</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None: GIVE FORM R-1</li> </ul>
□ Veteran's payments YES → NO	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Notice of benefits</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None: GIVE FORM R-1</li> </ul>

# VERSION A: PREGNANT, BREASTFEEDING OR POSTPARTUM 2-20-09

<ul> <li>Other cash income</li> <li>YES →</li> <li>NO</li> <li>Energy assistance</li> <li>YES →</li> <li>NO</li> <li>↓</li> </ul>	\$ \$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Benefits statement</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None: GIVE FORM R-1</li> <li>Notice of benefits</li> <li>Other:</li> <li>None: GIVE FORM R-1</li> </ul>
$ \begin{array}{c c} & \text{Net rental income} \\ \text{YES} & \longrightarrow \\ & \text{NO} \\ & \downarrow \end{array} $	\$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Business records</li> <li>Expense receipts</li> <li>Other:</li> <li>None</li> </ul>
$ \begin{array}{c} \square \text{ Income from trusts} \\ \text{YES} \longrightarrow \\ \text{NO} \\ \downarrow \\ \downarrow \end{array} $	\$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Business records</li> <li>Expense receipts</li> <li>Other:</li> <li>None</li> </ul>
$ \begin{array}{c} \square  \text{Commissions} \\ \text{YES} \longrightarrow \\ \text{NO} \\ \downarrow \end{array} $	\$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Business records</li> <li>Expense receipts</li> <li>Other:</li> <li>None</li> </ul>
□ Income from estates YES → NO	\$	<ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Business records</li> <li>Expense receipts</li> <li>Other:</li> <li>None</li> </ul>

VERSION A: PREGNANT	BREASTFEEDING OF	R POSTPARTUM	2-20-09
---------------------	------------------	--------------	---------

□ Net royalties YES → NO	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Business records</li> <li>Expense receipts</li> <li>Other:</li> <li>None</li> </ul>
$\square \text{ Interest or dividends} \\ YES \longrightarrow \\ NO \\ \downarrow$	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Earnings statement</li> <li>Copy of check, check stub</li> <li>Other:</li> <li>None</li> </ul>
<ul> <li>Regular contributions from persons not in household</li> <li>YES&gt;</li> <li>NO</li> <li>Image: Provide the second second</li></ul>	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>Copy of check, check stub</li> <li>Letter of intent</li> <li>Other:</li> <li>None: GIVE FORM R-15</li> </ul>
$\Box  \text{Other: SPECIFY}$ $YES \longrightarrow$ NO $\downarrow$	\$ <ul> <li>Per week</li> <li>Per 2 weeks</li> <li>2 Times a month</li> <li>Month</li> <li>Quarter</li> <li>Year</li> <li>Other:</li> </ul>	<ul> <li>2007 IRS tax return</li> <li>Benefits statement</li> <li>Other:</li> <li>None</li> </ul>

REPEAT INCOME QUESTIONS (Q12) FOR EVERY ADULT MEMBER OF PRIMARY ECONOMIC UNIT.

### CLOSING

13. READ: This completes our survey. It was great talking to you. Thank you so much for helping us out. Here is \$20 in appreciation for your time. [FILL OUT RECEIPT FOR INCENTIVE AND GET SIGNATURE.]

Do you have any questions before I leave?

Have a great day/evening.