SUPPORTING STATEMENT

Case Problem Submission Worksheet

(Form DHS-7001)

OMB No. 1601-0004

A. Justification:

- 1. The Citizenship and Immigration Services (CIS)
 Ombudsman was created under section 452 of the Homeland
 Security Act of 2002 (Public Law 107-296) to: (1) assist
 individuals and employers in resolving problems with the
 U.S. Citizenship and Immigration Services (USCIS); (2)
 to identify areas in which individuals and employers
 have problems in dealing with USCIS; and (3) to the
 extent possible, propose changes in the administrative
 practices of USCIS to mitigate problems. This form is
 used by an applicant who is experiencing problems with
 USCIS during the processing of an immigration benefit.
- 2. The information collected on this form will allow the CIS Ombudsman to identify the problem such as: (1) a case problem which is a request for information about a case that was filed with USCIS ("case problem"); or (2) the identification of a systemic issue that may or may not pertain to an individual case which the individual, attorney or employer is seeking to bring to the attention of the CIS Ombudsman ("trend").

For case problems, the CIS Ombudsman will refer case specific issues to the Customer Assistance Office for USCIS for further research, and review.

For trends received, the CIS Ombudsman notes the systemic issue identified in the correspondence which may or may not be incorporated into future recommendations submitted to the Director of USCIS pursuant to section 452(d)(4) of Public Law 107-296.

3. The use of this form provides the most efficient means for collecting and processing the required data. In this case the CIS Ombudsman does not anticipate employing the use of information technology in collecting and processing information until 1st quarter of FY2010. The technology for electronic capture of this data is scheduled to be developed during FY2009; testing of a pilot version is scheduled for the 4th quarter of FY2009. We are requesting a one year approval for the form due to lack of GPEA compliance until electronic means for collections can be developed and deployed. We plan to submit required paperwork to amend this document for the electronic version of this form during the 2nd quarter of FY2009.

- 4. A review of the DHS Forms Inventory Report revealed no duplication of effort, and there is no other similar information currently available which can be used for this purpose.
- 5. This information collection does not have an impact on small businesses or other small entities.
- 6. If this information is not collected the CIS Ombudsman will not be able to identify problem areas, propose changes, and assist individuals experiencing problems during the processing of an immigration benefit with USCIS.
- 7. The special circumstances contained in item 7 of the supporting statement are not applicable to this information collection.
- 8. The CIS Ombudsman's published a 60-day notice in the Federal Register on November 10, 2008, at 73FR66654 and a 30-day notice on January 26, 2009, at 74FR4445 requesting comments from the public.
- 9. CIS Ombudsman does not provide payments or gifts to respondents in exchange for a benefit sought.
- 10. There is no assurance of confidentiality provided to the respondents for this information collection.
- 11. There are no questions of a sensitive nature.
- 12. Annual Reporting Burden:
 - a. Number of Respondentsb. Number of Responses1

| С. | Total Annual Responses | 2,600 |
|----|-------------------------------|-------|
| d. | Hours per Response | 1 |
| e. | Total Annual Reporting Burden | 2,600 |

The projected hours per response for this collection of information were derived by first breaking the process into three basic components:

| Learning about the Law and the Form: | 20 | Minutes |
|--------------------------------------|----|---------|
| Completion of the Form: | 30 | Minutes |
| Assembling and Filing the Form: | 10 | Minutes |

Total Hours per Response 1 Hour

Total annual reporting burden hours is 2,600. This figure was derived by multiplying the number of respondents (2,600) x frequency of response (1) x hours per response (1 hour).

13. There are no capital or start-up costs associated with this information collection. Any cost burdens to respondents as a result of this collection are identified in question 14.

14. <u>Annualized Cost Analysis</u>:

| a. Printing Cost | \$ 2,500 |
|------------------------------------|------------|
| b. Collecting and Processing | \$ 104,000 |
| c. Total Cost to Program | \$ 106,500 |
| d. Fee Charge | \$ 0 |
| e. Total Annual Cost to Government | \$ 106,500 |

Government Cost

The estimated cost to the Government is \$106,500. This figure is calculated by multiplying the estimated number of respondents 2,600 x 1 hour (1.0) (time required to collect and process information) x \$40 (Suggested average hourly rate for clerical, officer, and supervisory time with benefits). In addition, this figure includes the estimated overhead cost for printing, stocking, and distributing the form which is \$2,500.

Public Cost

The estimated annual public cost is \$26,000. This figure is calculated by multiplying the number of respondents $2,600 \times 1$ hour (1.0) per response $\times 10 (average hourly rate).

- 15. There has been no increase or decrease in the estimated annual burden hours previously reported for this information collection.
- 16. CIS Ombudsman does intend to employ the use of statistics or the publication thereof for this collection of information.
- 17. CIS Ombudsman will display the expiration date for OMB approval of this information collection.
- 18. CIS Ombudsman does not request an exception to the certification of this information collection.
- B. Collection of Information Employing Statistical Methods.

See separate document uploaded to ROCIS