

Survey of Income and Program Participation - 2008 Panel

Topical Module Items Booklet  
Wave 3

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# Items Booklet for

Specification:  
Section: WELFARE REFORM

Mark One Only

**WR01**

```
[if PCNT gt <1>]
Which category represents the total combined income of all members
of this family during the past 12 months? This includes money from
jobs, net income from business, farm, or rent, pensions, dividends,
interest, social security payments and any other money income
received by members of this family who are 15 years of age or older.
[else]
Which category represents your total income during the past 12
months? This includes money from jobs, net income from business,
farm, or rent, pensions, dividends, interest, social security
payments and any other money income received by you.
[endif]
```

(READ ALL RESPONSES)

- (1) LESS THAN \$10,000
- (2) \$10,000 TO \$20,000
- (3) \$20,000 TO \$30,000
- (4) \$30,000 TO \$40,000
- (5) \$40,000 TO \$50,000
- (6) \$50,000 or MORE

@

Mark One Only

**WR02**

```
[if MEANTEST eq <1>]
Our next questions are about various types of assistance for persons
in need.
```

```
Between [fill MONTH1] 1st and today, other than the benefits you
already told me about, did [fill TEMPNAME] receive any type of
assistance because [fill HESHE] had income that was too low to meet
[fill HISHER] needs?
[else]
```

```
Our next questions are about various types of assistance for persons
in need.
```

```
Between [fill MONTH1] 1st and today, did [fill TEMPNAME] receive
any type of assistance because [fill HESHE] had income that was
too low to meet [fill HISHER] needs?
[endif]
```

- (1) Yes
- (2) No

@

Mark One Only

**WR03**

Just to be sure, this assistance could have come in any form, such as help getting a job, help improving [fill HISHER] skills, help with child care, food assistance, etc. Did [fill HESHE] get anything like that?

- (1) Yes
- (2) No

@

Mark One Only

**WR06**

```
[if WR02 eq <> or WR03 eq <1>]
Our next questions are about various types of assistance for persons
in need, such as help from a government agency or a charity.
[endif]
[if CONWKSWRK@1 eq <A> or INDEX gt <0>]
Did a welfare or social service agency give any of [fill HISHER]
employers money to cover all or part of [fill HISHER] hiring,
training, or wages?
[else]
[if WRJFLAG3 eq <1>]
Did a welfare or social service agency pay [fill HISHER] EMPLOYING
agency part of the cost of [fill HISHER] hiring, training, or wages?
[else]
Did the government give [fill HISHER] employer any money to cover
all or part of [fill HISHER] hiring, training, or wages?
[endif] [endif]
```

- (1) Yes
- (2) No

@

Multiple Entry

**WR06B**

What specifically was that for: HIRING, TRAINING or WAGES? Or more than one of these?

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

```
[fill WR06B_1:b](1) Hiring
[fill WR06B_2:b](2) Training
[fill WR06B_3:b](3) Wages
```

@1

Enter Text

**WR06B\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Mark One Only

**WR09**

```
Between [fill MONTH1] 1st and today, did [fill HESHE] receive
[fill WRTXT6][fill WRQ9Q]
[fill WRTXT2] [fill WRQ91]
[fill WRTXT3] [fill WRQ92]
[fill WRTXT4] [fill WRQ93]
```

- (1) Yes
- (2) No

@

Mark One Only

**WR10**

Since [fill MONTH1] 1st, did [fill HESHE] receive any clothing assistance or clothes, such as assistance to buy school or winter clothes, uniforms or work?

- (1) Yes
- (2) No

@

Mark One Only

**WR11**

```
[if ISS(<19>,L_NO) eq <1> or EGYASSYN eq <1>]
At any time since [fill MONTH1] 1st, did [fill TEMPNAME] receive any
assistance to help pay for housing, other than energy assistance?
[else]
At any time since [fill MONTH1] 1st, did [fill TEMPNAME] receive any
assistance to help pay for housing?
[endif]

      (1) Yes
      (2) No

      @
```

Mark One Only

**WR13**

```
[if INDEX eq <1>]
Even though [fill HESHE] didn't receive any child support, since
[fill MONTH1] 1st, did [fill HESHE] talk to or work with anyone
from the child support or welfare office to try to obtain child
support?
[else]
Since [fill MONTH1] 1st, did [fill HESHE] talk to or work with
anyone from the child support or welfare office to try to obtain
child support?
[endif]

      (1) Yes
      (2) No

      @
```

Multiple Entry

**WR14**

```
Since [fill MONTH1] 1st did [fill HESHE] receive any other assistance
or benefits because [fill HESHE] had income that was too low to meet
[fill HESHER] needs?

      (1) Yes
      (2) No
      @1
[if WR14@1 eq <1>]
What was that?

@3      [endif]
```

Mark One Only

WR15

```
[if CONWKSWRK@1 eq <A> or INDEX gt <0>]
  To get any of the work [fill HESHE] did since [fill MONTH1] 1st,
  did [fill HESHE] get any help from a government or social service
  agency?
[else]
[if WRRJBCT gt <1>]
  To get the jobs with
  (read above for name or names of employers), did [fill HESHE] get
  any help from a government or social service agency or from a case
  worker or social worker?
[else]
  To get the job with
  (read above for name or names of employers), did [fill HESHE] get
  any help from a government or social service agency or from a case
  worker or social worker?
[endif] [endif]

      (1) Yes
      (2) No

@
```

Multiple Entry

WR16

```
[if ISS(<20>,L_NO) ne <1> and ISS(<21>,L_NO) ne <1> and ISS(<24>,L_NO) ne <1>
  and ISS(<27>,L_NO) ne <1> and PUBHSE ne <1> and GVTRNT ne <1>]
  Which of the following activities [fill HAVHAS] [fill HESHE] done
  since [fill MONTH1] 1st either because the welfare or social service
  office required it for [fill HIMHER] to receive or because [fill HESHE]
  chose to do it:
[else]
  Which of the following activities [fill HAVHAS] [fill HESHE] done
  since [fill MONTH1] 1st either because the welfare or social service
  office required it for [fill HIMHER] to receive [fill WRTXT2]
  [fill WRTXT3] [fill WRTXT4] or because [fill HESHE]
  chose to do it:
[endif]

@1   Reported [fill HISHER] income and any family or address
      changes on a regular basis?
      (1) Yes
      (2) No

@2   Worked in exchange for your benefits or to gain experience?
      FR NOTE: THIS WORK MAY ALSO BE CALLED AN UNPAID JOB, WORKFARE
      OR COMMUNITY SERVICE

      (1) Yes
      (2) No

[if SEX eq <2> and WRFLAG5 ge <1>]
@3   Had a test to establish the paternity of a child?
      (1) Yes
      (2) No
[endif]

@4   Had drug testing?
      (1) Yes
      (2) No
```

## Multiple Entry

**WR23**

```

[if INDEX lt <1>]
You said [fill TEMPNAME] received help looking for a job. Which of the following kinds
of assistance did [fill HESHE] receive:
[else]
[if WELACTV2@1 eq <1> or WELACTV2@2 eq <1>]
Earlier you said you participated in some kind of job training
activity.

[else]
[if WELACTV2@1 eq <1> or WELACTV2@3 eq <1>]
Earlier you said you attended schooling or training because social
services or a welfare office paid for, referred or sent
there [fill HESHE].

[endif] [endif] [endif]
[if WELACTV2@1 eq <1> or WELACTV2@2 eq <1> or WELACTV2@3 eq <1>]
Since [fill MONTH1] 1st, which of the following kinds of training or
assistance did [fill HESHE] receive:
[else]
[if WRJFLAG1 ge <1> and WRJFLAG2 ge <1>]
You said [fill TEMPNAME] received help finding the job with (read above
for name or names of employers). Which of the following kinds of
assistance did [fill HESHE] receive:
[else]
You said [fill TEMPNAME] received help finding the work [fill HESHE]
did since [fill MONTH1] 1st. Which of the following kinds of
assistance did [fill HESHE] receive:
[endif] [endif]

READ ALL RESPONSES      (1) Yes      (2) No

      @1 (1) Resume writing?
      @2 (2) Learning how to interview?
      @3 (3) Learning how to dress for work or an interview?
      @4 (4) Self-esteem building?
      @5 (5) Computer training?
      @6 (6) Other office clerical skills?
      @7 (7) Machinery training, such as using certain machines or
            tools?
      @8 (8) Any other specific job skills training?
[if WELACTV2@2 eq <1> or WELACTV2@1 eq <1> or WELACTV2@3 eq <1>]
      @9 (9) Work towards a high school diploma or GED?
      @10 (10) Work towards a college degree or certificate?
[endif]
      @11 (11) Literacy training?
      @12 (12) Referrals to jobs or access to job listings?
      @13 (13) (At FR discretion) English as a second language,
            ESL instruction?
      @14 (14) Some other kind of training or education or job
            search help?
[if @14 eq <1>]
What was that?

      @15      [endif]

```

## Mark One Only

**WR24A**

Have you completed the training?

- (1) Yes  
(2) No  
@

Mark One Only

**WR24**

Did any of that training or assistance actually lead to a job?

- (1) Yes
  - (2) No
- @

Mark One Only

**WR25**

Did [fill HESHE] pay anything for the education, job search, or training [fill HESHE] received since [fill MONTH1] 1st?

- (1) Yes
  - (2) No
- @

Mark One Only

**WR36**

You said that since [fill MONTH1] 1st, [fill TEMPNAME] employer received [fill TEMP1] assistance to cover all of part of [fill HISHER] hiring, training, or wages. Do you know if [fill HISHER] employer received just a single payment or more than one payment?

- (1) Single payment
  - (2) More than one
- @

Multiple Entry

**WR40**

You said that since [fill MONTH1] 1st, [fill TEMPNAME] received transportation assistance. Did [fill HESHE] receive....

- (1) Yes    (2) No

@1 Gas vouchers?

@2 Bus or subway tokens or passes?

@3 Help registering, repairing, or insuring a car?

@4 Rides to a doctor's office or medical appointment?

@5 Some other kind of transportation assistance?

[if WR40@5 eq <1>]  
What was that?  
@6

[endif]



## Multiple Entry

WR43

You said that since [fill MONTH1] 1st, [fill TEMPNAME] received child care services or support. Did [fill HESHE] pay part of the cost of the child care or did [fill HESHE] receive FREE child care services?

- (1) Paid part of the cost
- (2) Free child care
- (3) Neither
- (4) Both

@1

[if @1 eq <3>]

What kind of child care services or support did [fill HESHE] receive?

@5

[endif]

## Mark One Only

WR44

Did a government social service agency pay any of the cost of the child care since [fill MONTH1] 1st?

- (1) Yes
- (2) No

@

## Mark One Only

WR45

[if WR44 eq <1>]

Did an employer, a charity, a relative, or a friend also help pay the cost of any of the child care since [fill MONTH1] 1st?

[else]

Did an employer, a charity, a relative, or a friend help pay the cost of any of the child care since [fill MONTH1] 1st?

[endif]

- (1) Yes
- (2) No

@

## Multiple Entry

WR46

Who was that?

- (1) An employer
- (2) A charity
- (3) A relative
- (4) A friend
- (5) Other

@1

[if @1 eq <5>]

Who was that?

@6

[endif]

Multiple Entry

WR47

Which of [fill PTEMPNAME] children were covered by the child care assistance through a government social service agency?

ENTER LINE NUMBER BELOW  
(N) No more

@1 @2 @3 @4 @5 @6 @7 @8 @9 @10

@11 @12 @13 @14 @15 @16 @17 @18 @19 @20

@21 @22 @23 @24 @25 @26 @27 @28 @29 @30

Multiple Entry

WR48

Which of the following types of child care arrangements were paid for by the government social service agency since [fill MONTH1] 1st:

READ ALL RESPONSES (1) Yes (2) No

@1 Care by a RELATIVE, including sisters, grandparents, etc?

@2 A child care provider or a day care center, outside [fill HISHER] home, including a nursery or preschool?

@3 Care by a non-relative, such as a sitter or nanny?

@4 An after school program at school?

Multiple Entry

WR49

[if ISS(<27>,L\_NO) ne <1> and ISS(<25>,L\_NO) ne <1> and FREEBRK ne <1>  
and FREELNYN ne <1>]

You said that since [fill MONTH1] 1st, [fill TEMPNAME] received some food assistance. Did [fill HESHE] receive:

[else]

You said that since [fill MONTH1] 1st, [fill TEMPNAME] received some food assistance. Excluding [fill HISHER] [fill WRTXT2]

[fill WRTXT3] [fill WRTXT4] did [fill HESHE] receive:

[endif]

(1) Yes (2) No

@1 Money, vouchers, or certificates to buy groceries or foods?

@2 Bags of groceries or packaged foods?

@3 Any meals from a shelter, soup kitchen, or charity?

@4 Any other food assistance?

[if @4 eq <1>]

What was that?

@5

[endif]

## Multiple Entry

**WR50**

Did [fill HESHE] get the grocery money, vouchers, or certificates through a government social service agency, through a community or religious charitable organization, through family or friends, or through someplace else?

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

[fill WR50\_1:b](1) Government agency  
 [fill WR50\_2:b](2) Community or religious charity  
 [fill WR50\_3:b](3) Family or friends  
 [fill WR50\_4:b](4) Someplace else

@1

[if WR50@1 eq <4> and WR50@5 eq <>]

What was that?

@5

[endif]

## Enter Text

**WR50\_ERR**

"Don't Know and/or Refused" response not permitted with other answers

Enter (B) to backup

@

## Multiple Entry

**WR54**

You said that [fill TEMPNAME] received clothing assistance or clothes since [fill MONTH1] 1st. Did [fill HESHE] get that through a government social service agency, through a community or religious charitable organization, through family or friends, through an employer, or through someplace else?

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

[fill WR54\_1:b](1) Government agency  
 [fill WR54\_2:b](2) Community or religious charity  
 [fill WR54\_3:b](3) Family or friends  
 [fill WR54\_4:b](4) Employer  
 [fill WR54\_5:b](5) Someplace else

@1

[if WR54@1 eq <5> and WR54@6 eq <>]

What was that?

@6

[endif]

## Enter Text

**WR54\_ERR**

"Don't Know and/or Refused" response not permitted with other answers

Enter (B) to backup

@

Mark One Only

**WR56**

```
[if PUBHSE eq <1>]
Earlier you said [fill TEMPNAME] [fill AREIS] in public housing.
Is this through Section 8, some other rental assistance program, or
are you not sure?
[else]
[if GVTRNT eq <1>]
Earlier you said [fill TEMPNAME] [fill AREIS] receiving reduced
rent. Is this through Section 8, some other rental assistance
program, or are you not sure?
[endif] [endif]

(1) SECTION 8
(2) Other rental assistance
(3) Not sure/Don't know
```

@

Mark One Only

**WR57**

```
Earlier you said that [fill TEMPNAME] received assistance to help
pay for housing since [fill MONTH1] 1st. Was that through
Section 8, some other rental assistance program, some other kind of
housing program, or are you not sure?
```

- (1) Section 8
- (2) Other rental assistance
- (3) Other housing program
- (4) Not sure/Don't know

@

Multiple Entry

**WR58**

```
Did [fill TEMPNAME] get that through a government social service agency,
through a local housing authority, through a community or religious
charitable organization, or through someplace else?
```

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

- [fill WR58\_1:b](1) Government agency
- [fill WR58\_2:b](2) Housing authority
- [fill WR58\_3:b](3) Community or religious charity
- [fill WR58\_4:b](4) Someplace else

@1

```
[if WR58@1 eq <4> and WR58@5 eq <>]
```

What was that?

@5

```
[endif]
```

Enter Text

**WR58\_ERR**

```
"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
```

@

## Multiple Entry

**WR59**

You said that since [fill MONTH1] 1st [fill TEMPNAME] received short-term cash assistance to tide [fill HIMHER] over or to help [fill HIMHER] stay off welfare. Did [fill HESHE] get that through a government social service agency, through family or friends, or through someplace else?

- (1) Government agency
- (2) Family or friends
- (3) Someplace else

@1  
 [if @1 eq <3>]  
 What was that?  
 @4  
 [endif]

## Enter Number

**WR61**

How many of those payments [fill HAVHAS] [fill HESHE] received since [fill MONTH1] 1st?

@

## Enter Number

**WR62**

And what is the total amount of the short-term cash assistance [fill HESHE] [fill HAVHAS] received since [fill MONTH1] 1st?

\$ @

## Mark One Only

**WR63**

Did you already report this amount earlier in the interview?

- (1) Yes
- (2) No

@

## Mark One Only

**WR64**

(Was the payment/Were the payments) for a particular use, or could you spend (it/them) on whatever you needed?

- (1) Particular use
- (2) Whatever was needed

@

Multiple Entry

WR65

```
[if WRFLAG7 ge <2>]
What was the money for - rent, food, clothes for [fill SELF] or
[fill HISHER] children, car expenses, or something else?
[else]
[if WRFLAG7 eq <1>]
What was the money for - rent, food, clothes for [fill SELF] or
[fill HISHER] child, car expenses, or something else?
[else]
What was the money for - rent, food, clothes, car expenses, or
something else?
[endif] [endif]
```

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

```
[fill WR65_1:b](1) Rent
[fill WR65_2:b](2) Food
[fill WR65_3:b](3) Clothes for self
[fill WR65_4:b](4) Clothes for kids
[fill WR65_5:b](5) Car expenses
[fill WR65_6:b](6) Other
```

@1

```
[if WR65@1 eq <6> and WR65@7 eq <>]
What was that?
```

@7

[endif]

Enter Text

WR65\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Multiple Entry

WR66

```
You said that since [fill MONTH1] 1st, [fill TEMPNAME] talked to or worked
with someone from the child support or welfare office to try to
obtain child support. Was it from the child support agency, the
welfare office, or someplace else?
```

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

```
[fill WR66_1:b](1) Child support agency
[fill WR66_2:b](2) Welfare office
[fill WR66_3:b](3) Someplace else
```

@1

```
[if WR66@1 eq <3> and WR66@4 eq <>]
What was that?
```

@4

[endif]

Enter Text

WR66\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

## Multiple Entry

WR68

```

What kind of assistance did [fill HESHE] try to get? Was it -

      (1) Yes      (2) No

      @1 Help establishing paternity?
      @2 Help locating an absent parent?
      @3 Help obtaining a court order for child support?
      @4 Help collecting child support?
      @5 or some other kind of help?
[if @5 eq <1>]
What was that?
      @6
[endif]

```

## Multiple Entry

WR69

```

[if WR14@3 ne <>]
You said that since [fill MONTH1] 1st, [fill TEMPNAME] received:
[fill WRTXT1]
[else]
You said that since [fill MONTH1] 1st, [fill TEMPNAME] received:
other assistance or benefits
[endif]

Did [fill HESHE] get that through a government social service agency,
through a community or religious charitable organization, through
family or friends, or through someplace else?

MARK ALL THAT APPLY   ENTER "N" AFTER LAST ENTRY

      [fill WR69_1:b](1) Government agency
      [fill WR69_2:b](2) Community or religious charity
      [fill WR69_3:b](3) Family or friends
      [fill WR69_4:b](4) Someplace else
      @1
[if WR69@1 eq <4> and WR69@5 eq <>]
What was that?
      @5
[endif]

```

## Enter Text

WR69\_ERR

```

"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
@

```

## Multiple Entry

WR70

```

What is the total amount of that assistance [fill HESHE] [fill HAVHAS]
received since [fill MONTH1] 1st?

      $ @1
      or

      (1) No cash value

      @2
[if @2 eq <1>]
What did [fill HESHE] receive?

      @3
[endif]

```

Mark One Only

**WR71**

Did you already report this amount earlier in the interview?

- (1) Yes
- (2) No

@

Mark One Only

**WR72**

Was the assistance for a particular use, or could [fill HESHE] spend it on whatever [fill HESHE] needed?

- (1) Particular use
- (2) Whatever was needed

@

Multiple Entry

**WR73**

```
[if WRFLAG7 ge <2>]
  What was the money for -- rent, food, clothes for [fill SELF] or
  [fill HESHER] children, car expenses, or something else?
[else]
[if WRFLAG7 eq <1>]
  What was the money for -- rent, food, clothes for [fill SELF] or
  [fill HESHER] child, car expenses, or something else?
[else]
  What was the money for -- rent, food, clothes, car expenses, or
  something else?
[endif] [endif]
```

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

- [fill WR73\_1:b](1) Rent
- [fill WR73\_2:b](2) Food
- [fill WR73\_3:b](3) Clothes for self
- [fill WR73\_4:b](4) Clothes for kids
- [fill WR73\_5:b](5) Car expenses
- [fill WR73\_6:b](6) Other

@1

```
[if WR73@1 eq <6> and WR73@7 eq <>]
  What was that?
```

@7

[endif]

Enter Text

**WR73\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@



Mark One Only

**WR81**

You said that [fill TEMPNAME] worked in exchange for [fill HISHER] benefits or to gain experience since [fill MONTH1] 1st. Was that at:

- (1) A Government organization, including a public school or a government social service agency
- (2) A private, for profit company
- (3) or a non-profit organization, including tax exempt and charitable organizations

@

Multiple Entry

**WR83**

ASK OR VERIFY -

What is the main function or activity of the government organization that [fill HESHE] worked for?

Was it mainly --

- (1) Education
- (2) Social Service
- (3) Public Safety
- (4) Recreation
- (5) Health
- (6) Religion
- (7) or something else?

@1

[if @1 eq &lt;7&gt;]

What was that?

@8

[endif]

Enter Text

**WR84**

[if WR81 eq &lt;2&gt;]

What kind of business or industry is this? What do they make or do there?

[else]

What kind of organization is this? What do they make or do there?

[endif]

@

Mark One Only

**WR85**

ASK OR VERIFY -

Is it mainly --

- (1) Manufacturing
- (2) Wholesale Trade
- (3) Retail Trade
- (4) Service
- (5) or something else?

@

Enter Text

**WR86**

What kind of work did [fill HESHE] do, that is, what was [fill HISHER] occupation?

(Read if necessary: "For example bookkeeper, plumber, press operator...")

@

Enter Text

**WR87**

What were [fill HISHER] usual activities or duties on this job?

(Read if necessary: "For example, keeping account books, repairing pipes, operating printing press...")

@

Mark One Only

**WR88**

Did that work in exchange for [fill HISHER] benefits or to gain experience actually lead to a regular, paying job?

- (1) Yes
- (2) No

@

Mark One Only

**WR97**

FR NOTE:

DID YOU ALSO COLLECT THE RESPONDENT'S WORK IN EXCHANGE FOR BENEFITS INFORMATION IN SIPP CORE (WHEN ASKING ABOUT JOBS HELD OR WORK DONE)?

- (1) Yes
- (2) No
- (3) Not Sure

@

Mark One Only

**WR102**

I know [fill TEMPNAME] [fill HAVHAS] not received any income assistance, but [fill HESHE] may have looked into getting such assistance.

Since [fill MONTH1] 1st, did [fill HESHE] INQUIRE about or COMPLETE AN APPLICATION for receiving ANY government assistance because [fill HESHE] had income that was too low to meet [fill HISHER] needs?

- (1) Yes
- (2) No

@

## Mark One Only

## WR103

```
[if L_NO eq LNO_RESP]
You reported receiving some income assistance. The next questions
are about whether [fill HESHE] looked into getting any OTHER
government assistance.
[else]
You reported that [fill TEMPNAME] received some income assistance.
The next questions are about whether [fill HESHE] looked into getting
any OTHER government assistance.
[endif]

Since [fill MONTH1] 1st, did [fill HESHE] INQUIRE about or COMPLETE
AN APPLICATION for receiving ANY OTHER government assistance because
[fill HESHE] had income that was too low to meet [fill HISHER] needs?

(1) Yes
(2) No

@
```

## Multiple Entry

## WR104

```
[if WRFLAG10 eq <2>]
What additional government assistance did [fill HESHE] inquire
about? Anything else?
[else]
What (FILL if reported means-tested benefits: additional) government
assistance did [fill HESHE] INQUIRE about or COMPLETE AN APPLICATION
for? Anything else?
[endif]
MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

[fill WR104_1:b](1) Public Assistance payments, sometimes called AFDC or ADC
[fill WR104_2:b](2) General Assistance program or General Relief program
[fill WR104_3:b](3) Supplemental Security Income (SSI)
[fill WR104_4:b](4) Food Stamps
[fill WR104_5:b](5) Medicaid
[fill WR104_6:b](6) WIC (Women, Infants, and Children Nutrition program)
[fill WR104_7:b](7) Unemployment Compensation
[fill WR104_8:b](8) Public Housing or rental assistance or Section 8
[fill WR104_9:b](9) Energy Assistance
[fill WR104_10:b](10) Education or training
[fill WR104_11:b](11) Child care assistance
[fill WR104_12:b](12) Transportation assistance
[fill WR104_13:b](13) School meals
[fill WR104_14:b](14) Other
@1
[if WR104@1 eq <14> and WR104@15 eq <>]

What was that?
@15
[endif]
```

## Enter Text

## WR104\_ERR

```
"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup

@
```

Multiple Entry

WR108

```
[if WRFLAG10 eq <2>]
Why didn't [fill HESHE] inquire about or complete an application for
any additional assistance programs? Anything else?
[else]
Why didn't [fill HESHE] inquire about or complete an application for
any assistance programs? Anything else?
[endif]

DO NOT READ ANSWER CATEGORIES
MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

[fill WR108_1:b](1) Exceeded time limit
[fill WR108_2:b](2) Don't need any
[fill WR108_3:b](3) Not eligible because of immigration status
[fill WR108_4:b](4) Not eligible for some other reason
[fill WR108_5:b](5) Didn't know there was anything else/didn't know I could
[fill WR108_6:b](6) Too much run-around/couldn't get a straight
answer/bureaucratic hassle
[fill WR108_7:b](7) No transportation to office
[fill WR108_8:b](8) Don't take charity/don't accept aid from the government
[fill WR108_9:b](9) The money is not worth it
[fill WR108_10:b](10) Haven't done it yet/plan to
[fill WR108_11:b](11) No other assistance available
[fill WR108_12:b](12) Some other reason

@1

[if WR108@1 eq <12> and WR108@14 eq <>]
What is that reason?
@14
[endif]
```

Enter Text

WR108\_ERR

```
"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
@
```

Mark One Only

WR113

```
Earlier you said that since [fill MONTH1] 1st, [fill HESHE] received CASH
assistance from a state or county welfare program, such as[if TANFFIL1 ne <>]
[fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>], or [endif][fill TANFFIL3][if
TANFFIL3
ne <>],[endif]
or AFDC. Have any of [fill HISHER] payments been
reduced, or [fill HAVHAS] [fill HESHE] been notified that any of [fill HISHER] benefits
are going to be reduced?

(1) Yes
(2) No
@
```

## Multiple Entry

**WR114**

What reasons were given for [fill HISHER] benefits being reduced?  
Anything else?

DO NOT READ ANSWER CATEGORIES

MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

[fill WR114\_1:b](1) Income resources increased (including got a job,  
earnings increased)

[fill WR114\_2:b](2) Non-cooperation with work requirements

[fill WR114\_3:b](3) Non-cooperation with child support requirements

[fill WR114\_4:b](4) Did not provide all the information requested

[fill WR114\_5:b](5) Refused to sign or failed to comply with signed individual  
responsibility plan

[fill WR114\_6:b](6) Receiving SSI

[fill WR114\_7:b](7) Exceeded time limit

[fill WR114\_8:b](8) Other

@1

[if WR114@1 eq <8> and WR114@14 eq <>]

Specify?

@14

[endif]

## Enter Text

**WR114\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

## Mark One Only

**WR115**

Earlier you said that since [fill MONTH1] 1st [FILL HESHE] [FILL HAVHAS] not  
received any CASH assistance from a state or county welfare program, such  
as [if TANFFIL1 ne <>][fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>],  
or [endif][fill TANFFIL3][if TANFFIL3 ne <>],[endif] or AFDC. [fill C\_HAVHAS]  
[FILL HESHE] EVER received it in the past?

(1) Yes

(2) No

@

## Multiple Entry

**WR115B**

When was the last time [fill HESHE] received [if TANFFIL1 ne <>]  
[fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>], or[endif]  
[fill TANFFIL3][if TANFFIL3 ne <>],[endif] or AFDC?

@MONTH Month

@YEAR Year

## Enter Text

**WR115BYR**

Year must be less than interview Year

Enter (B) to backup

@

Enter Text

WR115BMO

Year must be greater than or equal to birth year  
Enter (B) to backup  
@

Multiple Entry

WR116

What are the reasons you are CURRENTLY not receiving CASH assistance from a state or county welfare program, such as [if TANFFIL1 ne <>][fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>], or [endif][fill TANFFIL3][if TANFFIL3 ne <>],[endif] or AFDC? Anything else? DO NOT READ ANSWER CATEGORIES  
MARK ALL THAT APPLY ENTER "N" AFTER LAST ENTRY

[fill WR116\_1:b](1) Exceeded time limit  
[fill WR116\_2:b](2) Non-cooperation with work requirements  
[fill WR116\_3:b](3) Non-cooperation with child support requirements  
[fill WR116\_4:b](4) Did not provide all the information requested  
[fill WR116\_5:b](5) Didn't want to use up time limit (banking eligibility)  
[fill WR116\_6:b](6) Income resources too high to qualify (including got a job, earnings increased)  
[fill WR116\_7:b](7) Had already received maximum assistance  
[fill WR116\_8:b](8) Refused to sign or failed to comply with signed individual responsibility plan  
[fill WR116\_9:b](9) Got married  
[fill WR116\_10:b](10) No longer needed  
[fill WR116\_11:b](11) Children got too old (aged out)  
[fill WR116\_12:b](12) Other - Specify

@1  
[if WR116@1 eq <12> and WR116@13 eq <>]  
What was the other reason?  
@13  
[endif]

Enter Text

WR116\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup  
@

Multiple Entry

WR117

[if WR116@1 onpath]  
You told me that [FILL HESHE] received CASH assistance from a state or county welfare program, such as [if TANFFIL1 ne <>][fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>], or [endif][fill TANFFIL3][if TANFFIL3 ne <>],[endif]  
or AFDC in the past.[endif]  
How many more months [FILL AREIS] [FILL HESHE] eligible?  
  
@1 months  
  
Or end date:  
  
@2 month @3 year

Mark One Only

**WR118**

Earlier you stated that your CASH assistance from a state or county welfare program, such as [if TANFFIL1 ne <>][fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>], or [endif][fill TANFFIL3][if TANFFIL3 ne <>],[endif] or AFDC covers just the children in the household and not any adults.

Has it always been the case that only [FILL HISHER] children are covered by public assistance and not [FILL HIMHER]?

- (1) Yes  
(2) No

@

Multiple Entry

**WR119**

What is the reason [FILL HESHE] [FILL AREIS] not covered by CASH assistance from a state or county welfare program, such as [if TANFFIL1 ne <>][fill TANFFIL1], [endif][fill TANFFIL2][if TANFFIL2 ne <>], or [endif][fill TANFFIL3][if TANFFIL3 ne <>],[endif] or AFDC?

DO NOT READ ANSWER CATEGORIES  
MARK ALL THAT APPLY

- [fill WR119\_1:b](1) Non-cooperation with work requirements  
[fill WR119\_2:b](2) Non-cooperation with child support requirements  
[fill WR119\_3:b](3) Not eligible - immigration status  
[fill WR119\_4:b](4) Did not provide all the information requested  
[fill WR119\_5:b](5) Refused to sign or failed to comply with signed individual responsibility plan  
[fill WR119\_6:b](6) Receiving SSI  
[fill WR119\_7:b](7) Exceeded time limit  
[fill WR119\_8:b](8) Other - Specify

@1

[if WR119@1 eq <8> and WR119@9 eq <>]  
What was the other reason?

@9

[endif]

Enter Text

**WR119\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Multiple Entry

**WR120**

When did your children start being covered by public assistance on their own?

@MONTH Month

@YEAR Year

Mark One Only

**PR1\_PR090**

Was [fill HISHER] primary source of work related income during the last 4 months from [fill HISHER] job or from [fill HISHER] business?

- (1) Job
- (2) Business

@

Mark One Only

**PR3\_PR110**

I just need to verify some information. Thinking about the location where [fill HESHE] [fill TEMP1], about how many people are employed there by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR4\_PR120**

About how many people are employed by [fill JBNAME] at all locations?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR4A\_PR121**

I just need to verify some information. About how many people are employed by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Enter Number

**PR5\_PR130**

How many weeks during the year [fill DODOES] [fill HESHE] usually work at [fill JBNAME]? Include paid vacation and sick leave as work time.

@ Weeks



## Multiple Entry

PR6\_PR140

How long [fill HAVHAS] [fill HESHE] been working for  
[fill JBNAME]?

@1 Number

ENTER "1" FOR MONTHS OR "2" FOR YEARS

- (1) Months  
(2) Years

@2

## Mark One Only

PR7\_PR150

Now I'd like to ask about retirement plans offered on this job,  
not Social Security, but plans that are sponsored by [fill HISHER]  
[fill JOBUSA]. This includes regular pension plans as well as  
other kinds of retirement plans like thrift and savings plans,  
401(k) or 403(b) plans, and deferred profit-sharing and  
stock plans.

Does [fill HISHER] [fill JOBUSA] have any kind of pension or retirement  
plans for anyone in [fill HISHER] company or organization?

- (1) Yes  
(2) No

@

## Mark One Only

PR8\_PR160

[fill C\_AREIS] [fill HESHE] included in such a plan?

- (1) Yes  
(2) No

@

## Multiple Entry

PR9\_PR170

Why [fill AREIS] [fill HESHE] not included?

ENTER ALL THAT APPLY  
ENTER "N" AFTER LAST ENTRY

[fill PR9\_1:b](01) No one in my type of job [fill PR9\_8:b](08) Employer doesn't contribute,  
is allowed in the plan or contribute enough  
[fill PR9\_2:b](02) Don't work enough hours, [fill PR9\_9:b](09) Don't plan to be in job long enough  
weeks or months per year [fill PR9\_10:b](10) Don't need it  
[fill PR9\_3:b](03) Haven't worked long enough [fill PR9\_11:b](11) Have an IRA or other pension plan  
for this employer coverage  
[fill PR9\_4:b](04) Started job too close to [fill PR9\_12:b](12) Spouse has pension plan  
retirement date [fill PR9\_13:b](13) Haven't thought about it  
[fill PR9\_5:b](05) Too young [fill PR9\_14:b](14) Some other reason  
[fill PR9\_6:b](06) Can't afford to contribute  
[fill PR9\_7:b](07) Don't want to tie up money

@1

## Enter Text

PR9\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Mark One Only

PR10\_PR180

Is the plan something like a 401(k) plan, where workers contribute to the plan and their contributions are tax deferred?

- (1) Yes
- (2) No

@

Enter Number

PR11\_PR190

Some workers participate in more than one retirement plan. For example, they might have a regular pension plan and also have some kind of retirement savings plan.

How many different pension or retirement plans [fill DODOES] [fill HESHE] have on this [fill JOBUS]?

@ Number of plans

Mark One Only

PR12\_PR200

SHOW FLASHCARD QQ

[if PR11\_PR190 gt <1> or PR11\_PR190 eq <D> or PR11\_PR190 eq <R>]  
The following question is about the plan [fill HESHE] would consider to be [fill HISHER] most important retirement plan on this job.[endif]  
There are several types of retirement plans.

In the first type, [fill HISHER] benefit is defined by a formula usually involving [fill HISHER] earnings and years on the job.

In the second type of plan, contributions made by [fill HIMHER] and/or [fill HISHER] employer go into an individual account for [fill HIMHER].

The third type of plan shares some characteristics with the above two plans. In this type of plan, [fill HISHER] employer contributes a value equal to a percent of each of [fill HISHER] earnings each year and there is a rate of return on that contribution. This type of plan is sometimes called a cash balance plan.

Which type of plan [fill AREIS] [fill HESHE] in?

[r]H[n]

- (1) Plan based on earnings and years on the job
- (2) Individual account plan
- (3) Cash Balance Plan

@

Mark One Only

PR13\_PR210

What is [fill HISHER] second most important plan on this job?  
(SHOW FLASHCARD QQ)

[r]H[n]

- (1) Plan based on earnings and years on the job
- (2) Individual account plan
- (3) Cash Balance Plan

@

Mark One Only

**PR14\_PR220**

The following series of questions refer to [fill HISHER] [fill IMPORTANT] plan.

[fill C\_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
- (2) No

@

Mark One Only

**PR14A\_PR220A**

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1] is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

- (1) Yes
- (2) No

@

Mark One Only

**PR14B\_PR220B**

[If PR14\_PR220 eq <>]  
The following series of questions refer to [fill HISHER] [fill IMPORTANT] plan.  
[endif]

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now or within the next few months, could [fill HESHE] eventually receive some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
- (2) No

@

Mark One Only

**PR14C\_PR220C**

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number

**PR15\_PR230**

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

Mark One Only

**PR16\_PR231**

Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program?

- (1) Yes
- (2) No
- (3) Do not participate in Social Security

@

Enter Number

**PR17\_PR232**

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year?

\$@

Enter Number

**PR18\_PR233**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

**PR19\_PR234**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR20\_PR240**

The following series of questions refer to [fill HISHER] second most important pension plan.

[fill C\_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
- (2) No

@

Mark One Only

**PR20A\_PR240A**

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1] is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

- (1) Yes
- (2) No

@

Mark One Only

**PR20B\_PR240B**

[If PR20\_PR240 eq <>]  
The following series of questions refer to [fill HISHER] second most important pension plan.  
[endif]

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now or within the next few months, could [fill HESHE] eventually receive some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
- (2) No

@

Mark One Only

**PR20C\_PR240C**

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number

**PR21\_PR250**

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

Mark One Only

**PR22\_PR251**

Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program?

- (1) Yes
- (2) No
- (3) Do not participate in Social Security

@

Enter Number

**PR23\_PR252**

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year?

\${@}

Enter Number

**PR24\_PR253**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\${@}

Mark One Only

**PR25\_PR254**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR26\_PR260**

I'd like to make sure about a particular type of retirement plan that allows workers to make tax deferred contributions. For example, [fill HESHE] might choose to have [fill HISHER] employer put part of [fill HISHER] salary into a retirement savings account and [fill HESHE] [fill DODOES] not have to pay taxes on this money until [fill HESHE] [fill TEMPl]. These plans are called by different names, including 401(k) plans, pre-tax plans, salary reduction plans and 403(b) plans.

Does [fill HISHER] [fill JOBUSB] offer a plan like this to anyone in [fill HISHER] company or organization?

- (1) Yes
- (2) No

@

Mark One Only

**PR27\_PR270**

[fill C\_AREIS] [fill HESHE] participating in this plan?

- (1) Yes
- (2) No

@

Multiple Entry

**PR28\_PR280**

Why [fill AREIS] [fill HESHE] not included?

ENTER ALL THAT APPLY  
ENTER "N" AFTER LAST ENTRY.

[fill PR28\_1:b](01) No one in my type of job is allowed in the plan [fill PR28\_8:b](08) Employer doesn't contribute, or contribute enough  
[fill PR28\_2:b](02) Don't work enough hours, enough [fill PR28\_9:b](09) Don't plan to be in job long enough  
weeks or months per year [fill PR28\_10:b](10) Don't need it  
[fill PR28\_3:b](03) Haven't worked long enough [fill PR28\_11:b](11) Have an IRA or other pension plan  
for this employer coverage  
[fill PR28\_4:b](04) Started job too close to retirement date [fill PR28\_12:b](12) Spouse has pension plan  
[fill PR28\_5:b](05) Too young [fill PR28\_13:b](13) Haven't thought about it  
[fill PR28\_6:b](06) Can't afford to contribute [fill PR28\_14:b](14) Some other reason  
[fill PR28\_7:b](07) Don't want to tie up money

@1

Enter Text

**PR28\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup  
@

Mark One Only

**PR28A\_PR281**

Does [fill HISHER] employer provide a matching contribution, or contribute to the plan in any other way?

- (1) Yes
- (2) No

@

Mark One Only

**PR29\_PR290**

[fill C\_DODOES] [fill HESHE] expect to start participating in this plan within the next few years?

- (1) Yes
- (2) No

@

Multiple Entry

**PR30\_PR300**

[if PR14A\_PR220A eq <1> and PR20A\_PR240A eq <1>]  
Referring to [fill HISHER] most important plan, [endif]  
How much [fill DODOES] [fill HESHE] contribute toward this plan?

ENTER (N) IF RESPONDENT MAKES NO CONTRIBUTIONS.

\$ @1

- Per: (1) Week  
(2) Biweekly  
(3) Month  
(4) Quarter  
(5) Year

@2

OR

@3 Percent of Salary

Mark One Only

**PR31\_PR310**

Does [fill HISHER] [fill JOBUSB] make contributions into this plan?

- (1) Yes
- (2) No

@

Mark One Only

**PR32\_PR320**

Does the amount that [fill HISHER] [fill JOBUSB] contributes to the plan depend entirely, partly, or not at all on the amount [fill HESHE] [fill TEMP1]?

- (1) Depends entirely
- (2) Depends partly
- (3) Not at all

@

Multiple Entry

**PR33\_PR330**

How much does [fill HISHER] [fill JOBUSB] actually contribute to the plan?

\$ @1

- Per:
- (1) Week
  - (2) Biweekly
  - (3) Month
  - (4) Quarter
  - (5) Year

@1A

OR

@2 Percent of Salary

OR

- (6) Contributions out of profits
- (7) Contribution varies

@3

Mark One Only

**PR34\_PR340**

[fill C\_AREIS] [fill HESHE] able to choose how any of the money in the plan is invested?

- (1) Yes
- (2) No

@

Mark One Only

**PR35\_PR350**

[fill C\_AREIS] [fill HESHE] able to choose how all of the money is invested, or just part of it?

- (1) All of the money
- (2) Part of the money

@



## Multiple Entry

**PR36\_PR360**

How are the current contributions to this account being invested?

READ ALL CATEGORIES. ENTER ALL THAT APPLY.  
ENTER "N" AFTER LAST ENTRY

- [fill PR36\_1:b](1) Company stock of [fill HISHER] employer
- [fill PR36\_2:b](2) Stock funds
- [fill PR36\_3:b](3) Corporate bonds or bond funds
- [fill PR36\_4:b](4) Long term interest bearing securities
- [fill PR36\_5:b](5) Diversified stock and bond funds
- [fill PR36\_6:b](6) Government securities
- [fill PR36\_7:b](7) Money market funds
- [fill PR36\_8:b](8) Other investments

@1

## Enter Text

**PR36\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

## Mark One Only

**PR37\_PR370**

Of the types of investments just mentioned, which type is where the largest share of current contributions are being invested?

- (1) Employer company stock
- (2) Stock funds
- (3) Corporate bonds or bond funds
- (4) Long term interest bearing securities
- (5) Diversified stock and bond funds
- (6) Government securities
- (7) Money market funds
- (8) Other investments
- (9) Evenly split between types reported

@

## Enter Number

**PR38\_PR380**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$\$@

## Mark One Only

**PR39\_PR390**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR40\_PR391**

[fill C\_HAVHAS] [fill HESHE] ever taken out any money from [fill HISHER] plan in the form of a loan?

- (1) Yes
- (2) No

@

Mark One Only

**PR41\_PR392**

Does [fill HISHER] plan permit [fill HIMHER] to take out a loan?

- (1) Yes
- (2) No

@

Enter Number

**PR42\_PR393**

What is the current outstanding balance due from that loan?

\$@

Mark One Only

**PR43\_PR394**

What is [fill HISHER] best estimate of the amount of the loan?

READ ALL CATEGORIES.

- (1) Less than \$2,500
- (2) \$2,500 to \$5,000
- (3) \$5,001 to \$10,000
- (4) \$10,001 to \$25,000
- (5) \$25,001 to \$50,000
- (6) \$50,001 or more

@

Mark One Only

**PR44\_PR400**

[fill C\_AREIS] [fill HESHE] participating in any pension or retirement plans offered on any other jobs or businesses [fill HESHE] currently [fill HAVHAS]?

- (1) Yes
- (2) No

@

Mark One Only

**PR45\_PR410**

[if RECENT5 lt <1>]  
 The next questions are about pension or retirement plans offered by employers or unions. This includes regular pension plans as well as other kinds of retirement plans, like thrift and savings plans, 401(K) or 403(b) plans and deferred profit-sharing and stockplans. Excluding Social Security [else]  
 Other than Social Security or the plans we have already talked about [endif]  
 [fill HAVHAS] [fill HESHE] ever been covered by a pension or retirement plan on any previous jobs or businesses?

- (1) Yes  
 (2) No

@

Mark One Only

**PR46\_PR420**

Are there any previous plans from which [fill HESHE] [fill HAVHAS] not yet received any benefits, but expect to receive them in the future?

- (1) Yes  
 (2) No

@

Enter Number

**PR47\_PR430**

How many years did [fill HESHE] work on the job from which [fill HESHE] [fill TEMP1] to receive this pension?

@ Years

Enter Number

**PR47A\_PR431**

In what year did [fill HESHE] leave that job?

@ Years

Mark One Only

**PR48\_PR440**

Will the amount of [fill HISHER] retirement benefits from that plan be determined by a formula such as one based on [fill HISHER] earnings and years of service or will [fill HISHER] benefits be based on the total amount of money held in an individual account for [fill HIMHER]?

- (1) Based on a formula  
 (2) Based on the amount of money in account

@

Enter Number

**PR49\_PR450**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

**PR50\_PR460**

What is [fill HISHER] best estimate of the amount of money in [fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR51\_PR461**

Could [fill HESHE] withdraw this money now, or will [fill HESHE] have to wait until retirement age to get the money?

- (1) Could withdraw money now
- (2) Must wait until retirement

@

Mark One Only

**PR52\_PR470**

[fill C\_HAVHAS] [fill HESHE] ever received a lump-sum payment from a pension or retirement plan from a previous job, including any lump sums that may have been directly rolled over to another plan or to an IRA?

- (1) Yes
- (2) No

@

Mark One Only

**PR52A\_PR471**

Why did [fill HESHE] leave that job?

- (1) Laid off
- (2) Retired or old age
- (3) Child care problems
- (4) Other family obligations
- (5) Own illness
- (6) Own injury
- (7) School/training
- (8) Discharged/fired
- (9) Employer bankrupt
- (10) Employer sold business
- (11) Job temporary and ended
- (12) Quit to take another job
- (13) Slack work/business conditions
- (14) Unsatisfactory work arrangements

@

Mark One Only

**PR53\_PR480**

[fill C\_HAVHAS] [fill HESHE] ever received survivor benefits in the form of a lump-sum payment from someone else's pension or retirement plan?

- (1) Yes
- (2) No

@

Enter Number

**PR54\_PR490**

Over the years, how many of these lump sum distributions, including rollovers, [fill HAVHAS] [fill HESHE] received?

@ Number

Enter Number

**PR55\_PR500**

[if PR54\_PR490 gt <1> or PR54\_PR490 eq <R> or PR54\_PR490 eq <D>]  
Please answer the following questions about [fill HISHER] most recent lump sum or rollover.

[endif]  
In what year did [fill HESHE] receive this lump sum or rollover?

@ Year

Mark One Only

**PR56\_PR510**

Did [fill HESHE] also receive any lump sum payments in 2005?

- (1) Yes
- (2) No

@

Mark One Only

**PR57\_PR520**

[if PR56\_PR510 eq <1>]  
Was the lump sum [fill HESHE] received in 2005  
[else]  
[if PR56\_PR510 eq <2>]  
Was the lump sum [fill HESHE] received in 2006  
[else]  
Was the lump sum  
[endif] [endif]  
from a private employer or union plan,  
from the military, from other Federal employee plans, or from a  
State or Local government plan?

- (1) Private employer or union plan
- (2) Military plan
- (3) Other federal plans
- (4) State or local government
- (5) Other

@

Mark One Only

**PR58\_PR521**

Did [fill HESHE] withdraw the money voluntarily, or did the plan require [fill HIMHER] to withdraw it?

- (1) Voluntarily
- (2) Required to withdraw

@

Enter Number

**PR59\_PR530**

What was the total amount of the lump sum or rollover?

\$@

Mark One Only

**PR60\_PR540**

What is [fill HISHER] best estimate of the lump sum or rollover amount?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR61\_PR550**

Did [fill HESHE] actually receive the money, or was it directly rolled over into another plan or to an IRA?

- (1) Actually received
- (2) Directly rolled over

@

Mark One Only

**PR62\_PR560**

After receiving the lump sum payment, did [fill HESHE] then roll any of the money over into another retirement plan or into an IRA?

- (1) Yes
- (2) No

@

Mark One Only

**PR63\_PR570**

Did [fill HESHE] roll it over into another plan on [fill HISHER] job, an individual annuity, an IRA, or some other type of plan?

- (1) Plan on job
- (2) Individual annuity
- (3) IRA
- (4) Other

@

Mark One Only

**PR64\_PR571**

Did [fill HESHE] roll over the entire amount or just part of it?

- (1) Entire amount
- (2) Partial amount

@

## Multiple Entry

## PR65\_PR580

People who receive lump sums may spend or invest the money in many different ways. How did [fill HESHE] use the money from the lump sum [fill HESHE] received?  
ENTER ALL THAT APPLY. ENTER "N" AFTER LAST ENTRY.

[fill PR65\_1:b](01) Invested in an IRA, annuity, [fill PR65\_8:b](08) Bought a car, boat, furniture, or other retirement program or other consumer items

[fill PR65\_2:b](02) Put it into a savings account or CDs [fill PR65\_9:b](09) Vacation, travel, or recreation

[fill PR65\_3:b](03) Invested in other financial instruments (stocks, mutual funds, bonds, money market funds) [fill PR65\_10:b](10) Paid expenses while laid off [fill PR65\_11:b](11) Moving or relocation expenses

[fill PR65\_4:b](04) Invested in land, other real properties [fill PR65\_12:b](12) Medical or dental expenses [fill PR65\_13:b](13) Paid or saved for education

[fill PR65\_5:b](05) Invested in own or family business or farm [fill PR65\_14:b](14) General or everyday expenses [fill PR65\_15:b](15) Gave to family members or charities

[fill PR65\_6:b](06) Used for housing (purchase, paid off mortgage, home improvements/repairs) [fill PR65\_16:b](16) Paid taxes [fill PR65\_17:b](17) Saved for retirement expenses

[fill PR65\_7:b](07) Paid bills, loans, or other debts [fill PR65\_18:b](18) Saved or invested in other ways [fill PR65\_19:b](19) Spent in other ways

@1

## Enter Text

## PR65\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

## Multiple Entry

## PR66\_PR600

Earlier [fill HESHE] said [fill HESHE] received some pension or retirement income other than Social Security during the period from [fill MONTH1] through [fill MONTH4]. Will [fill HESHE] continue to receive these benefits for the rest of [fill HISHER] life, or will it be just a limited number of payments, or was it just a single lump sum payment?

ENTER ALL THAT APPLY.  
ENTER "N" AFTER LAST ENTRY.

[fill PR66\_1:b](1) Rest of life  
[fill PR66\_2:b](2) Limited number of payments  
[fill PR66\_3:b](3) Lump-sum payment

@1

## Enter Text

## PR66\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

## Mark One Only

## PR67\_PR610

Did [fill HESHE] receive this income from more than one pension plan?

(1) Yes  
(2) No

@

## Enter Number

## PR68\_PR620

How many different plans did [fill HESHE] receive this income from?

@

Mark One Only

**PR69\_PR640**

[if PR67\_PR610 eq <1> and PR66\_A(<1>) eq <X>]  
The following questions refer to the pension or retirement  
plan that pays the largest amount of lifetime benefits.  
[else]  
[if PR66\_A(<2>) eq <X>]  
The following questions refer to the benefits [fill HESHE] [fill AREIS]  
receiving in a limited number of payments.  
[else]  
[if PR66\_A(<3>) eq <X>]  
The following questions refer to the benefits [fill HESHE] received  
as a lump-sum payment.  
[endif] [endif] [endif]  
Does this pension benefit come from a job or business that  
[fill HESHE] held in the past, or does it come from a job or business held  
by [fill HESHE] former spouse?  
  
    (1) Respondent's job  
    (2) Respondent's former spouse's job  
    (3) Other  
@

Enter Number

**PR70\_PR650**

In what year did [fill HESHE] begin receiving this pension?  
@ Year

Mark One Only

**PR71\_PR660**

Was the amount of this pension payment based on years of service  
and pay, or on the amount of money held in an individual account  
for [fill HIMHER]?  
  
    (1) Years of service and pay  
    (2) Amount in individual account  
@

Mark One Only

**PR72\_PR670**

Were reduced benefits taken in order to elect a survivor's option?  
  
    (1) Yes  
    (2) No  
    (3) No survivor's option offered  
@

Mark One Only

**PR73\_PR680**

Has the amount of [fill HESHE] pension ever increased for any reason?  
  
    (1) Yes  
    (2) No  
@

Mark One Only

**PR74\_PR690**

Does [fill HESHE] pension plan provide for automatic cost-of-living  
adjustments known as COLA's?  
  
    (1) Yes  
    (2) No  
@



Mark One Only

**PR75\_PR700**

Did the amount of [fill HISHER] pension payment ever decrease for any reason?

- (1) Yes
  - (2) No
- @

Enter Number

**PR76\_PR710**

How much did [fill HESHE] receive from this plan each month when [fill HESHE] first began receiving the pension payment?

\$@

Enter Number

**PR77\_PR720**

How much [fill DODOES] [fill HESHE] currently receive EACH MONTH from this plan?

\$@

Mark One Only

**PR78\_PR730**

Now I have some questions about [fill HISHER] most recent lump sum payment. Did this payment come from a job or business [fill HESHE] held in the past, or did it come from a job or business held by [fill HISHER] former spouse?

- (1) Respondent's former job
  - (2) Respondent's former spouse's job
  - (3) Other
- @

Mark One Only

**PR79\_PR740**

[fill C\_HAVHAS] [fill HESHE] ever retired from a job or business?

- (1) Yes
  - (2) No
- @

Mark One Only

**PR80\_PR750**

[fill C\_HAVHAS] [fill HESHE] ever worked for pay as much as five years or more?

- (1) Yes
  - (2) No
- @

Mark One Only

**PR81\_PR751**

```
[if PR79_PR740 eq <1>]
Did [fill HESHE] retire from a job or from a business?
[else]
[if PR80_PR750 eq <1>]
Was [fill HESHER] longest employment on a job or in a business?
[else]
Did this pension benefit come from a job or from a business?
[endif] [endif]
```

- (1) Job
- (2) Business

@

Mark One Only

**PR82\_PR760**

```
[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the job from which [fill HESHE] received this
pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the job from which [fill HESHE] received this
most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the job from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]
The next questions are about the job on which [fill HESHE] worked the
longest.
[endif] [endif] [endif] [endif]
```

What type of organization was that?

- (1) A Government organization (including Armed Forces)
- (2) A Private for profit Company
- (3) A non-profit organization including tax-exempt and charitable organizations
- (4) A family business or farm?

@

Mark One Only

**PR83\_PR770**

Was that Federal Government, State Government, Local Government, or active duty Armed Forces?

- (1) Federal Government (civilian)
- (2) State Government
- (3) Local Government (county, city, township)
- (4) Active duty Armed Forces

@

Enter Text

**PR84\_PR780**

What was the main function or activity of the government organization that [fill HESHE] worked for ?

@

Mark One Only

**PR85\_PR781**

Did [fill HESHE] work as a paid or unpaid employee for the family business or farm?

- (1) For pay
- (2) Unpaid worker

@

Enter Text

**PR86\_PR790**

What kind of business or industry was that?

READ IF NECESSARY:

What did they make or do where [fill HESHE] worked?

@

Mark One Only

**PR87\_PR810**

Was it mainly?

- (1) Manufacturing
- (2) Wholesale Trade
- (3) Retail Trade
- (4) Service
- (5) Some other kind of business?

@

Enter Text

**PR88\_PR820**

What kind of work [fill WASWERE] [fill HESHE] doing on that job, that is, what was [fill HISHER] occupation?

For example: Bookkeeper, Plumber, Press operator

@

Enter Text

**PR89\_PR830**

What were [fill HISHER] usual activities or responsibilities on that job?

For example: Keeping account books, repairing pipes, operating printing presses

@

Mark One Only

**PR90\_PR840**

Did [fill HISHER] employer operate in more than one location?

- (1) Yes
- (2) No

@

Mark One Only

**PR91\_PR850**

How many people were employed at the location where [fill HESHE] worked?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR92\_PR860**

[if PR90\_PR840 eq <1> and PR91\_PR850 ne <8>]  
About how many people were employed by that employer  
at all locations?

[else]

[if PR90\_PR840 eq <2> or PR90\_PR840 eq <R> or PR90\_PR840 eq <D>]  
About how many people were employed by that employer?

[endif] [endif]

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR93\_PR870**

When [fill HESHE] worked for that employer, [fill WASWERE] [fill HESHE]  
covered under a union or employee association contract?

- (1) Yes
- (2) No

@

Enter Number

**PR94\_PR880**

How many hours per week did [fill HESHE] usually work at that job?

@ Hours

Enter Number

**PR95\_PR890**

How many weeks during the year did [fill HESHE] usually work at that job?  
Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number

**PR96\_PR900**

How many years did [fill HESHE] work at that job?

@ Years

Enter Number

**PR97\_PR910**

In what year did [fill HESHE] leave that job?

@ Year

Multiple Entry

**PR98\_PR920**

When [fill HESHE] left that job, how much [fill WASWERE] [fill HESHE] earning before deductions for taxes, etc?

\$ @1

- Per: (1) Week  
 (2) Biweekly  
 (3) Month  
 (4) Year  
 @2

Mark One Only

**PR99\_PR940**

[fill C\_AREIS] [fill HESHE] now covered by a health plan provided through [fill HISHER] former employer?

- (1) Yes  
 (2) No  
 @

Enter Text

**PR100\_PR950**

[if PR66\_A(<1>) ne <> or PR66\_A(<2>) ne <> or PR66\_A(<3>) ne <>]  
 The next questions are about the business from which [fill HESHE] received this pension or retirement income.  
 [else]  
 [if PR78\_PR730 ne <>]  
 The next questions are about the business from which [fill HESHE] received this most recent lump-sum payment.  
 [else]  
 [if PR79\_PR740 eq <1>]  
 The next questions are about the business from which [fill HESHE] retired.  
 [else]  
 [if PR80\_PR750 eq <1>]  
 The next questions are about the business which [fill HESHE] operated for the longest time.  
 [endif] [endif] [endif] [endif]  
 What kind of business was that?

READ IF NECESSARY: What did the business do or make?

@

Mark One Only

**PR101\_PR951**

Was this business mainly...

- (1) Manufacturing  
 (2) Wholesale Trade  
 (3) Retail Trade  
 (4) Service  
 (5) Some other kind of business?

@

Enter Text

**PR102\_PR952**

What kind of work [fill WASWERE] [fill HESHE] doing at that business, that is, what was [fill HISHER] occupation?

For example: Sales manager, dentist, farmer

@

Enter Text

**PR103\_PR953**

What were [fill HISHER] usual activities or responsibilities at that business?

For example: Managing sales, repairing teeth, farming

@

Mark One Only

**PR104\_PR954**

What was the maximum number of people [fill HESHE] employed, including [fill SELF], who worked at this business at any one time?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR105\_PR955**

Was this business incorporated?

- (1) Yes
- (2) No

@

Enter Number

**PR106\_PR956**

How many hours per week did [fill HESHE] usually work at that business?

@ Hours

Enter Number

**PR107\_PR957**

How many weeks during the year did [fill HESHE] usually work at that business? Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number

**PR108\_PR958**

How many years did [fill HESHE] work at that business?

@ Years

Enter Number

**PR109\_PR959**

In what year did [fill HESHE] leave that business?

@ Year

Multiple Entry

**PR110\_PR960**

When [fill HESHE] left that business, how much [fill WASWERE] [fill HESHE] earning before deductions for taxes, etc?

\$ @1

- Per: (1) Week  
(2) Biweekly  
(3) Month  
(4) Year  
@2

Mark One Only

**PR111\_PR970**

[fill C\_AREIS] [fill HESHE] now covered by a health plan provided through [fill HISHER] former business?

- (1) Yes  
(2) No  
@

Mark One Only

**PR112\_PR980**

Compared to the standard of living [fill HESHE] had in [fill HISHER] early fifties, would [fill HESHE] say that [fill HISHER] current standard of living is...

READ ALL CATEGORIES.

- (1) Much better  
(2) Somewhat better  
(3) About the same  
(4) Somewhat worse  
(5) Much worse  
@

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| PR90_PR840  | 41   | WR40        | 6    |
| PR91_PR850  | 42   | WR43        | 7    |
| PR92_PR860  | 42   | WR44        | 7    |
| PR93_PR870  | 42   | WR45        | 7    |
| PR94_PR880  | 42   | WR46        | 7    |
| PR95_PR890  | 42   | WR47        | 8    |
| PR96_PR900  | 42   | WR48        | 8    |
| PR97_PR910  | 43   | WR49        | 8    |
| PR98_PR920  | 43   | WR50        | 9    |
| PR99_PR940  | 43   | WR50_ERR    | 9    |
| <b>W</b>    |      | WR54        | 9    |
| WR01        | 1    | WR54_ERR    | 9    |
| WR02        | 1    | WR56        | 10   |
| WR03        | 1    | WR57        | 10   |
| WR06        | 2    | WR58        | 10   |
| WR06B       | 2    | WR58_ERR    | 10   |
| WR06B_ERR   | 2    | WR59        | 11   |
| WR09        | 2    | WR61        | 11   |
| WR10        | 2    | WR62        | 11   |
| WR102       | 16   | WR63        | 11   |
| WR103       | 17   | WR64        | 11   |
| WR104       | 17   | WR65        | 12   |
| WR104_ERR   | 17   | WR65_ERR    | 12   |
| WR108       | 18   | WR66        | 12   |
| WR108_ERR   | 18   | WR66_ERR    | 12   |
| WR11        | 3    | WR68        | 13   |
| WR113       | 18   | WR69        | 13   |
|             |      | WR69_ERR    | 13   |

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| WR70               | 13          |                    |             |
| WR71               | 14          |                    |             |
| WR72               | 14          |                    |             |
| WR73               | 14          |                    |             |
| WR73_ERR           | 14          |                    |             |
| WR81               | 15          |                    |             |
| WR83               | 15          |                    |             |
| WR84               | 15          |                    |             |
| WR85               | 15          |                    |             |
| WR86               | 16          |                    |             |
| WR87               | 16          |                    |             |
| WR88               | 16          |                    |             |
| WR97               | 16          |                    |             |