

ATTACHMENT A

#3038-0007 – REGULATION OF DOMESTIC EXCHANGE – TRADED COMMODITY OPTIONS

REGULATION	ESTIMATED # OF RESPONDENTS OR RECORDKEEPERS PER YEAR	REPORTS ANNUALLY BY EACH RESPONDENT	TOTAL ANNUAL RESPONSES	ESTIMATED AVERAGE NUMBER OF HOURS PER RESPONSE	ESTIMATED TOTAL NUMBER OF HOURS OF ANNUAL BURDEN IN FISCAL YEAR
REPORTING:					
38.3, 38.4, 40.2 and 40.3 (PROCEDURE FOR DESIGNATION OR SELF-CERTIFICATION)	13.00	2.00	26.00	25.00	650.00
33.7 – (RISK DISCLOSURE)	175.00	115.00	20,125.00	0.08	1,610.00
SUBTOTAL (REPORTING REQUIREMENTS)	188.00		20,151.00		2,260.00
REGULATION	ESTIMATED # OF RESPONDENTS OR RECORDKEEPERS PER YEAR	REPORTS ANNUALLY BY EACH RESPONDENT	TOTAL ANNUAL RESPONSES	ESTIMATED AVERAGE NUMBER OF HOURS PER RESPONSE	ESTIMATED TOTAL NUMBER OF HOURS OF ANNUAL BURDEN IN FISCAL YEAR
RECORDKEEPING:					
33.8 – (RETENTION OF PROMOTIONAL MATERIAL)	225.00	1.00	225.00	25.00	5,625.00
SUBTOTAL (RECORDKEEPING REQUIREMENTS)					
GRAND TOTAL (REPORTING AND RECORDKEEPING)	413.00		20,376.00		7,885.00

