APPENDIX D

DATA COLLECTION INSTRUMENT FOR LOCAL WIC AGENCIES

Public reporting burden for this collection of information is estimated to average 40 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis, Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

Local WIC Agency Survey

Public reporting burden for this collection of information is estimated to average 40 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis, Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

Thank you for participating in the FNS' second National Survey of WIC Participants, administered by Macro International. Please refer to the accompanying cover letter for full details of the research effort. If you have any questions, please contact Walter Rives at 301-572-0551 or email <u>Walter.Rives@macrointernational.com</u>.

This survey—along with surveys at the State and participant levels—is designed to provide FNS with additional information on policies and program operations, above and beyond that which is available from existing program sources. For your convenience, the survey is organized by topic.

SCREENER

S1. Does this local agency conduct certifications and recertifications of WIC applicants, or does it serve as a purely administrative office, overseeing these functions at the clinic level?

□ Agency to which this survey was addressed does certifications and recertifications
 → CONTINUE TO SURVEY

 \Box Agency serves as a purely administrative office \rightarrow

 \Box Not sure ------

PLEASE CONTACT WALTER RIVES AT MACRO INTERNATIONAL TO CLARIFY IF YOU SHOULD FILL OUT THIS SURVEY.

PHONE: 301-572-0551

EMAIL : Walter.Rives@

macrointernational.com

Until directed otherwise, please answer all the questions as they apply to just this location of your local agency or clinic.

CHARACTERISTICS OF LOCAL WIC AGENCY

- 1. Which description most closely fits the structure in which your local agency or clinic is located? (CHECK ONE)
 - ☐ Health department or medical clinic
 - \Box Social services office or agency
 - □ Full service hospital
 - □ School
 - \Box Site of non-profit organization
 - \Box Site of religious group
 - Other: PLEASE SPECIFY _____
- 2. How many rooms does the WIC program use, excluding such things as hallways, bathrooms, kitchen, and storage closets? Please select the total for each type of room.
 - \Box Large waiting rooms/reception areas (greater than 15x15 feet)
 - \Box Small waiting rooms/reception areas (15x15 feet or smaller)
 - \Box Rooms, offices or cubicles where clients are seen
 - □ Large training/conference/multipurpose rooms
 - □ Small training/conference/multipurpose rooms
 - Administrative offices (no clients seen)
 - Administrative cubicles (no clients seen)
 - Other: PLEASE SPECIFY

3.	How many days a week, on average is the agency open to clients/applicants?	DAYS
4.	How many hours per week, on average, is the WIC agency open?	HOURS
5.	How many of the hours are "extended hours," meaning they take place before 9 AM and after 5 PM?	HOURS
6.	Approximately how many clients are served at the agency per month ?	CLIENTS/MONTH
7.	Of these, approximately what percentage are certifications or recertifications?	%

 What types of public transportation are within a 10 minute walk (1/2 mile) of th agency? (CHECK ALL THAT APPLY) 	 Bus Light rail/subway/commuter train Other None
 9. What is the most-frequent means of transport used by WIC applicants and participants to get to your agency? 	Private car Taxi Bus Light rail/subway/commuter train On foot Other
10. What is the second most-used means of transport used by WIC applicants and participants to get to your agency?	 Private car Taxi Bus Light rail/subway/commuter train On foot Other
 11. How would you rate the physical security of your local agency's location? 	Very safe (No incidents) Safe (Occasional minor incidents) Unsafe (Occasional major incidents or frequent minor incidents) Very unsafe (Frequent major incidents)

12. Does the agency have on-site the necessary technology, equipment, supplies, etc. to do the following tasks?

a) Enter/access client certification information via a	□ Yes □ No □ Don't Know
computer?	
i. Is this computer networked to other	\Box Yes \Box No \Box Don't Know
computers in the office (i.e. a shared	
drive)?	🗆 Yes 🛛 No 🖓 Don't Know
ii. Is this computer networked to other	
agencies, clinics or the State WIC office?	
b) Have internet access?	□ Yes □ No □ Don't Know
c) Perform hematological tests?	□ Yes □ No □ Don't Know
d) Take anthropometric measurements for weight, BMI (body mass) and height?	🗆 Yes 🛛 No 🖓 Don't Know

13. For each of the following services, please indicate if your local agency is able to offer the service, provide information and/or make referrals in the following areas. "Ability to make a referral" means that your local agency's involvement is required to obtain a particular service whereas "ability to provide information" means that you have only given client the information about the problem and possibly places to go for help. (CHECK ALL THAT APPLY)

	Offered by WIC Agency/Clinic	Able to provide information	Ability to make a referral	Neither
Maternal health care				
Prenatal health care				
Children's health care				
Prevention (e.g., immunizations) and Screenings (e.g. vision or Early & Periodic Screening)				
Breastfeeding support				
Dietitian/nutrition services				
Mental health services				
STD (sexually transmitted diseases)				
Dental				
Family planning				
Child care/education (e.g., Healthy Start, Head Start)				
Parenting support				
Employment/life skills training				
Other public assistance				
Environmental health/screening				
Substance abuse counseling/treatment				
Smoking cessation				
Violence Protection/Prevention (women)				
Violence Protection/Prevention (children)				
OTHER: SPECIFY				

FOR ALL ITEMS WHERE REFERRALS ARE CHECKED IN Q13, ASK:

- 13A. In Q13, you indicated that you give referrals for certain services. For *just those* services where referrals are given, please mark which type of referral is given
 - **a.** WIC client gets referral sheet to take to other organization
 - **b.** Organization is given name of WIC client to contact (with client's knowledge)
 - **c.** Organization is notified of WIC client situation (without client's knowledge e.g., protective services as permitted by law)
 - d. Other

	For all services in Q13 where referrals are given out, check all that apply. (If no referrals given, leave blank.)			
	a. Referral sheet	b. Org'l name is given out	c. Org. is notified	d. Other
Maternal health care				
Prenatal health care				
Children's health care Prevention (e.g., immunizations) and Screenings (e.g. vision or Early & Periodic Screening)				
Breastfeeding support				
Dietitian/nutrition services				
Mental health services				
STD (sexually transmitted diseases)				
Dental				
Family planning				
Child care/education (e.g., Healthy Start, Head Start)				
Parenting support				
Employment/life skills training				
Other public assistance				
Environmental health/screening				
Substance abuse counseling/treatment				
Smoking cessation				
Violence Protection/Prevention (women)				
Violence Protection/Prevention (children)				
OTHER:				

AGENCY PROCEDURES

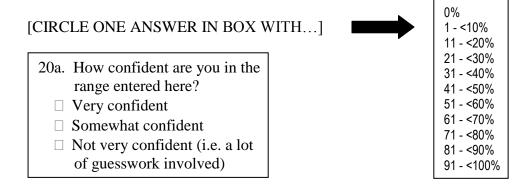
- 14. What types of identification does the agency use to verify the residency of a WIC applicant? (CHECK ALL THAT APPLY)
 - Drivers license
 - \Box Current utility/tax bill with address on it
 - □ Written statement from reliable third party
 - Checkbook
 - \Box Rent receipt, mortgage receipt or lease
 - Other: PLEASE SPECIFY
 - Other: PLEASE SPECIFY
 - Other: PLEASE SPECIFY _____
 - Other: PLEASE SPECIFY ______
- 15. Does the agency keep a copy of documents proving adjunctive or automatic eligibility for applicants?
 - \Box Yes, physical copy
 - \Box Yes, electronic copy (scanned document)
 - 🗌 No
- 16. When does the start-date for a certification occur? (CHECK ONE BEST ANSWER)
 - \Box When the WIC applicant first comes into the clinic
 - \Box When the WIC application is filled out
 - \Box When the WIC application is filled out and all supporting information provided
- 17. What discretion, if any, does the state use or grant to local agencies regarding certification periods?
 - \Box No additional discretion is given
 - □ The following discretion is given: (PROVIDE SUPPORTING POLICY STATEMENTS AS APPROPRIATE)

18. Do certifications have to take place in person?

- \Box Yes [SKIP TO Q20]
- 🗌 No

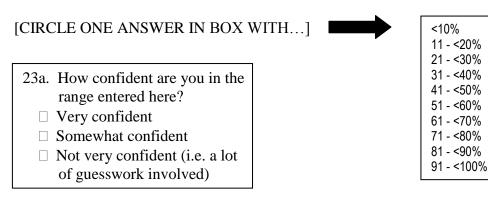
19. If no, by what other means can WIC applicants be certified? (CHECK ALL THAT APPLY)

- □ Phone
- 🗌 Mail
- **Fax**
- Other: PLEASE SPECIFY _____
- 20. Approximately what percentage of **WIC applicants** are given temporary certification, that is, 30 days of food instruments while the validity of their application for WIC certification is being established?

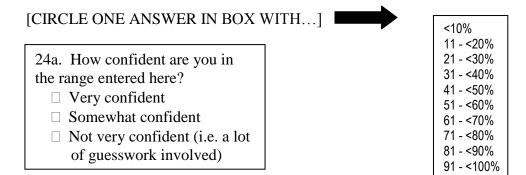


- 21. Which of the following actions are designated proxies allowed to do on behalf of the WIC participants they represent?
 - \Box Get certification for the WIC applicant
 - \Box Pick up food instruments
 - \Box Attend educational sessions
 - □ Other: PLEASE SPECIFY _____
 - □ Not Applicable. State does not allow proxies
- 22. What controls are in place to ensure that a WIC applicant is not already participating in WIC at a different location? (CHECK ALL THAT APPLY)
 - □ Applicant must show identification
 - □ Applicant must submit proof of current residence
 - □ Computer checks system based on applicant name
 - □ Computer checks system based on Social Security number
 - □ Other procedure: PLEASE DESCRIBE _____

23. Of applicants new to WIC, what percentage is denied certification?



24. Of WIC participants seeking recertification, what percentage is denied certification?



25. Please specify the percentage of denials that are attributable to the following eligibility problems. It is possible the percentages may sum to more than 100% as applicants may be denied that for more than one reason.

Insufficient identification	%
Income ineligibility	%
Nutritional ineligibility	%
Residency ineligibility	%
Category ineligibility (i.e. not pregnant, child over 5 years, etc.)	%
Other: PLEASE SPECIFY	

__%

- 25a. How confident are you in the percentages entered here?
 - □ Very confident
 - \Box Somewhat confident
 - □ Not very confident (i.e. a lot of guesswork involved)

26. Does the agency keep information on denied applicants?

- **Yes**
- □ No [SKIP TO Q28]

27. What information on Denied Applicants do you retain and how is it retained?

a. Information Retained (CHECK ALL THAT APPLY)	b. How Retained (CHECK ALL THAT APPLY)	<u>c. Where Retained</u> (CHECK ALL THAT APPLY)
□ Name of applicant	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics
□ Address	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics
Phone number	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics
□ WIC applicant category	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics
□ Reason for denial	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics
Date of application	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics
Date of denial	 Paper copy only Electronic copy only Both paper and electronic 	 WIC State Agency Your Local Agency Sites/Clinics

28. Does the agency send an official letter of denial to applicants who are denied eligibility for WIC?

- ☐ Yes
- 🗌 No

- 29. Can an applicant be screened and denied eligibility by telephone?
 - ☐ Yes
 - □ No [SKIP TO Q31]
- 30. For which reasons can an applicant be screened and denied eligibility by telephone? (CHECK ALL THAT APPLY)
 - □ Insufficient identification
 - □ Income eligibility
 - □ Nutritional eligibility
 - □ Residency eligibility
 - □ Category eligibility
 - Other: PLEASE SPECIFY:_____

NUTRITION SERVICES

- 31. What nutrition services are offered by your local agency? (CHECK ALL THAT APPLY)
 - \Box One-on-one counseling
 - \Box Group educational sessions
 - \Box Internet-based nutrition education for clients to use
 - Other: PLEASE SPECIFY_____
- 32. Who provides these nutrition services? (CHECK ALL THAT APPLY)
 - □ WIC Director or Clinic Supervisor
 - □ Registered Dietitians
 - □ Degreed/Licensed Nutritionists
 - □ Trained Nutrition Paraprofessional
 - □ Registered Nurses/Physicians Assistants
 - □ Physicians
 - □ Social Workers/ Psychologists/ Therapists
 - □ Other Health Professionals not listed here
 - □ Other Non-Health Professionals not listed here
 - □ Administrative/clerical/support staff
 - Peer Counselors
- 33. On average, how much time is spent giving nutrition education to an adult client during the certification process?

[DROP-DOWN BOX:]

None
<5 minutes
5 - <10 minutes
10 - <20 minutes
20 - <30 minutes
30 - <45 minutes
45 - <60 minutes
60 minutes or more

34. In a given 3-month period, on average, how much time is spent giving nutrition education to an adult client during follow-up visits (excluding the initial certification)?

[DROP-DOWN BOX:]

None <10 minutes
10 - <20 minutes
20 - <30 minutes
30 - <45 minutes
45 - <60 minutes
60 - <90 minutes
90 minutes or more

35. What percentage of infants are certified off-site (e.g. in the hospital)?

_____%

- 36. What types of outreach does your local agency do in, or with, hospitals to help bring qualified infants into the WIC program? (CHECK ALL THAT APPLY)
 - □ Agency staff visit currently-certified and prospective WIC mothers in the hospital
 - Agency provides general information and/or specific forms to the hospital for distribution
 - □ Agency staff provide pregnant mothers with WIC forms (for their infants) for hospital physicians to fill out
 - Agency joins with other social service agencies to provide a place at the hospital where prospective clients can shop services, all in one place
 - \Box Other: PLEASE SPECIFY

From this point forward, please answer the remaining 16 questions as they apply to <u>the WIC</u> <u>local agency in its entirety, including all clinics, satellites and mobile units</u>.

LOCAL AGENCY ORGANIZATION

- 37. How would you describe the relationship of your WIC local agency to the WIC State agency? Your local agency is... (CHECK ONE)
 - \Box part of State agency
 - \square a local government entity administering the WIC program
 - \square a non-profit organization that has been contracted to run the WIC program
 - \Box not a local agency, but rather a clinic under a local agency
 - Other: PLEASE SPECIFY_____

- 38. Please record the number of other WIC sites that operate under the authority of this local agency, by type.
 - ____ Clinics (defined as a permanent location assigned to the WIC program)
 - _____ Satellites (defined as a location such as a school, church or town hall that is only temporarily assigned the WIC program each week. WIC staff must carry their own files and equipment to the site each week)
 - ____ Mobile Units (a vehicle assigned to the WIC program that may make multiple stops)
- 39. To what extent are certification services provided by your local agency at the various sites you specified in the previous question?

[WEB SURVEY WILL SHOW CLINICS, SATELLITES AND/OR MOBILE UNITS COLUMN **ONLY IF** RESPONDENT HAS ANSWERED >0 IN Q38.]

	Local Agency	Clinics		Satellites			Mobile Units			
	Agency does this	All can do	Some can do	None can do	All can do	Some can do	None can do	All can do	Some can do	None can do
Conducts certifications										
Performs blood testing										
Takes anthropometric measurements for height, weight and body mass index (BMI)										
Conducts nutrition counseling										
Offers other educational seminars (e.g. on breastfeeding)										
Distributes food instruments										
Provides referrals to other services										
Has access to WIC participant records electronically										
Stores paper copies of the WIC participant records										

- 40. What is the distribution and average allocation of Nutrition Services and Administration (NSA) funds across the following functions?
 - _____% Certification and re-certification
 - _____% Nutrition education
 - _____% Breastfeeding promotion and support
 - _____% Administration

100 % [TOTAL SHOULD SUM TO 99-101%.]

STAFF & CASELOAD

41. How many staff members work for the WIC program at your local agency or clinic on a full-time or part-time basis?	Number of full-time staff (working 32-40+ hours/wk)	Number of part-time staff (working <32 hours/wk)	42. Of the total, what percentage have worked at the agency/clinic less than 2 years
a) WIC Director or Clinic Supervisor			%
b) Office Manager			%
c) Administrative Support Staff			%
d) Certification Specialist			%
e) Registered Dietitian			%
f) Degreed/Licensed Nutritionist			%
g) Trained Nutrition Paraprofessional			%
h) Registered Nurse/Physicians Assistant			%
i) Physician			%
 j) Social Worker/ Psychologist/ Therapist 			%
k) Other Professional (non- medical)			%
l) Other: PLEASE SPECIFY			%
TOTAL STAFF			%

43. In all, what is the number of full-time equivalent (FTE) staff who work at your local WIC agency or clinic? (IN CALCULATING, NOTE THAT IF THE STANDARD WORK WEEK IS 35-40 HOURS, AN FTE COULD BE COMPOSED OF 1 FULL TIME EMPLOYEE OR TWO OR MORE PART TIME EMPLOYEES WHO, COMBINED, WORK THAT NUMBER OF HOURS.)

_____ FTE Staff

- 44. What difficulties are faced in retaining, recruiting and hiring staff at your local agency? (CHECK ALL THAT APPLY)
 - □ Salaries not competitive
 - □ Benefits not competitive
 - \Box Minimal training and job growth offered
 - □ Workload too great
 - \Box Location of local agency unsafe
 - \Box Location of local agency hard to get to
 - □ Physical space occupied by local agency crowded
 - □ Low employee morale throughout agency
 - □ Lack of support for WIC program from State
 - \Box Limited career path or opportunities for promotion
 - □ Required skillset lacking in prospective employees
 - Other: PLEASE SPECIFY_____
 - \Box None of the above
- 45. Check off any positions for which your local agency is experiencing moderate or acute staffing shortages? (CHECK ALL THAT APPLY)
 - □ Administrative/clerical/support staff
 - □ Registered Dietitian
 - □ Degreed/Licensed Nutritionist
 - □ Trained Nutrition Paraprofessional
 - □ Registered Nurses/Physicians Assistant
 - □ Physician
 - □ Social Worker/ Psychologist/ Therapist
 - Other Professional
 - Other: PLEASE SPECIFY _____
 - \Box None of the above

46. What percentage of WIC applicants and certificants coming to the agency do NOT speak English well enough to communicate about eligibility, procedures, nutrition, breastfeeding and services?

0%	41-50%
1-5%	51-60%
6-10%	61-70%
11-20%	71-80%
21-30%	81-90%
31-40%	91-100%

46a. How confident are you in the

range entered here?

- □ Very confident
- □ Somewhat confident
- Not very confident (i.e. a lot of guesswork involved)

47. What foreign languages are offered by local agency staff? (CHECK ALL THAT APPLY)

□ Hmong

☐ Khmer

□ Korean

□ Laotian

□ Portuguese

- □ NONE
- Arabic
- □ Cambodian

□ Cantonese/Mandarin

- 🗌 Farsi
- □ French/Creole
- 🗌 Fulani
- 🗌 Hindi

- PunjabiRussian
- □ Somali
- 48. Approximately what percentage of your WIC population (applicants, participants, and proxies) are **not** served by your combined language capabilities?

%

48a. How confident are you in the percentage entered here?

- □ Very confident
- □ Somewhat confident
- \Box Not very confident (i.e. a lot of
 - guesswork involved)

$[\text{IF Q48 MARKED} \le 10\%, \text{SKIP TO Q50}]$

- - SwahiliTamil

 - □ Tagalog
 - Urdu
 - □ Vietnamese
 - Other: SPECIFY _____

- 49. In what languages does the agency need further support to serve the WIC population? (CHECK ALL THAT APPLY)
 - Arabic
 - Cambodian
 - Cantonese/Mandarin
 - 🗌 Farsi
 - □ French/Creole
 - 🗌 Fulani
 - 🗌 Hindi

- ☐ Khmer☐ Korean

□ Hmong

- DescriptionPortuguese
- Pontugues
 Punjabi
- Russian
- Somali

- □ Spanish
- 🗌 Swahili
- 🗌 Tamil
- □ Tagalog
- Urdu
- □ Vietnamese
- □ Other: SPECIFY _____

PARTICIPANT CHARACTERISTICS

Thinking of the typical WIC participants served by your local agency each month, please give the percentage that fall into the following demographic areas. (PLEASE ROUND PERCEN-TAGES TO NEAREST WHOLE NUMBER. PERCENTAGES MAY TOTAL 99-101% DUE TO ROUNDING)

50. CATEGORY

- ___% Pregnant
 - __% Breastfeeding
- ___% Postpartum
- ___% Infants
- ___% Children
- 100 % TOTAL

51. ETHNICITY

- ____% Not Hispanic or Latino
- 100 % TOTAL

52. RACE

- ___% American Indian or Alaska Native
- ____% Asian American
- ____% Black or African American
- ____% Native Hawaiian or Other Pacific Islander
- ___% White
- ____% Multiracial (Two or more of the above)
- 100 % TOTAL

%

53. OTHER CHARACTERISTICS

- a. What percentage of participants are migrant farmworkers ______%
- b. What percentage of participants are homeless

THIS MARKS THE END OF THE SURVEY. THANK YOU VERY MUCH FOR YOUR TIME!

IF YOU HAVE ANY COMMENTS THAT WERE NOT COVERED IN THE SURVEY, YOU MAY PROVIDE THEM BELOW.
