

Account Sign-Up

Error messages (EM##) shown here

First name:

Last name:

Company:

Title:

Work address:

City:

State:

Province (if outside the USA):

Country:

Zip code: (ex. 22222-2222)

Telephone: Ext.

Email address: (ex. aa@a.com)

Confirm email address:

Secret question:

Secret answer:

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- User selects one of the following Secret Question options:
- 1) In what city were you born?
 - 2) From what high school did you graduate?
 - 3) What is your mother's maiden name?
 - 4) What is your pet's name?

Log In

Error messages (EM##) shown here

SECURITY NOTICE AND WARNING

Welcome to the ERISA 4010 ("e-4010") website. This website is a U.S. Government system and the PBGC reserves the right to monitor it for performance, stability, and security. This site, and the information on it, is protected by federal laws, including, but not limited to, federal privacy laws and any activity inconsistent with the protections provided by federal law may lead to civil and criminal sanctions. By logging into e-4010, you acknowledge that you understand that you are bound by these provisions. If you do not, please close your browser or enter another URL to leave the site entirely.

New to e-4010?

[Apply for an Account](#)

[Go to Screen 1](#)

Already Have an Account? Log in.

User ID:

[Forgot your User ID?](#)

Password:

[Forgot your password?](#)

[Login](#)

If first time logging in, then go to Screen 3, else go to screen 9

First Time Login

Error messages (EM##) shown here

Step 1: Enter New User ID

Your User ID can be any combination of letters and/or numbers and it must be between 8 and 25 characters long. Your User ID is not case sensitive. This will be the last time that you will be able to change your User ID.

Step 2: Enter a New Password

Your password must contain at least one letter and one number and it must be between 8 and 12 characters long. Your password is case sensitive, so make sure to enter it exactly as you do here when you log in to the e-4010 system.

Confirm New Password

Step 3: Enter Your Security Key

Secret Question: In what city were you born?

Secret Answer:

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This field will be pre-populated with the Secret Question the filer has supplied which will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

Forgot/Reset Password

Error messages (EM##) shown here

Email address: (ex. aa@a.com)

Secret question:

Secret answer:

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This selection options for the Secret Question will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

Forgot User ID

Error messages (EM##) shown here

Email address: (ex. aa@a.com)

Secret question:

Secret answer:

Cancel

Submit

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This selection options for the Secret Question will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

Change Password

Error messages (EM##) shown here

New Password:

Confirm Password:

Secret Question: In what city were you born? ←

Secret Answer:

Cancel

Submit

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The question originally selected by the user during account sign-up is automatically displayed.

Change Temporary Password

Error messages (EM##) shown here

Step 1: Enter a New Password

Your password must contain at least one letter and one number and it must be between 8 and 12 characters long. Your password is case sensitive, so make sure to enter it exactly as you do here when you log in to the e-4010 system.

Confirm New Password

Step 2: Enter Your Security Key

Secret Question: In what city were you born? ←

Secret Answer:

Cancel

Save

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This field will be pre-populated with the Secret Question the filer has supplied which will be one of the following:

- 1) In what city were you born?
- 2) From what high school did you graduate?
- 3) What is your mother's maiden name?
- 4) What is your pet's name?

Manage Account

Error messages (EM##) shown here

First name:	<input type="text"/>
Last name:	<input type="text"/>
Company:	<input type="text"/>
Title:	<input type="text"/>
Work address:	<input type="text"/>
	<input type="text"/>
City:	<input type="text"/>
State:	<input type="text" value="<select a state>"/>
Province (if outside the USA):	<input type="text"/>
Country:	<input type="text" value="<country>"/>
Zip code:	<input type="text"/> (ex. 22222-2222)
Telephone:	<input type="text"/> Ext. <input type="text"/>
Email address:	<input type="text"/> (ex. aa@a.com)
Confirm email address:	<input type="text"/>
Secret question:	In what city were you born?
Secret answer:	<input type="text"/>

e-4010 Home Page

Controlled Group Filing Drafts

[Create New 4010 Filing](#)

Information	Date	Name	
Year-end	Created		
12/31/2005	04/04/2006	ABC Holdings, Inc.	Edit Schedule P Delete Filing Reassign
12/31/2005	04/09/2006	XYZ Holdings, Inc.	Edit Filing Delete Filing Reassign

Controlled Group Filings Submitted to PBGC

Information	Original	Amended	Name	
Year-end	Submission	Submission		
	Date	Date		
12/31/2005	04/15/2006	01/15/2005	JKL Holdings, Inc.	View Filing Amend Filing Reassign
				View Attachment

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This button allows user to view all attachments, if any, attached to this filing.

If user is the Filing Coordinator for this filing, the button will be labeled "View Filing" and the printable format of the filing will be displayed.

If the user has been assigned as an actuary, the button will be labeled "View Schedule P" and the printable format for those schedule P's completed by the current user will be displayed.

If user is the Filing Coordinator for this filing, the button will be labeled "Edit Filing" and will take the user to the Filing Summary (Screen 12).

If user has been assigned as an actuary for this filing, the button will be labeled "Edit Schedule P" and will take the user to the Schedule P Summary which will display only those Schedule P's to which the actuary has been assigned.

This button will only appear if the user is a Filing Coordinator for the filing. It will copy the data from the submitted filing and create a new one. The new filing will be marked as "amended". Go to filing summary (screen 12).

This button will only appear if the user is a Filing Coordinator for the filing. It will delete a 4010 filing in process.

Go to screen 10. This will initiate a new 4010 filing.

This button will only appear if the user is a Filing Coordinator for the filing. Go to screen 49 to assign this filing to a new coordinator.

E-4010 - Create New Filing

Error messages (EM##) shown here

Select option for new filing:

- Pre-populate with data from previously submitted filing
- Do not pre-populate

Date current information year begins for new filing:

- 12/31/2007 or earlier
- 1/1/2008 or later

If answer to is "pre-populate", go to screen 11. If answer is "do not pre-populate", go to Schedule G (screen 13).

Cancel

Next >

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Back to Home Page (Screen 9)

E-4010 - Create New Filing

Error messages (EM##) shown here

Select the prior filing from which data will be extracted to pre-populate the new filing:

Drop down list of past filings.

Go to pre-populated Schedule G (Screen 13).

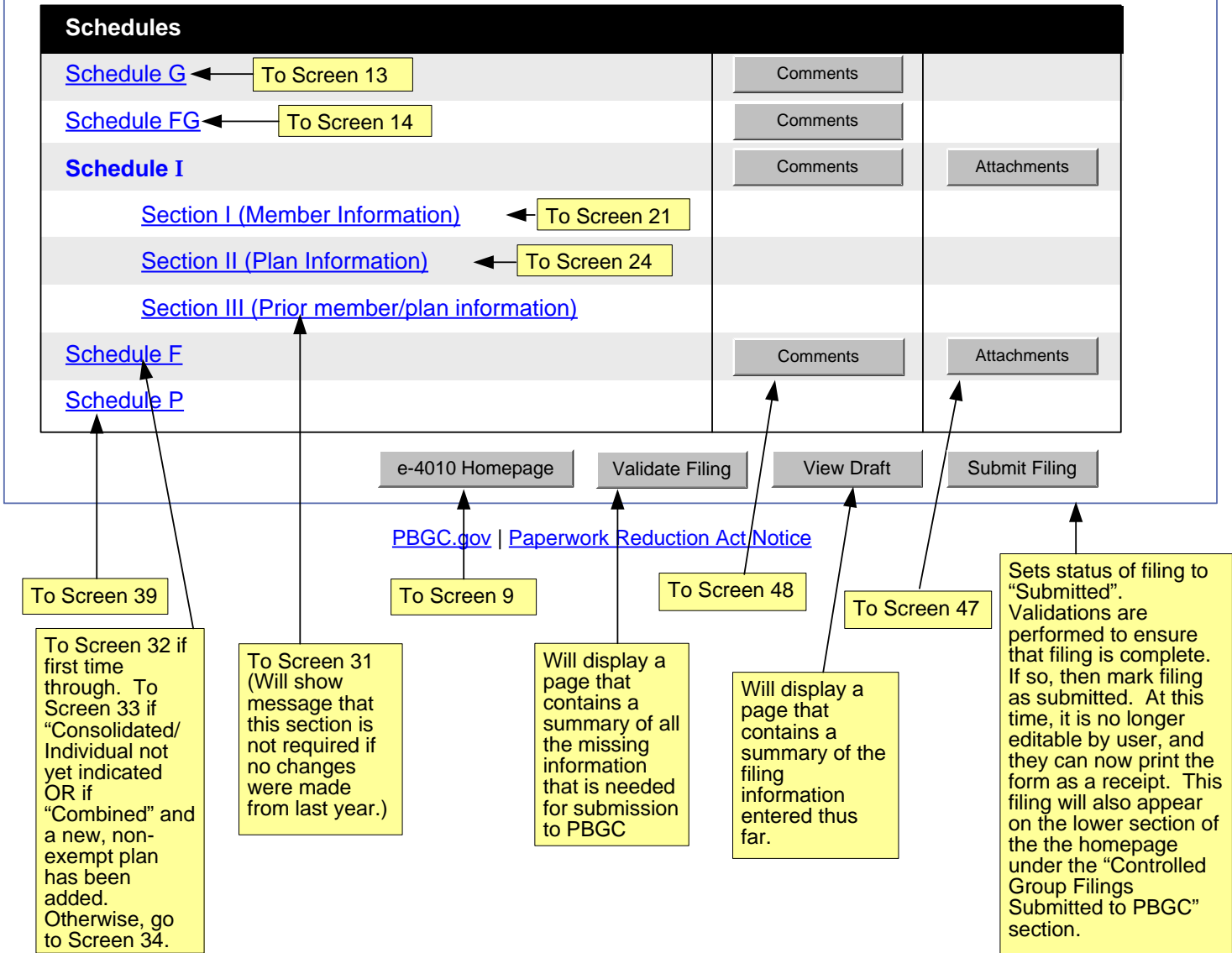
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Back to Home Page (Screen 9)



Filing Summary

Controlled Group: ABC Holdings, Inc.



If user created a new filing from a previous one, "Name", "Business Code", and "CUSIP number" will be pre-populated.



[Help](#)

Schedule G - General Information

Error messages (EM##) shown here

Controlled Group Information

Name:

Business code: (ex. 111111)

CUSIP number: (enter first 6 digits only)

Date current information year ends: (ex. MM/DD/YYYY)

Filing Contact

General contact information:

General Filing Information

Was a 4010 filing required last year?

Yes No

Condition 1: If answer is "No" to both, display message indicating that a filing does not need to be submitted.

Is a 4010 filing required this year?

Yes No Help me determine

Condition 2: If choose "Help Me Determine," display Schedule FG to test need.

Check this box if §4010.6(c) exception for previously submitted materials applies (see instructions)

[Back to Home Page \(Screen 9\)](#)

Cancel

Next >

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Condition 4: If "Yes," Schedule FG can be bypassed; display message that a 4010 filing is required.

Condition 3: If a filing was required last year and the user indicates that one is not required this year, then the filer **must** complete and submit Schedule FG.

On to:
If Condition 1, go to Screen 20.
If Condition 2 OR 3 go to Screen 14.
If Condition 4, go to Screen 18.

Schedule FG - Filing Gateway

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

1. §4010.4(a)(1) - 4010 Funding target attainment percentage

a. Did any plan sponsored by a member of the controlled group have a 4010 funding target attainment percentage below 80%?

Yes No

Cancel

Next >

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Back to Filing Summary (Screen 12)

If "Yes" go Screen 15 (which is part 2 of question 1).

If "No" go to Screen 16.

Schedule FG - Filing Gateway

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

1. §4010.4(a)(1) - 4010 Funding target attainment percentage

b. Does the total amount of 4010 funding shortfall in plans (including exempt plans) maintained by the members of the contributing sponsor's controlled group exceed \$15 million (disregarding those plans with no 4010 funding shortfall)?

Yes No

Cancel

Next >

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Back to Filing Summary (Screen 12)

If "Yes" go to message indicating a Filing is required (Screen 18).
If "No" go to Screen 16.

Schedule FG - Filing Gateway

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

2. §4010.4(a)(2) - Failure to make required contributions

Did any member of the controlled group:

- fail to make a required contribution to a defined benefit plan during the information year within 10 days of its due date, and
- as a result of the missed contribution, the conditions for imposition of a lien under ERISA have been met?

Yes No

< Back

Cancel

Next >

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Back to Filing Summary (Screen 12)

If "Yes" go to message indicating a Filing is required (Screen 16a).

If "No" AND the information year begins 12/31/2007 or before, go to Screen 15.

If "No" AND the information year begins 1/1/2008 or later, go to Screen 15a.

Schedule FG - Filing Gateway

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

3. §4010.4(a)(3) - Large waiver granted

Have one or more minimum funding waivers been granted for a plan maintained by a member of the controlled group:

- totaling in excess of \$1 million, and
- for which there is an outstanding balance at the end of the plan year ending within the information year (determined in accordance with § 1.4010.4(e))?

Yes No

< Back

Cancel

Next >

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Back to Filing Summary (Screen 12)

If "Yes", display message indicating a Filing is required (Screen 18).

If "No", and a filing was required last year, display message indicating that only Schedule FG needs to be submitted (Screen 19)

If "No", and a filing was not required last year, display message indicating that a filing does not need to be submitted (Screen 20)

Second bullet reworded for Version 3.0 – only applies to 2008 and later filings

ERISA 4010 Filing Required

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Based on the information you have provided, an e-4010 filing is required. Click on the button below to display the Filing Summary page.

Go to Filing Summary Page

Display Screen 12

ERISA 4010 Filing Not Required (Only Schedules G and FG)

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Based on the information you have provided, an e-4010 filing is not required. However, you must submit Schedules G and FG that you have just completed. Click on the button below to display the Filing Summary page. From there, you can choose to submit the filing to PBGC or review the information entered into Schedules G and FG prior to submission.

Go to Filing Summary Page

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Display Screen 12

No ERISA 4010 Filing Required

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Based on the information you have entered, you do not have to submit a filing to PBGC.

Go to e-4010 Home Page

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Display Screen 9



Schedule I - Identifying Information Section I - Controlled Group Members - Summary

Controlled Group: ABC Holdings, Inc.

Member Company	EIN
ABC Holdings, Inc.	10-7894561
ABC Manufacturing, Inc.	10-7894562
ABC Distribution, Inc.	10-7894563
ABC Technologies Corp.	10-7894564
ABC Enterprises, Inc.	10-7894565
ABC Healthcare, LLP	10-7894566
ABC Resources, Inc.	10-7894567

- Delete
- Delete
- Delete
- Delete
- Delete
- Delete
- Delete

Enter Member Company

Back to Filing Summary

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Display Screen 12

Display Screen 12

Delete Member

Schedule I - Identifying Information

Section I - Controlled Group Members

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Company name:

EIN: (ex. 11-1111111)

Address:

City:

State:

Province (if outside the USA):

Country:

Zip code: (ex. 22222-2222)

Telephone: Ext.

Relationship:

Back to Filing
Summary (Screen 12)

Go to Screen 23

Cancel

Next >

Schedule I - Identifying Information

Section I - Controlled Group Members

Controlled Group: ABC Holdings, Inc.
Member: ABC Financials, Inc.

Error messages (EM##) shown here

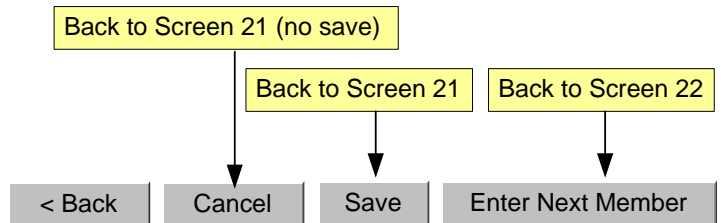
Information on new members

Was this member a member of the controlled group immediately before the current information year began?

Yes

No, member joined controlled group during information year Date Joined: (ex. MM/DD/YYYY)

No, other



Schedule I - Identifying Information Section II - Plans - Summary

Controlled Group: ABC Holdings, Inc.

Asterisk and related footnote are displayed only if at least one plan in the list is a multiple employer plan.

Plan Name	Sponsor	EIN/PN	Schedule P requirement waived? *		
Plan A	ABC Financials, Inc.	10-7894561/001	No	Delete	Assign to Actuary
Plan B	ABC Financials, Inc.	10-7894561/002	No	Delete	Assign to Actuary
Plan C	ABC Textiles, Inc.	10-7894563/001	No	Delete	Unassign Actuary
Plan D	ABC Wheels, Inc.	10-7894564/001	Yes	Delete	

* A yes in this column indicated that a Schedule P (Plan Actuarial Information) does not need to be included with this filing. This is the case if the plan is an exempt plan or if the plan is a multiple employer plan and:

- Another sponsor of the multiple is providing the relevant actuarial information, and
- This filing includes information on that other sponsor in the Schedule I comment box.

Enter Plan

Modify Member Information

Back to Filing Summary

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Go to Screen 25 (enter new).

Go to Screen 21

Back to Screen 12

As users enter new plans, they appear in this list. The "plan name" hyperlinks will open Screen 25 with the data pre-populated for further editing if necessary.

These buttons will only appear next to plans that were designated not-exempt (on Screen 25).
 If assigning actuary, go to screen 50.
 If removing an assigned actuary, show confirmation screen and remove actuary from Schedule P.
 If actuary was assigned and has completed and pressed the "Notify FC" button (on screen 39), the label will revert to "Assign to Actuary".

Schedule I - Identifying Information

Section II - Plans

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Information for current year

Plan name:

Plan sponsor:

Plan number (PN): (ex. 333)

Drop down list of members from Screen 21 (Schedule I.I) - will be formatted as "Member Name/EIN"

Enter New Member

Go back to Screen 21 (Schedule I.I entry page).

Is this plan a multiple employer plan?

Yes No

Is the requirement to submit actuarial information waived either because the plan is an exempt plan (as defined by § 1.4010.8(c)) or because the actuarial information is being reported by another filer (in accordance with § 1.4010.8(f))?

Yes No

All plans that answer "No" to this question will show up on the Schedule P Summary (Screen 39).

Is this plan frozen for eligibility or benefit accrual purposes (see instructions)?

Yes No

If frozen, display screen 26
If not frozen display 27

Cancel Next >

Back to Schedule I, Section II Summary (Screen 24)



[Help](#)

Schedule I - Identifying Information Section II - Plans

Controlled Group: ABC Holdings, Inc.
Member: ABC Financials, Inc.
Plan: Plan A

Error messages (EM##) shown here

Information related to plan freezes

Date of freeze: (ex. MM/DD/YYYY)

Nature of freeze:

- Plan closed to new entrants
- Both pay and service are frozen
- Service is frozen, but pay is not
- Other/combination, enter explanation:

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Back to Screen 24
(no save)

Go to Screen 27

Schedule I - Identifying Information

Section II - Plans

Controlled Group: ABC Holdings, Inc.
Member: ABC Financials, Inc.
Plan: Plan A

Error messages (EM##) shown here

Information on changes in EIN/PN

Has the EIN or PN changed since the beginning of the current information year?

- Yes No N/A (new plan)

If "Yes", will require that Screen 29 be displayed.

If "No", then finished with this plan. Go to Screen 28.

If "N/A", will require that screen 30 be displayed.

< Back Cancel Next >

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Depending upon answer on this page, go to Screen 28, 29, or 30

Back to Screen 24 (no save)

Schedule I - Identifying Information

Section II - Plans

Controlled Group: ABC Holdings, Inc.
Member: ABC Financials, Inc.
Plan: Plan B

Plan Information Completed

Note: The text below is from message CS10

The information entered for this plan is now complete. Please click on the "Enter Next Plan" button below to enter information for an additional plan.

< Back Cancel Save Enter Next Plan

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Back to Screen 25

Back to Screen 24

Back to Screen 24 (no save)

This page displayed if changes were made since the beginning of the year ("Yes" to the question on Screen 27)



[Help](#)

Schedule I - Identifying Information

Section II - Plans

Controlled Group: ABC Holdings, Inc.
Member: ABC Financials, Inc.
Plan: Plan A

Error messages (EM##) shown here

Information on changes in EIN/PN

Prior EIN: (ex. 11-1111111)

Prior PN: (ex. 333)

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[Help](#)

Schedule I - Identifying Information

Section II - Plans

Controlled Group: ABC Holdings, Inc.
Member: ABC Financials, Inc.
Plan: Plan B

Error messages (EM##) shown here

New plan information

Date plan was first maintained by controlled group: (ex. MM/DD/YYYY)

Explanation:

- Newly established plan
- Spun-off or transferred from plan sponsored by member outside controlled group
- Spun-off from plan sponsored by member within controlled group
- Other, enter explanation:

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Back to Screen 25

Back to Screen 24

Back to Screen 24 (no save)

Schedule I - Identifying Information

Section III - Prior Members/Prior Plans

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Former controlled group members

If any entity, other than an exempt entity, ceased to be a member of the controlled group during the information year, enter required information with respect to that entity (see instructions).

If any plan, other than an exempt plan, ceased to be maintained by a member of the controlled group during the information year, enter required information with respect to that plan (see instructions).

This page will be displayed by clicking on "Schedule F" from the filing summary if user has not yet indicated consolidated or individual filings. User can come back to this screen at any time to change settings by pressing the "Change Consolidated or Individual Submission Status" button on Screen 34.



[Help](#)

Schedule F - Financial Information Type of Submission

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Which of the permissible filing alternatives is being used?

- §4010.9(a) - separate financial information (financial statements or tax returns) for each non-exempt controlled group member will be provided
- §4010.9(b) - consolidated financial information that includes combined information for all non-exempt controlled group members will be provided
- Consolidated financial information that includes combined information for some, but not all, controlled group members will be provided, along with separate financial information for those non-exempt members whose information is not included in the consolidated information.

Cancel

Next >

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Go to Screen
33 or 34

If all are separate or all are consolidated, will not need Screen 33; go to Screen 34. If there are some individual and some consolidated, Screen 33 will be displayed next.

Back to Screen 34 (no save)

This screen will appear only if a combination of consolidated and individual is being reported



[Help](#)

Schedule F - Financial Information

Type of Submission

Controlled Group: ABC Holdings, Inc.

Indicate whether the financial information for the following non-exempt members will be submitted individually or consolidated:

Member Company	EIN	Consolidated	Individual
ABC Holdings, Inc.	10-7894561	<input checked="" type="radio"/>	<input type="radio"/>
ABC Manufacturing, Inc.	10-7894562	<input type="radio"/>	<input checked="" type="radio"/>
ABC Distribution, Inc.	10-7894563	<input checked="" type="radio"/>	<input type="radio"/>
ABC Technologies Corp.	10-7894564	<input type="radio"/>	<input checked="" type="radio"/>

Cancel Save

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Go to Screen 34

Back to Screen 34 (no save)

Show only those members that were marked as "non-exempt" on Schedule I.I (last question on Screen 10)



Schedule F - Financial Information - Summary

Controlled Group: ABC Holdings, Inc.

Change Consolidated or Individual Submission Status

Go to Screen 32

Consolidated Members

Member Company	EIN	Complete
ABC Holdings, Inc.	10-7894561	Yes
ABC Distribution, Inc.	10-7894563	No

Edit

Go to Screen 35

Individually Listed Members

Member Company	EIN	Complete
ABC Manufacturing, Inc.	10-7894562	Yes
ABC Distribution, Inc.	10-7894564	No

Edit

Edit

Modify Member Information

Back to Filing Summary

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Back to Screen 12

Displays all members on Schedule I.I

Go to Screen 21

Schedule F – Financial Information

Controlled Group: ABC Holdings, Inc.
Member: ABC Distribution, Inc.

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

Reporting Method

What type of information is being reported?

- Audited financial statements
- Unaudited financial statements
- Federal tax returns

Go to Screen 36

< Back Cancel Next >

Back to Screen 34 (no save)

Schedule F – Financial Information

Controlled Group: ABC Holdings, Inc.
Member: ABC Distribution, Inc.

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

Reporting Method

Will financial information be attached to this filing?
Will consolidated financial information be attached to this filing?

If individually submitting financial information, first question is displayed

If consolidated financial information, second question is displayed

- Yes
- No, because it is publicly available

Will require that Screen 37 be displayed

< Back Cancel Next >

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Back to Screen 34 (no save)

To Screen 37 if information is publicly available. If not, and is for consolidated, go to Screen 33. If not and individual, display message indicating that Schedule F is complete.

Schedule F – Financial Information

Controlled Group: ABC Holdings, Inc.
Member: ABC Distribution, Inc.

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

Reporting Method

Where can the publicly available information be obtained?

SEC

Elsewhere, enter explanation:

Date information was made available to the public:

(ex. MM/DD/YYYY)

< Back Cancel Next >

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Back to Screen 29 (no save)

If is consolidated, go to Screen 38. If individual, display message indicating that Schedule F is complete.



Schedule F – Financial Information

**Controlled Group: ABC Holdings, Inc.
Consolidated**

Display "Consolidated" or "Member: [Plan Name]".

Error messages (EM##) shown here

Additional Required Financial Information for each Non-Exempt Member of the Controlled Group

ABC Holdings, Inc. / 10-7894561

Revenues for the current information year: \$

Operating income for the current information year: \$

Net assets at the end of the current information year: \$

ABC Distribution, Inc. / 10-7894562

Revenues for the current information year: \$

Operating income for the current information year: \$

Net assets at the end of the current information year: \$

List out each member that is indicated as "Consolidated" on Screen 34 of Schedule F.

< Back

Cancel

Save

Back to Schedule F Summary (Screen 34)

Back to Screen 34 (no save)



Schedule P – Plan Actuarial Information - Summary

Controlled Group: ABC Holdings, Inc.

Plan Name	Sponsor	EIN/PN	Complete?
Plan A	ABC Holdings, Inc.	10-7894561/001	Y
Comments	Attachments	Print Schedule P Certification	Notify FC
Plan B	ABC Holdings, Inc.	10-7894561/002	N
Comments	Attachments	Print Schedule P Certification	Notify FC
Plan C	ABC Technologies, Inc.	10-7894563/001	N
Comments	Attachments	Print Schedule P Certification	Notify FC
Plan D	ABC Distribution, Inc.	10-7894564/001	N
Comments	Attachments	Print Schedule P Certification	Notify FC

[Back to Members](#)

[Back to Plans](#)

[Back to Filing Summary](#)

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Go to Screen 48

Go to Screen 47

These buttons will open this plan's Schedule P for printing

Back to Screen 12

Hyperlinks to:
Screen 40 (pre-populated if already filled out)

Displays only those plans that were marked as "non-exempt" on Schedule I.II (Screen 24)

Go to Screen 21

Go to Screen 24

These buttons will only appear if this schedule P has been assigned to an actuary. When pressed, a confirmation page will be displayed asking the actuary if they are sure they want to notify the FC that the Schedule has been completed.



[Help](#)

Schedule P – Plan Actuarial Information Basic Plan Information

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Error messages (EM##) shown here

Enrolled Actuary Information

First name:

Last name:

EA number (ex. 02-1111)

Telephone: (ex. 111-111-1111) Ext.

E-mail (optional): (ex. aa@a.com)

Enter the following information with respect to the plan year ending within the information year

Date plan year begins: (ex. MM/DD/YYYY)

Date plan year ends (ex. MM/DD/YYYY)

Is this plan year a short plan year?

Yes No

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Schedule P – Plan Actuarial Information Funded Status Information (§4044 basis)

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Error messages (EM##) shown here

Participant Counts and Benefit Liabilities

Participant Status	Number of Participants	Benefit Liabilities at plan year-end (Before reflecting expense load)
Active	<input type="text" value="###,###"/>	\$ <input type="text" value="###,###,###"/>
Terminated vested	<input type="text" value="###,###"/>	\$ <input type="text" value="###,###,###"/>
Receiving benefits	<input type="text" value="###,###"/>	\$ <input type="text" value="###,###,###"/>
Total	###,###	\$ ###,###,###

Benefit Liabilities after reflecting expense load

Expense load per § 4044.52(e)	\$ <input type="text" value="###,###,###"/>
Total Benefit Liabilities*	\$ ###,###,###

* Determined using retirement age, interest, mortality, expense load provided in § 4044.51-57 and other assumptions as provided in § 4010.8(d)(2).

Clicking button will calculate and display total benefit liabilities before and after expense load as been factored in

Calculate Totals

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Go to Screen 42



[Help](#)

Schedule P – Plan Actuarial Information Funded Status Information (§4044 basis)

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Error messages (EM##) shown here

Census Data used to determine benefit liabilities:

- Projected from a date within the plan year ending within the information year
- As of the end of the plan year ending within the information year or the beginning of the subsequent year

Interest rate used to determine benefit liabilities

Period 1: % for first years (ex. 4.21% for first 20 years)

Period 2: % for all years thereafter

Fair market value of assets (excluding receivables) at plan year-end: \$ ###,###,###

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Schedule P – Plan Actuarial Information Other Information

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Error messages (EM##) shown here

Place dates entered for "Date plan year begins" and "Date plan year ends" entered by user on an earlier Schedule P screen (Screen 40).

The following items relate to the 1/1/2008 – 12/31/2008 plan year:

Funding target (as of the valuation date) determined as if the plan has been in at-risk status for a consecutive period of at least 5 plan years: \$

Funding target attainment percentage (as of valuation date): % (ex. 92.21)

Adjusted funding target attainment percentage (as of valuation date): % (ex. 92.21)

Did any benefit limitations apply under ERISA 206(g) at any time during the plan year? Yes No

Has one or more minimum funding waivers been granted totaling in excess of \$1 million for which there is an outstanding balance at the end of the plan year? Yes No

Place date entered for "Date current information year ends" entered by user on the Schedule G (screen 13).

The following item relates to the information year ending 12/31/2008:

Has a statutory lien arisen during the information year as the result of missed contributions in excess of \$1 million (that were not made within 10 days of the due date)? Yes No

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If the answer to the second question in the "Benefit limitations information" section is Yes, go to Screen 43; If No, go to Screen 44

Schedule P – Plan Actuarial Information Other Information

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Error messages (EM##) shown here

Benefit limitations explanation

Enter required information with respect to the benefit limitations applied under ERISA 206(g) (see instructions).

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Schedule P – Plan Actuarial Information Additional Actuarial Information

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Error messages (EM##) shown here

Which of the following five statements describes the method under which the additional information required under §4010.8(a)(3) will be provided?

All of the information is included in one actuarial valuation report. It is my understanding that the report will be submitted:

- As an attachment to this filing
- Electronically within 15 days of the IRS Form 5500 filing deadline for the plan year ending within the information year

The actuarial valuation report does not contain all of the additional required information. Therefore, supplemental information will also be provided. It is my understanding that the report and the supplemental information will be submitted:

- As an attachment to this filing
- Electronically within 15 days of the IRS Form 5500 filing deadline for the plan year ending within the information year
- A combination of the two above

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Schedule P – Plan Actuarial Information

Controlled Group: ABC Holdings, Inc.
Plan Sponsor: ABC Financials, Inc.
Plan Name: Plan A: 10-7894562/001

Actuarial Information Completed

You have reached the end of this schedule.

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Screen 39

Back to
Screen 39
(no save)

Show appropriate Schedule depending on button pushed on Filing Summary (Screen 12) or Schedule P Summary (Screen 39).



[Help](#)

Schedule I/F/P – Attachments

Controlled Group: ABC Holdings, Inc.

Attachments	Description		
org_chart1.pdf	org chart for the controlled group	View	Delete
sal_avg.doc	valuation report for the salaried plan	View	Delete

File: [Browse](#)

Description:

[Attach](#)

It may take a minute or two to attach large files. Please click only once.

[Go to Schedule P Summary](#)

[Back to Filing Summary](#)

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Files are displayed after the "Attach" button has been clicked.

If Schedule I or Schedule F attachment, go back to Filing Summary (Screen 12).

If Schedule P attachment then go to Schedule P Summary (Screen 39).

Show appropriate Schedule depending on appropriate "Comments" button from Screen 12 on Screen 39.

SCREEN 48



[Help](#)

Schedule G/FG/IF/P – Comments

Comments:

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Cancel

Save

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Back to Screen 12 (no save)

Reassign Filing

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Enter the email address of the person you would like to assign this filing:

Email address: (ex. aa@a.com)

Note: You may only assign a filing to someone who has an e-4010 account.

Cancel

Next>

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This button will first check that the entered email is associated with an existing e-4010 account. If not, display error message. If account with the entered email exists, then assign this filing to the new filing coordinator and return to screen 9.

Assign to Actuary

Controlled Group: ABC Holdings, Inc.

Error messages (EM##) shown here

Actuaries currently assigned:

This drop-down list will be populated with the first name, last name, and email addresses of those actuaries already assigned by the current FC.

Enter the email address of the actuary you would like to assign this filing:

Email address: (ex. aa@a.com)

Note: You may only assign an actuary who has an e-4010 account.

Cancel

Assign

This button will first check that the entered email is associated with an existing e-4010 account. If not, display error message. If account with the entered email exists, then assign this schedule P to the actuary and return to screen 39.



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The PBGC needs this information, which is required to be submitted under part 4010, to enable it (1) to detect and monitor financial problems with the contributing sponsors that maintain severely underfunded pension plans and their controlled group members, (2) to respond quickly when it learns that a controlled group with severely underfunded pension plans intends to engage in a transaction that may significantly reduce the assets available to pay plan liabilities, and (3) to take action to protect participant and premium-payer interests.

The information provided to the PBGC under part 4010 is protected from disclosure as provided in 29 CFR § 4010.12. That section provides that in accordance with 29 CFR § 4901.21(a)(3) (part of the PBGC's regulation on Examination and Copying of PBGC Records) and section 4010(c) of ERISA, any information or documentary material that is not publicly available and is submitted to the PBGC pursuant to part 4010 shall not be made public, except as may be relevant to any administrative or judicial action or proceeding or for disclosures to either body of Congress or to any duly authorized committee or subcommittee of the Congress.

The PBGC estimates that the average annual burden of this collection of information is 8.7 hours and \$13,750 per controlled group. These are estimates and the actual time will vary depending on the circumstances of a given filing. If you have any comments concerning the accuracy of these burden estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 1200 K Street, N.W., Washington, D.C. 20005-4026.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0049 (expires February 29, 2008). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

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- The date and time you access our site;
- The Internet address of the Web site from which linked directly to our site; and,
- The pages and files you access on this site.

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