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## PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-new**. The time required to complete this information collection is estimated to average **30 minutes** to complete the survey, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

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## The Division of Health Care Policy and Research and Centers for Medicare & Medicaid Services

## **Home Health Pay for Performance Demonstration Evaluation Survey**

The purpose of this survey is to gather information from home health agencies participating in the Centers of Medicare & Medicaid Services (CMS) Home Health Pay for Performance (P4P) Demonstration. The questions that follow focus on policy or practice changes in your agency that may have occurred during the timeframe of the demonstration. These questions focus on information about your agency that is generally not available via other data sources.

The "correct answers" are simply what occurred at your agency during Calendar Year (CY) 2008.

Based on pre-testing with experienced home health agency managers, we estimate that the survey will take about 15 minutes to complete.

1. Enter the Name of Agency:			
1a. [Optional] Email address of Agency or person completing survey:			
2. Enter Agency's CMS Certification Number (formerly Provider Number):			
3. Title of person completing form:	Senior Mgmt (0	CEO, DON, etc	c)
4. Which of the following describes the <u>increase</u> = the position was vacant or crewere hired; <u>decrease</u> = a position was fil Indicate change for each if any.	eated and was fi	lled, or additi	ional staff
Job Category	Decreased Staffing	No Change	Increased Staffing
a. Senior Management (CEO, DON, etc.)	$\bigcirc$		
b. QI / PI Coordinator			
c. Clinical Supervisor Positions			
d. Registered Nurse			$\bigcirc$
e. Registered Nurse with speciality license (e.g., wound, psychiatric)	0		0
f. Licensed Practical Nurse			
g. Respiratory Therapist			
h. Physical Therapist			

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	$\bigcirc$	•		
i. Occupational Therapist				
j. Medical Social Worker				
k. Home Health Aide				
1. Administrative/Support				
m. Other (specify)	0			
5. Which of the following describes the eft and was replaced by a new or another CY2008? Indicate change for each i	ther staff me			
Job Category		No Turnover	Staff change occurred	
a. Senior Management (CEO, DON,	etc.)			
b. QI / PI Coordinator				
c. Clinical Supervisor Positions				
d. Registered Nurse				
e. Registered Nurse with speciality li (e.g., wound, psychiatric)	cense,		$\bigcirc$	
f. Licensed Practical Nurse				
g. Respiratory Therapist				
h. Physical Therapist				
i. Occupational Therapist				
j. Medical Social Worker				
k. Home Health Aide				
1. Administrative/Support				
m. Other (specify)				
6. Have you added any <u>new positions</u> because of your participation in the de			fically	
Job Function	Already Existed	Added Position/Function	Does Not Exist	
a. Quality improvement (QI) or performance improvement (PI) coordination	0	0		
b. Documentation quality assurance or OASIS accuracy		0		
c. Staff Education				
d. Outcome Analysis				
e. Utilization Review				

f. "Combination" position(s) that

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	two or of the "a-e	" function:	S				
g. Other (specify)							
			ovement (	easures have yo Organization (Q	ou targeted with to IO)?	he help of	
Pre- 2008	2008 (only)	Pre & During 2008	Did not work with QIO	О	utcome Measure		
				a. Acute Care	Hospitalization		
				b. Any Emerge	ent Care		
				c. Improvemen	nt in Bathing		
				d. Improvemen	nt in Ambulation	/Locomotion	
				-	nt in Transferring		
$\bigcirc$	$\bigcirc$	$\bigcirc$		f. Improvement Wounds	nt in Status of Sur	rgical	
$\bigcirc$	$\bigcirc$	$\bigcirc$		g. Improvement in Management of Oral Medications			
	$\bigcirc$	$\bigcirc$		h. Improvement in Pain Interfering with Activity			
				i. Other measu	re(s)		
related	to (Check Changes in Implement Decrease in Communic Communic Disease man Telehealth Falls prevented Patient info	all that apen care praction of continuous tation of continuous tation with cation with an agemental programs ention projection contal specialty on-call st	oply) etices (e.g are pathw ween refe h patient ( h physicia t program grams trol progra ties progra aff for nor	., "front-loaded ays/standardize erral and admiss quantity and/or n (quantity and s	ed care plans sion visit quality) /or quality)	?? Policies	
	_			irements for sta	aff		
n.	Changes is	n staff hiri	ng require	ements	_		
0.	Other (spe	ecify):					

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to in	What <u>activities directed toward agency employees or contract staff</u> and intended
	ncrease quality of care has <u>your agency</u> implemented during CY2008? (Check hat apply)
—	a. New staff education programs and/or changes in requirements for number
	of educational hours
	b. Performance improvement programs
	c. Mentoring programs
	d. Additional clinical team meetings
	e. Additional record review activities
	f. New staff competencies
	g. Change in staff evaluation criteria
	h. Employee incentives for performance improvement
	i. Changes in staff management practices of nursing or therapy staff (e.g., increased oversite, etc.)
	j. Changes in home health aide supervisory practices
	k. Additional clinical resources for field staff (e.g., consultation; new specialty care staff; web access to best practices, etc.)
	1. Other (specify):
	What <u>technological innovations</u> designed to improve the quality of patient care <u>your agency</u> implemented during CY2008? (Check all that apply)  a. Telemonitoring equipment
	b. Electronic medical records
	<ul><li>b. Electronic medical records</li><li>c. Electronic information exchange with referral sources (e.g., hospital)</li></ul>
	<ul><li>b. Electronic medical records</li><li>c. Electronic information exchange with referral sources (e.g., hospital)</li><li>d. Electronic information exchange with physicians</li></ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors,</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure ulcers</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure ulcers</li> <li>j. Special dressings or therapies for wound care</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure ulcers</li> <li>j. Special dressings or therapies for wound care</li> <li>k. Medication reminder systems</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure ulcers</li> <li>j. Special dressings or therapies for wound care</li> <li>k. Medication reminder systems</li> <li>l. Medication dispensing systems</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure ulcers</li> <li>j. Special dressings or therapies for wound care</li> <li>k. Medication reminder systems</li> <li>l. Medication dispensing systems</li> <li>m. Implementation of medication checking/reconciliation software</li> </ul>
	<ul> <li>b. Electronic medical records</li> <li>c. Electronic information exchange with referral sources (e.g., hospital)</li> <li>d. Electronic information exchange with physicians</li> <li>e. Secure electronic messaging systems for agency care team members</li> <li>f. New infusion devices</li> <li>g. New respiratory equipment (e.g., ventilators, etc.)</li> <li>h. Physiologic monitoring equipment (e.g., blood glucose monitors, prothrombin monitors, etc.)</li> <li>i. Inflatable mattresses or similar equipment to reduce incidence of pressure ulcers</li> <li>j. Special dressings or therapies for wound care</li> <li>k. Medication reminder systems</li> <li>l. Medication dispensing systems</li> <li>m. Implementation of medication checking/reconciliation software</li> <li>n. Personal emergency response systems</li> </ul>

11. What <u>care practice changes</u> designed to improve the specific clinical outcomes

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has	your agency implemented during CY2	2008? (Chec	k all that app	oly)					
	a. Changes in visit patterns (e.g., front-loading; increased number visits for specific diagnoses)								
	b. Introduction of telemonitoring								
	c. Changes in visit mix (e.g., increase use of PT, etc.)								
	d. Introduction of disease management programs								
	e. Introduction and/or increased use of clinical pathways								
	f. Changes in patient teaching plans								
	g. Increased communication with MD								
	h. Inclusion on POC of specific parameters for when to call physician (e.g., call MD for BS>150)								
	i. Increased care team communication	on (e.g., tear	n meetings,	etc.)					
	j. Implementation of screening asses	ssments (e.g	., falls risk)						
	k. Implementation of falls preventio	n programs							
	l. Enhanced wound care protocols								
	m. Increased efforts to improve vaccipneumococcus)	cination rate	s (e.g., flu ar	nd					
	n. Use of medication reminder or dis	spensing sys	stems						
	p. Other (specify):								
(Inc	Identify any <u>corporate initiatives</u> that licate change for each if any)  NA, Not Part of Chain	have been in	mplemented	during CY2008?					
(Inc	licate change for each if any)	No Change	Modified Existing Program	during CY2008?  Implemented New Program					
(Income) Cor a.	NA, Not Part of Chain	No	Modified Existing	Implemented New Program					
Cor a. hosp b.	NA, Not Part of Chain porate Initiative Focus  Reducing potentially avoidable	No Change	Modified Existing Program	Implemented New Program					
Cor a. hosp b. eme	NA, Not Part of Chain porate Initiative Focus  Reducing potentially avoidable pitalizations  Reducing potentially avoidable	No Change	Modified Existing Program	Implemented New Program					
Cor a. hosp b. eme	NA, Not Part of Chain  porate Initiative Focus  Reducing potentially avoidable pitalizations  Reducing potentially avoidable ergency care	No Change	Modified Existing Program	Implemented New Program					
Cor a. hos b. eme c. d.	NA, Not Part of Chain  porate Initiative Focus  Reducing potentially avoidable pitalizations  Reducing potentially avoidable ergency care  Improving rehabilitation outcomes  Pressure ulcer treatment  Use of technology to support patient	No Change	Modified Existing Program	Implemented New Program					
Cor a. hos b. eme c. d. e. care	NA, Not Part of Chain  porate Initiative Focus  Reducing potentially avoidable pitalizations  Reducing potentially avoidable ergency care  Improving rehabilitation outcomes  Pressure ulcer treatment  Use of technology to support patient	No Change	Modified Existing Program	Implemented New Program					
Corra. hoss b. eme c. d. e. care f. S. g.	NA, Not Part of Chain porate Initiative Focus Reducing potentially avoidable pitalizations Reducing potentially avoidable ergency care Improving rehabilitation outcomes Pressure ulcer treatment Use of technology to support patient	No Change  O O	Modified Existing Program	Implemented New Program					
Cor a. hos b. eme c. d. e. care f. S g. initia	NA, Not Part of Chain  porate Initiative Focus  Reducing potentially avoidable pitalizations  Reducing potentially avoidable ergency care  Improving rehabilitation outcomes  Pressure ulcer treatment  Use of technology to support patient experiences  Staff training  Participation in QIO quality	No Change  O O O O O O O O O O O O O O O O O O	Modified Existing Program	Implemented New Program					
Cor a. hosy b. eme c. d. e. care f. g. initia h. (mo	NA, Not Part of Chain  porate Initiative Focus  Reducing potentially avoidable pitalizations  Reducing potentially avoidable ergency care  Improving rehabilitation outcomes  Pressure ulcer treatment  Use of technology to support patient experiments  Staff training  Participation in QIO quality fatives  Performance incentive program	No Change  O O O O O O O O O O O O O O O O O O	Modified Existing Program	Implemented New Program					

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13. Identify any <u>impact on your agency</u> that occurred during CY2008 that may have been the

result of <u>local/regional issues or situations</u>. (indicate impact for each - if any)

Type of Local/Regional Change	No Impact	Negative Impact	Both + / -	Positive Impact
<ul><li>a. # of community hospitals</li><li>(or hospital beds)</li></ul>		$\circ$	$\bigcirc$	$\bigcirc$
<ul><li>b. # of skilled nursing facilities (or SNF beds)</li></ul>				$\bigcirc$
c. # of urgent/emergency care facilities			$\bigcirc$	$\bigcirc$
d. # of home health agencies				
e. Availability of nurses locally				
<ul><li>f. Availability of physical therapists locally</li></ul>			$\bigcirc$	$\bigcirc$
<ul><li>g. Availability of occupational therapists locally</li></ul>			$\bigcirc$	$\bigcirc$
h. Availability of home health aids locally			$\bigcirc$	$\bigcirc$
i. Increase in population locally				
<ul><li>j. Natural disaster,</li><li>(e.g., flood, fire, etc.)</li></ul>			$\bigcirc$	$\bigcirc$
<ul><li>k. State health care policy</li><li>(e.g., Medicaid funding)</li></ul>			$\bigcirc$	$\bigcirc$
Informal local health care practice patterns			$\bigcirc$	
m. Change in available community				
resources (e.g., Assisted living facilities, adult day care, transportation programs, meal programs, respite care providers,				
etc.)				

14. What is your <u>best estimate</u> of the effects of activities related to the P4P Demonstration will have on the cost of providing care to your patients?

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0	0	0	•	0		0	0		
	ch of an <u>impact</u> activities will h	-	-	•					
			Pro	bable imp	act of Q	I on outco	omes		
Outcome Me	asure		No Impact	Mod Improv		Substa Improv			
a. Acute Car	re Hospitalizatio	n		(	$\bigcirc$				
b. Any Eme	rgent Care			(					
c. Improven	nent in Bathing			(	$\bigcirc$				
d. Improven Locomotion	nent in Ambulat	ion -		(	$\supset$		$\bigcirc$		
e. Improven	nent in Transfer	ring		(	$\bigcirc$				
f. Improven Surgical Wou	nent in Status of ands			(	$\supset$		$\bigcirc$		
g. Improven Oral Medication	nent in Manager ns	ment of	•	(	0		0		
16 What eff	<u>ect</u> do you think	the der	monstratio	on will hav	ze on the	e followin	ισ?		
Effect on	<u>eet</u> do you tillin	the der	Very	Slightly Negative	No	Slightly	Very		
a. My agenc	cy's patient outco	omes							
b. Quality o	f care at my age	ncy							
c. Quality of	f care statewide								
d. Access to beneficiaries	care for Medica	are	$\bigcirc$	$\bigcirc$					
e. Cost of procare	roviding home h	ealth	$\bigcirc$	$\bigcirc$					
f. Financial	solvency of my	agency							
g. Financial health agenci statewide	solvency of hores	ne	$\circ$	0	•	0	0		
h. Profitabil	ity of my agenc	y							
i. Profitabili agencies statewide	ty of home heal	th	0	0	•	0	0		

17a. Rate each of the following groups/individuals as demonstrating Low/No, Moderate, or High levels of <u>Commitment the P4P demonstration</u> (Mark "NA" if position/function does not exist)

Commitment to the P4P
Demonstration
N/A
Low/No Moderate High

		O	Page 9 of 10 OMB Control No.: Expiration Date:		
a. Administrator/Owner/CEO					
b. Senior Clinical (Physician, DON)		$\bigcirc$			
c. Clinical Managers/Supervisors					
d. QI/PI Coordinator					
e. RN's (case managers)					
f. PT's, OT's, Social Workers, etc.					
g. Administrative Staff				$\bigcirc$	
17b. Rate each of the following groups/individuals levels of <u>Readiness for the P4P demonstration</u> (Ma	rk "NA" if posi	tion/function d	oes not exist)		
Groups/Individuals	Low/No	for the P4P De Moderate		N/A	
a. Administrator/Owner/CEO	LOW/NO	Moderate	High		
b. Senior Clinical (Physician, DON)	•				
c. Clinical Managers/Supervisors	•				
d. QI/PI Coordinator					
· ·	<ul><li></li></ul>				
e. RN's (case managers)  f. DT's OT's Social Workers etc.					
f. PT's, OT's, Social Workers, etc.	<ul><li></li></ul>				
g. Administrative Staff	•				
17c. Rate each of the following groups/individuals of Willingness to Sustain the P4P demonstration (N	Mark "NA" if po		n does not exi Beyond the		
Groups/Individuals	Low/No	Moderate	High		
a. Administrator/Owner/CEO					
b. Senior Clinical (Physician, DON)					
c. Clinical Managers/Supervisors					
d. QI/PI Coordinator					
e. RN's (case managers)					
f. PT's, OT's, Social Workers, etc.					
g. Administrative Staff					
18. Please rate the <u>feedback</u> on your agency's perfedemonstration implementation contractor (Abt Ass  Disagree Disagree Strongly Some	ociates).	Agree N			
a. The information is useful to my agency for quality improvement purposes.					
b. The information is presented					

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in a manner that is easy to understand.	$\bigcirc$			$\circ$	•	
c. The information is delivered to our agency in a timely manner.	$\bigcirc$	$\circ$	$\circ$		•	
d. The reports are accurate and complete.	$\bigcirc$		$\bigcirc$		•	
19. Please use the space below to p demonstration and any suggestions y health pay for performance. Please li (optional)	ou have	for implem	entation of	hime	Words remaining:	

250

Please review all of your answers prior to submitting this information.

When ready to submit, please check the 'Yes' box below and then click the 'Submit' button.

Yes O No O Ready to Submit

Thank you very much for taking the time to provide your feedback on the P4P Demonstration. If you would like to send additional comments via email, please forward these comments to eugene.nuccio@ucdenver.edu.