Health Resources and Services Administration SUPPORTING STATEMENT Survey of Area Resource File Users

A. Justification

1. Circumstances of Information Collection

The Health Resources and Services Administration (HRSA) currently has approval under the generic clearance, Office of Management and Budget (OMB) Control No. 0915-0212, to conduct customer satisfaction surveys and focus groups. This collection of information helps fulfill the requirements of:

a. Executive Order 12862, "Setting Customer Service Standards," which directs Agencies to continually reform their management practices and operations to provide service to the public that matches or exceeds the best service available in the private sector.

This is a request for OMB approval of a qualitative voluntary customer satisfaction survey under HRSA's generic clearance. The Area Resource File system (ARF) is a trusted database that has been used by the health services research community for over 25 years. From time to time, users of the ARF have been contacted to comment on the usefulness and accessibility of the ARF data. The ARF users have not been contacted in over ten years – and, across this period, substantial changes have been made to features of the system, the data included in the system, and the manner in which data in the system can be obtained. These changes have been made to improve content, access, and ease of use. As such, it is appropriate to contact ARF users to determine their assessment of the current ARF system as well as their future needs.

Executive Order 12862 directs agencies that "provide significant services directly to the public" to "survey customers to determine the kind and quality of services they want and their level of satisfaction with existing services".

2. <u>Purpose and Use of the Information</u>

The ARF is used by a broad range of health sector professionals, including federal health policy and planning personnel, state government personnel, members of the health services research community, academic researchers, and clinicians. This statement is substantiated as follows:

- Until recently, the ARF was available only on CD, and hundreds of copies of both the ARF and the ARF Access databases were acquired annually
- For many years, agencies of DHHS have supported the system development and maintenance with funding, including AHRQ, CMS, CDC/NCHS, HRSA/OA, HRSA/BPHC, among others.
- The ARF is cited in two or more journal articles each month based upon NIH/PubMed listings.
- Specifically, peer-reviewed articles in journals such as Health Affairs, Archives of Surgery, Journal of Nursing Scholarship, Journal of Public Health Management and Practice, American Journal of Managed Care, and numerous others routinely include ARF citations.

HRSA plans to use the ARF user survey to enhance the capabilities of the ARF database. Basically, the survey will provide HRSA a deeper understanding of how individuals use the ARF, what types of individuals use the ARF in their work, and what types of data elements may be needed or not needed in the ARF.

Since making the ARF free and readily available to the general public, it is essential that we transform the ARF into a more useful tool that a variety of individuals can use to support their health workforce activities. As well, survey results will assist HRSA in determining how to best enhance the ARF database as the nation experiences numerous challenges with having the best data to inform the development of effective policies regarding the health workforce.

3. <u>Use of Improved Information Technology</u>

The National Center for Health Workforce Analysis (NCHWA) proposes to conduct the survey using an online survey tool ensuring minimal respondent burden. Further, the questionnaire has been streamlined to ensure easy movement through the short instrument

4. <u>Efforts to Avoid Duplication</u>

There has not been a user survey of the ARF system for approximately 10 years; and while extensive use is made of the system, it is important to assess user satisfaction and needs.

5. <u>Involvement of Small Entities</u>

These surveys will not have a significant impact on small businesses or other small entities.

6. <u>Consequences if Information Collected Less Frequently</u>

The proposed survey will be repeated no more frequently than every two years, or, as a cluster of new system features are introduced into the ARF. In such cases, NCHWA will wish to obtain user feedback as to the benefits associated with the newly introduced features. There will be no need to contact users more frequently.

7. Consistency With the Guidelines in 5 CFR 1320.5(d)(2)

These surveys will be implemented in a manner fully consistent with 5 CFR 1320.5(d)(2).

8. <u>Consultation Outside the Agency</u>

In accordance with 5 CFR 1320.8(d), on April 24, 2009, a 30 day notice was published in the Federal Register for HRSA's generic clearance, OMB Control No. 0915-0212 (Vol. 74, Page 18726). No public comments were received.

9. Remuneration of Respondents

Not Applicable.

10. <u>Assurance of Confidentiality</u>

To date, the HRSA customer satisfaction surveys have not collected personally identifiable information from respondents. The information obtained from respondents will only be used within NCHWA and only for product improvement purposes. No further identification will be made of respondents. The proposed survey does not ask for name or other contact information.

11. Questions of a Sensitive Nature

The surveys do not contain questions of a sensitive nature.

12. Estimates of Annualized Hour Burden

Respondents

Approximately 360 respondents are expected from the ARF User survey.

Annual burden estimates

Type of	Number of	Responses	Total	Hours per	Total	Wage	Total Hour
Collection	Respondents	per	Responses	Respondent	Burden	Rate	Cost
		Respondent			Hours		
ARF User Survey	360	1	360	.067	24.12	\$50.00	\$1206.00
Total	360	1	360	.067	24.12	\$50.00	\$1206.00

Each respondent will be asked to complete the survey instrument only once. The estimated time to complete the instrument is four (4) minutes; drop down menus are provided to guide the users, the questions are direct and brief, and only a few questions are asked thereby minimizing response burden. NCHWA anticipates that 360 users responding to the survey will fall within four categories – private sector users, academic researchers, and state and local government users.

Planned frequency of information collection

This survey will be conducted on an 'as-needed' basis but no more frequently than every two years.

13. <u>Estimates of Annualized Cost Burden to Respondents</u>

The only associated cost to respondents is their time to provide the requested information.

14. Estimates of Annualized Cost to the Government

The total cost to the Government is approximately \$5,000. Roughly \$4,400 will be spent on hiring a contractor to construct, field, analyze, and report on the ARF user survey. An additional \$600 will be associated with one HRSA COTR's time spent managing the contract.

15. <u>Change in Burden</u>

Not Applicable. This is a new activity under HRSA's generic clearance and will be included in the total burden currently approved by OMB under OMB Control No. 0915-0212.

16. Plans for Analysis and Timetable of Key Activities

Upon approval, the survey will be posted shortly after release of the 2011 Area Resource File in early 2012. Since an online survey tool is to be used, the responses will be included in a database that will be accessed periodically, and intermediate findings will be provided to NCHWA management monthly, beginning in February 2012. It is anticipated that essentially all responses will be obtained within three months and that the results will be analyzed by May 31, 2012.

17. Exemption for Display of Expiration Date

No exemption is being requested. The expiration date will be displayed.

18. Certifications

This information collection activity will comply with the requirements in 5 CFR 1320.9.