APPENDIX D	
DATA COLLECTION INSTRUMENT FOR LOCAL WIC AGENCIES	

Public reporting burden for this collection of information is estimated to average 40 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis,

Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

OMB Number: 0584-0484 Expiration Date: XX/XX/20XX LOCAL AGENCY 1-30-09

Local WIC Agency Survey

Public reporting burden for this collection of information is estimated to average 40 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research and Analysis, Room 1014, Alexandria, VA 22302 ATTN: PRA (0584-0484).

Thank you for participating in the FNS' second National Survey of WIC Participants, administered by Macro International. Please refer to the accompanying cover letter for full details of the research effort. If you have any questions, please contact Walter Rives at 301-572-0551 or email Walter.Rives@macrointernational.com.

This survey—along with surveys at the State and participant levels—is designed to provide FNS with additional information on policies and program operations, above and beyond that which is available from existing program sources. For your convenience, the survey is organized by topic.

SCREENER

S1. Does this local agency conduct certifications and recer it serve as a purely administrative office, overseeing the	11
☐ Agency to which this survey was addressed does cer → CONTINUE TO SURVEY	
 □ Agency serves as a purely administrative office → □ Not sure	PLEASE CONTACT WALTER RIVES AT MACRO INTERNATIONAL TO CLARIFY IF YOU SHOULD FILL OUT THIS SURVEY.
	PHONE: 301-572-0551 EMAIL: Walter.Rives@ macrointernational.com

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Until directed otherwise, please answer all the questions as they apply to just this location of your local agency or clinic.

CHARACTERISTICS OF LOCAL WIC AGENCY

1.	Which description most closely fits the structure in which your local agency or clinic is located? (CHECK ONE)
	☐ Health department or medical clinic
	☐ Social services office or agency
	☐ Full service hospital
	☐ Site of non-profit organization
	☐ Site of religious group
	Other: PLEASE SPECIFY
2.	How many rooms does the WIC program use, excluding such things as hallways, bathrooms, kitchen, and storage closets? Please select the total for each type of room. ☐ Large waiting rooms/reception areas (greater than 15x15 feet)
	☐ Small waiting rooms/reception areas (15x15 feet or smaller)
	☐ Rooms, offices or cubicles where clients are seen
	☐ Large training/conference/multipurpose rooms
	☐ Small training/conference/multipurpose rooms
	☐ Administrative offices (no clients seen)
	☐ Administrative cubicles (no clients seen)
	☐ Other: PLEASE SPECIFY
3.	How many days a week, on average is the agency open to clients/applicants? DAYS
4.	How many hours per week, on average, is the WIC agency open? HOURS
5.	How many of the hours are "extended hours," meaning they take place before 9 HOURS AM and after 5 PM?
6.	Approximately how many clients are served at the agency per month ? CLIENTS/MONTH
7.	Of these, approximately what percentage % are certifications or recertifications?

8. What types of public transportation are within a 10 minute walk (1/2 mile) of tagency? (CHECK ALL THAT APPLY)	□ Bus □ Light rail/subway/commuter train □ Other □ None
9. What is the most-frequent means of transport used by WIC applicants and participants to get to your agency?	Private car Taxi Bus Light rail/subway/commuter train On foot Other
10. What is the second most-used means of transport used by WIC applicants and participants to get to your agency?	 □ Private car □ Taxi □ Bus □ Light rail/subway/commuter train □ On foot □ Other
11. How would you rate the physical security of your local agency's location?	Very safe (No incidents) Safe (Occasional minor incidents) Unsafe (Occasional major incidents or frequent minor incidents) Very unsafe (Frequent major incidents)
following tasks?	ary technology, equipment, supplies, etc. to do the
a) Enter/access client certification info	rmation via a
computer? i. Is this computer networked t	o other
computers in the office (i.e.	
drive)?	☐ Yes ☐ No ☐ Don't Know
ii. Is this computer networked t	
agencies, clinics or the State	WIC office?
b) Have internet access?	☐ Yes ☐ No ☐ Don't Know
c) Perform hematological tests?	☐ Yes ☐ No ☐ Don't Know
d) Take anthropometric measurements	for
weight, BMI (body mass) and heigh	I Voc No Don't Know

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13. For each of the following services, please indicate if your local agency is able to offer the service, provide information and/or make referrals in the following areas. "Ability to make a referral" means that your local agency's involvement is required to obtain a particular service whereas "ability to provide information" means that you have only given client the information about the problem and possibly places to go for help. (CHECK ALL THAT APPLY)

	Offered by WIC Agency/Clinic	Able to provide information	Ability to make a referral	Neither
Maternal health care				
Prenatal health care				
Children's health care				
Prevention (e.g., immunizations) and Screenings (e.g. vision or Early & Periodic Screening)				
Breastfeeding support				
Dietitian/nutrition services				
Mental health services				
STD (sexually transmitted diseases)				
Dental				
Family planning				
Child care/education (e.g., Healthy Start, Head Start)				
Parenting support				
Employment/life skills training				
Other public assistance				
Environmental health/screening				
Substance abuse counseling/treatment				
Smoking cessation				
Violence Protection/Prevention (women)				
Violence Protection/Prevention (children)				
OTHER: SPECIFY				

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FOR ALL ITEMS WHERE REFERRALS ARE CHECKED IN Q13, ASK:

- 13A. In Q13, you indicated that you give referrals for certain services. For *just those* services where referrals are given, please mark which type of referral is given
 - **a.** WIC client gets referral sheet to take to other organization
 - **b.** Organization is given name of WIC client to contact (with client's knowledge)
 - **c.** Organization is notified of WIC client situation (without client's knowledge e.g., protective services as permitted by law)
 - **d.** Other

	For all services in Q13 where referrals are given out, check all that apply. (If no referrals given, leave blank.)			
	a. Referral sheet	b. Org'l name is given out	c. Org. is notified	d. Other
Maternal health care				
Prenatal health care				
Children's health care Prevention (e.g., immunizations) and Screenings (e.g. vision or Early & Periodic Screening)				
Breastfeeding support				
Dietitian/nutrition services				
Mental health services				
STD (sexually transmitted diseases)				
Dental				
Family planning				
Child care/education (e.g., Healthy Start, Head Start)				
Parenting support				
Employment/life skills training				
Other public assistance				
Environmental health/screening				
Substance abuse counseling/treatment				
Smoking cessation				
Violence Protection/Prevention (women)				
Violence Protection/Prevention (children)				
OTHER:				

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AGENCY PROCEDURES

• 1	ALL THAT APPLY)
·	Drivers license
	Current utility/tax bill with address on it
	Written statement from reliable third party
	Checkbook
	Rent receipt, mortgage receipt or lease
	Other: PLEASE SPECIFY
15. Does the applicant	agency keep a copy of documents proving adjunctive or automatic eligibility for s?
	Yes, physical copy
	Yes, electronic copy (scanned document)
	No
16. When do	es the start-date for a certification occur? (CHECK ONE BEST ANSWER)
	When the WIC applicant first comes into the clinic
	When the WIC application is filled out
	When the WIC application is filled out and all supporting information provided
17. What disc periods?	retion, if any, does the state use or grant to local agencies regarding certification
	No additional discretion is given
	The following discretion is given: (PROVIDE SUPPORTING POLICY STATEMENTS AS APPROPRIATE)
10 Da4'C"	
18. Do certific	cations have to take place in person?
	Yes [SKIP TO Q20]
	No

19. If no, by what other means can WIC applican	ts be certified? (CHECK ALL THAT APPLY)
☐ Phone	
☐ Mail	
\Box Fax	
Other: PLEASE SPECIFY	
	licants are given temporary certification, that is, 30 their application for WIC certification is being
[CIRCLE ONE ANSWER IN BOX W	11 - <20%
20a. How confident are you in the	21 - <30% 31 - <40%
range entered here?	41 - <50%
□ Very confident	51 - <60%
☐ Somewhat confident	61 - <70% 71 - <80%
□ Not very confident (i.e. a lot	81 - <90%
of guesswork involved)	91 - <100%
21. Which of the following actions are designated participants they represent?	l proxies allowed to do on behalf of the WIC
☐ Get certification for the WIC appli	icant
☐ Pick up food instruments	
☐ Attend educational sessions	
Other: PLEASE SPECIFY	
☐ Not Applicable. State does not all	ow proxies
22. What controls are in place to ensure that a Wildlifferent location? (CHECK ALL THAT AP	IC applicant is not already participating in WIC at a PPLY)
☐ Applicant must show identification	n
☐ Applicant must submit proof of cu	rrent residence
☐ Computer checks system based on	applicant name
☐ Computer checks system based on	Social Security number
☐ Other procedure: PLEASE DESC	RIBE

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23. Of applicants **new** to WIC, what percentage is denied certification?

☐ Not very confident (i.e. a lot of guesswork involved)

[CIRCLE ONE ANSWER IN BOX W	11 - <20%
23a. How confident are you in the range entered here? □ Very confident □ Somewhat confident □ Not very confident (i.e. a lot of guesswork involved)	21 - <30% 31 - <40% 41 - <50% 51 - <60% 61 - <70% 71 - <80% 81 - <90% 91 - <100%
24. Of WIC participants seeking recertification ,	what percentage is denied certification?
<u> </u>	(ATTH] (ATTH] (ATTH] (ATTH] (ATTH] (ATTH] (ATTH) (AT
Insufficient identification	%
Income ineligibility	%
Nutritional ineligibility	%
Residency ineligibility	%
Category ineligibility (i.e. not pregnant, child over 5 years, etc.)	%
Other: PLEASE SPECIFY	%
25a. How confident are you in the percentages entered here? ☐ Very confident ☐ Somewhat confident	

26. Does the agency keep information on denied applicants?

\square Yes			
□ No [SKIP TO Q28]			
27. What information on Denied Applicants do you retain and how is it retained?			
a. Information Retained (CHECK ALL THAT APPLY)	b. How Retained (CHECK ALL THAT APPLY)	c. Where Retained (CHECK ALL THAT APPLY)	
☐ Name of applicant	 □ Paper copy only □ Electronic copy only □ Both paper and electronic 	☐ WIC State Agency☐ Your Local Agency☐ Sites/Clinics	
□ Address	 □ Paper copy only □ Electronic copy only □ Both paper and electronic 	☐ WIC State Agency☐ Your Local Agency☐ Sites/Clinics	
☐ Phone number	 □ Paper copy only □ Electronic copy only □ Both paper and electronic 	☐ WIC State Agency☐ Your Local Agency☐ Sites/Clinics	
☐ WIC applicant category	□ Paper copy only□ Electronic copy only□ Both paper and electronic	☐ WIC State Agency☐ Your Local Agency☐ Sites/Clinics	
☐ Reason for denial	□ Paper copy only□ Electronic copy only□ Both paper and electronic	□ WIC State Agency□ Your Local Agency□ Sites/Clinics	
☐ Date of application	 □ Paper copy only □ Electronic copy only □ Both paper and electronic 	☐ WIC State Agency☐ Your Local Agency☐ Sites/Clinics	
☐ Date of denial	□ Paper copy only□ Electronic copy only□ Both paper and electronic	☐ WIC State Agency☐ Your Local Agency☐ Sites/Clinics	
28. Does the agency send an office ☐ Yes ☐ No	cial letter of denial to applicants w	ho are denied eligibility for WIC?	

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29. Can an applicant be screene	ed and denied eligibility by telephone?
□ Yes	
☐ No [SKIP TO Q	31]
30. For which reasons can an ap ALL THAT APPLY)	oplicant be screened and denied eligibility by telephone? (CHECK
☐ Insufficient iden	tification
☐ Income eligibilit	у
☐ Nutritional eligible	pility
☐ Residency eligib	ility
☐ Category eligibil	lity
☐ Other: PLEASE	SPECIFY:
NUTRITION SERVICES	
31. What nutrition services are	offered by your local agency? (CHECK ALL THAT APPLY)
\Box One-on-one couns	eling
☐ Group educational	sessions
☐ Internet-based nutr	rition education for clients to use
☐ Other: PLEASE S	SPECIFY
32. Who provides these nutritio	n services? (CHECK ALL THAT APPLY)
☐ WIC Director or C	Clinic Supervisor
☐ Registered Dietitia	uns
☐ Degreed/Licensed	Nutritionists
☐ Trained Nutrition	Paraprofessional
☐ Registered Nurses	Physicians Assistants
Physicians	
☐ Social Workers/ Page 1	sychologists/ Therapists
☐ Other Health Profe	essionals not listed here
☐ Other Non-Health	Professionals not listed here
☐ Administrative/cle	rical/support staff
Peer Counselors	
33. On average, how much time nutrition education to an advertification process?	
[DROP-DOWN BOX:]	None <5 minutes 5 - <10 minutes 10 - <20 minutes 20 - <30 minutes

30 - <45 minutes

45 - <60 minutes 60 minutes or more

34. In a given 3-month period, or much time is spent giving nut to an adult client during follo (excluding the initial certification)	trition education w-up visits
[DROP-DOWN BOX:]	None <10 minutes 10 - <20 minutes 20 - <30 minutes 30 - <45 minutes 45 - <60 minutes 60 - <90 minutes 90 minutes or more
35. What percentage of infants ar	re certified off-site (e.g. in the hospital)?
%	
	your local agency do in, or with, hospitals to help bring qualified a? (CHECK ALL THAT APPLY)
☐ Agency staff visit current	ly-certified and prospective WIC mothers in the hospital
☐ Agency provides general	information and/or specific forms to the hospital for distribution
 Agency staff provide preg physicians to fill out 	gnant mothers with WIC forms (for their infants) for hospital
2 5 5	social service agencies to provide a place at the hospital where
• •	op services, all in one place
☐ Other: PLEASE SPECIF	
	e answer the remaining 16 questions as they apply to <u>the WIC</u> uding all clinics, satellites and mobile units.
LOCAL AGENCY ORGANIZ	ATION
Your local agency is (CHE part of State agence	су
-	nt entity administering the WIC program
	rization that has been contracted to run the WIC program , but rather a clinic under a local agency
	SPECIFY

					LOCAL AGENC	.Y 1				
38	38. Please record the number of other WIC sites that operate under the authority of this local agenc by type.									
	Clinics (defined as a permanent location assigned to the WIC program)									
	 Satellites (defined as a location such as a school, church or town hall that is only temporarily assigned the WIC program each week. WIC staff must carry their own files and equipment to the site each week) Mobile Units (a vehicle assigned to the WIC program that may make multiple stops) 									
39	To what extent are certifications in the previous [WEB SURVEY WILL STOLL OF THE RESTRICT OF T	question? SHOW CLI	NICS, SATELLITE	ES AND/OR MOB	•	/ou				
		Local Agency	Clinics	Satellites	Mobile Units					
		Agency does this	All Some None can do can do	All Some None can can do do	All Some None can can do do	Ī				
-	Conducts contifications					Ī				

	Agency		0111110			~ 	.•.		00110	71110
	Agency does this	All can do	Some can do	None can do	All can do	Some can do	None can do	All can do	Some can do	None can do
Conducts certifications										
Performs blood testing										
Takes anthropometric measurements for height, weight and body mass index (BMI)										
Conducts nutrition counseling										
Offers other educational seminars (e.g. on breastfeeding)										
Distributes food instruments										
Provides referrals to other services										
Has access to WIC participant records electronically										
Stores paper copies of the WIC participant records										

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40.	. What is the distribution and average allocation of Nutrition Services and Administration (NSA) funds across the following functions?
	% Certification and re-certification
	% Nutrition education
	% Breastfeeding promotion and support
	% Administration
	100 % [TOTAL SHOULD SUM TO 99-101%.]

STAFF & CASELOAD

41. How many staff members work for the WIC program at your local agency or clinic on a full-time or part-time basis?	Number of full-time staff (working 32-40+ hours/wk)	Number of part-time staff (working <32 hours/wk)	42. Of the total, what percentage have worked at the agency/clinic less than 2 years
a) WIC Director or Clinic Supervisor			%
b) Office Manager			%
c) Administrative Support Staff			%
d) Certification Specialist			%
e) Registered Dietitian			%
f) Degreed/Licensed Nutritionist			%
g) Trained Nutrition Paraprofessional			%
h) Registered Nurse/Physicians Assistant			%
i) Physician			%
j) Social Worker/ Psychologist/ Therapist			%
k) Other Professional (non- medical)			%
l) Other: PLEASE SPECIFY			%
TOTAL STAFF			%

	what is the number of full-time equivalent (FTE) staff who work at your local WIC
	y or clinic? (In calculating, note that if the standard work week is 35-40 , an FTE could be composed of 1 full time employee or two or more part time
	YEES WHO, COMBINED, WORK THAT NUMBER OF HOURS.)
	FTE Staff
	1 1 <i>L</i> 5taii
	difficulties are faced in retaining, recruiting and hiring staff at your local agency? CK ALL THAT APPLY)
	Salaries not competitive
	Benefits not competitive
	Minimal training and job growth offered
	Workload too great
	Location of local agency unsafe
	Location of local agency hard to get to
	Physical space occupied by local agency crowded
	Low employee morale throughout agency
	Lack of support for WIC program from State
	Limited career path or opportunities for promotion
	Required skillset lacking in prospective employees
	Other: PLEASE SPECIFY
	None of the above
	off any positions for which your local agency is experiencing moderate or acute g shortages? (CHECK ALL THAT APPLY)
	Administrative/clerical/support staff
	Registered Dietitian
	Degreed/Licensed Nutritionist
	Trained Nutrition Paraprofessional
	Registered Nurses/Physicians Assistant
	Physician
	Social Worker/ Psychologist/ Therapist
	Other Professional
	Other: PLEASE SPECIFY
	None of the above

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46.		sh w	_					-	ency do NOT speak trition, breastfeeding and
			0%			41-50	%		
			1-5%			51-60			
			6-10%			61-70	%		
			11-20%			71-80	%		
			21-30%			81-90	%		
			31-40%			91-10	0%		
			ange enter □ Very □ Some □ Not v	confident are red here? confident what confide ery confident swork involve	nt (i.e.				
47.	What	NC Ara Car Car Far	ONE abic mbodian ntonese/N rsi ench/Crec	⁄Iandarin	fered	by loca	al agency staff? Hmong Khmer Korean Laotian Portuguese Punjabi Russian Somali	(CHECK A	Spanish Swahili Tamil Tagalog Urdu Vietnamese Other: SPECIFY
48.							IC population (language capab		participants, and
			ercentage Very Some Not v	confident are entered here confident what confide ery confident swork involv	nt (i.e.				

[IF Q48 MARKED \leq 10%, SKIP TO Q50]

49. In what language (CHECK ALL TH	es does the agency need fu AT APPLY)	orther support to serve th	ne WIC population?
CHECK ALL TH Arabic Cambodia: Cantonese. Farsi French/Cre Fulani Hindi PARTICIPANT CH	n	Hmong Khmer Korean Laotian Portuguese Punjabi Russian Somali	 □ Spanish □ Swahili □ Tamil □ Tagalog □ Urdu □ Vietnamese □ Other: SPECIFY
percentage that fall in		phic areas. (PLEASE R	each month, please give the OUND PERCEN-TAGES TO 101% DUE TO
% % %	Pregnant Breastfeeding Postpartum Infants Children TOTAL		
%	Hispanic or Latino Not Hispanic or Latino TOTAL		
% % %	American Indian or Alas Asian American Black or African Americ Native Hawaiian or Otho White Multiracial (Two or mor	ean er Pacific Islander	

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a.	What percentage of participants are migrant farmworkers	 %
b.	What percentage of participants are homeless	 %

THIS MARKS THE END OF THE SURVEY. THANK YOU VERY MUCH FOR YOUR TIME!

IF YOU HAVE ANY COMMENTS THAT WERE NOT COVERED IN THE SURVEY, YOU MAY PROVIDE THEM BELOW.

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