Attachment 1a – Forms to be used as starting points in evaluating the collection of birth certificate data using focus group and cognitive interview techniques.

U.S. STANDARD CERTIFICATE OF LIVE BIRTH

| LOCAL FILE NO | Ο. | | | | | BIRTH NUMBE | R: |
|---------------|---------------------------|--|--|--|--|--|----------------------------|
| СН | I L D | CHILD'S NAME (First, Middle, Last, Suffix) | | | 2. TIME OF BIRTH (24 hr) | 3. SEX 4. DATE | OF BIRTH (Mo/Day/Yr) |
| | | 5. FACILITY NAME (If not institution, give street and | number) 6. (| CITY, TOWN, OR LOC | CATION OF BIRTH | 7. COUNTY OF BI | RTH |
| мот | HER | 8a. MOTHER'S CURRENT LEGAL NAME (First, I | Middle, Last, Suffix) | 8b. DA | TE OF BIRTH (Mo/Day) | Yr) | |
| | | 8c. MOTHER'S NAME PRIOR TO FIRST MARRI | IAGE (First, Middle, Last, Suffix) | 8d. BI | RTHPLACE (State, Ter | ritory, or Foreign Coun | try) |
| | | 9a. RESIDENCE OF MOTHER-STATE 9b. | COUNTY | 9c. (| CITY, TOWN, OR LOCA | ATION | |
| | | 9d, STREET AND NUMBER | | 9e. APT. NO. | 9f. ZIP CODE | | 9g. INSIDE CITY LIMITS? |
| FAT | HER | 10a. FATHER'S CURRENT LEGAL NAME (First, | Middle, Last, Suffix) 10b |). DATE OF BIRTH (M | lo/Day/Yr) 10c. BIF | THPLACE (State, Terri | itory, or Foreign Country) |
| CERT | IFIER | 11. CERTIFIER'S NAME: TITLE: MD DO HOSPITAL ADMIN. | □ CNM/CM □ OTHER MIDWIFE | 12. DATE CER / _ MM [| RTIFIED OD YYYY | 13. DATE FILED B | Y REGISTRAR _/ YYYY |
| | | | INFORMATION FOR ADMINISTRA | TIVE USE | | | |
| мот | HER | 14. MOTHER'S MAILING ADDRESS: 9 Same | | | City, Town, or Locati | on: | |
| | | Street & Number: | | | Apartment No.: | | Zip Code: |
| | | 15. MOTHER MARRIED? (At birth, conception, or a IF NO, HAS PATERNITY ACKNOWLEDGEME 18. MOTHER'S SOCIAL SECURITY NUMBER: | | Yes No 19. FATHER'S | | ∕es □ No | 17. FACILITY ID. (NPI) |
| MOT | нек | 20. MOTHER'S EDUCATION (Check the box that best describes the highest degree or level of school completed at the time of delivery) 8th grade or less 9th - 12th grade, no diploma High school graduate or GED completed Some college credit but no degree Associate degree (e.g., AA, AS) Bachelor's degree (e.g., BA, AB, BS) Master's degree (e.g., MA, MS, MEng, MEd, MSW, MBA) Doctorate (e.g., PhD, EdD) or Professional degree (e.g., MD, DDS, DVM, LLB, JD) | 21. MOTHER OF HISPANIC ORIGINE by the box that best describes whether he box that best describes whether is Spanish/Hispanic/Latine 'No' box if mother is not Spanish 'No, not Spanish/Hispanic/Latine 'Yes, Mexican, Mexican America 'Yes, Puerto Rican 'Yes, Cuban 'Yes, Cuban 'Yes, other Spanish/Hispanic/Latine (Specify) | ther the na. Check the sh/Hispanic/Latina) a an, Chicana | what the mother White Black or Africar American Indiar (Name of the et Asian Indian Chinese Filipino Japanese Korean Vietnamese Other Asian (Sp Native Hawaiiar Guamanian or C | n or Alaska Native prolled or principal tribe ecify) h Chamorro ander (Specify) | e) |
| Mother's Name | Mother's Medical Record H | 23. FATHER'S EDUCATION (Check the box that best describes the highest degree or level of school completed at the time of delivery) 3th grade or less 9th - 12th grade, no diploma High school graduate or GED completed Some college credit but no degree Associate degree (e.g., AA, AS) Bachelor's degree (e.g., BA, AB, BS) Master's degree (e.g., MA, MS, MEng, MEd, MSW, MBA) Doctorate (e.g., PhD, EdD) or Professional degree (e.g., MD, DDS, DVM, LLB, JD) | 24. FATHER OF HISPANIC ORIGIN the box that best describes whet father is Spanish/Hispanic/Latin "No" box if father is not Spanish No, not Spanish/Hispanic/Latin Yes, Mexican, Mexican America Yes, Puerto Rican Yes, Cuban Yes, Cuban (Specify) | ther the o. Check the VHispanio Latino) o an, Chicano | what the father White Black or Africar American Indian (Name of the et Asian Indian Chinese Filipino Japanese Korean Vietnamese Other Asian (Sp Native Hawaiian Guamanian or C Samoan Other Pacific Isl | n or Alaska Native prolled or principal tribe | e) |
| | | 26. PLACE WHERE BIRTH OCCURRED (Check Hospital Freestanding birthing center Home Birth: Planned to deliver at home? 9 Yes Clinic/Doctor's office Other (Specify) | NAME: | NPI: | MEDI DELI ^V IF YE | HER TRANSFERRED F CAL OR FETAL INDIC VERY? — Yes — N S, ENTER NAME OF F ISFERRED FROM: | ATIONS FOR |

| INFORMATION | Hypertension Prepregnancy (Chronic) Gestational (PIH, preeclampsia) Eclampsia Previous preterm birth Other previous poor pregnancy outcome (Includes perinatal death, small-for-gestational age/intrautering growth restricted birth) Pregnancy resulted from infertility treatment-If yes, check all that apply. Fertility-enhancing drugs, Artificial insemination Intrauterine insemination Assisted reproductive technology (e.g., in vitro fertilization (IVF), gamete intrafallopian transfer (GIFT)) Mother had a previous cesarean delivery If yes, how many None of the above INFECTIONS PRESENT AND/OR TREATED DURING THIS PREGNANCY (Check all that apple Gonorrhea Syphilis Chlamydia Hepatitis B Hepatitis B Hepatitis C | □ Precipitous Labor (<3 hrs.) □ Prolonged Labor (∃ 20 hrs.) □ None of the above 45. CHARACTERISTICS OF LABOR AND DELIVERY (Check all that apply) □ Induction of labor □ Augmentation of labor □ Non-vertex presentation □ Steroids (glucocorticoids) for fetal lung maturation received by the mother prior to delivery | D. Final route and method of delivery (Check one) Vaginal/Spontaneous Vaginal/Forceps Vaginal/Vacuum Cesarean If cesarean, was a trial of labor attempted? Yaginal/Vacuum Cesarean If cesarean, was a trial of labor attempted? Yaginal/Vacuum No 47. MATERNAL MORBIDITY (Check all that apply) (Complications associated with labor and delivery) Maternal transfusion Third or fourth degree perineal laceration Ruptured uterus Unplanned hysterectomy Admission to intensive care unit Unplanned operating room procedure following delivery None of the above |
|---------------------------|--|---|--|
| | | NEWBORN INFORMATION | |
| NEWBORN | 48. NEWBORN MEDICAL RECORD NUMBER | 54. ABNORMAL CONDITIONS OF THE NEWBORN (Check all that apply) | 55. CONGENITAL ANOMALIES OF THE NEWBORN (Check all that apply) |
| s Name | 49. BIRTHWEIGHT (grams preferred, specify unit) 9 grams 9 lb/oz 50. OBSTETRIC ESTIMATE OF GESTATION: (completed weeks) 51. APGAR SCORE: Score at 5 minutes: If 5 minute score is less than 6, Score at 10 minutes: 52. PLURALITY - Single, Twin, Triplet, etc. (Specify) 53. IF NOT SINGLE BIRTH - Born First, Second, Third, etc. (Specify) 56. WAS INFANT TRANSFERRED WITHIN 24 HOUR: | Assisted ventilation required immediately following delivery Assisted ventilation required for more than six hours NICU admission Newborn given surfactant replacement therapy Antibiotics received by the newborn for suspected neonatal sepsis Seizure or serious neurologic dysfunction Significant birth injury (skeletal fracture(s), peripheral nerve injury, and/or soft tissue/solid organ hemorrhage which requires intervention) 9 None of the above | Anencephaly Meningomyelocele/Spina bifida Cyanotic congenital heart disease Congenital diaphragmatic hernia Omphalocele Gastroschisis Limb reduction defect (excluding congenital amputation and dwarfing syndromes) Cleft Lip with or without Cleft Palate Cleft Palate alone Down Syndrome Karyotype confirmed Karyotype pending Suspected chromosomal disorder Karyotype pending Hypospadias None of the anomalies listed above |
| Mother' Mother' No. | IF YES, NAME OF FACILITY INFANT TRANSFERF | ED | |

| | not institution, give street and number) | |
|--------|--|---------|
| 2. Fac | cility I.D. (National Provider Identifier): | |
| 3. Cit | ty, Town or Location of birth: | |
| 4. Co | ounty of birth: | |
| 5. Pla | ace of birth: | |
| | Hospital | |
| | Freestanding birthing center (Freestanding birthing center is defined as one which has no direct physical connection with an operative delivery center.) | L |
| | Home birth | |
| | Planned to deliver at home Yes No | |
| | Clinic/Doctor's Office | |
| | Other (specify, e.g., taxi cab, train, plane, etc.) | |
| | *Facilities may wish to have pre-set responses (hard-copy and/or electronic) to questions 1-5 for births which occur a institutions. | t their |

Prenatal

Sources: Prenatal care records, mother's medical records, labor and delivery records

Information for the following items should come from the mother's prenatal care records and from other medical reports in the mother's chart, as well as the infant's medical record. If the mother's prenatal care record is not in her hospital chart, please contact her prenatal care provider to obtain the record, or a copy of the prenatal care information. Preferred and acceptable sources are given before each section. Please do not provide information from sources other than those listed.

| 8. Date last normal menses began: M D D Y Y Y |
|---|
| 9. Number of previous live births now living (Do not include this child. For multiple deliveries, do not include the 1st born in the set if completing this worksheet for that child): Number None |
| Number of previous live births now dead (Do not include this child. For multiple deliveries, do not include the 1st born in the set if completing this worksheet for that child): Number • None |
| 11. Date of last live birth: ${M\ M}\ {Y}\ {Y}\ {Y}\ {Y}$ |
| 12. Total number of other pregnancy outcomes (Include fetal losses of any gestational age- spontaneous losses, induced losses, and/or ectopic pregnancies. If this was a multiple delivery, include all fetal losses delivered before this infant in the pregnancy): Number None |
| 13. Date of last other pregnancy outcome (Date when last pregnancy which did not result in a live birth ended): |
| M M Y Y Y Y 14. Risk factors in this pregnancy (Check all that apply): |
| Diabetes - (Glucose intolerance requiring treatment) □ Prepregnancy - (Diagnosis prior to this pregnancy) □ Gestational - (Diagnosis in this pregnancy) |
| Hypertension - (Elevation of blood pressure above normal for age, gender, and physiological condition.) Prepregnancy - (Chronic) (Elevation of blood pressure above normal for age, gender, and physiological condition diagnosed prior to the onset of this pregnancy) Gestational - (PIH, preeclampsia) (Elevation of blood pressure above normal for age, gender, and physiological condition diagnosed during this pregnancy. May include proteinuria (protein in the urine) without seizures or coma and pathologic edema (generalized swelling, including swelling of the hands, legs and face).) Eclampsia - (Pregnancy induced hypertension with proteinuria with generalized seizures or coma. May include pathologic edema.) |

| | Mother had a previous cesarean delivery - (Previous operative delivery by extraction of the fetus, placenta and membranes through an incision in the maternal abdominal and uterine walls.) If Yes, how many |
|-------|--|
| | None of the above |
| | fections present and/or treated during this pregnancy - (Present at start of pregnancy or confirmed gnosis during pregnancy with or without documentation of treatment.) (Check all that apply): |
| | Gonorrhea - (a diagnosis of or positive test for Neisseria gonorrhoeae) Syphilis - (also called lues - a diagnosis of or positive test for Treponema pallidum) Chlamydia - (a diagnosis of or positive test for Chlamydia trachomatis) Hepatitis B - (HBV, serum hepatitis - a diagnosis of or positive test for the hepatitis B virus) Hepatitis C - (non A, non B hepatitis, HCV - a diagnosis of or positive test for the hepatitis C virus) None of the above |
| 16. O | Postetric procedures - (Medical treatment or invasive/manipulative procedure performed during this pregnancy specifically in the treatment of the pregnancy, management of labor and/or delivery.) (Check all that apply): |
| | Cervical cerclage - (Circumferential banding or suture of the cervix to prevent or treat passive dilatation. Includes MacDonald's suture, Shirodkar procedure, abdominal cerclage via laparotomy.) Tocolysis - (Administration of any agent with the intent to inhibit preterm uterine contractions to extend length of the pregnancy.) External cephalic version - (Attempted conversion of a fetus from a non-vertex to a vertex presentation by external manipulation.) Successful Failed None of the above Labor and Delivery Sources: Labor and delivery records, mother's medical records |
| 17. O | Premature Rupture of the Membranes (prolonged >=12 hours) (Spontaneous tearing of the amniotic sac, (natural breaking of the bag of waters), 12 hours or more before labor begins.) Precipitous labor (<3 hours) (Labor that progresses rapidly and lasts for less than 3 hours.) Prolonged labor (>=20 hours) (Labor that progresses slowly and lasts for 20 hours or more.) None of the above |

| | Other (Specify) | |
|---------|--|--|
| 21. Da | te certified: $\overline{M} \overline{M} \overline{D} \overline{D} \overline{D} \overline{Y} \overline{Y} \overline{Y} \overline{Y}$ | |
| 22. Pri | ncipal source of payment for this delivery (At time Private Insurance | ne of delivery): |
| | Medicaid (Comparable State program) | |
| | Self-pay (No third party identified) | |
| | Other (Specify, e.g., Indian Health Service, CHAMPUS/TRICA | ARE, Other Government (federal, state, local)) |
| 23. Inf | ant's medical record number: | |
| (Tr | as the mother transferred to this facility for materal ansfers include hospital to hospital, birth facility to hospital, etc.) Yes No If Yes, enter the name of the facility mother transferment of the facility | Perred from: |
| | present at the delivery who is responsible for the delivery. For exa supervision of an obstetrician who is present in the delivery room, | mple, if an intern or nurse-midwife delivers an infant under the |
| | Attendant's name | N.P.I. |
| Attend | lant's title: | |
| | M.D. | |
| | D.O. | |
| | CNM/CM - (Certified Nurse Midwife/Certified Midwife) | |
| | Other Midwife - (Midwife other than CNM/CM) | |
| | Other specify): | |
| 26. Mo | other's weight at delivery (pounds): | |

| | | Antibiotics received by the mother during labor - (Includes antibacterial medications given systemically (intravenous or intramuscular) to the mother in the interval between the onset of labor and the actual delivery: Ampicillin, Penicillin, Clindamycin, Erythromycin, Gentamicin, Cefataxime, Ceftriaxone, etc.) |
|----|------|---|
| | | Clinical chorioamnionitis diagnosed during labor or maternal temperature $\geq 38^{\circ}$ C (100.4° F) - (Clinical diagnosis of chorioamnionitis during labor made by the delivery attendant. Usually includes more than one of the following: fever, uterine tenderness and/or irritability, leukocytosis and fetal tachycardia. Any maternal temperature at or above 38°C (100.4°F). |
| | | Moderate/heavy meconium staining of the amniotic fluid - (Staining of the amniotic fluid caused by passage of fetal bowel contents during labor and/or at delivery which is more than enough to cause a greenish color change of an otherwise clear fluid.) |
| | | Fetal intolerance of labor was such that one or more of the following actions was taken: inutero resuscitative measures, further fetal assessment, or operative delivery - (In Utero Resuscitative measures such as any of the following - maternal position change, oxygen administration to the mother, intravenous fluids administered to the mother, amnioinfusion, support of maternal blood pressure, and administration of uterine relaxing agents. Further fetal assessment includes any of the following - scalp pH, scalp stimulation, acoustic stimulation. Operative delivery - operative intervention to shorten time to delivery of the fetus such as forceps, vacuum, or cesarean delivery.) |
| | | Epidural or spinal anesthesia during labor - (Administration to the mother of a regional anesthetic for control of the pain of labor, i.e., delivery of the agent into a limited space with the distribution of the analgesic effect limited to the lower body.) |
| | | None of the above |
| | | hod of delivery (The physical process by which the complete delivery of the infant was effected) applete A, B, C, and D): |
| A. | | delivery with forceps attempted but unsuccessful? - (Obstetric forceps was applied to the fetal head in an unsuccessful attempt at vaginal delivery.) Yes No |
| В. | | delivery with vacuum extraction attempted but unsuccessful? - (Ventouse or vacuum cup was applied to the fetal head in an unsuccessful attempt at vaginal delivery.) Yes |
| C. | Feta | l presentation at birth (Check one): Cephalic - (Presenting part of the fetus listed as vertex, occiput anterior (OA), occiput posterior (OP)) Breech - (Presenting part of the fetus listed as breech, complete breech, frank breech, footling breech) Other - (Any other presentation not listed above) |

| | Maternal transfusion - (Includes infusion of whole blood or packed red blood cells associated with labor and delivery.) | |
|--|---|--|
| | Third or fourth degree perineal laceration - (3° laceration extends completely through the perineal skin, vaginal mucosa, perineal body and anal sphincter. 4° laceration is all of the above with extension through the rectal mucosa.) | |
| | Ruptured uterus - (Tearing of the uterine wall.) | |
| | Unplanned hysterectomy - (Surgical removal of the uterus that was not planned prior to the admission. Includes anticipated but not definitively planned hysterectomy.) | |
| | Admission to intensive care unit - (Any admission of the mother to a facility/unit designated as providing intensive care.) | |
| | Unplanned operating room procedure following delivery - (Any transfer of the mother back to a surgical area for an operative procedure that was not planned prior to the admission for delivery. Excludes postpartum tubal ligations.) | |
| | None of the above | |
| Newborn Sources: Labor and delivery records, Newborn's medical records, mother's medical records | | |
| | Sources: Labor and delivery records, Newborn's medical records, mother's medical records | |
| 30. Birt | | |
| 30. Birt If w | | |
| 31. Obs | | |
| 31. Obs | chweight:(grams) (Do not convert lb/oz to grams) eight in grams is not available, birthweight:(lb/oz) stetric estimate of gestation at delivery (completed weeks): birth attendant's final estimate of gestation based on all perinatal factors and assessments, but not the neonatal exam. Do not compute based | |

| Assisted ventilation required for more than six hours - (Infant given mechanical ventilation (breathing assistance) by any method for > 6 hours. Includes conventional, high frequency and/or continuous positive pressure (CPAP).) |
|--|
| $NICU\ admission\ \ (Admission\ into\ a\ facility\ or\ unit\ staffed\ and\ equipped\ to\ provide\ continuous\ mechanical\ ventilatory\ support\ for\ a\ newborn.)$ |
| Newborn given surfactant replacement therapy - (Endotracheal instillation of a surface active suspension for the treatment of surfactant deficiency due to preterm birth or pulmonary injury resulting in respiratory distress. Includes both artificial and extracted natural surfactant.) |
| Antibiotics received by the newborn for suspected neonatal sepsis - (Any antibacterial drug (e.g., penicillin, ampicillin, gentamicin, cefotoxine etc.) given systemically (intravenous or intramuscular).) |
| Seizure or serious neurologic dysfunction - (Seizure is any involuntary repetitive, convulsive movement or behavior. Serious neurologic dysfunction is severe alteration of alertness such as obtundation, stupor, or coma, i.e., hypoxic-ischemic encephalopathy. Excludes lethargy or hypotonia in the absence of other neurologic findings. Exclude symptoms associated with CNS congenital anomalies.) |
| Significant birth injury (skeletal fracture(s), peripheral nerve injury, and/or soft tissue/solid organ hemorrhage which requires intervention) - (Defined as present immediately following delivery or manifesting soon after deliver Includes any bony fracture or weakness or loss of sensation, but excludes fractured clavicles and transient facial nerve palsy. Soft tissue hemorrhage requiring evaluation and/or treatment includes sub-galeal (progressive extravasation within the scalp) hemorrhage, giant cephalohematoma, extensive truncal, facial and/or extremity ecchymosis accompanied by evidence of anemia and/or hypovolemia and/or hypotension. Solid organ hemorrhage includes subcapsular hematoma of the liver, fractures of the spleen, or adrenal hematoma.) |
| None of the above |
| agenital anomalies of the newborn (Malformations of the newborn diagnosed prenatally or after delivery.) aeck all that apply): Anencephaly - (Partial or complete absence of the brain and skull. Also called anencephalus, acrania, or absent brain. Also includes infants with craniorachischisis (anencephaly with a contiguous spine defect).) |
| Meningomyelocele/Spina bifida - (Spina bifida is herniation of the meninges and/or spinal cord tissue through a bony defect of spine closure. Meningomyelocele is herniation of meninges and spinal cord tissue. Meningocele (herniation of meninges without spinal cord tissue) should also be included in this category. Both open and closed (covered with skin) lesions should be included. <u>Do not</u> include Spina bifida occulta (a midline bony spinal defect without protrusion of the spinal cord or meninges).) |
| Cyanotic congenital heart disease - (Congenital heart defects which cause cyanosis. Includes but is not limited to: transposition of the great arteries (vessels), tetratology of Fallot, pulmonary or pulmonic valvular atresia, tricuspid atresia, truncus arteriosus, total/partial anomalous pulmonary venous return with or without obstruction.) |
| |

| | Cleft Lip with or without Cleft Palate - (Incomplete closure of the lip. May be unilateral, bilateral or median.) |
|----------|--|
| | Cleft Palate alone - (Incomplete fusion of the palatal shelves. May be limited to the soft palate or may extend into the hard palate. Cleft palate in the presence of cleft lip should be included in the "Cleft Lip with or without Cleft Palate" category above.) |
| | Down Syndrome - (Trisomy 21) ☐ Karyotype confirmed ☐ Karyotype pending |
| | Suspected chromosomal disorder - (Includes any constellation of congenital malformations resulting from or compatible with known syndromes caused by detectable defects in chromosome structure.) Karyotype confirmed Karyotype pending |
| | Hypospadias - (Incomplete closure of the male urethra resulting in the urethral meatus opening on the ventral surface of the penis. Includes first degree - on the glans ventral to the tip, second degree - in the coronal sulcus, and third degree - on the penile shaft.) |
| | None of the anomalies listed above |
| 39. W | as infant transferred within 24 hours of delivery? (Check "yes" if the infant was transferred from this facility to another within 24 hours of delivery. If transferred more than once, enter name of first facility to which the infant was transferred.) Yes No |
| | If yes, name of facility infant transferred to: |
| | nfant living at time of report? (Infant is living at the time this birth certificate is being completed. Answer "Yes" if the infant already been discharged to home care.) |
| | ☐ Yes ☐ No ☐ Infant transferred, status unknown |
| 41. Is i | nfant being breastfed at discharge? ☐ Yes ☐ No |