



COPS

COMMUNITY ORIENTED POLICING SERVICES
U.S. DEPARTMENT OF JUSTICE

COPS Instruction Booklet

www.cops.usdoj.gov

The U.S. Department of Justice Office of Community Oriented Policing Services (www.cops.usdoj.gov) is pleased to announce that it is seeking applications for funding for the Technology Program. This program furthers the Department's mission by addressing the Department's goal of assisting state, local, and tribal efforts to prevent or reduce crime and violence.

COPS FY 2008 Application Guide: <COPS Program Name>

Eligibility

Invited agencies include: (1) States and U.S. territories, (2) Metropolitan Statistical Areas (MSAs) with a population of 150,000 or greater, and (3) an additional 20 selected MSAs with a population less than 150,000 to ensure that each state has a minimum of three invited MSAs (in states where at least three MSAs exist).

Deadline

All applications must be received at the COPS Office by close of business on XX, 2008.

Contact Information

For assistance with the requirements of this solicitation, contact the COPS Office Response Center at 800.421.6770 or via e-mail at AskCOPSRC@usdoj.gov.

This application may be submitted through Grants.gov or via hard copy. For technical assistance with submitting the application, call the Grants.gov Customer Support Hotline at 800.518.4726.

Grants.Gov/ <COPS-Program Name>-2008-1

U.S. Department of Justice
Office of Community Oriented Policing Services
Carl R. Peed, Director



COPS FY2008 Application Guide: <COPS Program Name>

The COPS Application Guide is designed to assist applicants in applying for COPS grant programs. This Guide includes general information on the administrative and legal requirements governing the Technology Program, as well as detailed program-specific information.

For more information about COPS grants, call the COPS Office Response Center at 800.421.6770.



U.S. Department of Justice
Office of Community Oriented Policing Services
1100 Vermont Avenue, N.W.
Washington, DC 20530

COPS Online: www.cops.usdoj.gov

January 2008

Contents

OVERVIEW	1
COPS Office Overview	1
COPS Technology Program Overview	2
DEADLINE: REGISTRATION	4
DEADLINE: APPLICATION	4
ELIGIBILITY REQUIREMENTS	5
PROGRAM-SPECIFIC INFORMATION	5
Program Goals	5
Length of Grant Term, Maximum Federal Share, and Local Share Requirements	6
Mandatory Technical Assistance Requirements	6
Federal Funding: Allowable and Unallowable Costs	6
Allowable Costs: Fundable Requests	7
Unallowable Costs: Requests Will NOT Be Funded	8
Monitoring, Reporting, & Evaluation Requirements	9
Other Information	10
Standards	10
Land Mobile Radio (LMR) Systems	11
PERFORMANCE MEASURES	12
HOW TO APPLY	13
Administrative Requirements Governing COPS Programs	13
Electronic Submission of Applications via Grants.gov	13
Procedures for Using Grants.gov	15
I. E-Business & Registration Information	15
II. Find & Apply for Funding Opportunities	17
Helpful Online Resources	20
Obtaining a DUNS Number	21
Audit Requirement	23
Civil Rights	23

Legal Requirements: Grant Terms & Conditions	23	
I. & II. Assurances & Certifications	24	
III. Disclosure of Lobbying Activities	24	
IV. Nonsupplanting Requirement	24	
V. Procurement & Sole Source Justification.	25	
VI. Criminal Intelligence Systems/28 C.F.R. Part 23 Compliance	26	
VII. Certification to Mitigate Possible Adverse Health, Safety, & Environmental Impacts	26	
Suspension or Termination of Funding	27	
Required Application Documents & Sections for Tech Program Applications.	28	
WHAT AN APPLICATION MUST INCLUDE: REQUIRED FORMAT & OTHER IMPORTANT		
INFORMATION FOR SUBMISSION		29
General Information	29	
Need for Federal Assistance	29	
Executive Summary	29	
Project Description (Narrative)	29	
Narrative Sections	30	
Consortia	31	
Coordination	31	
Budget Narrative	32	
Certifications	32	
Mailing Address	32	
Selection Criteria	33	
Sample Technology Budget Detail Worksheets and Budget Summary	34	
APPENDIXES	39	
Appendix A: Glossary of COPS Program Terms	39	
Appendix B: Intergovernmental Review Process, Points of Contract by State	44	

COPS <COPS Program Name> (CFDA 16.710)

OVERVIEW

COPS Office Overview

The COPS Office was established as a result of the Violent Crime Control and Law Enforcement Act of 1994 to assist law enforcement agencies in enhancing public safety through the implementation of community policing strategies in jurisdictions of all sizes across the country. Community policing represents a shift from more traditional law enforcement in that it focuses on prevention of crime and the fear of crime on a local basis. Community policing puts law enforcement professionals on the streets and assigns them a beat so they can build mutually beneficial relationships with the people they serve.

COPS provides funding to state, local, and tribal law enforcement agencies and other public and private entities to hire and train community policing professionals, acquire and deploy cutting-edge crime-fighting technologies, and develop and test innovative policing strategies. COPS-funded training helps advance community policing at all levels of law enforcement—from line officers to law enforcement executives—as well as others in the criminal justice field. Because community policing is by definition inclusive, COPS training also reaches state and local government leaders and the citizens they serve. This broad range of programs helps COPS offer agencies support in virtually every aspect of law enforcement, and it is making America safer, one neighborhood at a time.

The COPS Office has also funded the creation of a national network of Regional Community Policing Institutes (RCPIs) to foster training in community policing at the regional level. RCPIs are uniquely positioned to provide an array of meaningful training that supports the mission of the COPS Office to local law enforcement and the communities they serve.

We will continue to accomplish our mission by:

- Creating innovative programs that respond directly to the emerging needs of state, local, and tribal law enforcement, to shift law enforcement's focus to preventing, rather than reacting to, crime and disorder within their communities.
- Developing state-of-the-art training and technical assistance to enhance law enforcement officers' problem-solving and community interaction skills.
- Promoting collaboration between law enforcement and community members to develop innovative initiatives to prevent crime.
- Providing responsive, cost effective service delivery to our grantees to ensure success in advancing community policing strategies within their communities.

The programs and initiatives developed by the COPS Office have provided funding to more than 13,000 law enforcement agencies. By funding more than 13,000 of the nation's 18,000 law enforcement agencies, the COPS Office has helped create a community policing infrastructure across the nation. Approximately 86 percent of the nation's population is served by law enforcement agencies practicing community policing.

Additional information regarding the COPS Office can be found at www.cops.usdoj.gov.

COPS Technology Program Overview

Technology has become a critical element of crime-fighting in the 21st century. Law enforcement agencies at all levels are using technology to enhance their effectiveness in such areas as solving crimes, streamlining dispatch and deployment systems, developing faster electronic field reporting methods, and sharing information among partners. Use of such technology often leads to resource management that is both more effective and cost efficient. It also improves officer and, ultimately, public safety.

§ “Emergency First Responder Report.” Federal Emergency Management Agency, U.S. Fire Administration. January 1981.

§§ “National Strategy for the Physical Protection of Critical Infrastructures and Key Assets,” The White House. February 2003, page 43.

§§§ “Why Can’t We Talk? Working Together To Bridge the Communications Gap To Save Lives,” AGILE Program. February 2003.

The COPS Office has played an instrumental role in supplying more than 3,000 law enforcement agencies with technology to advance community policing efforts. Through the Making Officer Redeployment Effective (MORE) program, the Interoperable Communications Technology Program, and the Technology Program, COPS has provided more than \$1 billion to fund crime-fighting technologies. Grants provided through these programs have helped improve police effectiveness and the flow of information between law enforcement agencies, local government service providers, and the citizens they serve.

Many law enforcement agencies across the country already use various technologies in important ways. For example, agencies frequently use Computer Aided Dispatch (CAD) systems to deploy officers. In addition, many agencies use Records Management Systems (RMS) to electronically store and retrieve information on crimes, criminals, and stolen property. However, agencies within a region often use technology systems that are incompatible with each other and cannot exchange data. The resulting inability to share information across jurisdictions often impedes the ability of those agencies to work together to enhance crime-fighting and public safety.

Automated information sharing is a critical component of effective law enforcement. Better information means better decisions, and better decisions mean improved public safety. Creating information-sharing capabilities among state and local public safety agencies that consistently conform to national standards efforts and that provide tangible benefits and outcomes will strengthen the foundation for successful nationwide information sharing, which will help agencies to prevent, prepare for, and/or respond to daily criminal activity, major criminal incidents, natural disasters, and terrorist attacks.

Implementing effective and appropriately designed information-sharing systems is a complex and critical task. Much work has been done during the past ten years to share lessons learned and best practices in efforts to integrate law enforcement information-sharing systems. In addition, national initiatives have provided tools and standards to facilitate such systems development.

One of the major issues facing the emergency services sector is the inability of emergency service workers, including traditional “first responders,” to communicate with one another when the need arises. These emergency first responders have long been defined as the “first arriving organized responders with the capability and mission to contain, mitigate, and resolve the emergency at hand.”[§] Their effective and efficient emergency response requires coordination, communication, and sharing of vital information among numerous public safety agencies. As articulated in the *National Strategy for the Physical Protection of Critical Infrastructures and Key Assets*, “most systems supporting emergency response personnel, however, have been specifically developed and implemented with respect to the unique needs of each agency.”^{§§} Such specification without consideration of the need for interoperability complicates the ability of those agencies to effectively communicate with others in the future. This fact is echoed by the public safety community in the National Task Force on Interoperability report *Why Can’t We Talk? Working Together To Bridge the Communications Gap To Save Lives*.^{§§§}

According to the SAFECOM Program within the U.S. Department of Homeland Security, communications interoperability, by definition, refers to the ability of emergency response agencies to talk across disciplines and jurisdictions via radio communications systems, to exchange voice and data with one another on demand, in real time, when needed, and as authorized.

Since 2002, the Office of Justice Programs, based on recommendations from the Global Justice Information Sharing Initiative (Global), and with support from the practitioner community and the Georgia Tech Research Institute, has been developing an information exchange model for the law enforcement and justice communities. Information on this set of standards, known formally as the Global Justice XML Data Model (GJXDM), can be found in the Justice Standards Clearinghouse at www.it.ojp.gov/gjxdm. Since February 2005, a partnership among the Department of Justice, the Department of Homeland Security, and Global has expanded the concepts of GJXDM into a new data model called the National Information Exchange Model (NIEM), which addresses information exchanged among justice, homeland security, and intelligence domains.

The 2008 COPS <COPS Program Name> provides funding to local, state, and tribal law enforcement agencies for the procurement of technology that focuses on the ability to share data information and enhance voice interoperability with regional, state, and federal partners. This funding will support projects aimed at facilitating the sharing of information, either data or voice, across multiple jurisdictions within a region, with the ultimate objective of increasing public safety.

The 2008 COPS Technology Program requires partnerships between/among law enforcement and other public safety agencies within a given region to enhance the sharing of information using existing standards and/or best practices adopted or recognized by national law enforcement associations and the Department of Justice. An example of a recognized technical data standard is the National Information Exchange Model, which acts as the national framework that includes GJXDM and the work of communities beyond justice to foster collaboration and the better sharing of information. Recognized best practices include guidelines for privacy and fusion centers endorsed by the Department's Global Advisory Committee. These partnerships may also include other non-law enforcement public safety agencies within the given region. Applicants must propose projects that are regional or multijurisdictional in scope.

Proposals should identify the specific systems and capabilities which the grant project will support. These systems may be local/regional, but may also be state/national scope systems. The applicant must demonstrate an effective multi-agency governance structure to guide the development, implementation, and operation of the proposed information-sharing initiative. Proposals should include specific information (e.g., explanations, diagrams, etc.) on the types of information that will be exchanged between agencies and systems.

Preference will be given to:

- Applicants with existing governance structures led by public safety executives and convening regular, documented meetings.
- Proposals that are based on a documented strategic plan or comprehensive concept of operation for the proposed initiative.
- Proposals demonstrating that dedicated project management staff will be assigned to the initiative and that recognized project management methodologies will be used.
- Proposals establishing demonstrable metrics for information-sharing effectiveness that will be captured and reported.

Funding will also be available for:

- Projects that result in state, local or regional information-sharing systems being connected to national sharing initiatives, such as National Data Exchange (N-DEx) and Regional Data Exchange (R-DEx), and coordinated with the respective statewide plan.
- Projects with a comprehensive and clear plan to enhance multi-jurisdictional and multi-disciplinary public safety voice interoperability. Proposals should address how the proposed project fits into an overall effort to enhance regional and statewide public safety interoperability.
- Projects that are closely coordinated with the applicable statewide fusion center(s).
- Projects that provide for mobile data technology used by field first responder personnel to access and use information-sharing systems.
- Projects that establish or enhance integrated systems that include and leverage both data and voice technology.
- Computer Aided Dispatch (CAD) and Records Management System (RMS) projects, as well as projects which implement guidelines and technologies for reconciling disparate data inherent to CAD/RMS systems based on their operational roles.

Recognizing the challenges to acquiring and implementing crime-fighting technology, the COPS Office assists its technology grantees with direct training and technical assistance. This assistance has come in the form of on-site assistance for agencies facing significant challenges, information technology conferences, and access to distance learning materials. These avenues of assistance have helped grantees to overcome information technology impediments and achieve project objectives in demonstrable ways. The COPS Office has also produced numerous succinct, easy-to-use publications to aid our technology grantees. Furthermore, the COPS Office provides technology grantees with opportunities for networking with many other practitioners and linking to additional helpful resources.

Please be advised that a hold may be placed on an application if it is deemed that the applicant agency is not in good standing on any U.S. Department of Justice grant, has other grant compliance issues that would make the applicant agency ineligible to receive COPS funding, and/or is not cooperating with an ongoing compliance investigation regarding a current COPS grant award. A hold may also be placed on an application if it is deemed that the applicant agency is not in compliance with federal civil rights laws and/or is not cooperating with an ongoing federal civil rights investigation.

DEADLINE: REGISTRATION

If applying online via Grants.gov, the applicant must register by June 15, 2008. Applicants must have a DUNS number (see page 21) prior to registering on Grants.gov. Applicants are encouraged to register early to ensure sufficient time to apply.

DEADLINE: APPLICATION

There will be one application deadline of **June 29, 2008** for the Tech Program. Applications must be received at the COPS Office or submitted online via Grants.gov by close of business on June 29, 2008 to receive consideration for Fiscal Year 2008 funding, subject to funding availability. Applications received after June 29, 2008 will not be considered for funding. All applicants will receive written notification of funding decisions.

ELIGIBILITY REQUIREMENTS

The Tech Program is not an open solicitation. Rather, jurisdictions across the nation are invited to apply for funding. The invited agencies will include both larger and smaller population centers, as follows:

- All states and U.S. territories.
- All 254 Metropolitan Statistical Areas (MSAs) that have populations of 150,000 or greater.
- An additional 20 selected MSAs with a population of less than 150,000 (to ensure that each state has a minimum of three invited MSAs in states where at least three MSAs exist).

The U.S. Census Bureau regularly uses MSAs for demographic purposes. The general concept of an MSA is that of a core area containing a large population nucleus, together with adjacent communities having a high degree of economic and social integration with that core (defined by the U.S. Census Bureau, *Statistical Abstract of the United States, 2002*). Since most states will have at least three MSA applicants under the COPS invitation method, there will be a variety of applications based on the size of population, geographic characteristics, and critical infrastructures. Additionally, a lead jurisdiction has been designated within each MSA, with invitations to apply sent to those jurisdictions, encouraging them to partner with neighboring localities on a data information-sharing project that is multijurisdictional and potentially multidisciplinary, as appropriate. For states and territories, each governor was invited to request and forward a Tech application to the appropriate state agency or office to develop a proposal to enhance statewide information sharing.

Funding decisions will be based upon the number and quality of applications received. For additional information, please contact your COPS Grant Program Specialist by calling the COPS Office Response Center at 800.421.6770.

PROGRAM-SPECIFIC INFORMATION

Program Goals

The COPS Technology Program seeks to assist public safety by:

- Enhancing data information-sharing efforts and voice interoperability within states or territories and among regional jurisdictions.
- Promoting effective partnerships for information sharing and voice communications among governmental and public safety entities. Successful projects will be multijurisdictional, and should include non-law enforcement agencies where appropriate (e.g., fire departments, emergency medical services, and other first responders).

Length of Grant Term, Maximum Federal Share, and Local Share Requirements

The Tech grant is three years in duration, and agencies are required to contribute a local cash match of 25 percent toward the total cost of the approved grant project during the grant award period. Your agency must maintain records that clearly demonstrate the source of local matching funds, the amount of the match, and when the match is contributed. The match must be a cash match, made from local, state, or other non-COPS funds. Requests to waive the local match are not permitted under this program. Funds previously budgeted for a law enforcement purpose may not be reallocated to provide local matching funds for the Tech Program.

Awards will be capped at \$6 million in federal funds for states/U.S. territories and MSA regions with a population greater than 500,000 persons. There will be a \$3 million cap for MSA regions with 500,000 or fewer persons. Proposals for less than the respective federal funding cap are permissible. The invitation to submit an application does not guarantee grant funding.

The COPS Office will review reasonable requests made for no-cost time extensions in the event that all funds have not been expended within the three year grant period. Extension worksheets will be sent to the lead grantee department approximately 90 days prior to the award end date. Any extensions granted will be for time only, and not for additional funding. Please be advised that all extension requests must be received by the grant period end date.

Mandatory Technical Assistance Requirements

There will be both mandatory and optional technical assistance requirements and opportunities to provide 2008 Technology Program grantees with the tools and training necessary to ensure successful project implementation. Specifically, attendance is required at a COPS-sponsored technical assistance “kickoff” conference, tentatively scheduled for late 2008 or early 2008, at a location to be determined. Applicant budgets must include travel costs for up to four representatives to attend the kickoff conference. There will also be regional conference events, with the dates and locations to be determined. Attendance at a regional conference event is optional, though strongly encouraged. Applicants may budget travel costs for up to two staff to attend a regional event (additional participants may attend at grantee expense). Both the kickoff and the regional conferences will necessitate three-night stays for the duration of the event. Finally, there will be one mandatory on-site technical assistance visit to each grantee by the COPS Office's designated provider (to be determined). Additional on-site training will be available at grantee request.

Federal Funding: Allowable and Unallowable Costs

All items requested will be considered on a case-by-case basis during the budget review process and agencies will be notified in a timely manner of any points of clarification from the COPS Office. Additionally, each item should have a link to the enhancement of data information sharing in your state/territory/region. Examples of items that will not be considered include uniforms, ammunition, soft body armor, and vehicles. Please refer to the List of Unallowable Costs for additional examples. To the greatest extent practicable, all equipment and products purchased with these funds must be American-made.

Allowable Costs: Fundable Requests

Applications should be comprehensive, with a clear and demonstrated plan for the enhancement of data information sharing. Each grant application must explain how the proposed project would fit into an overall effort to increase and/or enhance information sharing and voice interoperability for the public safety community. Even if the funding sought is for only a portion of a data and/or voice interoperability endeavor (e.g., the procurement of equipment), a description should be provided to illustrate the broader context of the applicant's interoperability plans. Such an explanation may include information on the governance structure overseeing the effort; a deployment plan; an operations, maintenance, and training plan; and/or a financial plan.

All items under this Tech grant must be purchased using the legislative intent established by the Continuing Appropriations Resolution, 2008 (P.L. 110-005).

Budget requests may be made in the categories of:

- **Equipment:** Equipment costs shall provide public safety agencies with the ability to purchase new or upgrade existing hardware and software to enhance the exchange of information and/or voice interoperability. Allowable equipment can include that which is needed to establish connectivity to the National Data Exchange (N-DEx) (up to a \$500,000 limit) and Regional Data Exchange (R-DEx) systems. All equipment should improve the timeliness, effectiveness, and accuracy of public safety information sharing.
- **Supplies:** Supply costs must be for consumable products used solely for the project. Such costs may include paper, printer ink, pens, pencils, etc.
- **Travel/Training:** Travel/training costs include such costs as lodging, airfare, per diem, etc. The budget information must include travel costs for up to four representatives to attend a required grantee technical assistance/kickoff conference at a location to be determined. In addition, budget information may include travel costs for attendance for regional workshops at locations yet to be determined.
- **Contracts/Consultants:** Contracts/consultant costs may include costs to provide one-time training to staff for operation/usage, as well as services such as project management, information exchange/needs analysis, installation, data conversion, systems integration, and testing. Any consultant or contracting charges associated with your project of more than \$450 per day will require documented justification and prior written approval by the COPS Office. Determinations will be made on a case-by-case basis.

- **Personnel and Fringe Benefits:** Civilian personnel and fringe benefits costs may apply only to **new** personnel (such as a project manager) as long as the position(s) directly relates to the project.
- **Other Costs:** Other costs may include such items as software and prepaid warranties or maintenance agreements (not to exceed 36 months), or other miscellaneous items that have a direct correlation to the overall success of the project.

Items that have a direct correlation to the overall success of a grantee's project objectives and are necessary for the project to reach full implementation will be considered on a case-by-case basis. Additionally, the COPS Office encourages the purchase of allowable items related to the grant project that have a link to the implementation or enhancement of community policing and crime prevention in your jurisdiction. Requests may be made only for items or positions that are not otherwise budgeted with state, local, or Bureau of Indian Affairs (BIA) funds, and would not be funded in the absence of the Tech grant.

In addition, any publication material developed and/or purchased with federal grant funds must contain the following designation: "This document prepared by (name of agency) was supported by Grant # _____, awarded by the U.S. Department of Justice Office of Community Oriented Policing Services. The opinions, findings, and conclusions or recommendations expressed in this document are those of the author(s) and do not necessarily represent the official position or policies of the U.S. Department of Justice or the COPS Office."

Unallowable Costs: Requests Will NOT Be Funded

The items listed below are generally considered to be unallowable, and will only be funded under extremely limited and extenuating circumstances and at the discretion of the COPS Office. Before including any of these items in your budget and project proposal, please contact your COPS Grant Program Specialist at 800.421.6770. Items not listed below will be reviewed on a case-by-case basis.

PERSONNEL:

- Salaries, benefits, and overtime of existing employees.
- Salaries, benefits, and overtime of sworn officers.
- Salaries and benefits of grant writers or other staff who do not directly contribute to the implementation of the program.

TRAVEL/TRAINING:

- Kickoff conference travel costs in excess of four attendees, and regional conference travel costs in excess of two attendees.
- Local travel costs (lodging, meals, per diem, or transportation costs) within a 50-mile radius of the program location.
- Mileage reimbursement, rental cars, parking fees, and/or taxi fare for local travel.
- Meals and/or refreshment costs associated with meetings.

EQUIPMENT:

- Cellular phones.
- Construction and renovation costs.
- Dictation systems.
- Equipment already funded in the agency's budget.
- General police vehicles (including patrol cars and leased vehicles).
- Office rental / lease space.
- Pagers (including service time).
- Phone lines and voice-mail systems.
- Radar guns.
- Standard issue police vehicle equipment (including light bars, cages, and siren packages).
- Standard office furniture and equipment (not specifically related and dedicated to the grant).
- Televisions / VCRs / DVDs.

CONTRACTS/CONSULTANTS:

- Training in topics that are not directly linked to the Tech grant.
- Contractual agreements that cannot be directly linked to the Tech grant.
- Any consultant fees in excess of \$450 per day must receive prior written approval from the COPS Office, contingent upon written justification by the grantee.

SUPPLIES AND OTHER COSTS:

- Standard office supplies not directly related to the Tech grant.
- Indirect costs.

Because of the administrative barriers and significant project delays related to environmental review under the National Environmental Policy Act (NEPA), the COPS Office will **not** fund requests for costs that include construction under the 2008 COPS Technology Program (e.g., towers, buildings, etc.). Any proposed costs requiring environmental review will be deemed disallowed during budget clearance by the COPS Office.

This program will not provide funding for any positions or items which are funded in the applicant agency's budget with other sources of funding (state, local, or BIA). You may apply only for otherwise unfunded positions or items to supplement your agency's law enforcement budget.

Monitoring, Reporting, and Evaluation Requirements

Federal regulations require that any financial assistance from the federal government be monitored to ensure that those funds are spent properly. Awarded agencies will be responsible for submitting periodic programmatic Progress Reports and quarterly Financial Status Reports. In addition, the COPS Office is interested in tracking the progress of its programs and the development of its grantees' community policing plans. Therefore, all Tech grantees will be required to participate in grant monitoring activities of the U.S. Department of Justice, including but not limited to the COPS Office, the Office of the Inspector General, or an entity designated by COPS.

The COPS Office Monitoring staff may take a number of monitoring approaches, such as site visits, office-based grant reviews, and periodic surveys to gather information. COPS may seek information including, but not limited to, your agency's compliance with nonsupplanting and financial requirements of the grant and progress toward achieving your community policing plan. Program and Monitoring Specialists as well as auditors are particularly interested in confirming that the purchase of approved items is consistent with the applicant's proposal.

Though a formal assessment is not a requirement, departments are strongly encouraged to conduct an independent assessment of their respective projects. Project evaluations have proven to be valuable tools in helping departments to identify areas in need of improvement, as well as providing data of successful processes.

Please feel free to contact your Grant Program Specialist at 800.421.6770 to discuss any issues or concerns you may have.

Other Information

Standards

When procuring hardware, software, or professional services for an information-sharing initiative, a standards-based approach should also be used. Specifically, information-sharing initiatives should be compatible with the Global Justice XML Data Model (GJXDM) / National Information Exchange Model (NIEM). With input from the user community, these specifications have been developed to help build the technological foundation that supports data interoperability for the law enforcement and justice community. The National Criminal Intelligence Sharing Plan, as well as Office of Justice Programs (OJP), Department of Justice (DOJ), and Department of Homeland Security (DHS) grant conformance guidelines, require agencies to use the GJXDM / NIEM, as appropriate, when connecting disparate information systems in order to promote interoperability. For more information on GJXDM or NIEM, please refer to the URLs at the end of this section.

As fusion centers become implemented across the country for the collection, analysis, and dissemination of critical information, the need to conform to established minimum capabilities and operational guidelines is essential to help ensure consistent interoperability. To support these endeavors, Global has supported the development of fusion center guidelines, as well as related best practices and technology standards.

To assist law enforcement with the consistent acquisition and deployment of Computer Aided Dispatch (CAD) and Records Management Systems (RMS), the Law Enforcement Information Technology Standards Council (LEITSC), which acts as a consortium of law enforcement membership organizations, has supported the development of CAD/RMS functional specifications. These specifications provide assistance in setting expectations for the minimum functionality of these systems, and help ensure a standards-based approach across the nation, greatly enhancing the capability of such systems for information sharing and interoperability.

For more details on these information-sharing standards, specifications, implementation guidelines, and other helpful related information, please refer to the following online resources:

OJP IT Home - www.it.ojp.gov

National Fusion Center Guidelines - www.it.ojp.gov/topic.jsp?topic_id=209

Global Justice XML Data Model - www.it.ojp.gov/gjxdm

National Information Exchange Model - www.niem.gov

GJXDM/NIEM Implementation Guide - www.niem.gov/implementationguide.php

GJXDM/NIEM Standards Clearinghouse - it.ojp.gov/iepd

LEITSC Specifications for CAD and RMS - www.leitsc.org

Land Mobile Radio (LMR) Systems

When procuring equipment for communication system development and expansion, a standards-based approach should be used to begin migration to multi-jurisdictional and multi-disciplinary interoperability. Specifically, all new digital voice systems should be compliant with the Project 25 (P25) suite of standards. This recommendation is intended for government-owned or –leased digital land mobile public safety radio equipment. Its purpose is to make sure that such equipment or systems are capable of interoperating with other digital emergency response land mobile equipment or systems. It is not intended to apply to commercial services that offer other types of interoperability solutions. Further, it does not exclude any application if the application demonstrates that the system or equipment being proposed will lead to enhanced interoperability.

With input from the user community, these standards have been developed to allow for backward compatibility with existing digital and analog systems and to provide for interoperability in future systems. The FCC has chosen the P25 suite of standards for voice and low-to-moderate speed data interoperability in the new nationwide 700 MHz frequency band and the Integrated Wireless Network (IWN) of the U.S. Homeland Security, Justice, and Treasury Departments has chosen the P25 suite of standards for their new radio equipment. The U.S. Department of Defense has also endorsed P25 for new LMR (Land Mobile Radio) systems.

This guidance does not preclude funding of non-P25 equipment when there are compelling reasons for using other solutions. However, the first priority of federal funding (subject to the statutory authority of the grantor agency or the objectives of the grant program if the applicant is seeking federal grant funding) for improving public safety communications is to provide basic, operable communications within a department with safety as the overriding consideration. Funding requests by agencies to replace or add radio equipment to an existing non-P25 system (i.e., procurement of new portables on an existing analog system) will be considered if there is an explanation as to how their radio selection will allow for improving interoperability or eventual migration to interoperable systems. Absent these compelling reasons, SAFECOM intends that P25 equipment will be preferred for LMR systems to which the standard applies.

Beginning in FY 2008 grant applicants purchasing P25 equipment must obtain documented evidence from the manufacturer that the equipment has been tested to and passed all of the applicable, published, normative P25 compliance assessment test procedures for performance, conformance, and interoperability as defined in the “Grant Guidance – Project 25 Explanatory Addenda,” which can be found at <http://www.safecomprogram.gov/SAFECOM/grant/default.htm>. Applicable test procedures include tests of all mandatory features and standard options installed in the product contemplated for purchase. This documentation shall be in the form of a Supplier’s Declaration of Compliance (SDoC) prepared in accordance with ISO/IEC 17050-1. Further, the relevant compliance assessment test reports which form the basis for the SDoC shall be prepared in accordance with the NIST publication: “Procedures and General Requirements for Compliance Assessment of Project 25 Land Mobile Radio Equipment.”

PERFORMANCE MEASURES

To assist in fulfilling the Department of Justice's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding must provide data that measure the results of their work. Performance measures for the Tech Program are as follows:

Objective	Performance Measures	Data Grantee Provides
Increase the capacity of law enforcement agencies to implement community policing strategies that strengthen partnerships for safer communities and enhance law enforcement's capacity to prevent, solve, and control crime through funding for technology.	Average community policing capacity implementation rating (0 to 100) of Tech grantees. Average technological capacity implementation rating (0 to 100) of Tech grantees. Successful purchase and implementation of all items and/or services listed in the application Project and Budget Narratives.	Annual progress reports providing an overview of grant purchases/ implementation and implementation of community policing strategies.

All COPS Tech grants target increasing grantee capacity to implement community policing strategies within the three primary elements of community policing: 1) problem-solving; 2) partnerships; and 3) organizational transformation. The COPS Office requires all Tech applicants to describe how the data information-sharing technology requested will assist the applicant in implementing community policing strategies.

As part of the annual progress report, Tech grantees will be required to report on their progress toward implementing community policing strategies. The COPS Office will not require that grantees track statistics to respond to the performance measure questions, and the grantee's community policing capacity implementation rating and/or technological capacity implementation rating will not be used in determining grant compliance.

Based on the data collected from grantees, the COPS Office may make improvements to the Tech Program to better meet the program's objective and law enforcement agency needs.

HOW TO APPLY

Administrative Requirements Governing COPS Programs

Electronic Submission of Applications via Grants.gov

COPS is committed to supporting the President's Management Agenda (PMA) by striving toward becoming an even more "citizen-centered" and "results-oriented" organization. In an effort to meet these objectives, the COPS Office is participating as a partner with the government-wide Grants.gov initiative. If you are applying for COPS funding you may submit your application either in electronic format via Grants.gov or paper format. Grants.gov is the home of federal grant program information and applications. Please visit www.grants.gov to learn more about this exciting initiative.

Users of Grants.gov will be able to download a copy of the application package, complete it offline, and then upload and submit the application via the Grants.gov site. You may not e-mail a copy of the grant application to the COPS Office. All of the application forms can be submitted via Grants.gov, thus reducing paperwork for the applicant and the COPS Office. Other features of Grants.gov include a computer-based training tutorial, user guides, context-sensitive help for web pages, and customer service support via e-mail and/or toll-free telephone.

The following is important information for applicants choosing to use Grants.gov:

- To use Grants.gov, applicants must have a DUNS number (DUNS numbers are required of all agencies requesting federal funding whether or not the agency uses Grants.gov) and register in the Central Contractor Registry (CCR). If you have already completed these steps for previous submissions, you do not need to complete these steps again. Please see the attached checklist that will assist agencies in completing all the steps for each registration process.
- You may submit all documents electronically, including all information typically included on the SF-424, the COPS Application Attachment to SF-424, Budget Information, Project Narratives and any related attachments.
- Your application must comply with any page limit requirements described in the COPS Application Guide.
- You must also download the COPS Application Guide (instructions) for completing the application. This must be downloaded separately from the application package.
- Instructions for completing online application forms are included as part of the application package, both on the cover sheet and as field-level instructions and edits that will assist you in completing an accurate application package.
- Treat your application as you would any important document. Remember to save it to your computer often because changes are NOT automatically saved.
- When you have completed the application package, you need to click the "Save" button prior to submission. Note: The application package cannot be submitted until all required fields have been completed.

- You can complete the application package offline - you will not have to be connected to the Internet. This gives you the ability to route the application package to others in your organization as an e-mail attachment, much like you would with any shared document.
- The "Submit" button on the application package cover page will become active after you have completed all required forms, attached all required documents, and saved your application package. Move all mandatory completed forms/documents into the corresponding **Completed Documents for Submission** box. Click on the "Submit" button once you have done all these things and you are ready to send your completed application to Grants.gov.
- Review the provided application summary to confirm that the application will be submitted to the program you wish to apply for. Click the "Yes" button if this information is correct and you are ready to submit the application. If you are not already connected to the Internet, you will be directed to do so.
- Once you have logged in, your application package will automatically be uploaded to Grants.gov. A confirmation screen will appear once the upload is complete. Note that a Grants.gov tracking number will be provided on this screen. Please record this number so that you may refer to it should you need to contact Customer Support.
- If you experience technical difficulties on the application closing date and are unable to meet the application deadline, print out your application and follow the application transmittal instructions included in the application package.
- Your agency's participation is voluntary. Your agency will not receive additional or special consideration by submitting an application in electronic format, nor will we penalize an agency if an application is submitted in paper format.
- **We strongly recommend that you do not wait until the application deadline date to begin the application process through Grants.gov.** The Grants.gov registration steps may take a few days, and if you wait until the application deadline date you may be unable to submit your application online.
- A copy of the Certification of Review and Representation of Compliance with Requirements must be signed and kept on file with the applicant per the application instructions.

Section 508 of the Rehabilitation Act

Please note that information on Grants.gov accessibility and compliance with Section 508 of the Rehabilitation Act can be found on the Grants.gov web site. If you are an applicant using assistive technology and you encounter difficulty when applying using Grants.gov, please contact:

John Oliphant
U.S. Department of Justice COPS Office
202.307.3411
John.Oliphant@usdoj.gov

The Department is committed to ensuring equal access to all applicants and will assist any applicant who may experience difficulties with assistive technology when applying for grants on the Grants.gov site. We will continue to work with applicants as necessary while the Grants.gov site moves toward full compliance with Section 508 of the Rehabilitation Act.

Procedures for Using Grants.gov

Listed below is a description of the procedures/steps that you will need to complete in order to submit your application via Grants.gov. For more detailed information, please go to www.grants.gov and consult the Tutorial, Help (once you are on the proper screen) or the Grants.gov User Guide.

I. E-Business & Registration Information

Please note: The following steps apply only if you are using Grants.gov for the first time. If you have used Grants.gov in the past, you do not need to repeat these steps.

1. Obtain a DUNS Number.

Please see the "Obtaining a DUNS Number" section of this Guide to obtain detailed information on this requirement.

2. Register with Central Contractor Registry.

Individuals who want to be able to submit an application on behalf of their organization must become Authorized Organization Representatives (AORs) by registering with CCR. You must have a DUNS number before registering with CCR.

To register with CCR:

1. Go to www.ccr.gov.
2. Click the **Start New Registration** link.
3. Complete the Registration Worksheet.
4. Complete the Registration Acknowledgement and Point of Contact Information.

3. Register with Credential Provider.

Individuals who want to be able to submit an application on behalf of their organization must become Authorized Organization Representatives (AORs). In order to become an AOR, you must first register with CCR before registering with the Credential Provider, Operational Research Consultants (ORC).

To register with a Credential Provider:

1. Click the **Register with Credential Provider** link.
2. Enter your DUNS number and press Register.
3. On the Welcome screen, click User ID.
4. Complete the registration screens.

Note: You should record the User ID and password that you entered because you will need this information to register with Grants.gov.

4. Register with Grants.gov.

After registering with the Credential Provider, individuals who want to submit applications need to register with Grants.gov as an Authorized Organization Representative (AOR). As an AOR, an individual will be authorized to submit grant applications through Grants.gov on behalf of his or her organization.

To register with Grants.gov:

1. Enter the username and password from the Credential Provider in the appropriate fields on the **Register with Grants.gov** screen.
2. Click the **Register** button.
3. Complete the Registration page with:
 - Your first and last name
 - Your telephone number
 - Your e-mail address
 - Your title
 - Your DUNS number.

5. Designate an E-Business Point of Contact.

This procedure is only for the E-Business Point of Contact. The E-Business Point of Contact is the person listed as the point of contact on the organization's CCR registration and is responsible for designating his or her organization's Authorized Organization Representatives (AORs). Organizations may have only one E-Business Point of Contact.

Once a potential Authorized Organization Representative (AOR) registers with a Credential Provider and Grants.gov, the E-Business Point of Contact will receive an e-mail stating that someone has signed up to become an AOR for the organization. The E-Business Point of Contact will need to log into the Ebiz section of the site and assign the "Authorized Applicant" role to have that person designated as an AOR for the organization.

To designate an AOR:

1. Click the EBiz link at the top of the screen.
2. Enter your DUNS number and MPIN to login.
3. Click the Manage Applicants link.
4. Select the person for which you want to assign the "Authorized Applicant" role.
5. Assign the "Authorized Applicant" role.

II. Find & Apply for Funding Opportunities

1. Find a grant opportunity for which you want to apply.

You can search for opportunities at: <http://www.grants.gov/FindGrantOpportunities>

2. Download the Application Viewer

If you do not have the PureEdge Viewer, you must download it to complete the online forms.

To download the Application Viewer:

1. Click the link on the Download Application Viewer screen.
2. Verify that your computer meets the system requirements.
3. Download PureEdge Viewer.
4. Install PureEdge Viewer.

3. Download Application Package

To download an application package:

1. Click the Download Application Package link on the left of the Apply for Grants screen.
2. Enter the Funding Opportunity Number or CFDA Number. The CFDA number for all COPS programs is 16.710.
3. Click the Download Package button.
4. Click the corresponding download link for the application instructions and application package.

4. Complete Application Package

To complete an application package:

1. Download an application package and its instructions.
2. Save the application to your computer.
3. Complete all necessary forms/documents.
4. Move all mandatory completed forms/documents into the corresponding **Completed Documents for Submission** box.
5. Move all optional completed forms/documents into the corresponding optional completed documents for submission box.
6. Save the application.

5. Submit Application Package

To submit an application package:

1. Complete the application.
2. Save the application.
3. Click the Submit button.
4. Click the Yes button.
5. Log into Grants.gov using your AOR username and password.
6. Save and/or print the confirmation page for future tracking of the package you just submitted by the Grants.gov Tracking Number found on the Confirmation Page.

Organization Registration Checklist

The following checklist can help guide your organization to complete the registration steps required to submit grants online through Grants.gov. Please ensure that you have completed all of these steps well before the application deadline date. *These steps take a number of days, so please don't wait until the last minute if you have a deadline approaching!*

When you are done, you will have established a profile on Grants.gov that contains basic information about your agency and designated staff members who are authorized to submit applications. *These steps need to be completed only ONCE to be able to use Grants.gov to apply for multiple programs.*

A list of helpful online resources to assist you with the registration steps follows the checklist.

What You Need to Do	Purpose	How Long Will It Take?	Completed?
<p>1. Find out your institution's DUNS number</p> <p>All institutions applying for federal grants are required to provide a DUNS number. Ask your grant administrator or chief financial officer to provide your institution's DUNS number. Research universities and most colleges, independent libraries, and large organizations already have DUNS numbers.</p> <p>If your institution doesn't have a DUNS number, call the special Dun & Bradstreet hot line at 866.705.5711 to receive a DUNS number free of charge. You can also request your DUNS number online at www.dnb.com/us.</p> <p>More information about DUNS numbers is available at http://www.Grants.gov/RequestaDUNS.</p>	<p>DUNS numbers identify an institution.</p> <p>The federal government has adopted the use of DUNS numbers to keep track of how federal grant money is dispersed.</p>	<p>You will receive a DUNS number at the conclusion of the phone call. If you request your DUNS number online the process can take up to 48 hours to complete.</p>	
<p>2. Register your institution with Central Contractor Registry (CCR)</p> <p>Ask your chief financial officer, grant administrator, or authorizing official if your institution is registered with CCR.</p> <p>If your institution is not registered, you can apply by phone (888.227.2423) or register online at http://www.ccr.gov.</p> <p>CCR has developed a worksheet (7-page PDF) to help you with the process. This worksheet can be found at http://www.ccr.gov/CCRRegTemplate.pdf.</p> <p>When your institution registers with CCR, you will be required to designate an E-Business Point of Contact (E-Business POC). This person will be given a special password called an "M-PIN."</p> <p>This password gives him or her the sole authority to designate which staff members from your institution are allowed to submit applications through Grants.gov. Staff members designated to submit applications are called authorized organization representatives (AORs).</p> <p>If your institution has registered, but your E-Business POC has forgotten the M-PIN password, call 888.227.2423.</p>	<p>Registering with CCR is required for an institution to use Grants.gov.</p> <p>Designating AORs ensures that only authorized individuals can submit grant applications on behalf of the institution.</p>	<p>1-3 days to gather information about your institution and prepare the application.</p> <p>5 days from the time your institution registers with CCR.</p> <p>The 5-day window is necessary to mail you security information.</p>	

<p>3. AORs apply for usernames and passwords To obtain their usernames and passwords, AORs must register with Grants.gov at https://apply.grants.gov/OrcRegister. They will have to know your institution's DUNS number to complete the process. After your institution registers with CCR, AORs must wait 5 business days before they can obtain their usernames and passwords.</p>	<p>AOR usernames and passwords serve as "electronic signatures" when your institution submits applications on Grants.gov.</p>	<p>AORs will receive usernames and passwords when they submit their information.</p>	
<p>4. AORs register with Grants.gov Using their usernames and passwords (obtained in step 3), AORs must register with Grants.gov at https://apply.grants.gov/GrantsgovRegister.</p>	<p>This creates an account on Grants.gov that allows AORs to submit applications on behalf of your institution.</p>	<p>Registration will be complete when the AOR submits the online form.</p>	
<p>5. E-Business POC approves registration of AORs When an AOR registers with Grants.gov, your institution's E-Business POC will receive an e-mail notification. Your E-Business POC must then log into Grants.gov (using the "M-PIN" password) and approve the AOR, thereby giving him or her permission to submit applications. When an E-Business POC approves an AOR, Grants.gov will send the AOR a confirmation e-mail.</p>	<p>Only E-Business POCs can approve AORs. This allows your institution to authorize specific individuals to submit grants.</p>	<p>It depends on how long it takes the E-Business POC to log in and approve the AOR. AORs can log into Grants.gov to find out who serves as their institution's E-Business POC and to see if they have been approved.</p>	

Helpful Online Resources:

DUNS Number information:
<http://www.cops.usdoj.gov/mime/open.pdf?Item=1025>

Central Contractor Registry (CCR): <http://www.ccr.gov>

Worksheet to help you register with CCR: <http://www.ccr.gov/CCRRegTemplate.pdf>
 (7-page PDF)

Obtain usernames and passwords for AORs: <https://apply.Grants.gov/OrcRegister>

Grants.gov: <http://www.Grants.gov>

Grants.gov Helpdesk: support@Grants.gov

Grants.gov Customer Support Tutorials and Manuals: <http://www.grants.gov/CustomerSupport>

Grants.gov Support Line: 800.518.GRANTS (4726)

Obtaining a DUNS Number

The federal government requires that all applicants for federal grants and cooperative agreements with the exception of individuals other than sole proprietors have a DUNS number. (See policy at: http://www.omb.gov/grants/grants_docs.) The federal government will use the DUNS number to better identify related organizations that are receiving funding under grants and cooperative agreements, and to provide consistent name and address data for electronic grant application systems.

Data Universal Numbering System (DUNS) Number

- The Data Universal Numbering System (DUNS) number is a unique nine-digit identification number provided by Dun & Bradstreet (D&B).
- The DUNS number is site-specific. Therefore, each distinct physical location of an entity (such as branches, divisions, and headquarters) may be assigned a DUNS number. Organizations should try to keep DUNS numbers to a minimum. In many instances, a central DUNS number with a DUNS number for each major division/department/agency that applies for a grant may be sufficient.
- The requestor may obtain an on-the-spot DUNS number assignment by telephone at **866.705.5711**.

Obtaining a DUNS Number

- You should verify that you have a DUNS number or take the steps needed to obtain one as soon as possible, if there is a possibility you will be applying for future federal grants or cooperative agreements. There is no need to wait until you are submitting a particular application.
- *If you already have a DUNS number.* If you, as the entity applying for a federal grant or cooperative agreement, previously obtained a DUNS number in connection with the federal acquisition process or requested or had one assigned to you for another purpose, you should use that number on all of your applications. It is not necessary to request another DUNS number from D&B. You may request D&B to supply a family tree report of the DUNS numbers associated with your organization. Organizations should work with D&B to ensure the right information is on the report. Organizations should not establish new numbers, but use existing numbers and update/validate the information associated with the number.
- *If you are not sure if you have a DUNS number.* Call D&B using the toll-free number **866.705.5711**, and indicate that you are a federal grant applicant or prospective applicant. D&B will tell you if you already have a number. If you do not have a DUNS number, D&B will ask you to provide the information listed on page 22 and will immediately assign you a number, free of charge.
- *If you know you do not have a DUNS number.* Call D&B using the toll-free number **866.705.5711**, and indicate that you are a federal grant applicant or prospective applicant. D&B will ask you to provide the information listed below and will immediately assign you a number, free of charge.

Managing Your DUNS Number

- D&B periodically contacts organizations with DUNS numbers to verify that their information is current. Organizations with multiple DUNS numbers may request a free family tree listing from D&B to help determine what branches/divisions have numbers and whether the information is current. Please call the dedicated toll-free DUNS number request line at **866.705.5711** to request your family tree.
- D&B recommends that organizations with multiple DUNS numbers have a single point of contact for controlling DUNS number requests to ensure that the appropriate branches/divisions have DUNS numbers for federal purposes.
- As a result of obtaining a DUNS number you have the option to be included on D&B's marketing list that is sold to other companies. If you do not want your name/organization included on this marketing list, request to be de-listed from D&B's marketing file when you are speaking with a D&B representative during your DUNS number telephone application.

Obtaining a DUNS number is absolutely free for all entities doing business with the federal government. This includes grant and cooperative agreement applicants or prospective applicants and federal contractors. Be certain that you identify yourself as a federal grant applicant or prospective applicant.

To Obtain Your DUNS Number

Please call the dedicated toll-free DUNS number request line for federal grant and cooperative agreement applicants or prospective grant applicants at: **866.705.5711**

The number is staffed from 8 AM to 6 PM (local time of the caller when calling from within the continental United States). Calls placed to the above number outside of those hours will receive a recorded message requesting the caller to call back between the operating hours.

- The process to request a number takes about 5 to 10 minutes.
- A DUNS number will be assigned at the conclusion of the call.
- You will need to provide the following information:
 - Legal name
 - Headquarters name and address for your organization
 - Doing business as (DBA) or other name by which your organization is commonly known or recognized
 - Physical address, city, state, and zip code
 - Mailing address (if separate from headquarters and/or physical address)
 - Telephone number
 - Contact name and title
 - Number of employees at your physical location.

Audit Requirement

OMB Circular A-133 establishes the requirements for organizational audits that apply to COPS grantees. Grantees must arrange for the required organization-wide (not grant-by-grant) audit in accordance with the requirements of this circular.

Civil Rights

All recipients of federal grant funds are required to comply with nondiscrimination requirements contained in various federal laws. All applicants should consult the Assurances form to understand the applicable legal and administrative requirements.

Please be advised that a hold may be placed on this application if it is deemed that the applicant agency is not in compliance with federal civil rights laws and/or is not cooperating with an ongoing federal civil rights investigation.

Legal Requirements: Grant Terms and Conditions

The following section describes all of the compliance terms and conditions that applicants should be aware of before applying to COPS programs. The table below further defines which of the legal requirements are applicable to the program for which you are applying. Please review each section carefully. The signatures of the applicant's Authorized Organizational Representative, Law Enforcement Executive, and Government Executive on Section 13: Certification of Review and Representation of Compliance with Requirements of the COPS Application Attachment to the SF-424 assures the COPS Office that your agency will comply with all legal and administrative requirements that govern the applicant for acceptance and use of federal grant funds.

Key: Y – Yes N – No P – Possibly (dependent upon particular agency regulations or items requested)

FY 2008 Program	I. Assurances	II. Certifications	III. Disclosure of Lobbying Activities	IV. Non-supplanting	V. Procurement & Sole Source Justification	VI. Criminal Intelligence Systems/28 C.F.R. Part 23	VII. Mitigate Possible Adverse Health, Safety, and Environmental Impacts
Tech	Y	Y	P	Y	P	Y	P

I. & II. Assurances & Certifications

Applicants to COPS programs are required to submit the standard Assurances form and the standard Certifications form signed by the Law Enforcement Executive and Government Executive named on the COPS Application Attachment to the SF-424. Please read these documents carefully as signatures on these documents are treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

III. Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime federal recipient, at the initiation or receipt of a covered federal action, or a material change to a previous filing, pursuant to Title 31 U.S.C. Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered federal action. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

If this applies to your agency, you are required to submit the Disclosure of Lobbying Activities (SF-LLL) as an attachment to your application. Complete all items that apply for both the initial filing and material change report.

IV. Nonsupplanting Requirement

Grant funds may not be used to replace state or local funds (or, for tribal grantees, Bureau of Indian Affairs funds) that would, in the absence of federal aid, be made available for law enforcement. Instead, grant funds must be used to increase the total amount of funds that would otherwise be made available for law enforcement purposes.

A grant recipient may not use federal grant funds to pay for any item or costs associated with this request that the recipient is already obligated to pay. Funds allocated to pay for law enforcement costs irrespective of the grant may not be reallocated to other purposes or refunded should a COPS grant or cooperative agreement be awarded. Non-federal funds must remain available for and devoted to that purpose, with COPS funds supplementing those non-federal funds. All funding awarded cannot be obligated until after the grant award start date (unless an exception is authorized in writing by the COPS Office). This means that COPS funds cannot be applied to any agency cost prior to the award start date. In addition, all awardees will be expected to take active and timely steps pursuant to their standard purchasing procedures to fully fund law enforcement costs already budgeted.

The possibility of supplanting will be the subject of careful application review, possible pre-award review, and post-award monitoring and audit. Any supplanting of non-federal funds by COPS grant funds may be grounds for potential suspension or termination of grant funding, recovery of misused funds, and applicable legal sanctions.

If you have questions concerning the nonsupplanting requirement while completing this application, please contact the COPS Office at 800.421.6770 for further information.

V. Procurement & Sole Source Justification

Sole source, or procurement by noncompetitive proposals, is procurement through solicitation of a proposal from only one source, or after solicitation of a number of sources, competition is determined inadequate. It must adhere to the standards set forth in the Uniform Administrative Requirements, 28 CFR § 66.36 or 28 CFR § 70 (as applicable).

A grant recipient must request written approval from the COPS Office for sole source procurements in excess of \$100,000 prior to purchasing equipment, technology or services, obligating funding for a contract, or entering into a contract with grant funds. For the purchase of equipment, technology or services under a COPS grant award, grant recipients must follow their own policies and procedures on procurement as long as those requirements conform to the federal procurement requirements set forth in 28 CFR § 66.36 and 28 CFR § 70 (as applicable). A sole source justification request should be submitted if a grant recipient determines that the award of a contract through a competitive process is infeasible, and if one or more of the following circumstances apply:

1. the item/service is available only from one source;
2. the public exigency or emergency for the requirement will not permit a delay resulting from competitive solicitation; or
3. competition is determined inadequate after solicitation of a number of sources.

Requests for sole source procurements of equipment, technology, or services in excess of \$100,000 must be submitted to the COPS Office in writing certifying that the award of the contract through full and open competition is infeasible. The sole source request must be prepared on department letterhead. The request should also include the following information:

Section I – A brief description of the project, the amount to be designated for the sole source procurement, and the purpose of the contract.

Section II – A statement identifying which one (or more) of the three circumstances identified below apply to the procurement transaction and an explanation as to why it is necessary to contract in a noncompetitive manner. Include supporting information as identified below under the applicable section(s).

The item/service is available only from one source.

- Contractor's expertise linked to the current project (e.g., knowledge of project management, responsiveness, experience of contractor personnel, prior work on earlier phases of project, etc.)
- Uniqueness of items to be procured from the proposed contractor or vendor (compatibility, patent issues, etc.)
- Any additional information that would support the case.

The public exigency or emergency for the requirement will not permit a delay resulting from competitive solicitation.

- When the contractual coverage is required by your department and why
- Impact on project if deadline/dates are not met
- How long it would take an alternate contractor to reach the same required level of competence (equate to dollar amounts, if desired)
- Any additional information that would support the case.

Competition is determined inadequate after solicitation of a number of sources.

- Results of a market survey to determine competition availability; if no survey is conducted, please explain why not
- Any additional information that would support the case.

Section III – A declaration that this action/choice is in the best interest of the agency.

Upon receipt of the request for sole source authorization, the COPS Office will review to determine if competition is infeasible, and your agency will be contacted if any of the identified information is missing or if additional supporting information is required. If the COPS Office determines that the request does not meet the standards set forth in this section, the request will be denied.

If you have any questions regarding the federal requirements that guide procurement procedures, please contact your program point of contact at 800.421.6770.

VI. Criminal Intelligence Systems/28 C.F.R. Part 23 Compliance

If your agency is receiving COPS funding for equipment/technology that will be used to operate an *interjurisdictional* criminal intelligence system, you must agree to comply with the operating principles found at 28 C.F.R. Part 23. An “interjurisdictional criminal intelligence system” is generally defined as a system which receives, stores, analyzes, and exchanges or disseminates data regarding ongoing criminal activities (such activities may include, but are not limited to, loan sharking, drug or stolen property trafficking, gambling, extortion, smuggling, bribery, and public corruption) and shares this data with other law enforcement jurisdictions. 28 C.F.R. Part 23 contains operating principles for these interjurisdictional criminal information systems which protect individual privacy and constitutional rights.

If you are simply using the COPS funds to operate a *single agency database* (or other unrelated forms of technology) and will not share criminal intelligence data with other jurisdictions, 28 C.F.R. Part 23 does not apply to this grant.

VII. Certification to Mitigate Possible Adverse Health, Safety, and Environmental Impacts

The National Environmental Policy Act (NEPA) of 1969, as amended (Pub. Law 91-190; 42 U.S.C. 4321 *et seq*) establishes a national goal of protecting the environment. NEPA’s requirements apply to federal projects, decisions, or actions, including grants in aid, that might have a significant impact on the quality of the human environment. For example, renovation and construction projects initiated by state or local law enforcement agencies with grant funding from the Office of Community Oriented Policing Services (COPS), U.S. Department of Justice are subject to NEPA. These projects are usually also subject to related environmental impact review and consultation provisions within the following environmental statutes and executive orders: Coastal Zone Management Act; Coastal Barrier Resources Act; Clean Air Act; Safe Drinking Water Act; Federal Water Pollution Control Act; Endangered Species Act; Wild and Scenic Rivers Act; National Historic Preservation Act; Farmland Protection Policy Act; and executive orders related to protection of wetlands, floodplain management, and environmental justice.

It is COPS Office policy to minimize harm to the environment and we may reject proposals or encourage the modification of projects which have adverse environmental impacts. No grant funds may be awarded and/or expended for a specific construction proposal until an Environmental Assessment (EA) and/or an Environmental Impact Statement (EIS) has been completed and COPS has issued a Finding of No Significant Impact (FONSI) or has approved the EIS. Any costs for construction will be disallowed under the 2008 COPS Technology Program.

Suspension or Termination of Funding

The COPS Office may suspend, in whole or in part, or terminate funding, or impose other sanctions on a grantee for the following reasons:

- Failure to substantially comply with the requirements or objectives of the Public Safety Partnership and Community Policing Act of 1994, program guidelines, or other provisions of federal law
- Failure to make satisfactory progress toward the goals or strategies set forth in this application
- Failure to adhere to grant agreement requirements or special conditions
- Proposing substantial plan changes to the extent that, if originally submitted, would have resulted in the application not being selected for funding
- Failure to submit required or requested reports
- Filing a false statement or certification in this application or other report or document
- Other good cause shown.

Prior to imposing sanctions, the COPS Office will provide reasonable notice to the grantee of its intent to impose sanctions and will attempt to resolve the problem informally. Appeal procedures will follow those in the U.S. Department of Justice regulations in 28 CFR Part 18.

False statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any other remedy available by law.

Please be advised that grantees may not use COPS funding for the same item or service also funded by an Office of Justice Programs (OJP) award.

Required Application Documents and Sections for Tech Program Applications

The chart below shows the required documentation that must be completed and submitted for your application to be considered complete. Failure to submit all required documentation at the time of application may delay processing and/or result in the denial of your application. Unless otherwise noted, each section listed must be completed in its entirety. You can use this chart as an application checklist to ensure you have met all of the necessary requirements.

Application Documents & Sections	Required? Yes, No, or Possible (dependent upon agency)	Completed?
1. Standard Form 424	Yes	<input type="checkbox"/>
2. COPS Application Attachment to SF-424	Yes	<input type="checkbox"/>
Section 1: COPS Program Request (Grants.gov applicants, check Tech Program)	Yes	<input type="checkbox"/>
Section 2: Applicant Information	Yes	<input type="checkbox"/>
Section 3: Waivers of the Local Match	No	<input type="checkbox"/>
Section 4: Executive Information	Yes	<input type="checkbox"/>
Section 5: Law Enforcement & Community Policing Strategy	No	<input type="checkbox"/>
Section 6: Continuation of Project After Federal Funding Ends	No	<input type="checkbox"/>
Section 7: Need for Federal Assistance	Yes	<input type="checkbox"/>
Section 8: Executive Summary	Yes	<input type="checkbox"/>
Section 9: Project Description (Narrative)	Yes	<input type="checkbox"/>
Section 10: Budget Narrative	Yes	<input type="checkbox"/>
Section 11: Memorandum of Understanding	Possible	<input type="checkbox"/>
Section 12: Official Partner(s) Contact Information	Possible	<input type="checkbox"/>
Section 13: Certification of Review and Representation of Compliance with Requirements	Yes	<input type="checkbox"/>
Section 14: Standard Assurances	Yes	<input type="checkbox"/>
Section 15: Standard Certifications	Yes	<input type="checkbox"/>
3. Standard Form LLL: Disclosure of Lobbying Activities	Possible	<input type="checkbox"/>
4. Budget Detail Worksheets (Sections B, C, D, E, F, G, and Budget Summary)	Yes	<input type="checkbox"/>

WHAT AN APPLICATION MUST INCLUDE: REQUIRED FORMAT AND OTHER IMPORTANT INFORMATION FOR SUBMISSION

General Information

- All required application materials should be bound together with a binder clip or stapled. Please do not bind your application in spiral binders, report covers, or in any similar way (*not applicable if applying online via Grants.gov*).
- Please do not include any confidential data or reports with your application.
- Please return one original and two copies of all application materials (*not applicable to applications received via Grants.gov*).
- Please be advised that a failure to submit all required documentation by the application deadline may delay the processing of your application, or result in it being removed from consideration.

Need for Federal Assistance

All applicants are required to provide a brief explanation of their agency's inability to implement this project without federal assistance.

Executive Summary

Applicants are required to complete Section 8 of the COPS Application Attachment to the SF-424 Form.

Please limit your response to 400 words or less.

Project Description (Narrative)

Agencies that seek funding under this program are required to submit a separate narrative addressing each of the following areas. **Failure to respond to any of the points listed may delay the processing of your application, or result in its denial.** Use the instructions on the following pages to provide a comprehensive summary of all activities that will take place to enhance data information sharing in your jurisdiction.

Please limit your response to 20 pages or less.

Narrative Sections

A. Problem Identification and Justification

Please describe the specific problems or operational needs that are to be addressed, and how this proposed project will focus on data information sharing and/or enhance voice interoperability across your region/state, or in connecting to the National Data Exchange.

B. Project Goals and Objectives

- Define the vision, goals, and objectives of what your jurisdiction is ultimately trying to accomplish and how the proposed project would fit into an overall effort to increase data information sharing and/or voice interoperability.
- Include a specific scope of work that provides measurable objectives (e.g., purchase and install the system/equipment, draft and distribute information to public safety communities, conduct on-site demonstrations to communities, etc.).
- **Reflect a multijurisdictional and multidisciplinary approach toward achieving information exchange and/or voice interoperability.**
- If other related projects with separate funding streams or initiatives are currently underway within your jurisdiction, address how this COPS-funded project will not be duplicative, yet will be coordinated into one comprehensive plan for public safety interoperability within the region or state. Please describe the other project(s) and the source of funding.

C. Implementation Plan

- Propose a detailed implementation plan that includes a budget narrative and reasonable project timeline that identifies major milestones, including individual activity costs, federal and non-federal shares, and plans for how the effort will be funded now and in the future.
- Identify specific capabilities that the project will support.
- Demonstrate effective project governance structure and project planning inclusive of risk mitigation and project sustainability.
- Explain the technical and procedural measures that will be employed to demonstrate robust, comprehensive security to protect the sensitive information shared and the privacy, civil rights, and civil liberties requirements of participant data as a result of this project. The grantee organization must be able to demonstrate anticipated standards for protecting Sensitive But Unclassified (SBU) law enforcement information. Applicants must also specify the technical and procedural measures that will be employed to protect information/system access controls (e.g., system audit capabilities, frequency of audits, etc.).
- Proposals must adhere to the sharing of information using existing standards and/or best practices adopted or recognized by national law enforcement associations and the Department of Justice. An example of a recognized technical standard is the National Information Exchange Model (NIEM), which acts as the national framework that includes the Global Justice XML Data Model (GJXDM) and the work of communities beyond justice to foster collaboration and the better sharing of information. Recognized best practices include guidelines for privacy and fusion centers endorsed by the Department's Global Advisory Committee. For projects that involve land mobile radio, technologies should be compliant with the Project 25 suite of standards.

D. Evaluation Plan/Effectiveness of Program

- How will your agency evaluate the effectiveness and outcomes of activities implemented as a result of receiving this Tech Program grant? Well-developed proposals should include a project evaluation plan, including performance measures and evaluation methodology.

E. Additional Information

- The proposal should clearly list partners and contain letters of support from each partnering agency, signed by the appropriate government officials, as your proposal should be multijurisdictional and multidisciplinary. These letters should specify the respective roles of the participating agencies and acknowledge the designation of one specified lead agency to serve as the applicant agency (and, if awarded, lead agency and grantee for the consortia). The letters of support should also address financial contributions to the local match.
- Provide information on any existing agreements such as Memoranda of Understanding (MOU) or Mutual Aid Agreements with partnering jurisdictions.
- Provide certification that the local government has evaluated the project submitted and that the project will be implemented in accordance with 28 CFR Part 66, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

For further assistance in preparing your narrative sections and project plans, please review the SAFECOM Grant Guidance at http://www.safecomprogram.gov/SAFECOM/library/grant/1294_fy2008.htm. Valuable information, including a description of the SAFECOM Interoperability Continuum is available within that document.

Consortia

Multijurisdictional proposals will be considered as consortia. If a grant is awarded, the applicant agency (state or locality) will serve as the lead agency and grantee during the performance period, with the other consortia members serving as grant subrecipients. The lead agency will be responsible for successful implementation of the project and will bear responsibility for fulfilling all grant conditions. Lead agency status will not be transferred once the grant has been awarded. However, prior to application submission to the COPS Office, invited regions may decide if a different law enforcement agency will serve as the lead applicant. If the lead applicant is changed prior to submission, this issue should be addressed within the proposal with signed concurrence from the original invitee.

Coordination

Each applicant must certify within the proposal that appropriate coordination occurred with all agencies (state, local, and tribal) that may be affected by the applicant's proposal.

Each applicant is encouraged to consult with its designated State Administrative Agency (SAA) so that the proposed data information-sharing and/or voice interoperability initiative can be integrated into statewide planning efforts. The SAA is the state-designated coordinator for the State Homeland Security Grant Programs administered by the Department of Homeland Security (DHS) Office for Grants and Training, and is responsible for the development of the state's vulnerability assessments and homeland security strategy that are required under DHS grant programs. Applicants should include comments from the SAA on how the jurisdiction's initiative is consistent with state plans and how the results of this effort will be incorporated into subsequent versions of the state's response strategy.

Budget Narrative

All applicants must submit the Budget Narrative as described in Section 10 of the COPS Application Attachment to the SF-424. In the Budget Narrative, you must provide a brief description of the item(s) proposed for purchase, its purpose, and how the item relates to the overall project. The structure of the Budget Narrative must mirror the structure of the Budget Detail Worksheet included in this application. In other words, each item reported in the Budget Narrative must fall under one of six categories: Equipment, Other Costs, Supplies, Travel, Contracts/Consultants, and Personnel and Fringe Benefits. Finally, every item included on the Budget Detail Worksheet must be included in the Budget Narrative.

Certifications

The signatures of the applicant's Authorized Organizational Representative, Law Enforcement Executive/Program Official, and Government Executive/Financial Official, and any applicable program partners on Section 13: Certification of Review and Representation of Compliance with Requirements of the COPS Application Attachment assures the COPS Office that you will abide by the certifications provided with your narrative.

Mailing Address

Completed proposals should be mailed (if not applying through Grants.gov) to the address listed below. Original signatures are required, therefore, we cannot accept faxed applications. **Your completed application package must be received in the COPS Office by close of business on June 29, 2008.** Please return one original and two copies of all application materials (not applicable if applying through Grants.gov) to the following address:

Office of Community Oriented Policing Services
Technology Program Control Desk
U.S. Department of Justice
1100 Vermont Avenue, N.W., 9th Floor
Washington, DC 20530 (overnight mail ZIP Code is 20005)

Please keep a copy of your application for your records.

Selection Criteria

Funding selections are ultimately based on a number of factors, including application completeness and accuracy, the implementation of previous COPS grants (including the timely submission of programmatic progress reports and financial status reports), and the enhancement of community policing and/or data information sharing as proposed in the application Project Narrative.

All applications will be peer-reviewed in coordination with federal partners of the COPS Office. The COPS Office will identify peer reviewers from the public safety community. Peer reviewers will be composed of both practitioners and technologists knowledgeable in the area of data and voice interoperability. The peer reviewers will review the applications on behalf of the COPS Office and will provide feedback and recommendations for funding.

SAMPLE TECHNOLOGY BUDGET DETAIL WORKSHEETS and BUDGET SUMMARY

Sections B, C, D, E, F, G, and the Budget Summary are the only sections of the COPS Budget Detail Worksheets that are applicable to the COPS Technology grant program. Like items may be grouped together for ease of reporting. The structure of the Budget Narrative (discussed earlier) must mirror the structure of the Budget Detail Worksheet included in this application. In other words, each item reported in the Budget Narrative must fall under one of six categories: Equipment, Other Costs, Supplies, Travel/Training, Contracts/Consultants, and Personnel and Fringe Benefits. Finally, every item included on the Budget Detail Worksheet must be included in the Budget Narrative. For your convenience, we have included a sample Budget Detail Worksheet. *For more information, please see the COPS Technology Federal Funding: Allowable and Unallowable Costs section.*

C. EQUIPMENT/TECHNOLOGY No Equipment/Technology Requested

Instructions: List nonexpendable items that are to be purchased. Nonexpendable equipment is tangible property (e.g., technology) having a useful life of more than 1 year and an acquisition cost of \$5,000 or more per unit. Expendable items should be included either in the **SUPPLIES** or **OTHER** categories. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially for high-price items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the **CONTRACTS / CONSULTANTS** category.

Pursuant to the Continuing Appropriations Resolution, 2008 (P.L. 110-005), be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

For agencies purchasing items related to enhanced communications systems, the COPS Office expects and encourages that, wherever feasible, such communications equipment should be incorporated into an intra- or interjurisdictional strategy for communications interoperability among federal, state, and local law enforcement agencies.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

Unit/Item Description	Computation (# of Items/Units X Unit Cost)	Per Item Subtotal
Mobile / Portable Radios	(400 X \$ 4,000)	\$ 1,600,000
Mobile Computers	(75 X \$ 5,500)	\$ 412,500
Application Server	(1 X \$ 10,000)	\$ 10,000
Network Interface Devices	(5 X \$ 5,000)	\$ 25,000
Database Servers	(4 X \$ 20,000)	\$ 80,000
Switches	(5 X \$ 2,000)	\$ 10,000
Routers	(2 X \$ 5,000)	\$ 10,000
Equipment/Technology Total:		\$ <u>2,147,500</u> Transfer to Budget Summary Line 3

Please include a detailed description of all items listed in the Budget Narrative

Applicant Legal Name: City of Waterford

ORI #: NY 12345

F. TRAVEL/TRAINING

No Travel/Training Requested

Instructions: Itemize travel expenses of project personnel by purpose (e.g., mandatory training, staff to training, field interviews, advisory group meetings). Show the basis of computation (e.g., six staff members times the unit cost per person for lodging for 3 days). Training projects, training fees, travel, lodging, and per diem rates for trainees should be listed as separate travel items. Show the number of staff attending any event and the unit costs per person involved. Identify the location of travel, when possible. Note: Any local training costs (within a 50-mile radius) should be listed under Section D (Other Costs).

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

Reason for Travel/Training & Location of Travel/Training	Travel/Training Item	Computation (# of Staff X Unit Cost X # of Days/Trips/Events)	Per Item Subtotal
User Training	Training	(<u>25</u> X <u>1,000</u> X <u>1</u>)	\$ 25,000
Kick-off Conference	Travel	(<u>4</u> X <u>1,200</u> X <u>1</u>)	\$ 4,800
TA Workshop	Travel	(<u>2</u> X <u>1,200</u> X <u>1</u>)	\$ 2,400
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
		(<u> </u> X <u> </u> X <u> </u>)	\$
Travel/Training Total:			\$ 32,200 Transfer to Budget Summary Line 6

Please include a detailed description and justification for travel listed in the Budget Narrative

G. CONTRACTS/CONSULTANTS **No Contracts/Consultants Costs Requested**

Instructions: See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.			
Contracts: Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts.			
Contract Description	Contract Bid Type (Open-Competitive or Sole Source)	Per Contract Subtotal	
Data Conversion	Competitive	\$ 100,000	
N-DEx Consulting Assessment	Competitive	\$ 100,000	
		\$	
		\$	
Contracts Subtotal:		\$ 200,000 (G1)	
Consultant Fees: For each consultant enter the name (if known), service to be provided, hourly or daily fee (based upon an 8-hour day), and estimated length of time on the project. Consultant fees in excess of \$450 per day require additional written justification in the Budget Narrative and must be preapproved in writing by the COPS Office.			
Consultant Name/Title	Service Provided	Computation (Cost X # Days or # Hours)	Per Consultant Fee Subtotal
Project Manager	Project Management	(<u>\$55</u> X <u>2080hrs</u>)	\$ 114,400
		(_____ X _____)	\$
		(_____ X _____)	\$
Consultant Fees Subtotal:			\$ (G2)
Consultant Expenses: <i>Consultant Expenses:</i> List all expenses to be paid from the grant to the individual consultants separate from their consultant fees (e.g., travel, meals, lodging).			
Consultant Name/Title	Service Provided	Computation (Cost X # Days)	Per Consultant Fee Subtotal
		(_____ X _____)	\$
		(_____ X _____)	\$
		(_____ X _____)	\$
		(_____ X _____)	\$
Consultant Subtotal:			\$ (G3)
Contracts/Consultants Total:			\$ <u>314,400</u>
Contracts (G1) + Consultant Fees (G2) + Consultant Expenses (G3)			Transfer to Budget Summary Line 7

Applicant Legal Name: City of Waterford

ORI #: NY 12345

BUDGET SUMMARY

Instructions: When you have completed the Budget Detail Worksheets, please transfer the category totals to the spaces below. Please compute the Total Project Amount, Total Federal Share Amount, and Total Local Share (if applicable). Please see the Application Guide for information on the maximum federal share and local matching requirements for the grant for which you are applying.

Budget Category		Category Total	Line #
A.	Sworn Officer Positions	\$ _____ . 00	1
B.	Civilian/Other Personnel	\$ _____ . 00	2
C.	Equipment/Technology	\$ <u>2,147,500</u> . 00	3
D.	Other Costs	\$ _____ . 00	4
E.	Supplies	\$ _____ . 00	5
F.	Travel/Training	\$ <u>32,200</u> . 00	6
G.	Contracts/Consultants	\$ <u>314,400</u> . 00	7
H.	Indirect Costs	\$ _____ . 00	8
Total Project Amount:		\$ <u>2,494,100</u> . 00	
Total Federal Share Amount: (Total Project Amount X Federal Share Percentage Allowable)		\$ <u>1,870,575</u> . 00	
Total Local Share Amount (If applicable): (Total Project Amount - Total Federal Share Amount)		\$ <u>623,525</u> . 00	

Contact Information for Budget Questions

Please provide contact information for the financial official whom the COPS Office may contact with questions related to your budget submission.

Authorized Official's Typed Name: John Smith

Title: Grants Officer

Phone: 123.456.7890

Fax: 123.456.0987

E-mail Address: John.Smith@waterford.gov

APPENDIXES

Appendix A: Glossary of COPS Program Terms

The following information is provided to assist you with the completion of your COPS grant program Application/Proposal Forms. The list includes some of the most common terms that are used in the Application Forms. For additional assistance or clarification regarding any part of the application, please contact your Grant Program Specialist at 800.421.6770.

Allowable Costs: Allowable costs are what a program funds.

Authorized Officials: The authorized officials are the individuals in your organization who have final authority and responsibility for all programmatic and financial decisions regarding your application and, if awarded, your grant award. For law enforcement agencies, the listed law enforcement executive (usually Chief of Police, Sheriff, etc.) and the government executive (usually Mayor, Board President, etc.) are your agency's authorized officials.

Authorized Organizational Representative (AOR): A person authorized by your E-business Point of Contact (POC) to submit applications to Grants.gov. This privilege should be provided only to those individuals who currently have signature authority for submitting grant applications. The name of the individual designated as an AOR will be populated by the Grants.gov system in grant application package forms, which require signatures. An organization can assign as many AORs to use Grants.gov as necessary. If not applying electronically, the AOR should be the individual in your organization who has final responsibility for all programmatic and financial decisions regarding this application.

Automated Booking System: An automated booking system captures arrestee fingerprints and photographic information electronically and often has the ability to transfer that information to a departmental or statewide database.

Automated Fingerprint Identification System (AFIS): An AFIS system is a highly specialized biometrics system that compares a single fingerprint image with a database of fingerprint images. Fingerprint images are collected from crime scenes or are taken from criminal suspects when they are arrested. Fingerprint images may be captured by placing a finger on a scanner or by electronically scanning inked impressions on paper.

Award Start Date: This is the date on or after which your agency is authorized to purchase items or hire positions that were approved by the COPS Office. If awarded, the award start date is found on your grant Award Document. *Grantees may not make any purchases or hire any positions prior to this date without written approval from the COPS Office.*

Career Law Enforcement Officer: The COPS statute defines a "career law enforcement officer" as a person hired on a permanent basis who is authorized by law, or by a state or local public agency, to engage in or oversee the prevention, detection, or investigation of violations of criminal laws.

Catalog of Federal Domestic Assistance (CFDA): The CFDA is an annual government-wide publication that contains a description and index of all forms of federal assistance. Each program is assigned a “CFDA number,” which is used by auditors to track grant revenues under the Single Audit Act. It is also used in participating states by State Single Points of Contact in conducting the required intergovernmental reviews under Executive Order 12372. The CFDA number for all COPS programs is 16.710.

Central Contractor Registry (CCR): Institutions receiving any type of award from the federal government must register with the CCR.

Closeout: The process in which the awarding agency, the COPS Office, determines that all applicable administrative actions and all required work and conditions of the award have been completed and met by the recipient and awarding agency.

Cognizant Federal Agency: The federal agency that generally provides the most federal financial assistance to the recipient of funds. Cognizance is assigned by the Office of Management and Budget (OMB).

Community Oriented Policing: Community oriented policing is a policing philosophy that promotes and supports organizational strategies to address the causes and reduce the fear of crime and social disorder. This is achieved through problem-solving approaches and community-police partnerships. It enhances police professionalism by providing officers with the skills, technology, and motivation to act in innovative ways to solve community crime-related problems. Grant requests must be linked to the implementation or enhancement of community policing. All newly hired, additional officers (or an equal number of redeployed veteran officers) funded under COPS programs must engage in community policing activities. All equipment and technology items must be used to enhance community policing as outlined in your application.

Computer Aided Dispatch (CAD) system: A computer database that can track calls for service, maintain status of units available, provide various reports, produce address histories, and support electronic mail. With the installation of integrated CAD and mobile data systems, officers are able to receive calls for service on their mobile data terminals rather than over the voice radio system, reducing radio traffic and improving availability for emergency transmissions.

Consortium: A consortium is a group of two or more governmental entities that agree to form a partnership to provide law enforcement services to their constituent communities.

COPS Finance Staff: The COPS Finance staff handles your agency’s financial and budgetary needs related to your application. A Staff Accountant is assigned to your state, and is available to answer any questions that you may have concerning the financial aspects of your grant, if awarded. To identify your Staff Accountant, please call the COPS Office Response Center at 800.421.6770, or visit the COPS web site at www.cops.usdoj.gov.

COPS Office: The Office of Community Oriented Policing Services (COPS) is the office within the U.S. Department of Justice that, if awarded, is your “grantor” or “awarding” agency for your COPS grant or cooperative agreement. The COPS Office is responsible for administering your grant for the entire grant period. You can reach the COPS Office at 800.421.6770.

DUNS Number: DUNS stands for “data universal numbering system.” DUNS numbers are issued by Dun and Bradstreet (D&B) and consist of nine digits. If your institution does not have one, call 866.705.5711 to receive one free of charge. You can also request your DUNS number online at www.dnb.com/us.

E-Business Point of Contact (POC): Person who will designate which staff members can submit applications through Grants.gov. When you register with the CCR, your institution will be asked to designate an E-Business POC.

Federally Recognized Tribe: Tribal entities that are recognized and eligible for funding and services from the Bureau of Indian Affairs by virtue of their status as Indian tribes. They are acknowledged to have the immunities and privileges available to other federally acknowledged Indian tribes by virtue of their government-to-government relationship with the United States as well as the responsibilities, power, limitation, and obligations of such tribes. Only Federally Recognized Tribes are eligible to apply for COPS grant funds. For further information, contact: Bureau of Indian Affairs, Division of Tribal Government Services, MS-4631-MIB, 1849 C Street, N.W., Washington, DC 20240, 202.208.2475.

Gas Mask: A gas mask connected to a chemical air filter and used to protect the face and lungs from toxic gases.

Global Positioning System (GPS): The GPS comprises 30 geosynchronous satellites that continuously transmit information that allows receivers to determine their own positions. Receivers are used in personal tracking, navigation, and automatic vehicle location systems.

Grant Number: If awarded, the grant number identifies your agency’s specific grant, and can be found on your grant Award Document. This number should be used as a reference when corresponding with the COPS Office. The COPS Office tracks grant information based upon this number.

Interoperable Communications: Communications interoperability refers to the ability of emergency response officials to share information via voice and data signals on demand, in real time, when needed, and as authorized. Interoperable communications policies, procedures, and technology are used to increase voice and data information-sharing among the law enforcement, fire service, and emergency medical service communities.

Local Budget Cycle: Your agency’s fiscal year. Some common examples include January 1 to December 31, October 1 to September 30, and July 1 to June 30. Some local budget cycles may extend up to 24 months.

M-PIN: Password used by your e-business point of contact to designate which staff members can submit applications to Grants.gov.

Matching Funds: What a locality must contribute as a cash match toward total allowable project costs over the life of the program.

Mobile Data Computer/Laptop: A Mobile Data Computer (MDC) is a computer terminal mounted in a vehicle and is linked via wireless communication to a network that is often integrated with a CAD system. MDCs also may enable officers to complete previously handwritten reports on a computer. This often eliminates the need to enter duplicate information on multiple reports.

National Data Exchange (N-DEx): A criminal justice information-sharing system that will provide nationwide connectivity to disparate local, state, tribal, and federal systems for the exchange of information. N-DEx will provide law enforcement agencies with a powerful new investigative tool to search, link, analyze, and share information (for example, incident and case reports) on a national basis to a degree never before possible. N-DEx will primarily benefit local law enforcement agencies in their role as the first line of defense against crime and terrorism. Increment one is projected to be available to participating law enforcement agencies in February 2008. For further information, go to www.fbi.gov/hq/cjisd/ndex/ndex_overview.htm.

National Incident-Based Reporting System (NIBRS): A comprehensive reporting database. Agencies provide individual records for eight index crimes and 38 other offenses.

Obligation of Funds: If this application is awarded, the COPS Office “obligates” federal funds when the grant Award Document is signed by the Director or his or her designated official. For the grantee, grant funds are “obligated” when monies are spent directly on purchasing items approved under the grant or cooperative agreement. The term encumbrance is often used at the local and state levels to describe this type of transaction. Liquidated obligations are considered cash outlays or monies actually spent. Unliquidated obligations are obligations incurred and recorded but not yet paid (accrual basis of accounting) or not yet recorded and not yet paid (cash basis of accounting).

OJP Vendor Number/EIN Number: This is your agency’s nine-digit federal tax identification number assigned to you by the IRS. Your accounting/bookkeeping department should have this number. If your EIN previously has been assigned to another agency within your jurisdiction, the Office of the Comptroller will assign a new OJP vendor number to you. The new assigned number is to be used for administrative purposes only, in connection with this grant program, and should not be used for IRS purposes.

ORI (Originating Agency Identifier) Number: This number is assigned by the FBI and is your agency’s originating agency identifier. The first two letters are your state abbreviation, the next three numbers are your county’s code, and the final two numbers identify your jurisdiction within your county. When you contact the COPS Office with a question, you can use the ORI number, and we will be able to assist you. If you are a previous COPS grant recipient, you may have been assigned an ORI number through the COPS Office if the FBI had not previously assigned your agency this identifier number.

Primary Law Enforcement Authority: An agency with primary law enforcement authority is the agency that is the first responder to calls for service, and has ultimate and final responsibility for the prevention, detection, and/or investigation of criminal laws within its jurisdiction.

The Public Safety Partnership and Community Policing Act of 1994:

The COPS Office is charged with fulfilling the mandates of this law. The purposes of the law are to:

- Increase the number of community policing officers on the beat.
- Provide additional and more effective training to law enforcement officers to enhance their problem-solving, service, and other skills needed in interacting with members of the community.
- Encourage the development and implementation of innovative programs to permit members of the community to assist law enforcement agencies in the prevention of crime.
- Encourage the development of new technologies to assist law enforcement agencies in reorienting the emphasis of their activities from reacting to crime to preventing crime.

Regional Data Exchange (R-DEx): A data repository that contains criminal law enforcement information from the Department of Justice components. This information is Sensitive But Unclassified and available to federal, state, tribal, and local law enforcement agencies.

Supplanting: COPS grant funds may not be used to supplant (replace) state, local, or Bureau of Indian Affairs funds that would be made available in the absence of federal COPS grant funding. Program funds must be used to increase the amount of state, local, or Bureau of Indian Affairs funds otherwise budgeted for the grant purposes, plus any additional state, local, or Bureau of Indian Affairs funds budgeted for these purposes. For further information regarding supplanting, please contact the COPS Office Legal Division at 202.514.3750.

Waivers: A waiver of the local match requirement is available to applicants under some COPS programs that require a local match. Requests for a waiver are considered on a case-by-case basis and must be made at the time of the application.

XML (World Wide Web Consortium – W3C): Extensible Markup Language. A simple, very flexible text format derived from SGML (ISO 8879). Originally designed to meet the challenges of large-scale electronic publishing, XML is also playing an increasingly important role in the exchange of a wide variety of data on the web and elsewhere.

Appendix B: Intergovernmental Review Process, Points of Contact by State

Executive Order 12372 requires applicants from state and local units of government, or other organizations or individuals providing service within a state, to submit a copy of the application to the state Single Point of Contact (SPOC), if one exists, and if this program has been selected for review by the state. Before the application due date, you must contact your state SPOC to find out if this program has been selected for review and comply with the state's process under Executive Order 12372. Whether or not your SPOC requests a copy of your application, the original signed application and two copies should be returned to the COPS Office. The Catalog of Federal Domestic Assistance reference for this program is number 16.710 "Public Safety and Community Policing Grants."

A current list of state SPOCs is set forth below. States that are not listed have chosen not to participate in the intergovernmental review process, and therefore do not have an SPOC.

Arkansas

Tracy L. Copeland
Manager, State Clearinghouse
Office of Intergovernmental Services
Department of Finance and Administration
1515 W. 7th St., Room 412
Little Rock, AR 72203
Telephone: 501.682.1074
Fax: 501.682.5206
tlcopeland@dfa.state.ar.us

District of Columbia

Luisa Montero-Diaz
Office of Partnerships and Grants Development
Executive Office of the Mayor
District of Columbia Government
441 4th Street, N.W., Suite 530 South
Washington, DC 20001
Telephone: 202.727.8900
Fax: 202.727.1652
opgd.eom@dc.gov

California

Grants Coordination
State Clearinghouse
Office of Planning and Research
P.O. Box 3044, Room 222
Sacramento, CA 95812-3044
Telephone: 916.445.0613
Fax: 916.323.3018
state.clearinghouse@opr.ca.gov

Florida

Jasmin Raffington
Florida State Clearinghouse
Department of Community Affairs
2555 Shumard Oak Blvd.
Tallahassee, FL 32399-2100
Telephone: 850.922.5438
Fax: 850.414.0479
clearinghouse@dca.state.fl.us

Delaware

Charles H. Hopkins
Executive Department
Office of the Budget
540 S. Dupont Highway, 3rd Floor
Dover, DE 19901
Telephone: 302.739.3323
Fax: 302.739.5661
chopkins@state.de.us

Georgia

Georgia State Clearinghouse
270 Washington Street, S.W.
Atlanta, GA 30334
Telephone: 404.656.3855
Fax: 404.656.7901
gach@mail.opb.state.ga.us

Illinois

Virginia Bova
Department of Commerce and Community
Affairs
James R. Thompson Center
100 West Randolph, Suite 3-400
Chicago, IL 60601
Telephone: 312.814.6028
Fax: 312.814.8485
vbova@commerce.state.il.us

Iowa

Steven R. McCann
Division of Community and Rural Development
Iowa Department of Economic Development
200 East Grand Avenue
Des Moines, IA 50309
Telephone: 515.242.4719
Fax: 515.242.4809
steve.mccann@ided.state.ia.us

Kentucky

Ron Cook
Department for Local Government
1024 Capital Center Drive, Suite 340
Frankfort, KY 40601
Telephone: 502.573.2382
Fax: 502.573.2512
ron.cook@mail.state.ky.us

Maine

Joyce Benson
State Planning Office
184 State Street
38 State House Station
Augusta, ME 04333
Telephone: 207.287.3261
207.287.1461 (direct)
Fax: 207.287.6489
joyce.benson@state.me.us

Maryland

Linda Janey
Manager, Clearinghouse and Plan Review Unit
Maryland Office of Planning
301 West Preston Street, Room 1104
Baltimore, MD 21201-2305
Telephone: 410.767.4490
Fax: 410.767.4480
linda@mail.op.state.md.us

Michigan

Richard Pfaff
Southeast Michigan Council of Governments
535 Griswold, Suite 300
Detroit, MI 48226
Telephone: 313.961.4266
Fax: 313.961.4869
pfaff@semcog.org

Mississippi

Cathy Mallette
Clearinghouse Officer
Department of Finance and Administration
1301 Woolfolk Building, Suite E
501 North West Street
Jackson, MS 39201
Telephone: 601.359.6762
Fax: 601.359.6758

Missouri

Angela Boessen
Federal Assistance Clearinghouse
Office of Administration
P.O. Box 809
Truman Building, Room 840
Jefferson City, MO 65102
Telephone: 573.751.4834
Fax: 573.522.4395
igr@mail.oe.state.mo

Nevada

Heather Elliott
Department of Administration
State Clearinghouse
209 E. Musser Street, Room 200
Carson City, NV 89701
Telephone: 775.684.0209
Fax: 775.684.0260
helliott@govmail.state.nv.us

New Hampshire

Jeffrey H. Taylor
Director
New Hampshire Office of State Planning
Attn: Intergovernmental Review Process
Mike Blake
2-1/2 Beacon Street
Concord, NH 03301
Telephone: 603.271.2155
Fax: 603.271.1728
jtaylor@osp.state.nh.us

New Mexico

Ken Hughes
Local Government Division
Room 201 Bataan Memorial Building
Santa Fe, NM 87503
Telephone: 505.827.4370
Fax: 505.827.4948
khughes@dfa.state.nm.us

North Carolina

Jeanette Furney
Department of Administration
1302 Mail Service Center
Raleigh, NC 27699-1302
Telephone: 919.807.2323
Fax: 919.733.9571
jeanette.furney@ncmail.net

North Dakota

Jim Boyd
Division of Community Services
600 East Boulevard Ave., Dept 105
Bismarck, ND 58505-0170
Telephone: 701.328.2094
Fax: 701.328.2308
jboyd@state.nd.us

Rhode Island

Kevin Nelson
Department of Administration
Statewide Planning Program
One Capitol Hill
Providence, RI 02908-5870
Telephone: 401.222.2093
Fax: 401.222.2083
knelson@doa.state.ri.us

South Carolina

Omeagia Burgess
Budget and Control Board
Office of State Budget
1122 Ladies Street, 12th Floor
Columbia, SC 29201
Telephone: 803.734.0494
Fax: 803.734.0645
aburgess@budget.state.sc.us

Texas

Denise S. Francis
Director, State Grants Team
Governor's Office of Budget and Planning
P.O. Box 12428
Austin, TX 78711
Telephone: 512.305.9415
Fax: 512.936.2681
dfrancis@governor.state.tx.us

Utah

Carolyn Wright
Utah State Clearinghouse
Governor's Office of Planning and Budget
State Capitol, Room 114
Salt Lake City, UT 84114
Telephone: 801.538.1535
Fax: 801.538.1547
cwright@gov.state.ut.us

West Virginia

Fred Cutlip, Director
Community Development Division
West Virginia Development Office
Building #6, Room 553
Charleston, WV 25305
Telephone: 304.558.4010
Fax: 304.558.3248
fcutlip@wvdo.org

Wisconsin

Jeff Smith
Section Chief, Federal/State Relations
Wisconsin Department of Administration
101 East Wilson Street, 6th Floor
P.O. Box 7868
Madison, WI 53707
Telephone: 608.266.0267
Fax: 608.267.6931
jeffrey.smith@doa.state.wi.us

TERRITORIES:

American Samoa

Pat M. Galea'i
Federal Grants/Programs Coordinator
Office of Federal Programs
Office of the Governor/Department of
Commerce
American Samoa Government
Pago Pago, AS 96799
Telephone: 684.633.5155
Fax: 684.633.4195
pmgaleai@samoatelco.com

Virgin Islands

Ira Mills
Director, Office of Management and Budget
#41 Norre Gade Emancipation Garden Station
Second Floor
Saint Thomas, VI 00802
Telephone: 340.774.0750
Fax: 340.776.0069
Irmills@usvi.org

Guam

Director
Bureau of Budget and Management Research
Office of the Governor
P.O. Box 2950
Agana, GU 96910
Telephone: 011.671.472.2285
Fax: 011.472.2825
jer@ns.gov.gu

Northern Mariana Islands

Ms. Jacoba T. Seman
Federal Programs Coordinator
Office of Management and Budget
Office of the Governor
Saipan, MP 96950
Telephone: 670.664.2289
Fax: 670.664.2272
omb.jseman@saipan.com

Puerto Rico

Jose Caballero / Mayra Silva
Puerto Rico Planning Board
Federal Proposals Review Office
Minillas Government Center
P.O. Box 41119
San Juan, PR 00940-1119
Telephone: 787.723.6190
Fax: 787.722.6783

PAPERWORK REDUCTION ACT NOTICE

The public reporting burden for this collection of information is estimated to be up to one hour per response, depending upon the COPS program being applied for, which includes time for reviewing instructions. Send comments regarding this burden estimate or any other aspects of the collection of this information, including suggestions for reducing this burden, to the Office of Community Oriented Policing Services, U.S. Department of Justice, 1100 Vermont Avenue, N.W., Washington, DC 20530; and to the Public Use Reports Project, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

You are not required to respond to this collection of information unless it displays a valid OMB control number. The OMB control number for this application is 1103-0096 and the expiration date is 02/29/2008.



FOR MORE INFORMATION:

U.S. Department of Justice
Office of Community Oriented Policing Services
1100 Vermont Avenue, N.W.
Washington, DC 20530

To obtain details on COPS programs, call the
COPS Response Center at 800.421.6770

Visit COPS Online at the address listed below.

e04072780

Created Date: January 8, 2008



www.cops.usdoj.gov