

Guaranteed Loans - Section 9006 Program
7 CFR Part 4280-B

| Section of Regulation | Title | Form No. (if Any) | Est. No. of Respondents | Reports Filed Annually | Total Annual Responses (D) x (E) | Est. No. of Man hours Per Response | Est. Total Man-hours (F) x (G) | Wage Class | Total Cost (H) x (I) |
|---|---|-------------------|-------------------------|------------------------|----------------------------------|------------------------------------|--------------------------------|------------|----------------------|
| (A) | (B) | (C) | (D) | (E) | (F) | (G) | (H) | (I) | (J) |
| REPORTING REQUIREMENTS - NO FORMS | | | | | | | | | |
| Sec. 105 | Appeals | written | 5 | 1 | 5 | 12 | 60 | 50 | \$3,000 |
| Sec. 128(b)(1) and Sec. 111(b) | Application Narrative - Renewables | written | 208 | 1 | 208 | 22 | 4,576 | 50 | \$228,800 |
| Sec. 128(b)(1) and Sec. 111(b) | Application Narrative - EEI | written | 492 | 1 | 492 | 9 | 4,428 | 50 | \$221,400 |
| Sec. 128(b)(1)(iii), Sec. 128(b)(2)(viii), and Sec. 111(b)(4) | Financial Statements | written | 700 | 1 | 700 | 8 | 5,600 | 50 | \$280,000 |
| Sec. 128(b)(1)(vii) and Sec. 111(b)(8) | Feasibility Studies - Renewables (\geq \$200,000) | written | 43 | 1 | 43 | 24 | 1,032 | 50 | \$51,600 |
| Sec. 128(b)(1)(vi) and Sec. 111(b)(7) | Technical Requirements - Renewables ($>$ \$200,000) | written | 43 | 1 | 43 | 24 | 1,032 | 80 | \$82,560 |
| Sec. 111(b)(7) | Technical Requirements - Renewables (\leq \$200,000) | written | 165 | 1 | 165 | 12 | 1,980 | 80 | \$158,400 |
| Sec. 128(b)(1)(vi) and Sec. 111(b)(7) | Technical Requirements - EEI ($>$ \$200,000) | written | 148 | 1 | 148 | 16 | 2,368 | 80 | \$189,440 |
| Sec. 111(b)(7) | Technical Requirements - EEI (\leq \$200,000) | written | 344 | 1 | 344 | 8 | 2,752 | 80 | \$220,160 |
| Sec. 128(b)(1)(vi) and Sec. 111(b)(7)(ii)(B) | Energy Audit - EEI ($>$ \$50,000) | written | 463 | 1 | 463 | 16 | 7,408 | 50 | \$370,400 |
| Sec. 128(b)(2)(iii) | Personal credit reports | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 128(b)(2)(iv) | Appraisal reports | written | 191 | 1 | 191 | 2 | 382 | 50 | \$19,100 |
| Sec. 128(b)(2)(x) and (xi) | Lender credit quality analysis, including certification | written | 700 | 1 | 700 | 2 | 1,400 | 50 | \$70,000 |
| Sec. 128(b)(2)(v) | Commercial credit reports | written | 363 | 1 | 363 | 2 | 726 | 50 | \$36,300 |
| Sec. 128(b)(2)(vi) | Current personal and corporate financial statements of guarantors | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 128(b)(2)(xii) | Proposed loan agreement or sample loan agreement | written | 700 | 1 | 700 | 2 | 1,400 | 50 | \$70,000 |
| Sec. 128(b)(2)(ii) | Request for Environmental Information | RD 1940-20 | 700 | 1 | 700 | 6 | 4,200 | 50 | \$210,000 |
| Sec. 131(d) | Construction Planning and Performing Development - Renewable Energy Systems | written | 159 | 1 | 159 | 16 | 2,544 | 50 | \$127,200 |
| Sec. 131(d) | Construction Planning and Performing Development - EEI Projects | written | 451 | 1 | 451 | 8 | 3,608 | 50 | \$180,400 |
| Sec. 137 | Repurchase from holder | written | 10 | 1 | 10 | 2.5 | 25 | 50 | \$1,250 |

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|---|---|--------------------------|-----|---|--------|-----|--------|----|-------------|
| Sec. 138 | Replacement of document | written | 10 | 1 | 10 | 2 | 20 | 50 | \$1,000 |
| Sec. 142 | Personal and corporate guarantees | written | 12 | 1 | 12 | 2 | 24 | 50 | \$1,200 |
| Sec. 144 | Transfer of lender | written | 12 | 1 | 12 | 2 | 24 | 50 | \$1,200 |
| Sec. 145 | Changes in borrowers | written | 10 | 1 | 10 | 2 | 20 | 50 | \$1,000 |
| Sec. 146 | Conditions precedent to issuance of guarantee | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 147 | Issuance of loan note guarantee | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 148 | Refusal to issue loan note guarantee | written | 3 | 1 | 3 | 2 | 6 | 50 | \$300 |
| Sec. 149(a) | Periodic Servicing Reports - Renewable Energy Systems | written | 174 | 1 | 174 | 2 | 348 | 50 | \$17,400 |
| Sec. 149(b) | Periodic Servicing Reports - EEI project | written | 451 | 1 | 451 | 1 | 451 | 50 | \$22,550 |
| Sec. 113(a)-(b) | Insurance (flood, business interruption) | assignment | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 152(a) | Loan classification | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 152(a) | Agency and lender conference | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 152(a) | Financial Reports and analysis | written | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Sec. 152(b); Sec. 124(b) | Interest rate change | written | 8 | 1 | 8 | 2 | 16 | 50 | \$800 |
| Sec. 152(c) | Release of collateral | written | 5 | 1 | 5 | 2 | 10 | 50 | \$500 |
| Sec. 152(d) | Subordination of lien position | written | 5 | 1 | 5 | 2 | 10 | 50 | \$500 |
| Sec. 152(f) | Loan transfer and assumption | written | 3 | 1 | 3 | 8 | 24 | 50 | \$1,200 |
| Sec. 153 | Substitution of lender | written | 3 | 1 | 3 | 2 | 6 | 50 | \$300 |
| Sec. 155 | Protective advances | written | 5 | 1 | 5 | 2 | 10 | 50 | \$500 |
| Sec. 156 | Liquidation plan | written | 25 | 1 | 25 | 2 | 50 | 50 | \$2,500 |
| Sec. 156 | Acceleration | written | 25 | 1 | 25 | 2 | 50 | 50 | \$2,500 |
| Sec. 156 | Accounting and reports | written | 20 | 1 | 20 | 2 | 40 | 50 | \$2,000 |
| Sec. 160 | Termination of guarantee | written | 20 | 1 | 20 | 2 | 40 | 50 | \$2,000 |
| REPORTING REQUIREMENT - FORMS APPROVED UNDER THIS DOCKET | | | | | | | | | |
| Sec. 128(a)(2)(i) | Application for a loan guarantee (>\$600,000) | RD 4279-1 (0570-0017) | 50 | 1 | 50 | 2 | 100 | 50 | \$5,000 |
| Sec. 128(c) | Application for a loan guarantee (≤\$600,000) | 4279-1a (0570-0017) | 650 | 1 | 650 | 3 | 1,950 | 50 | \$97,500 |
| Sec. 134 | Assignment guaranteed agreement | RD 4279-6 (0570-0017) | 80 | 1 | 80 | 0.5 | 40 | 50 | \$2,000 |
| Sec. 143 | Conditional Commitment | RD 4279-3 (0570-0017) | 625 | 1 | 625 | 1.5 | 938 | 50 | \$46,875 |
| Sec. 147 (b) | Lender's Agreement | RD 4279-4 | 625 | 1 | 625 | 2 | 1,250 | 50 | \$62,500 |
| Totals | | | | | 13,706 | | 60,948 | | \$3,291,335 |
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| REPORTING REQUIREMENTS - FORMS APPROVED UNDER OTHER OMB NUMBERS | | | | | | | | | |
|---|---|------------------------|-----|---|-----|------|-------|---|---|
| Sec. 111(b)(1)(i)(A) | Application for Federal Assistance | SF 424 (4040-0004) | 685 | 1 | 685 | 1 | 685 | 0 | 0 |
| Sec. 111(b)(1)(i)(B) | Budget Information - Construction Programs | SF 424C (4040-0008) | 685 | 1 | 685 | 3 | 2,055 | 0 | 0 |
| Sec. 111(b)(1)(i)(C) | Assurances - Construction Programs | SF 424D (4040-0009) | 685 | 1 | 685 | 0.25 | 171 | 0 | 0 |
| Sec. 116(b) | Outlay and Request for Reimbursement for Construction Programs | SF 271 (0348-0002) | 610 | 1 | 610 | 1 | 610 | 0 | 0 |
| Sec. 128(b)(2)(i) | Disclosure of Lobbying Activities | SF LLL (0348-0046) | 685 | 1 | 685 | 0.16 | 110 | 0 | 0 |
| Sec. 128(b)(2)(i) | Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions | AD-1047 or in writing | 685 | 1 | 685 | 0.25 | 171 | 0 | 0 |
| Sec. 128(b)(2)(i) | Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transactions | AD-1048 or in writing | 625 | 1 | 625 | 0.25 | 156 | 0 | 0 |
| Sec. 111(b)(1)(ii)(A) | Cert. Regarding Drug-Free Workplace Req. (Grants) Alt. I - For Grantees Other Than Individuals | AD -1049 | 685 | 1 | 685 | 0.25 | 171 | 0 | 0 |
| Sec. 128(b)(2)(i) | Equal Opportunity Agreement | RD 400-1 (0575-0018) | 685 | 1 | 685 | 0.16 | 110 | 0 | 0 |
| Sec. 128(b)(2)(i) | Assurance Agreement | RD 400-4 (0575-0018) | 685 | 1 | 685 | 0.25 | 171 | 0 | 0 |
| Sec. 147(a)(3) | Guaranteed loan closing report | RD 1980-19 (0575-0137) | 625 | 1 | 625 | 1 | 625 | 0 | 0 |
| Sec. 152(a) | Guaranteed Loan Status Report | RD 1980-41 (0570-0016) | 625 | 1 | 625 | 0.16 | 100 | 0 | 0 |
| Sec. 152(f)(4) | Loan Note Guarantee report of loss | RD 449-30 (0575-0137) | 25 | 1 | 25 | 25 | 625 | 0 | 0 |
| Sec. 154 | Guaranteed loan delinquent status borrower | RD 1980-44 (0570-0016) | 25 | 1 | 25 | 0.33 | 8 | 0 | 0 |
| Sec. 156 | Lender's Guaranteed loan payment to the Agency | RD 1980-43 (0575-0137) | 25 | 1 | 25 | 0.5 | 13 | 0 | 0 |
| Totals | | | | | | | | | |
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