

# Account Sign-Up

Error messages (EM##) shown here

First name:

Last name:

Company:

Title:

Work address:

City:

State:

Province (if outside the USA):

Country:

Zip code: (ex. 22222-2222)

Telephone:  Ext.

Email address: (ex. aa@a.com)

Confirm email address:

Secret question:

Secret answer:

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User selects one of the following Secret Question options:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

# Log In

Error messages (EM##) shown here

## SECURITY NOTICE AND WARNING

Welcome to the ERISA 4010 ("e-4010") website. This website is a U.S. Government system and the PBGC reserves the right to monitor it for performance, stability, and security. This site, and the information on it, is protected by federal laws, including, but not limited to, federal privacy laws and any activity inconsistent with the protections provided by federal law may lead to civil and criminal sanctions. By logging into e-4010, you acknowledge that you understand that you are bound by these provisions. If you do not, please close your browser or enter another URL to leave the site entirely.

**New to e-4010?**

**Already Have an Account? Log in.**

User ID:  [Forgot your User ID?](#)

Password:  [Forgot your password?](#)

# First Time Login

Error messages (EM##) shown here

**Step 1: Enter New User ID**

Your User ID can be any combination of letters and/or numbers and it must be between 8 and 25 characters long. Your User ID is not case sensitive. This will be the last time that you will be able to change your User ID.

**Step 2: Enter a New Password**

Your password must contain at least one letter and one number and it must be between 8 and 12 characters long. Your password is case sensitive, so make sure to enter it exactly as you do here when you log in to the e-4010 system.

**Confirm New Password**

**Step 3: Enter Your Security Key**

**Secret Question:** In what city were you born?  
**Secret Answer:**

Cancel Save

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This field will be pre-populated with the Secret Question the filer has supplied which will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

Back to Login Page (Screen 1b)

Go to Homepage (Screen 8)

## Forgot/Reset Password

Error messages (EM##) shown here

Email address:  (ex. aa@a.com)

Secret question:

Secret answer:

Cancel

Submit

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This selection options for the Secret Question will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

## Forgot User ID

Error messages (EM##) shown here

Email address:  (ex. aa@a.com)

Secret question:

Secret answer:

Cancel

Submit

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This selection options for the Secret Question will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

## Change Password

Error messages (EM##) shown here

New Password:

Confirm Password:

Secret Question: In what city were you born? ←

Secret Answer:

Cancel

Next >

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The question originally selected by the user during account sign-up is automatically displayed.

# Change Temporary Password

Error messages (EM##) shown here

## Step 1: Enter a New Password

Your password must contain at least one letter and one number and it must be between 8 and 12 characters long. Your password is case sensitive, so make sure to enter it exactly as you do here when you log in to the e-4010 system.

**Confirm New Password**

## Step 2: Enter Your Security Key

**Secret Question:** In what city were you born? ←

**Secret Answer:**

Cancel

Save

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This field will be pre-populated with the Secret Question the filer has supplied which will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

## Manage Account

Error messages (EM##) shown here

First name:	<input type="text"/>
Last name:	<input type="text"/>
Company:	<input type="text"/>
Title:	<input type="text"/>
Work address:	<input type="text"/>
	<input type="text"/>
City:	<input type="text"/>
State:	<input type="text" value="&lt;select a state&gt;"/>
Province (if outside the USA):	<input type="text"/>
Country:	<input type="text" value="&lt;country&gt;"/>
Zip code:	<input type="text"/> (ex. 22222-2222)
Telephone:	<input type="text"/> Ext. <input type="text"/>
Email address:	<input type="text"/> (ex. aa@a.com)
Confirm email address:	<input type="text"/>
Secret question:	In what city were you born?
Secret answer:	<input type="text"/>





## e-4010 Home Page

### Controlled Group Filing Drafts

[Create New 4010 Filing](#)

Information Year-end	Date Created	Name	
12/31/2005	04/04/2006	ABC Holdings, Inc.	<a href="#">Edit Schedule P</a> <a href="#">Delete Filing</a> <a href="#">Reassign</a>
12/31/2005	04/09/2006	XYZ Holdings, Inc.	<a href="#">Edit Filing</a> <a href="#">Delete Filing</a> <a href="#">Reassign</a>

### Controlled Group Filings Submitted to PBGC

Information Year-end	Original Submission Date	Amended Submission Date	Name	
12/31/2005	04/15/2006	N/A	JKL Holdings, Inc.	<a href="#">View Schedule P</a> <a href="#">Amend Filing</a> <a href="#">Reassign</a>
12/31/2005	03/13/2006	N/A	EFG Holdings, Inc.	<a href="#">View Filing</a> <a href="#">Amend Filing</a> <a href="#">Reassign</a>
12/31/2005	03/13/2006	01/15/2005	EFG Holdings, Inc.	<a href="#">View Filing</a> <a href="#">Amend Filing</a> <a href="#">Reassign</a>

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If user is the Filing Coordinator for this filing, the button will be labeled "View Filing" and the printable format of the filing will be displayed.

If the user has been assigned as an actuary, the button will be labeled "View Schedule P" and the printable format for those schedule P's completed by the current user will be displayed.

If user is the Filing Coordinator for this filing, the button will be labeled "Edit Filing" and will take the user to the Filing Summary (Screen 11).

If user has been assigned as an actuary for this filing, the button will be labeled "Edit Schedule P" and will take the user to the Schedule P Summary which will display only those Schedule P's to which the actuary has been assigned.

This button will only appear if the user is a Filing Coordinator for the filing. It will delete a 4010 filing in process.

This button will only appear if the user is a Filing Coordinator for the filing. It will copy the data from the submitted filing and create a new one. The new filing will be marked as "amended". Go to filing summary (screen 11).

Go to screen 9. This will initiate a new 4010 filing.

This button will only appear if the user is a Filing Coordinator for the filing. Go to screen 42 to assign this filing to a new coordinator.

## E-4010 - Create New Filing

Error messages (EM##) shown here

**Select option for new filing:**

- Pre-populate with data from previously submitted filing
- Do not pre-populate

If answer to is "pre-populate", go to screen 10. If answer is "do not pre-populate", go to Schedule G (screen 12).

Cancel

Next >

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Back to Home Page (Screen 8)

# E-4010 - Create New Filing

Error messages (EM##) shown here

Select the prior filing from which data will be extracted to pre-populate the new filing:

Drop down list of past filings.

Go to pre-populated Schedule G (Screen 12).

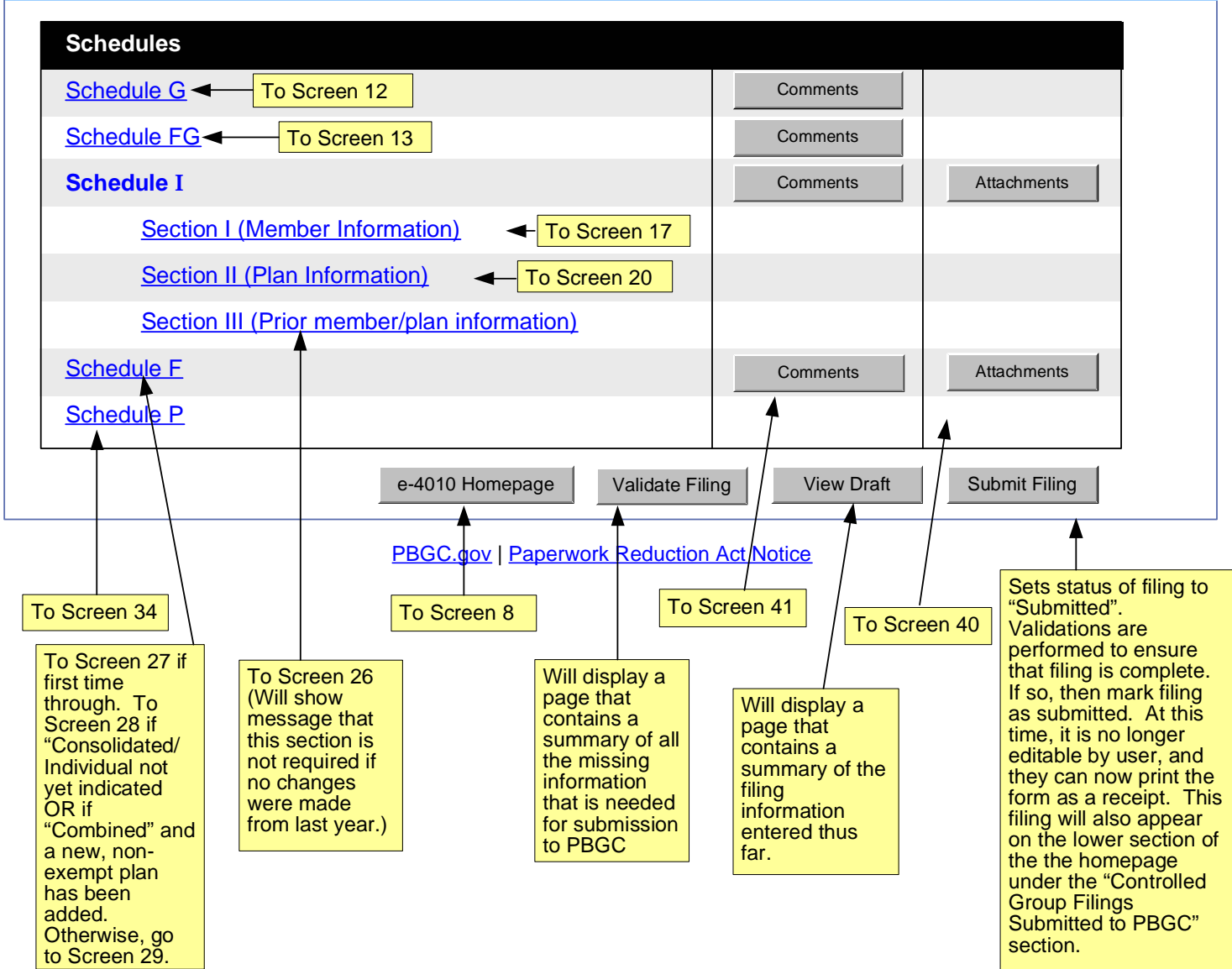
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Back to Home Page (Screen 8)



## Filing Summary

Controlled Group: ABC Holdings, Inc.



If user created a new filing from a previous one, "Name", "Business Code", and "CUSIP number" will be pre-populated.



[Help](#)

## Schedule G - General Information

Error messages (EM##) shown here

### Controlled Group Information

Name:

Business code:  (ex. 111111)

CUSIP number:  (enter first 6 digits only)

Date current information year ends:  (ex. MM/DD/YYYY)

### Filing Contact (if different from filing coordinator)

General contact information:

### General Filing Information

Was a 4010 filing required last year?

Yes  No

If answer is "No" to both, display message indicating that a filing does not need to be submitted (Screen 16c)

Is a 4010 filing required this year?

Yes  No  Help me determine

If choose "Help Me Determine", display Schedule FG to test need.

Check this box if §4010.6(c) exception for previously submitted materials applies (see instructions)

Back to Home Page (Screen 8)

Cancel

Next >

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If filer indicates that a filing is required this year, Schedule FG can be bypassed.

On to FG test (Screen 13) or Filing Summary Page (Screen 11), or message indicating that no Filing is necessary (Screen 16c)

If a filing was required last year and the user indicates that one is not required this year, then the filer **must** complete and submit Schedule FG to prove that a filing is not required again.

## Schedule FG - Filing Gateway

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

1. §4010.4(a)(1) - \$50 million aggregate unfunded vested benefits

a. Does the total amount of aggregate unfunded vested benefits in plans (including exempt plans) maintained by the members of the contributing sponsor's controlled group exceed \$50 million (disregarding those plans with no unfunded vested benefits)?

Yes  No

Cancel Next >

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Back to Filing Summary (Screen 11)

If "Yes" go to message indicating a Filing is required (Screen 16a).  
If "No" go to Screen 14.

## Schedule FG - Filing Gateway

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

### 2. §4010.4(a)(2) - Failure to make required contributions

Did any member of the controlled group:

- fail to make a required contribution to a defined benefit plan during the information year within 10 days of its due date, and
- as a result of the missed contribution, the conditions for imposition of a lien under ERISA have been met?

Yes  No

< Back

Cancel

Next >

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Back to Filing Summary (Screen 11)

If "Yes" go to message indicating a Filing is required (Screen 16a).

If "No" go to Screen 15.

## Schedule FG - Filing Gateway

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

### 3. §4010.4(a)(3) - Large waiver granted

Have one or more minimum funding waivers been granted for a plan maintained by a member of the controlled group:

- totaling in excess of \$1 million, and
- for which there is an outstanding balance as of the end of the plan year ending within the information year (determined in accordance with § 4010.4(c))?

Yes  No

< Back

Cancel

Next >

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Back to Filing Summary (Screen 11)

If "Yes", display message indicating a Filing is required (Screen 16a).

If "No", and a filing was required last year, display message indicating that only Schedule FG needs to be submitted (Screen 16b)

If "No", and a filing was not required last year, display message indicating that a filing does not need to be submitted (Screen 16c)





[Help](#)

# ERISA 4010 Filing Required

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

Based on the information you have provided, an e-4010 filing is required. Click on the button below to display the Filing Summary page.

Go to Filing Summary Page

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Display Screen 11





[Help](#)

## ERISA 4010 Filing Not Required (Only Schedules G and FG)

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

Based on the information you have provided, an e-4010 filing is not required. However, you must submit Schedules G and FG that you have just completed. Click on the button below to display the Filing Summary page. From there, you can choose to submit the filing to PBGC or review the information entered into Schedules G and FG prior to submission.

Go to Filing Summary Page

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Display Screen 11

## No ERISA 4010 Filing Required

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

Based on the information you have entered, you do not have to submit a filing to PBGC.

Go to e-4010 Home Page

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Display Screen 8



## Schedule I - Identifying Information Section I - Controlled Group Members - Summary

Controlled Group: ABC Holdings, Inc.

Member Company	EIN
<a href="#">ABC Holdings, Inc.</a>	10-7894561
<a href="#">ABC Manufacturing, Inc.</a>	10-7894562
<a href="#">ABC Distribution, Inc.</a>	10-7894563
<a href="#">ABC Technologies Corp.</a>	10-7894564
<a href="#">ABC Enterprises, Inc.</a>	10-7894565
<a href="#">ABC Healthcare, LLP</a>	10-7894566
<a href="#">ABC Resources, Inc.</a>	10-7894567

- Delete
- Delete
- Delete
- Delete
- Delete
- Delete
- Delete

Enter Member Company

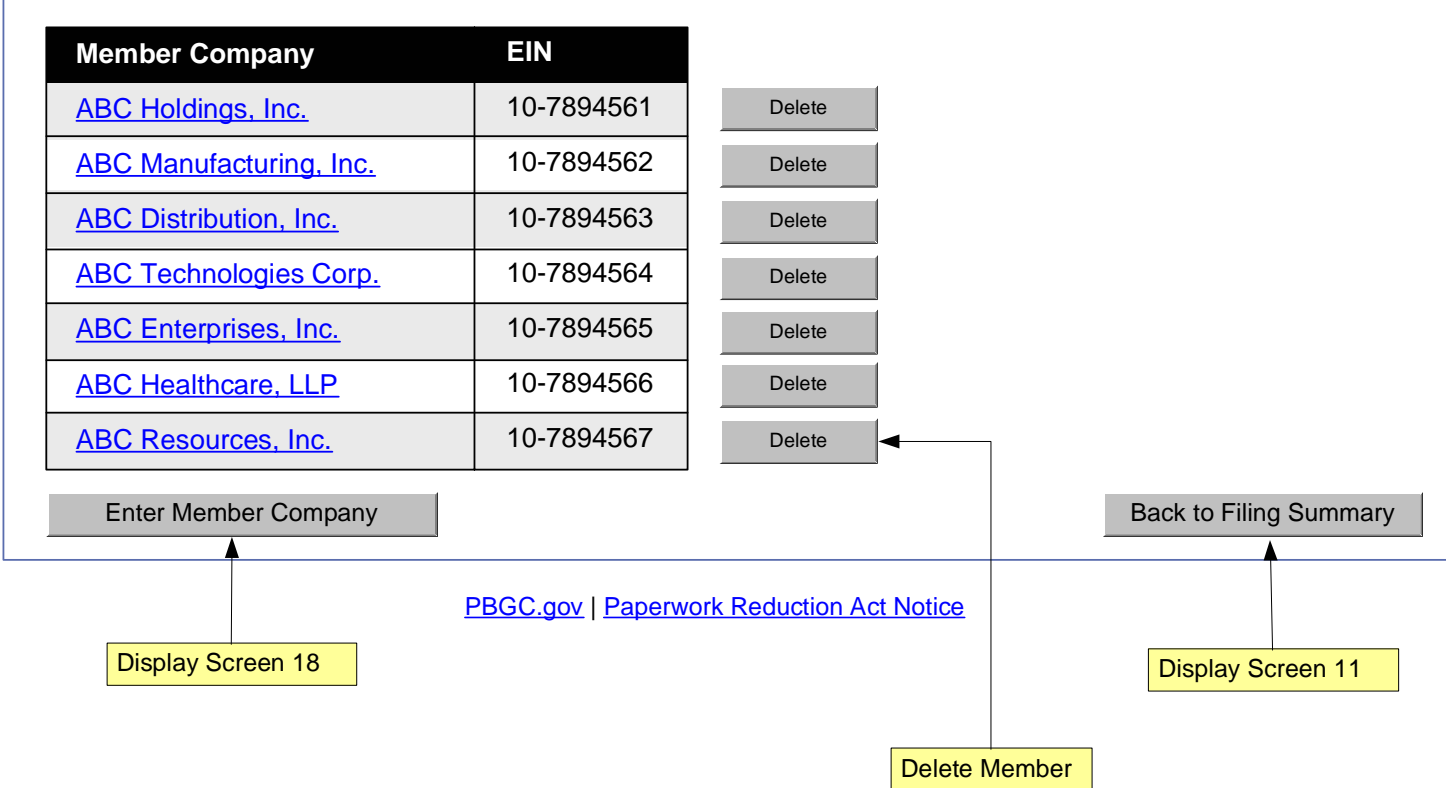
Back to Filing Summary

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Display Screen 18

Display Screen 11

Delete Member



# Schedule I - Identifying Information

## Section I - Controlled Group Members

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

Company name:

EIN:  (ex. 11-1111111)

Address:

City:

State:

Province (if outside the USA):

Country:

Zip code:  (ex. 22222-2222)

Telephone:  Ext.

Relationship:

Back to Filing Summary (Screen 11)

Go to Screen 19

Cancel

Next >



[Help](#)

# Schedule I - Identifying Information

## Section I - Controlled Group Members

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Financials, Inc.**

Error messages (EM##) shown here

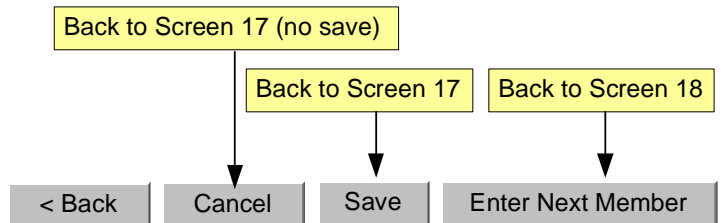
### Information on new members

Was this member a member of the controlled group immediately before the current information year began?

Yes

No, member joined controlled group during information year      Date Joined:  (ex. MM/DD/YYYY)

No, other



## Schedule I - Identifying Information Section II - Plans - Summary

Controlled Group: ABC Holdings, Inc.

Plan Name	Sponsor	EIN/PN	Exempt?
<a href="#">Plan A</a>	ABC Financials, Inc.	10-7894561/001	No
<a href="#">Plan B</a>	ABC Financials, Inc.	10-7894561/002	No
<a href="#">Plan C</a>	ABC Textiles, Inc.	10-7894563/001	No
<a href="#">Plan D</a>	ABC Wheels, Inc.	10-7894564/001	Yes

Delete	Assign to Actuary
Delete	Assign to Actuary
Delete	Unassign Actuary
Delete	

Enter Plan

Modify Member Information

Back to Filing Summary

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Go to Screen 21 (enter new)

Go to Screen 17

Back to Screen 11

As users enter new plans, they appear in this list. The hyperlinks will open Screen 21 with the data pre-populated for further editing if necessary.

These buttons will only appear next to plans that were designated not-exempt (Screen 21).  
  
If assigning actuary, go to screen 43. If removing an assigned actuary, show confirmation screen and remove actuary from Schedule P. If actuary was assigned and has completed and pressed the "Notify FC" button (on page 39), the label will revert to "Assign to Actuary".

# Schedule I - Identifying Information

## Section II - Plans

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

### Information for current year

Plan name:

Plan sponsor:

Plan number (PN):  (ex. 333)

Enter New Member

Drop down list of members from Screen 17 (Schedule I.I) - will be formatted as "Member Name/EIN"

Go back to Screen 18 (Schedule I.I entry page).

Is this an exempt plan about which benefit liabilities are not required to be reported?

Yes  No

All plans designated as "non-exempt" will show up on the Schedule P Summary (Screen 34)

Is this plan frozen for eligibility or benefit accrual purposes (see instructions)?

Yes  No

If frozen, display screen 22  
If not frozen display 23a

Cancel

Next >

Will require that Screen 22 be displayed

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Back to Schedule Summary (Screen 12)





[Help](#)

## Schedule I - Identifying Information Section II - Plans

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Financials, Inc.**  
**Plan: Plan A**

Error messages (EM##) shown here

### Information related to plan freezes

Date of freeze:  (ex. MM/DD/YYYY)

Nature of freeze:

- Plan closed to new entrants
- Both pay and service are frozen
- Service is frozen, but pay is not
- Other/combination, enter explanation:

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Back to Screen 20  
(no save)

Go to Screen 23a

# Schedule I - Identifying Information

## Section II - Plans

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Financials, Inc.**  
**Plan: Plan A**

Error messages (EM##) shown here

### Information on changes in EIN/PN

Has the EIN or PN changed since the beginning of the current information year?

- Yes     No     N/A (new plan)

If "Yes", will require that Screen 24 be displayed.

If "No", then finished with this plan. Go to Screen 23b.

If "N/A", will require that screen 25 be displayed.

< Back    Cancel    Next >

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Depending upon answer on this page, go to Screen 23b, 24, or 25

Back to Screen 20 (no save)

# Schedule I - Identifying Information

## Section II - Plans

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Financials, Inc.**  
**Plan: Plan B**

### Plan Information Completed

Note: The text below is from message CS10

The information entered for this plan is now complete. Please click on the "Enter Next Plan" button below to enter information for an additional plan.

< Back   Cancel   Save   Enter Next Plan

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Back to Screen 21

Back to Screen 20

Back to Screen 20 (no save)

This page displayed if changes were made since the beginning of the year ("Yes" to the question on Screen 23a)



[Help](#)

# Schedule I - Identifying Information

## Section II - Plans

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Financials, Inc.**  
**Plan: Plan A**

Error messages (EM##) shown here

### Information on changes in EIN/PN

Prior EIN:  (ex. 11-1111111)

Prior PN:  (ex. 333)

< Back   Cancel   Save   Enter Next Plan

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Back to Screen 21

Back to Screen 20

Back to Screen 20 (no save)



[Help](#)

# Schedule I - Identifying Information

## Section II - Plans

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Financials, Inc.**  
**Plan: Plan B**

Error messages (EM##) shown here

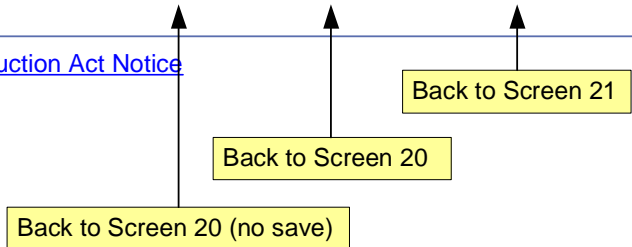
### New plan information

Date plan was first maintained by controlled group:  (ex. MM/DD/YYYY)

Explanation:

- Newly established plan
- Spun-off or transferred from plan sponsored by member outside controlled group
- Spun-off from plan sponsored by member within controlled group
- Other, enter explanation:

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# Schedule I - Identifying Information

## Section III - Prior Members/Prior Plans

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

### Former controlled group members

If any entity, other than an exempt entity, ceased to be a member of the controlled group during the information year, enter required information with respect to that entity (see instructions).

If any plan, other than an exempt plan, ceased to be maintained by a member of the controlled group during the information year, enter required information with respect to that plan (see instructions).

This page will be displayed by clicking on "Schedule F" from the filing summary if user has not yet indicated consolidated or individual filings. User can come back to this screen at any time to change settings by pressing the "Change Consolidated or Individual Submission Status" button on Screen 29.



[Help](#)

## Schedule F - Financial Information Type of Submission

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

Which of the permissible filing alternatives is being used?

- §4010.9(a) - separate financial information (financial statements or tax returns) for each non-exempt controlled group member will be provided
- §4010.9(b) - consolidated financial information that includes combined information for all non-exempt controlled group members will be provided
- Consolidated financial information that includes combined information for some, but not all, controlled group members will be provided, along with separate financial information for those non-exempt members whose information is not included in the consolidated information.

Cancel

Next >

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Go to Screen 28 or 29

If all are separate or all are consolidated, will not need Screen 20. If there are some individual and some consolidated, Screen 20 will be displayed next.

Back to Screen 11 (no save)

This screen will appear only if a combination of consolidated and individual is being reported



[Help](#)

# Schedule F - Financial Information

## Type of Submission

**Controlled Group: ABC Holdings, Inc.**

Indicate whether the financial information for the following non-exempt members will be submitted individually or consolidated:

Member Company	EIN	Consolidated	Individual
ABC Holdings, Inc.	10-7894561	<input checked="" type="radio"/>	<input type="radio"/>
ABC Manufacturing, Inc.	10-7894562	<input type="radio"/>	<input checked="" type="radio"/>
ABC Distribution, Inc.	10-7894563	<input checked="" type="radio"/>	<input type="radio"/>
ABC Technologies Corp.	10-7894564	<input type="radio"/>	<input checked="" type="radio"/>

Cancel Save

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Go to Screen 29

Back to Screen 11 (no save)

Show only those members that were marked as "non-exempt" on Schedule I.I (last question on Screen 10)



## Schedule F - Financial Information - Summary

**Controlled Group: ABC Holdings, Inc.**

Change Consolidated or Individual Submission Status

Go to Screen 28

### Consolidated Members

Member Company	EIN	Complete
ABC Holdings, Inc.	10-7894561	Yes
ABC Distribution, Inc.	10-7894563	No

Edit

Go to Screen 30

### Individually Listed Members

Member Company	EIN	Complete
ABC Manufacturing, Inc.	10-7894562	Yes
ABC Distribution, Inc.	10-7894564	No

Edit

Edit

Modify Member Information

Back to Filing Summary

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Displays all members on Schedule I.I

Back to Screen 11

Go to Screen 17

## Schedule F – Financial Information

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Distribution, Inc.**

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

### Reporting Method

What type of information is being reported?

- Audited financial statements
- Unaudited financial statements
- Federal tax returns

Go to Screen 31

< Back    Cancel    Next >

Back to Screen 29 (no save)

## Schedule F – Financial Information

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Distribution, Inc.**

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

### Reporting Method

Will financial information be attached to this filing?  
Will consolidated financial information be attached to this filing?

If individually submitting financial information, first question is displayed

If consolidated financial information, second question is displayed

- Yes
- No, because it is publicly available

Will require that Screen 32 be displayed

< Back    Cancel    Next >

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Back to Screen 29 (no save)

To Screen 32 if information is publicly available. If not, and is for consolidated, go to Screen 33. If not and individual, display message indicating that Schedule F is complete.

## Schedule F – Financial Information

**Controlled Group: ABC Holdings, Inc.**  
**Member: ABC Distribution, Inc.**

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

### Reporting Method

Where can the publicly available information be obtained?

SEC

Elsewhere, enter explanation:

Date information was made available to the public:

(ex. MM/DD/YYYY)

< Back    Cancel    Next >

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Back to Screen 29 (no save)

If is consolidated, go to Screen 33. If individual, display message indicating that Schedule F is complete.



[Help](#)

## Schedule F – Financial Information

**Controlled Group: ABC Holdings, Inc.  
Consolidated**

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

### Additional Required Financial Information for each Non-Exempt Member of the Controlled Group

**ABC Holdings, Inc. / 10-7894561**

Revenues for the current information year: \$

Operating income for the current information year: \$

Net assets at the end of the current information year: \$

**ABC Distribution, Inc. / 10-7894562**

Revenues for the current information year: \$

Operating income for the current information year: \$

Net assets at the end of the current information year: \$

List out each member that is indicated as "Consolidated" on Screen 28 of Schedule F.

< Back

Cancel

Save

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Back to Schedule F Summary (Screen 29)

Back to Screen 29 (no save)



## Schedule P – Plan Actuarial Information - Summary

Controlled Group: ABC Holdings, Inc.

Plan Name	Sponsor	EIN/PN	Complete?
<a href="#">Plan A</a>	ABC Holdings, Inc.	10-7894561/001	Y
<a href="#">Comments</a>	<a href="#">Attachments</a>	<a href="#">Print Schedule P Certification</a>	<a href="#">Notify FC</a>
<a href="#">Plan B</a>	ABC Holdings, Inc.	10-7894561/002	N
<a href="#">Comments</a>	<a href="#">Attachments</a>	<a href="#">Print Schedule P Certification</a>	<a href="#">Notify FC</a>
<a href="#">Plan C</a>	ABC Technologies, Inc.	10-7894563/001	N
<a href="#">Comments</a>	<a href="#">Attachments</a>	<a href="#">Print Schedule P Certification</a>	<a href="#">Notify FC</a>
<a href="#">Plan D</a>	ABC Distribution, Inc.	10-7894564/001	N
<a href="#">Comments</a>	<a href="#">Attachments</a>	<a href="#">Print Schedule P Certification</a>	<a href="#">Notify FC</a>

[Modify Member Information](#)

[Modify Plan Information](#)

[Back to Filing Summary](#)

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Go to Screen 41

Go to Screen 40

These buttons will open this plan's Schedule P for printing

Back to Screen 11

Go to Screen 17

Go to Screen 20

Hyperlinks to Screen 35 (pre-populated if already filled out). Displays only those plans that were marked as "non-exempt" on Schedule I.II (Screen 21)

These buttons will only appear if this schedule P has been assigned to an actuary. When pressed, a confirmation page will be displayed asking the actuary if they are sure they want to notify the FC that the Schedule has been completed.



[Help](#)

## Schedule P – Plan Actuarial Information Basic Plan Information

**Controlled Group: ABC Holdings, Inc.**  
**Plan Sponsor: ABC Financials, Inc.**  
**Plan Name: Plan A: 10-7894562/001**

Error messages (EM##) shown here

### Enrolled Actuary Information

First name:

Last name:

EA number  (ex. 02-1111)

Telephone:  (ex. 111-111-1111) Ext.

E-mail (optional):  (ex. aa@a.com)

### Enter the following information with respect to the plan year ending within the information year

Plan year end:  (ex. MM/DD/YYYY)

Is this plan year a short plan year?

Yes  No

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[Help](#)

## Schedule P – Plan Actuarial Information Funded Status Information

**Controlled Group: ABC Holdings, Inc.**  
**Plan Sponsor: ABC Financials, Inc.**  
**Plan Name: Plan A: 10-7894562/001**

Error messages (EM##) shown here

### Participant Counts and Benefit Liabilities

Participant Status	Number of Participants	Benefit Liabilities (Before reflecting expense load)
Active	###,###	\$ ###,###,###
Terminated vested	###,###	\$ ###,###,###
Receiving benefits	###,###	\$ ###,###,###
<b>Total</b>	<b>###,###</b>	<b>\$ ###,###,###</b>

### Benefit Liabilities after reflecting expense load

Expense load per § 4044.52(e)	\$ ###,###,###
<b>Total Benefit Liabilities*</b>	<b>\$ ###,###,###</b>

\* Determined using assumptions (i.e. retirement age, interest, mortality, expense load) provided in §4044.51-57

Clicking button will calculate and display total benefit liabilities before and after expense load as been factored in

Calculate Totals

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< Back    Cancel    Next >

Back to Screen 34

Go to Screen 37



## Schedule P – Plan Actuarial Information Funded Status Information

**Controlled Group: ABC Holdings, Inc.**  
**Plan Sponsor: ABC Financials, Inc.**  
**Plan Name: Plan A: 10-7894562/001**

Error messages (EM##) shown here

**Census Data used to determine benefit liabilities:**

- Projected from a date within the plan year ending within the information year
- As of the end of the plan year ending within the information year or the beginning of the subsequent year

**Interest rate used to determine benefit liabilities**

Period 1:  % for first  years (ex. 4.21% for first 20 years)

Period 2:  % for all years thereafter

Fair market value of assets (excluding receivables): \$

Back to Screen 34

Go to Screen 38

## Schedule P – Plan Actuarial Information Additional Actuarial Information

**Controlled Group: ABC Holdings, Inc.**  
**Plan Sponsor: ABC Financials, Inc.**  
**Plan Name: Plan A: 10-7894562/001**

Error messages (EM##) shown here

Which of the following five statements describes the method under which the additional information required under §4010.8(a)(3) will be provided?

All of the information is included in one actuarial valuation report. It is my understanding that the report will be submitted:

- As an attachment to this filing
- Electronically within 15 days of the IRS Form 5500 filing deadline for the plan year ending within the information year

The actuarial valuation report does not contain all of the additional required information. Therefore, supplemental information will also be provided. It is my understanding that the report and the supplemental information will be submitted:

- As an attachment to this filing
- Electronically within 15 days of the IRS Form 5500 filing deadline for the plan year ending within the information year
- A combination of the two above

< Back

Cancel

Next >

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Go to Screen 39

Back to Screen 34 (no save)

## Schedule P – Plan Actuarial Information

**Controlled Group: ABC Holdings, Inc.**  
**Plan Sponsor: ABC Financials, Inc.**  
**Plan Name: Plan A: 10-7894562/001**

### Actuarial Information Completed

You have reached the end of this schedule.

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Back to  
Screen 34

Back to  
Screen 34  
(no save)

Show appropriate Schedule depending on button pushed on Filing Summary (Screen 11) or Schedule P Summary (Screen 34).



[Help](#)

## Schedule I/F/P – Attachments

Controlled Group: ABC Holdings, Inc.

Attachments	Description	
org_chart1.pdf	org chart for the controlled group	<input type="button" value="Delete"/>
sal_avg.doc	valuation report for the salaried plan	<input type="button" value="Delete"/>

File:

Description:

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Files are displayed after the "Attach" button has been clicked.

If Schedule I or Schedule F attachment, go back to Filing Summary (Screen 11).

If Schedule P attachment then go to Schedule P Summary (Screen 34).

Show appropriate Schedule depending on appropriate "Comments" button from Screen 11 on Screen 34.

SCREEN 41



[Help](#)

## Schedule G/FG/IF/P – Comments

Comments:

Back to Screen 11

Cancel

Save

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Back to Screen 11 (no save)

## Reassign Filing

**Controlled Group: ABC Holdings, Inc.**

Error messages (EM##) shown here

Enter the email address of the person you would like to assign this filing:

Email address:  (ex. aa@a.com)

*Note: You may only assign a filing to someone who has an e-4010 account.*

Cancel

Assign

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This button will first check that the entered email is associated with an existing e-4010 account. If not, display error message. If account with the entered email exists, then assign this filing to the new filing coordinator and return to screen 8.

## Assign to Actuary

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Actuaries currently assigned:

This drop-down list will be populated with the first name, last name, and email addresses of those actuaries already assigned by the current FC.

Enter the email address of the actuary you would like to assign this filing:

Email address:  (ex. aa@a.com)

*Note: You may only assign an actuary who has an e-4010 account.*

Cancel

Assign

This button will first check that the entered email is associated with an existing e-4010 account. If not, display error message. If account with the entered email exists, then assign this schedule P to the actuary and return to screen 21.



## Paperwork Reduction Act Notice

The PBGC needs this information, which is required to be submitted under part 4010, to enable it (1) to detect and monitor financial problems with the contributing sponsors that maintain severely underfunded pension plans and their controlled group members, (2) to respond quickly when it learns that a controlled group with severely underfunded pension plans intends to engage in a transaction that may significantly reduce the assets available to pay plan liabilities, and (3) to take action to protect participant and premium-payer interests.

The information provided to the PBGC under part 4010 is protected from disclosure as provided in 29 CFR § 4010.12. That section provides that in accordance with 29 CFR § 4901.21(a)(3) (part of the PBGC's regulation on Examination and Copying of PBGC Records) and section 4010(c) of ERISA, any information or documentary material that is not publicly available and is submitted to the PBGC pursuant to part 4010 shall not be made public, except as may be relevant to any administrative or judicial action or proceeding or for disclosures to either body of Congress or to any duly authorized committee or subcommittee of the Congress.

The PBGC estimates that the average annual burden of this collection of information is 8.7 hours and \$13,750 per controlled group. These are estimates and the actual time will vary depending on the circumstances of a given filing. If you have any comments concerning the accuracy of these burden estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 1200 K Street, N.W., Washington, D.C. 20005-4026.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0049 (expires February 29, 2008). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

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- The type of browser and operating system used to access our site;
- The date and time you access our site;
- The Internet address of the Web site from which linked directly to our site; and,
- The pages and files you access on this site.

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PBGC may disclose information you submit if we are required to do so by law or we in good faith believe that such action is necessary to: (1) comply with the law or with legal process; (2) protect and defend our rights and property; (3) protect against misuse or unauthorized use of e-4010; or (4) protect the personal safety or property of our users or the public. If you provide false information, attempt to pose as someone else, or otherwise are believed to have committed any other illegal act, information about you may be disclosed as part of any investigation into your actions).

**Email:** If you contact PBGC via electronic mail rather than through the secure e-4010 application, the information you send will still be used for the purposes described at the point of collection and stored as record material. However due to the nature of the Internet and the World Wide Web, we cannot assure the security of the information during transmission by electronic mail over the public Internet. Thus, you may be uncomfortable with sending sensitive information (such as a bank account number or your home phone number and address) to PBGC other than through the secure e-4010 application. As an alternative, we suggest that you (1) contact PBGC by telephone or (2) send the information to PBGC by regular mail. See Contact Information under [www.pbgc.gov](http://www.pbgc.gov) for appropriate addresses and telephone numbers.