

APPLICATION FOR PARENT'S INSURANCE BENEFITS*

(Do not write in this space)

I apply for all insurance benefits for which I am eligible under Title II (Federal Old-Age, Survivors, and Disability Insurance) and Part A of Title XVIII (Health Insurance for the Aged and Disabled) of the Social Security Act, as presently amended.

*This may also be considered an application for survivors benefits under the Railroad Retirement Act and for Veterans Administration payments under Title 38 U.S.C , Veterans Benefits, Chapter 13 (which is, as such, an application for other types of death benefits under Title 38.)

1.	(a)	PRINT name of deceased wage earner or self-employed person (herein referred to as the "Deceased.") _____	FIRST NAME, MIDDLE INITIAL, LAST NAME
	(b)	Check (X) one for the Deceased. _____	<input type="checkbox"/> Male <input type="checkbox"/> Female
	(c)	Enter Deceased's Social Security number. _____	____ / ____ / _____
2.	(a)	PRINT your name. _____	FIRST NAME, MIDDLE INITIAL, LAST NAME
	(b)	Enter your Social Security number. _____	____ / ____ / _____
	(c)	Enter your name at birth if different from item 2(a). _____	
3.	(a)	Were you receiving at least one-half of your support from the Deceased at the time the Deceased became disabled under the Social Security law or at the time of death? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>(If "Yes," answer (b).) (If "No," go on to item 4.)</i>
	(b)	Have you filed proof of this support with the Social Security Administration? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No

PART I -- INFORMATION ABOUT THE DECEASED

4.	Enter date of birth of Deceased. _____	MONTH, DAY, YEAR	
5.	(a)	Enter date of death. _____	MONTH, DAY, YEAR
	(b)	Enter place of death. _____	CITY AND STATE
6.	(a)	Did the Deceased ever file an application for Social Security benefits, a period of disability under Social Security, Supplemental Security Income, or hospital or medical insurance under Medicare? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <i>(If "Yes," answer (b) and (c).) (If "No" or "Unknown" go on to item 7.)</i>
	(b)	Enter name of person on whose Social Security record other application was filed. _____	FIRST NAME, MIDDLE INITIAL, LAST NAME
	(c)	Enter Social Security number of person named in (b), (If "Unknown," so indicate.) _____	____ / ____ / _____

Answer Item 7 ONLY if the Deceased Died Prior to Full Retirement Age or Prior to One Year Past Full Retirement Age, and Within the Past 4 Months.

7.	(a)	Was the Deceased unable to work because of a disabling condition at the time of death? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>(If "Yes," answer (b).) (If "No," go on to item 8.)</i>
	(b)	Enter date disability began. _____	MONTH, DAY, YEAR

8. (a)	Was the Deceased in the active military or naval service (including Reserve or National Guard active duty or active duty for training) after September 7, 1939 and before 1968? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		<i>(If "Yes," answer (b) and (c).)</i>	<i>(If "No," go on to item 9.)</i>
(b)	Enter dates of service. _____	From: (Month, year)	To: (Month, year)
(c)	Have you received, or do you expect to receive, a benefit from any other Federal agency? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Answer Item 9 ONLY If Death Occurred Within the Last 2 Years.

9. (a)	About how much did the Deceased earn from employment and self-employment during the year of death? _____	AMOUNT \$	<input type="checkbox"/> Unknown
(b)	About how much did the Deceased earn the year before death? _____	AMOUNT \$	<input type="checkbox"/> Unknown
10. (a)	Did the deceased have wages or self-employment income covered under Social Security in all years from 1978 through last year? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		<i>(If "Yes," skip to item 11.)</i>	<i>(If "No," answer (b).)</i>
(b)	List the years from 1978 through last year in which the deceased did not have wages or self-employment income covered under Social Security. _____		
11.	Check if applicable: <input type="checkbox"/> I am not submitting evidence of the deceased's earnings that are not yet on his/her earnings record. I understand that these earnings will be included automatically within 24 months, and any increase in my benefits will be paid with full retroactivity.		

PART II -- INFORMATION ABOUT YOURSELF

12. (a)	Enter your date of birth. _____	MONTH, DAY, YEAR	
(b)	Enter name of State or Foreign country where you were born. _____		
If you have already presented, or if you are now presenting, a public or religious record of your birth established before you were age 5, go on to item 13.			
(c)	Was a public record of your birth made before you were age 5? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Unknown
(d)	Was a religious record of your birth made before you were age 5? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Unknown
13.	Have you married since the death of the Deceased? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
14. (a)	Have you ever filed an application for Social Security benefits, a period of disability under Social Security, Supplemental Security Income, or hospital or medical insurance under Medicare? _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		<i>(If "Yes," answer (b) and (c).)</i>	<i>(If "No," go on to item 15.)</i>

	(b) Enter name of person on whose Social Security record you filed other application. _____	
	(c) Enter Social Security number of person named in (b). <i>(If "Unknown," so indicate.)</i> _____	____ / ____ / _____
15.	Were you in the active military or naval service (including Reserve or National Guard active duty or active duty for training) after September 7, 1939 and before 1968? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
16.	Did you, your spouse, or the Deceased work in the railroad industry for 5 years or more? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
17.	(a) Do you have social security credits (for example, based on work or residence) under another country's social security system? _____	<input type="checkbox"/> Yes <i>(If "Yes," answer (b).)</i> <input type="checkbox"/> No <i>(If "No," go on to item 18.)</i>
	(b) List the country(ies). _____	

Answer Item 18 ONLY if the Deceased Died Before This Year.

18.	(a) How much were your total earnings last year? _____	\$ _____															
	(b) Place an "X" in each block for EACH MONTH of last year in which you <u>did not earn</u> more than *\$ _____ in wages, and <u>did not perform</u> substantial services in self-employment. These months are exempt months. If no months were exempt months, place an "X" in "NONE". If all months were exempt months, place an "X" in "ALL". _____ *Enter the appropriate monthly limit after reading the instructions, " <u>How Your Earnings Affect Your Benefits</u> ".	<table border="1"> <tr> <td colspan="2">NONE</td> <td>ALL</td> </tr> <tr> <td>JAN</td> <td>FEB</td> <td>MAR</td> </tr> <tr> <td>APR</td> <td>MAY</td> <td>JUN</td> </tr> <tr> <td>JUL</td> <td>AUG</td> <td>SEPT</td> </tr> <tr> <td>OCT</td> <td>NOV</td> <td>DEC</td> </tr> </table>	NONE		ALL	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEPT	OCT	NOV	DEC
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19.	(a) How much do you expect your total earnings to be this year? _____	\$ _____															
	(b) Place an "X" in each block for EACH MONTH of this year in which you <u>did not earn or will not earn</u> more than *\$ _____ in wages, and <u>did not or will not perform</u> substantial services in self-employment. These months are exempt months. If no months are or will be exempt months, place an "X" in "NONE". If all months are or will be exempt months, place an "X" in "ALL". _____ *Enter the appropriate monthly limit after reading the instructions, " <u>How Your Earnings Affect Your Benefits</u> ".	<table border="1"> <tr> <td colspan="2">NONE</td> <td>ALL</td> </tr> <tr> <td>JAN</td> <td>FEB</td> <td>MAR</td> </tr> <tr> <td>APR</td> <td>MAY</td> <td>JUN</td> </tr> <tr> <td>JUL</td> <td>AUG</td> <td>SEPT</td> </tr> <tr> <td>OCT</td> <td>NOV</td> <td>DEC</td> </tr> </table>	NONE		ALL	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEPT	OCT	NOV	DEC
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Answer This Item ONLY if You Are Not in the Last 4 Months of Your Taxable Year (Sept., Oct., Nov., and Dec., if Your Taxable Year is a Calendar Year).

20.	(a) How much do you expect to earn next year? _____	\$ _____															
	(b) Place an "X" in each block for EACH MONTH of next year in which you <u>do not expect to earn</u> more than *\$ _____ in wages, and <u>do not expect to perform</u> substantial services in self-employment. These months will be exempt months. If no months are expected to be exempt months, place an "X" in "NONE". If all months are expected to be exempt months, place an "X" in "ALL". _____ *Enter the appropriate monthly limit after reading the instructions, " <u>How Your Earnings Affect Your Benefits</u> ".	<table border="1"> <tr> <td colspan="2">NONE</td> <td>ALL</td> </tr> <tr> <td>JAN</td> <td>FEB</td> <td>MAR</td> </tr> <tr> <td>APR</td> <td>MAY</td> <td>JUN</td> </tr> <tr> <td>JUL</td> <td>AUG</td> <td>SEPT</td> </tr> <tr> <td>OCT</td> <td>NOV</td> <td>DEC</td> </tr> </table>	NONE		ALL	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEPT	OCT	NOV	DEC
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21.	If you use a fiscal year, that is, a taxable year that does not end December 31 (with income tax return due April 15) enter here the month your fiscal year ends. _____	MONTH _____															

MEDICARE INFORMATION

If this claim is approved and you are still entitled to benefits at age 65, you will automatically receive Medicare Part A (Hospital Insurance) and Medicare Part B (Medical Insurance) coverage at age 65. If you are not eligible for automatic enrollment in Medicare Part B, this application may be used for voluntary enrollment.

Complete Item 22 ONLY If You Are Within 3 Months of Age 65 or Older

See addendum
for revised
Medicare
language

~~In most cases, Medicare does not pay for health care you get while traveling outside the United States. Social Security office will be glad to explain more about Medicare.~~

~~Enrollment in Medicare Part B (Medical Insurance): Medicare Part B helps cover doctor's services and other services that Medicare Part A doesn't cover. Once you are enrolled in Medicare Part B, you will have to pay a monthly premium. The date your Medicare Part B begins and the amount of the premium you must pay depends on the month you filed this application with the Social Security Administration. Your premiums will be deducted from any monthly Social Security, Railroad Retirement, or Office of Personnel Management benefit check you receive. If you do not receive such benefits, you will be notified how to pay your premiums. You will get advance notice if there is any change in your premium amount.~~

~~If you do not enroll in Medicare Part B now, you can enroll later only during a specified enrollment period. If you enroll later, your coverage may be delayed and you may have to pay a higher premium.~~

22.	Do you want to enroll in Medicare Part B (Medical Insurance)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
23.	Do you have any unsatisfied felony warrants for your arrest?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
24.	Do you have any unsatisfied Federal or State warrants for arrest for violating the conditions of your probation or parole?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Removed
Questions #23 &
24.

REMARKS (You may use this space for any explanations. If you need more space, attach a separate sheet.)

I declare under penalty of perjury that I have examined all the information on this form, and on any accompanying statements or forms, and it is true and correct to the best of my knowledge. I understand that anyone who knowingly gives a false or misleading statement about a material fact in this information, or causes someone else to do so, commits a crime and may be sent to prison, or may face other penalties, or both.

SIGNATURE OF APPLICANT	Date (Month, day, year)
Signature (First Name, Middle Initial, Last Name) (Write in ink)	Telephone number(s) at which you may be contacted during the day
SIGN HERE	(AREA CODE)

FOR OFFICIAL USE ONLY	Direct Deposit Payment Address (Financial Institution)			<input type="checkbox"/> No Account <input type="checkbox"/> Direct Deposit Refused
	Routing Transit Number	C/S	Depositor Account Number	

Applicant's Mailing Address (Number and street, Apt No., P.O. Box, or Rural Route) (Enter Residence Address in "Remarks," if different.)

City and State	ZIP Code	County (if any) in which you now live
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Witnesses are required ONLY if this application has been signed by mark (X) above. If signed by mark (X), two witnesses who know the applicant must sign below, giving their full addresses. Also, print the applicant's name in the Signature block.

1. Signature of Witness	2. Signature of Witness
Address (Number and Street, City, State and ZIP Code)	Address (Number and Street, City, State and ZIP Code)

**Collection and Use of Information From Your Application -
Privacy Act Notice/Paperwork Reduction Act Notice**

The Social Security Administration is authorized to collect the information on this form under sections 202, 205, and 223 of the Social Security Act. The information you provide will be used by the Social Security Administration to determine if you or a dependent is eligible to insurance coverage and/or monthly benefits. You do not have to give us the requested information. However, if you do not provide the information, we will be unable to make an accurate and timely decision concerning your entitlement or a dependent's entitlement to benefit payments.

The information you provide may be disclosed to another Federal, State or local government agency for determining eligibility for a government benefit or program, to a Congressional office requesting information on your behalf, to an independent party for performance of research and statistical activities, or to the Department of Justice for use in representing the Federal government.

We may also use this information when we match records by computer. Matching programs compare our records with those of other Federal, State, or local government agencies. Many agencies may use matching programs to find or prove that a person qualifies for benefits paid by the Federal government. The law allows us to do this even if you do not agree to it.

Explanations about these and other reasons why information you provide us may be used or given out are available in Social Security offices. If you want to learn more about this, contact any Social Security office.

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by Section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget control number. We estimate that it will take about 15 minutes to read the instructions, gather the facts, and answer the questions. **SEND OR BRING THE COMPLETED FORM TO YOUR LOCAL SOCIAL SECURITY OFFICE. The office is listed under U. S. Government agencies in your telephone directory or you may call Social Security at 1-800-772-1213. You may send comments on our time estimate above to: SSA, 6401 Security Blvd., Baltimore, MD 21235-6401. Send only comments relating to our time estimate to this address, not the completed form.**

RECEIPT FOR YOUR CLAIM FOR SOCIAL SECURITY PARENT'S INSURANCE BENEFITS

TELEPHONE NUMBER(S) TO CALL IF YOU HAVE A QUESTION OR SOMETHING TO REPORT	BEFORE YOU RECEIVE A NOTICE OF AWARD ____-____-____ (AREA CODE)	SSA OFFICE	DATE CLAIM RECEIVED
	AFTER YOU RECEIVE A NOTICE OF AWARD ____-____-____ (AREA CODE)		

Your application for Social Security benefits has been received and will be processed as quickly as possible.

some other change that may affect your claim, you or someone for you, should report the change. The changes to be reported are listed below.

You should hear from us within _____ days after you have given us all the information we requested. Some claims may take longer if additional information is needed.

Always give us your claim number when writing or telephoning about your claim.

In the meantime, if you have a change of address, or if there is

If you have any questions about your claim, we will be glad to help you.

CLAIMANT	SOCIAL SECURITY CLAIM NUMBER

DECEASED'S NAME *(If surname differs from name of claimant)*

CHANGES TO BE REPORTED AND HOW TO REPORT

FAILURE TO REPORT MAY RESULT IN OVERPAYMENTS THAT MUST BE REPAYED, AND IN POSSIBLE MONETARY PENALTIES

- ▶ You change your mailing address for checks or residence. (To avoid delay in receipt of checks you should ALSO file a regular change of address notice with your post office.)
- ▶ Your citizenship or immigration status changes.
- ▶ You go outside the U.S.A. for 30 consecutive days or longer.
- ▶ Any beneficiary dies or becomes unable to handle benefits.
- ▶ Work Changes -- On your application you told us you expect total earnings for _____ to be \$ _____. You (are) (are not) earning wages of more than \$ _____ a month. You (are) (are not) self-employed rendering substantial services in a trade or business.

(Report AT ONCE if this work pattern changes.)
- ▶ You are confined to jail, prison, penal institution or correctional facility for conviction of a crime or you are confined to a public institution by court order in connection with a crime.
- ▶ You have an unsatisfied warrant for your arrest for a crime or attempted crime that is a felony (or, in jurisdictions that do not define crimes as felonies, a crime that is punishable by death or imprisonment for a term exceeding 1 year.)
- ▶ You have an unsatisfied warrant for a violation of probation or parole under Federal or State law.

- ▶ Change of Marital Status - Marriage, divorce, annulment of marriage. You must report marriage even if you believe that an exception applies.
- ▶ Custody Change - Report if a person for whom you are filing, or who is in your care dies, leaves your care or custody, or changes address.

HOW TO REPORT

You can make your reports by telephone, mail, or in person, whichever you prefer. If you are awarded benefits, and one or more of the above change(s) occur, you should report by:

- ▶ Calling us TOLL FREE at 1-800-772-1213;
- ▶ If you are deaf or hearing impaired, calling us TOLL FREE at TTY 1-800-325-0778; or
- ▶ Calling, visiting or writing your local social security office at the phone number and address shown on your claim receipt.

For general information about Social Security, visit our web site at www.socialsecurity.gov.

For those under full retirement age, the law requires that a report of earnings be filed with SSA within 3 months and 15 days after the end of any taxable year in which you earn more than the annual exempt amount. You may contact SSA to file a report. Otherwise, SSA will use the earnings reported by your employer(s) and your self-employment tax return (if applicable) as the report of earnings required by law and adjust benefits under the earnings test. It is your responsibility to ensure that the information you give concerning your earnings is correct. You must furnish additional information as needed when your benefit adjustment is not correct based on the earnings on your record.

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As permitted under 5 U.S.C. § 552a(b) of the Privacy Act, as amended, SSA may disclose the information you provide (1) to another Federal, State or local government agency for determining eligibility for a government benefit or program; (2) to a Congressional office requesting information on your behalf; (3) to comply with Federal laws requiring the disclosure of the information from our records; and (4) to facilitate statistical research, audit or investigative activities necessary to ensure the integrity of SSA programs.

We may also use the information you give us when we match records by computer. Matching programs compare our records with those of other Federal, State or local government agencies. Many agencies may use matching programs to find or prove that a person qualifies for benefits paid by the Federal government. The law allows us to do this even if you do not agree to it.

Explanations about these and other reasons why information you provide us may be used or given out are available in Social Security Offices. If you want to learn more about this, contact any Social Security Office.

The following revised PRA Statement will be inserted into the form at its next scheduled reprinting:

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget control number. We estimate that it will take about 15 minutes to read the instructions, gather the facts, and answer the questions. **SEND OR BRING THE COMPLETED FORM TO YOUR LOCAL SOCIAL SECURITY OFFICE. The office is listed under U. S. Government agencies in your telephone directory or you may call Social Security at 1-800-772-1213 (TTY 1-800-325-0778).** *You may send comments on our time estimate above to: SSA, 6401 Security Blvd, Baltimore, MD 21235-6401. Send only comments relating to our time estimate to this address, not the completed form.*

REPORTING RESPONSIBILITIES FOR PARENT'S INSURANCE BENEFITS

CHANGES TO BE REPORTED AND HOW TO REPORT

Failure to report may result in overpayments that must be repaid and in possible monetary penalties.

- ▶ You change your mailing address for checks or residence. (To avoid delay in receipt of checks you should ALSO file a regular change of address notice with your post office.)
- ▶ Your citizenship or immigration status changes.
- ▶ You go outside the U.S.A. for 30 consecutive days or longer.
- ▶ Any beneficiary dies or becomes unable to handle benefits.
- ▶ Work Changes - On your application you told us you expect total earnings for _____ Year to be \$ _____
- ▶ You are confined to jail, prison, penal institution or correctional facility for conviction of a crime or confined to a public institution by court order in connection with a crime.
- ▶ You have an unsatisfied warrant for your arrest for a crime or attempted crime that is a felony (or, in jurisdictions that do not define crimes as felonies, a crime that is punishable by death or imprisonment for a term exceeding 1 year).
- ▶ You have an unsatisfied warrant for a violation of probation or parole under Federal or State law.

You (are) (are not) earning wages of more than \$ _____ a month.

You (are) (are not) self-employed rendering substantial services in your trade or business.

(Report AT ONCE if this work pattern changes)

- ▶ Custody Change - Report if a person for whom you are filing or who is in your care dies, leaves your care or custody or changes address.
- ▶ Change of Marital Status - Marriage, divorce or annulment of marriage even if you believe that an exception applies.

WORK AND EARNINGS

HOW TO REPORT

You can make you reports by telephone, mail, or in person, whichever you prefer.

If you are awarded benefits, and one or more of the above change(s) occur, you should report by:

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