INFORMATION COLLECTION REQUEST for GREEN POWER PARTNERSHIP AND COMBINED HEAT AND POWER PARTNERSHIP

EPA ICR NUMBER 2173.02

OMB CONTROL NUMBER 2060-0578

SUPPORTING STATEMENT A

1. IDENTIFICATION OF THE INFORMATION COLLECTION

1(a) Title and Number of the Information Collection Request

Reporting Requirements under EPA's Green Power Partnership and CHP Partnership (Renewal) EPA ICR No. 2173.02, OMB Control No. 2060-0578

1(b) Short Characterization/Abstract

In an effort to aid implementation of the President's May 2001 National Energy Strategy, as well as the President's February 2002 Climate Change Strategy, EPA launched two new partnership programs with industry and other stakeholders: the Green Power Partnership and the Combined Heat and Power (CHP) Partnership. These voluntary partnership programs encourage organizations to invest in clean, efficient energy technologies, including renewable energy and combined heat and power. To continue to be successful, it is critical that EPA collect information from Green Power and CHP Partners to ensure these organizations are meeting their renewable energy and CHP goals and to assure the credibility of these voluntary partnership programs.

EPA has developed this ICR to obtain authorization to collect information from organizations participating in the Green Power Partnership and CHP Partnership. Organizations that join these programs voluntarily agree to the following respective actions: (1) designating a Green Power or CHP Partnership liaison and filling out a Partnership Agreement or Letter of Intent (LOI) respectively; (2) for the Green Power Partnership, reporting to EPA, on a annual basis, their progress toward their green power commitment via a 2-page reporting form; (3) for the CHP Partnership, reporting to EPA information on their existing CHP projects and CHP project development activity. EPA uses the data obtained from its Partners to assess the success of these programs in achieving their national energy and greenhouse gas (GHG) reduction goals. Partners are organizational entities that have volunteered to participate in either Partnership program.

2. NEED FOR AND USE OF THIS COLLECTION

2(a) Need/Authority for the Collection

EPA needs to collect the information in the Partnership Agreement or LOI to formally establish participation in the Green Power Partnership or CHP Partnership program and to obtain general information about new Partners. Additional information collected through information forms is needed to allow EPA to track Partner progress toward meeting their commitments, and to enable the programs to facilitate and provide assistance to encourage green power purchases and

the implementation of CHP projects, and to determine progress in meeting the programs' national energy and GHG reduction goals. This information collection will assist the Green Power Partnership in increasing demand for the use of qualifying renewable energy sources for power generation thereby reducing GHG emissions. The information will also help the CHP Partnership to increase the use of more efficient, cleaner power generation and reduce GHG emissions.

Participation in the Green Power Partnership and CHP Partnership is voluntary. Program participants agree to provide EPA with the requested information.

2(b) Practical Utility/Users of the Data

EPA uses the information submitted in the Partnership Agreement or LOI to update its database of Green Power or CHP Partners. The database serves as a source of general information and a contact list. EPA uses the information submitted in additional forms to monitor the progress of current participation and projects and identify new opportunities. EPA also uses the data to prepare reports on Green Power Partnership and CHP projects and progress as well as to determine the GHG reductions achieved by the programs. EPA uses case studies of successful CHP projects to demonstrate to existing and potential Partners the cost savings and environmental benefits associated with more efficient energy production through CHP projects. General information on the Partners, green power, and CHP is also provided on the respective Web sites, making it available to other Partners as well as the public.

3. NONDUPLICATION, CONSULTATIONS, AND OTHER COLLECTION CRITERIA

3(a) Nonduplication

For new Partners, the information to be collected has not previously been collected by EPA or any other Federal Agency. Existing Green Power Partners provide updates to existing information through a Partner Yearly Report. Existing CHP Partners with ongoing CHP project development activities review and update a CHP Partners Project Data Form every year. Some CHP Partners, such as certain end-users and city/state programs, have no annual reporting requirements.

For existing Partners with both programs, some of the information required is available in the existing databases from previous submittals. To avoid requesting information that has already been collected, forms sent to existing Partners are pre-populated with previously submitted information from the database. Recipients of the forms are asked to review the information provided. Only if the information is incorrect or out of date will the recipients be required to

provide updated or additional information. To further reduce burden, EPA provides CHP Partners who have ongoing project development activities with a simple spreadsheet of previously submitted project data that they can review and revise electronically rather than filling out a form.

3(b) Public Notice Required Prior to ICR Submission to OMB

On October 31, 2008, in compliance with the Paperwork Reduction Act of 1995, EPA solicited public comments on the ICR through an announcement in the <u>Federal Register</u> (73<u>FR</u> 64941). EPA received no comments.

3(c) Consultations

In 2004, EPA consulted with three Green Power Partners and three CHP Partners regarding the burden of information collection for the programs. The contacted Partners were provided copies of the information collection forms, and asked to provide estimates of the associated hourly labor burden. Labor wage rates from the Bureau of Labor Statistics (BLS) were provided for reference and EPA requested comments on whether these labor rates were appropriate. The Partners all provided labor hour estimates of each activity, and either provided labor rates or indicated that the BLS rates were representative. Generally, the estimates provided by the Partners were similar and were consistent with EPA expectations of the labor burden.

In 2008, EPA consulted with three Green Power Partners and three CHP Partners regarding the burden of this information collection. The contacted Partners were provided copies of the information collection forms, estimates of the labor burden derived from Partners responses in 2004, and labor wage rates from the Bureau of Labor Statistics (BLS). EPA requested comments on whether the labor burden estimates and labor rates were appropriate. Generally, the estimates provided by the Partners were similar and were consistent with the estimates provided by EPA. EPA averaged Partner responses for the labor burden estimates and labor rates for use in this ICR renewal.

3(d) Effects of Less Frequent Collection

The Partnership Agreement or LOI are submitted once by organizations that choose to become Partners of the Green Power Partnership or CHP Partnership. To allow EPA to remain current on Partner participation and determine if green power purchase commitments are being met, Green Power Partners will be asked to update an annual report. All CHP Partners with ongoing project development activities, including all project developers, energy service companies, consulting engineers, equipment manufacturers, and approximately 33% of utility Partners will review their project information and provide updates every year. These differences

in reporting requirements reflect the varying dynamics of the data contained in the reports for the different subcategories of Partners. Partners of both programs may also update company and contact information periodically via the respective partnership Web sites. EPA believes that any reduction in the frequency of this information collection would impede efforts by EPA to evaluate results of the programs, facilitate green power purchases and CHP project implementation, and respond in a timely manner to needs of the Partners.

3(e) General Guidelines

This information collection request was prepared in compliance with OMB's and EPA's guidelines for ICR preparation.

3(f) Confidentiality

Participation in the Green Power Partnership and CHP Partnership is voluntary.

Participants are not asked to reveal Confidential Business Information (CBI). Additionally, participants will be given specific instructions not to provide any information that they consider confidential.

3(g) Sensitive Questions

No questions of a sensitive nature are asked in any of the forms or periodic information updates.

4. THE RESPONDENTS AND THE INFORMATION REQUESTED

4(a) Respondent NAICS/SIC Codes

This information collection will encompass a wide variety of respondents with most respondents specific to either the Green Power Partnership or CHP Partnership.

(i) Green Power Respondent NAICS/SIC codes

The Green Power Partners consist of company, institutional, and public sector organizations that pledge to purchase a proportion of their annual purchased electricity use from eligible renewable energy resources through one of three purchasing options. Companies include both service and goods providing industries, institutions are comprised of institutes of higher education and NGOs, and public sector Partners are local, state, or federal level government agencies.

NAICS	SIC	Description				
Full-scale Partners ^a						
611310	8221	Colleges, Universities, and Professional Schools				
722110	5812 5461	Restaurants				
423390	5093	Automobile Manufacturing				
445110	5411	Grocery Stores				
323110	2752	Print shops				
621111	8093	Offices of Physicians				
924	9511	Public Administration of Environmental Quality Programs				
92411	9631	Public Administration of Air and Water Resource and Solid Waste Management Programs				
928110	9711	Military bases and camps				
927110	9661	Public Administration of Space Research and Technology				

^aGiven the breadth of industries who are currently Green Power Partners, only a subset of their NAICS/SIC codes is listed to provide examples.

(ii) CHP Respondent NAICS/SIC codes

The CHP Partnership classifies its Partners into ten categories. State, Local and Tribal Partners include state and local energy, environmental, and economic development agencies who will promote CHP development in their area. The remaining nine categories are included in the commercial and institutional Partner group. End-user Partners are comprised of a broad range of industrial, commercial, or institutional organizations who utilize CHP technology to provide heat and electricity at their facilities. Equipment suppliers are involved in the manufacturing, supplying, or installation of CHP-related products. Other Partner categories can be classified as CHP project promoters and facilitators who work to create new CHP projects. These Partners include energy services companies (ESCOs), attorneys, CHP project developers, non-government organizations (NGOs), financiers, and engineering consultants. Utility Partners work to provide end-users with fuel for their CHP projects or work to distribute electricity from CHP sources. The following list provides the most common NAICS/SIC codes for the various different types of CHP Partners:

NAICS	SIC	Description				
State/Local/Tribal Partners						
92613	9631	Public Administration, Regulation and Administration of Communications, Electric, Gas, and Other Utilities				

NAICS	SIC	Description				
926130	9631	Public Administration, Energy development and conservation programs				
9611	9611	Public Administration of General Economic Programs				
924	9511	Public Administration of Environmental Quality Programs				
Equipment	Manufacturers					
333611	3511	Turbine and turbine generator manufacturing				
335999	3699	Fuel cells, electrochemical generators, manufacturing				
541512	7373	Information management computer systems integration design services				
541614	8742	Manufacturing operations improvement consulting services				
CHP proje	ct promoters and	facilitators				
541110	8111	Offices of Lawyers				
541690	8999	Energy consulting services				
541330	8999	Engineering consulting services				
523910	6153 6211 6799	Financial Investments and Related Activities, Miscellaneous Intermediation				
Utilities						
221122	4911	Electric Power Distribution				
221210	4923	Natural Gas Distribution				
End-Users						
325415	2834	Pharmaceutical Preparation Manufacturing				
611310	8221	Colleges, Universities, and Professional Schools				
322121	2611 2621	Pulp and Paper Mills (Except Newsprint Mills)				
336111	3711	Automobile Manufacturing				
813110	8661	Religious Organizations				
331111	3317	Steel Mills				
721110	7011	Hotels (Except Casino Hotels) and Motels				

^a There is a wide variety of entities that could install CHP projects and become CHP end users. Any facility with a demand for both electricity and thermal energy (i.e., steam, heating, or cooling) during most of the year and with access to fuels is a potential candidate for a CHP end-user Partner. For this reason, only a subset of the end-users is listed to provide examples.

4(b) Information Requested

(i) Data Items, Including Recordkeeping Requirements

Green Power Partnership. Information will be collected through the Partnership Agreement and Partner Yearly Report, as well as through clarification follow-ups and calls or emails as needed. Each activity is described below.

The Partnership Agreement requests the following information from all Partners:

- Organization name;
- Scope of entity/entities joining Partnership;
- Total purchased electricity use and green power purchase commitment;
- Signature and printed name and title of authorized organization representative;
- Contact information about the organizational liaison to the Green Power Partnership, including name, title address, phone number, fax number and e-mail address;
- Purchase details such as retailer name, the type of green power product delivered (utility product, renewable energy certificates, or on-site generation), type of renewable resource; and
- Questions about product certification status and contract dates.

The Green Power Partnership Partner Yearly Reports collect or update the following information:

- Updates to liaison information listed above;
- Updates to commitment details, including total annual energy consumption, annual green power commitment;
- Updates purchase details such as retailer name, the type of green power product delivered (utility product, renewable energy certificates, or on-site generation), type of renewable resource;
- Updates to product certification status and contract dates; and
- Short paragraph description of Partner's Green Power Commitment.

A company profile will be available on the Green Power Partnership Web site. Partners may submit corrections or updates to their profile via the Web site or electronically at any time. EPA will also place follow-up calls to clarify any unclear information submitted by Partners.

Occasionally, EPA may request technical information in addition to the standard forms. This information will be requested on a voluntary basis from a subset of Green Power Partnership Partners. For instance, information may be requested from the twenty-five largest green power purchasers in order to feature them on the GPP Web site. Requested information may also be used to target communications and outreach efforts more effectively.

CHP Partnership. Information will be collected through the LOI and the CHP Partners Project Data Form, as well as through clarification follow-up calls or e-mails as needed. The LOI requests the following information from all new Partners:

- Organization name;
- Designated CHP Partner Liaison's contact information;
- Organization CHP-related service or activity; and
- Organization web site address and/or CHP-related Web page.

CHP Partners Project Data Forms are used to collect information on completed or planned CHP projects on a yearly basis, and will be completed by company or institutional Partners involved in planned or operational CHP projects. The requested information includes:

- Project Owner;
- Project Name and address;
- Project information including start-up date, fuel type, annual operating hours, average net power output, percentage of electricity sold offsite or to grid and percentage of thermal output sold offsite;
- List of each prime mover, including size, fuels and emission controls;
- Types and applications of thermal output;
- Contact information including the company name, contact name, phone number and date submitted; and
- Project team information including the engineer, prime contractor, project developer and thermal host.

After the first submittal, EPA will send the Partner spreadsheets containing all previously-submitted information on planned projects for the Partner to review annually.

A company profile and contact information will be available on the CHP Partnership Web site. Partners may submit corrections or updates via the Web site at any time. EPA will also place follow-up calls to clarify any unclear information submitted by Partners.

Participation in Green Power Partnership and CHP does not require any records to be kept, although Partners will likely keep file copies of the LOI and CHP Partner Projects Data information forms submitted to EPA.

The Partnership Agreement form will be updated by removing the approval expiration date, which is currently located in the top right corner of the form.

The Partnership Agreement form statement regarding the one year length of time the green power purchase must be completed by will be updated to read as follows: "Buy green power that meets or exceeds Partnership requirements within six months of signing this Partnership Agreement."

(ii) Respondent Activities

The respondent activities depend on the aspect of the information collection to which they are responding. In completing the Partnership Agreement or LOI, respondents will:

- Review the form;
- Complete the form;
- Sign and submit the form electronically or via fax or mail to EPA; and
- File a copy of the form.

In completing the pre-populated Green Power Partner Yearly Report or the pre-populated CHP Partner Projects Data Form, respondents will:

- Read the forms and instructions:
- Update the pre-populated report or form with new information where necessary;
- Submit the yearly report (or CHP project spreadsheet) electronically or via fax or mail to EPA; and
- File a copy of the form.

In replying to or initiating an information update or clarification, respondents will:

• Gather information; and provide information to the Green Power Partnership or CHP Partnership via the Web site, an e-mail, or a telephone interview.

5. THE INFORMATION COLLECTED - AGENCY ACTIVITIES, COLLECTION METHODOLOGY, AND INFORMATION MANAGEMENT

- 5(a) Agency Activities
- (i) Agency Activities for the Green Power Partnership's Partnership Agreements and CHP Partnership LOIs

Agency activities associated with this information collection depend on the aspect of the information collection that the Agency has received. In receiving the Partnership Agreement or LOI for either the Green Power Partnership or CHP Partnership, the Agency will:

- Review the information provided;
- Enter the information into a database; and
- File the form.

(ii) Agency Activities for Green Power Partnership

Agency activities specific to the Green Power Partnership will depend on the types of activities the Agency will initiate and receive. In requesting and receiving the information through a Partner Yearly Report Form sent to Partners one year after they join the Partnership and annually thereafter, the Agency will:

- Prepare pre-populated partner data and mail the Partner Yearly Report annually;
- Receive and review the information provided on completed forms;
- Enter the information into a database; and
- File the form.

In initiating information updates and follow-ups, the Agency will:

- Call or email Partners;
- Request updated information or clarification on Partnership Agreements or Partner
 Yearly Report Forms by telephone or e-mail;
- Request additional technical information, as needed, from a subset of Green Power Partners; and
- Revise data in the database based on these updates.

In receiving information updates via the Web site, the Agency will:

- Review the data received through the Green Power Partnership Web site; and
- Revise data in the database based on the updates.

(iii) Agency Activities for CHP Partnership

Agency activities specific to the CHP Partnership will also depend on the types of activities the Agency will initiate and receive. In requesting and receiving the information on CHP projects through an information form sent to Partners within the same year they join the Partnership, the Agency will:

- Prepare and e-mail the CHP Partner Projects Data Forms;
- Receive and review the information provided on completed forms;
- Enter the information into a database; and
- File the form.

In requesting and receiving information on ongoing project development activities through a CHP project spreadsheet already populated with information provided on a Partner's initial project reporting form or most recent project spreadsheet, the Agency will, once a year:

- Populate the Partners' spreadsheets with the project information from the most recent project forms or spreadsheets, which is contained in the CHP project database;
- Email the pre-populated spreadsheets to the appropriate Partners;
- Receive and review any updates provided from the Partner on the spreadsheet;
- Enter updated information from the spreadsheet into a database; and
- File the spreadsheet.

In initiating information updates and follow-ups, the Agency will:

- Call or email Partners;
- Request updated information or clarification on CHP Partner Projects Data Forms;
 and
- Revise data in the database based on the updates.

In receiving information updates via the Web site, the Agency will:

- Review the data received on the CHP Partnership Web site; and
- Revise data in the database based on the updates.

5(b) Collection Methodology and Management

The methods selected for this information collection are chosen based on efficiency and minimization of burden for respondents and EPA. The respective Partnership Agreement and LOI can be downloaded from the appropriate Web site and returned to EPA either by fax or mail, or submitted electronically. General Partner information will be posted on the Green Power Partnership or CHP Partnership Web site where it can be reviewed for accuracy by the Partners and revised if necessary. Information forms will be available for Partners electronically on each Web site and can be returned by fax, mail, or e-mail. In addition, the Green Power Partnership will send pre-populated Yearly Reports to Partners each year containing the information previously submitted. Partners need only review the information for accuracy and submit any updates, thus minimizing the burden of the respondents. To further reduce burden, EPA will provide CHP Partners who have ongoing project development activities with a simple spreadsheet of previously submitted project data that they can review and revise electronically rather than filling out a form. All information received through the forms (electronically or via hard copy) will be reviewed by EPA before being entered into the database.

5(c) Small Entity Flexibility

EPA expects that some Green Power Partners and CHP Partners are small entities. EPA has designed its approach for this information collection to minimize burden for all respondents while obtaining sufficient and accurate information. The data requested includes only the most critical information and may be submitted electronically. As discussed above, for both Partnership programs EPA pre-populates Yearly Report forms or spreadsheets with information previously submitted to avoid duplication of effort and minimize the burden for respondents. Additionally, participation in Green Power Partnership and CHP Partnership is voluntary. Any small entity that finds the information collection overly burdensome is not required to participate.

EPA expects that some Green Power Partners are small entities. GPP determined whether Local Government Partners are small entities based on the population served by these government agencies. Approximately 30 percent of existing Local Government Partners are in cities or counties with populations less than 50,000, which is the threshold for small entities within the public administration sector. GPP assumed that all State Government Partners are not classified as small entities, since each State population is greater than 50,000. Likewise, GPP assumed that all Federal Government Partners are not small entities.

Given the large number of private sector Green Power Partners, EPA was not able to review the small entity status of each Partner individually. GPP assumed that all companies on Fortune magazine's list of the top 1,000 U.S. companies by revenue are not small entities. GPP also assumed that all non-profit organizations are classified as small entities. For the remaining private sector Partners, GPP determined the small entity status of a portion of Partners, following the U.S. Small Business Administration's 2007 Table of Small Business Size Standards Matched to North American Industry Classification System Codes. GPP reviewed company profiles and Web sites when available to help determine the selected company's small entity status. GPP then compared the company's small entity status to their total electricity use, a figure which EPA collects for all Partners.

Using this information, EPA determined an approximate electricity baseload under which a company could reasonably be considered a small entity. This baseload was different for companies in manufacturing industries than for companies in non-manufacturing industries. The companies whose small entity status was not determined were assumed to follow a similar correlation to those reviewed. Following this assumption, EPA estimates that 65 percent of its private sector Partners are small entities. Overall, 60 percent of all Green Power Partners are estimated to be small entities.

EPA has designed its approach for this information collection to minimize the burden for all respondents while obtaining sufficient and accurate information. Since the previous ICR, GPP has strived to lessen the reporting burden on Partners by introducing reporting efficiencies, such as a writeable Portable Document Format (PDF) version of the Partnership Agreement that improves readability, and thereby reduces the frequency of Agency follow up with Partners.

EPA expects that some CHPP Partners are small entities. The CHPP consists of the following partner categories: project developer; consultant/engineering; energy service company (ESCO); financier; utility; non-governmental organization (NGO); government; equipment manufacturer; and end-user. The CHPP assumed that all utilities, government partners, equipment manufacturers, and end-users are not small businesses. Companies of these partner types are large in scale and scope and are most likely not small businesses.

The CHPP assumed that all NGO partners are small businesses given they typically have a small number of employees and typically do not work for profit. In all, the CHPP has 19 NGO partners.

For project developer partners, the CHPP reviewed Web sites and organization descriptions for 25 percent of the partners. The companies whose Web sites were not reviewed were assumed to contain a similar percentage of small businesses to those reviewed. For the 25 percent of partners reviewed, the CHPP also evaluated the number of projects developed by these partners as an additional gauge of whether the project developer is a small business or not. In most cases, if the project developer reviewed by the CHPP had zero projects, it was assumed to be a small business. At the end of 2008, the CHPP expects to have 62 project developer partners, so 16 were evaluated for small business applicability. Of the 16 project developers evaluated, three were categorized as small businesses, representing 18.75 percent. Applying this percentage to those companies not reviewed, the CHPP estimates that 12 project developer partners are small businesses.

For consultant/engineer partners, the CHPP reviewed Web sites and organization descriptions for 25 percent of the partners. The companies whose Web sites were not reviewed were assumed to contain a similar percentage of small businesses to those reviewed. For the 25 percent of partners reviewed, the CHPP also evaluated the number of projects developed by these partners as an additional gauge of whether the consultant/engineer is a small business or not. In most cases, if the consultant/engineer reviewed by the CHPP had zero projects, it was assumed to be a small business. At the end of 2008, the CHPP expects to have 51 project developer partners, so 13 were evaluated for small business applicability. Of the 13 consultants/engineers evaluated, three were categorized as small businesses, representing 23 percent. Applying this percentage to those companies not reviewed, the CHPP estimates that 12 consultant/engineer partners are small businesses.

For ESCO partners, the CHPP reviewed Web sites and organization descriptions for all of the partners. At the end of 2008, the CHPP expects to have six ESCO partners. Of the six ESCOs evaluated, one was categorized as a small business due to it employing only 60 employees.

For financier partners, the CHPP reviewed Web sites and organization descriptions for all of the partners. In most cases, if the financier had zero projects, it was assumed to be a small business. At the end of 2008, the CHPP expects to have 11 financier partners. Of the 11 financiers evaluated, two were categorized as a small business because they employ less than 50 employees.

Table 1 summarizes the small entities affected per year according to partner category. The numbers in Table 1 reflect the CHPP's assumption that the distribution of small entities within each new partner category over the years 2009-2011 will be similar to the distribution analyzed for each existing partner category.

Table 1: CHPP Estimate of Small Entities for Each Respondent Category

Respondent Category	New	Existing
Project Developer*	4	12
Consultant/Engineer*	5	12
ESCO**	0	1
Financier**	0	2
NGO**	0	19
Utility*	0	0
Government*	0	0
Equipment Manufacturer*	0	0
End-User*	0	0
Total	9	46

^{*} The CHPP ICR burden estimate assumes the following number of new partners for each of the years 2009-2011: project developer – 7; consultant/engineer –7; utility – 6; government – 2; equipment manufacturer – 6; end-user – 6 (Note: the CHPP assumed that none of the existing or new utility, government, equipment manufacturer, or end-user partners are small businesses).

5(d) Collection Schedule

EPA will collect general information from all new Partners through either the Green Power Partnership's Partnership Agreement or CHP Partnership's LOI, which is completed and submitted by each Partner upon their agreement to participate in the program. In addition, Green Power Partners will be asked to complete a Partner Yearly Report on an annual basis on the anniversary of EPA's receipt of the Partner's last information update. In addition, a subset of Green Power Partners may occasionally be asked to provide additional information specific to their industry sector or to an aspect of the Green Power Partnership program. Company and institutional CHP Partners with operational projects will fill out a Partner Projects Data Form one time for each operational project. Certain CHP Partners with ongoing project development activities will be asked to review and update a project data spreadsheet every year.

6. ESTIMATING THE BURDEN AND COST OF THE COLLECTION

6(a) Estimating Respondent Burden

In order to obtain accurate hourly burden and cost estimates, EPA consulted with six Partners (respondents) from the Green Power Partnership and the CHP Partnership. These responses were averaged to estimate the hourly burden for each activity and the labor rates. In consulting with Partners, EPA provided them with hourly burden estimates from the last submitted ICR, as well as current labor rates from the Bureau of Labor Statistics. Respondents were asked if the hourly burden estimates and labor rates were appropriate and, if not, to provide estimates based on their experience.

^{**} The CHPP ICR burden estimate assumes that there will be no new ESCO, financier, or NGO partners in years 2009-2011.

The hourly burden for each activity was multiplied by the number of Partners (respondents) performing the activity each year to estimate the total respondent burden. In accordance with the Paper Reduction Act, Federal Partners were excluded from the burden estimate although we summarize their burden in Table 5. Capital, operation and maintenance costs were also considered. Attachment A presents the estimated annual respondent burden and costs for information collection activities associated with the Green Power Partnership for each year of the collection. Attachment A and Table 5 include burden estimates for Federal entities in the Green Power Partnership, but these are not included as part of this Information Collection Request. Attachment B presents the estimated annual respondent burden and costs for information collection activities associated with the CHP Partnership for each year of the collection. Table 3 in Section 6(e) of this supporting statement presents a summary of the overall respondent burden for both the Green Power and CHP Partnerships for each of the three years as well as the total burden and the annual average burden.

6(b) Estimating Respondent Costs

(i) Estimating Labor Costs

Respondent labor rates came from direct consultations with three current Green Power Partners and three current CHP Partners, as discussed in section 6(a). These consultations did not include benefits. For private-sector respondents, a loaded labor rate to reflect benefits and overhead for the respondent organizations was calculated by applying a 110% factor to the average of the base rates received from the consultations. For Green Power Partners, loaded rates are \$123.47 for legal staff, \$109.47 for managerial staff, \$89.29 for technical staff, and \$39.05 for clerical staff. For CHP Partners, loaded rates are \$114.85 for legal staff, \$91.90 for managerial staff, \$81.44 for technical staff, and \$38.62 for clerical staff.

For public sector respondents (Local and State Partners), EPA used the same labor rates used for EPA personnel (see Section 6(c)). The Labor rates for management staff of public-sector respondents were used to represent labor rates for legal staff of public-sector respondents.

(ii) Estimating Capital and Operations and Maintenance Costs

Because this information collection requires respondents only to report information that is already available to them, there are no capital costs. EPA does not expect that the operations and maintenance (O&M) costs of these programs will be significant. The only O&M costs expected are for postage required to return any completed forms, long distance fax charges if the forms are faxed instead of mailed, and costs to copy the forms if Partners wish to retain them on file. A

submittal cost of \$3 is attributed to all activities involving mailing materials to account for the use of trackable mail or long distance fax and copying. If Partners choose to submit forms electronically, their costs will be lower than estimated. The average annual O&M cost over three years is \$5,466.

6(c) Estimating Agency Burden and Costs

Attachments C and D present the estimated Agency burden hours and costs for the information collection activities associated with Green Power Partnership and CHP Partnership, respectively. Table 2 presents a summary of the Agency burden for both programs and the total burden. EPA estimates an average hourly labor cost (labor plus 60% for overhead) of \$82.88 for managerial staff, \$52.60 for technical staff, and \$20.13 for clerical staff. To derive these estimates, EPA used the "Salary Table 2008 - GS Salary Table of Annual Rates by Grade and Step" dated January 2008, from the Department of Personnel Management. For purposes of this ICR, EPA assigned staff the following government service levels:

- Managerial Staff GS-15, Step 5 \$82.88 (\$51.80 +60% OH)
- Technical Staff GS-13, Step 1 \$52.60 (\$32.88+60% OH)
- Clerical Staff GS-5, Step 1 \$20.13 (\$12.58+60% OH)

 Table 2: Summary of Agency Burden

	2009	2010	2011	Total	Annual Average
Hours	2,470	2,889	3,307	8,666	2,889
Cost	\$122,079	\$142,056	\$162,033	\$426,168	\$142,056

6(d) Estimating the Respondent Universe

Green Power Partnership. A total of 1,040 Green Power Partners is expected by the end of 2008. EPA expects future growth to be constant since the number of Partners that have joined in the past few years has been steady. EPA anticipates that 246 new Partners will join the program each year in 2009, 2010, and 2011. Of these new 246 Partners joining each year, EPA expects that 219 will be from the private sector and 27 from local and state governments, as is consistent with the Partnership's current makeup. Each new Partner is required to complete and submit a Partnership Agreement form, and all participating Partners are expected to fill out or update the Partner Yearly Report, starting the year after they join.

The average annual number of respondents over three years is 1,532. The number of respondents in each of the three years of this information collection and the average over the 3-year period is shown in Table 3 in Section 6(e) of this supporting statement.

CHP Partnership. A total of 206 Partners is expected by the end of 2008. EPA anticipates 30-40 new Partners will join the program each year in 2009, 2010, and 2011. Of these new respondents, it is assumed that there are 6 to 7 new Partners per year in each of the following categories: end-users, project developers, consulting engineers, equipment manufacturers, and utilities, with up to an additional two Partners belonging to State/Local/Tribal governments per year. Each of the new Partners is expected to fill out an LOI. All of the new end-users, project developers, consulting engineers, and equipment manufacturers and 33% of new utility Partners will complete the CHP Partner Projects Data Form within the same year they join the Partnership. New State/Local/Tribal Agency Partners do not fill out project forms. All of the existing project developers, ESCOs, consulting engineers and equipment manufacturers, and 33% of the existing utilities will continue to review their project information and provide updates every year in a project spreadsheet starting in the year they join the Partnership.

The average annual number of respondents over three years is 262. The number of respondents in each of the three years of this information collection and the average over the 3-year period are shown in Table 3.

6(e) Bottom Line Burden Hours and Costs

Green Power Partnership. Attachments A and C show the detailed annual burden and cost to respondents and the Agency, respectively, for the information collection activities associated with Green Power Partnership. The results are summarized in Tables 3 and 4. The total annual burden, averaged over the three-year period, is 4,862 hours and \$433,302 per year for respondents and 1,921 hours and \$90,884 per year for the Agency. (See Tables 3 and 4 for these average numbers.) The bottom line burden for respondents will be the least in year 1 and will gradually increase in years 2 and 3. This is because there are more Partners responding in years 2 and 3. The burden per respondent is similar in all 3 years. In years 1, 2, and 3, new Partners will complete a Partnership Agreement. In years 1, 2, and 3, existing Partners will update information in the Partner Yearly Report Form as needed. After the first submittal, EPA will provide the information previously submitted by existing Partners and only ask for updates. Similarly, the Agency burden is the least in year 1 and will gradually increase in years 2 and 3 as EPA has more

information submittals to review and enter into the database. See Tables 3 and 4 for respondent and Agency burdens in each of the three years.

Table 5 summarizes the total annual respondent burden for Federal Partners, although these are not included in Table 3 since, in accordance with the Paperwork Reduction Act, Federal respondents are excluded from Information Collection Requests. The total annual burden for Federal Partners, averaged over the three-year period, is 82 hours and \$4,818 per year.

CHP Partnership. Attachments B and D show the detailed annual burden and cost to respondents and the Agency, respectively, for the information collection activities associated with CHP. The results are summarized in Tables 3 and 4. The total annual burden, averaged over the three-year period is 961 hours and \$83,816 for Partners, and 968 hours and \$51,172 for the Agency. (See Tables 3 and 4 for these average numbers.) The bottom line burden for respondents will be the least in year 1 and will gradually increase in years 2 and 3. This is because there are more Partners responding in years 2 and 3. The burden per respondent is similar in all 3 years. In years 1, 2, and 3, new Partners will complete an LOI. If a new Partner has direct involvement in CHP projects, they will submit project forms in the year they join. In years 1, 2, and 3, existing Partners will be updating information only as needed. For Partners with ongoing project development activities, EPA will provide the information previously submitted by the existing Partners in a spreadsheet and only ask for updates. Similarly, the Agency burden is the least in year 1 and will gradually increase in years 2 and 3 as EPA has more information submittals to review and enter into the database. See Tables 3 and 4 for the respondent and Agency burdens in each of the three years.

Table 5 summarizes the total annual respondent burden for Federal Partners, although these are not included in Table 3 since, in accordance with the Paperwork Reduction Act, Federal respondents are excluded from Information Collection Requests. The CHP Partnership has no Federal Partners.

<u>Total</u>. Considering both the Green Power Partnership and the CHP Partnership, the combined total annual burden (averaged over a 3-year period) is 5,823 hours and \$517,119 per year for respondents and 2,889 hours and \$142,056 per year for the Agency. Tables 3 and 4 show the breakout between labor costs and O&M costs. The burden estimates reflect an average of

1,794 respondents per year. The average annual burden per respondent is 3.25 hours and \$288 per year.

TABLE 3.
ESTIMATED TOTAL RESPONDENT BURDEN AND COST FOR GPP, CHP, AND COMBINED

	Year 1	Year 2	Year 3	Total	Annual Average		
Green Power Partnership Program							
Number of Respondents*	1,286	1,532	1,778	4,596	1,532		
Number of Company/Institutional Respondents	1,138	1,357	1,576	4,071	1,357		
Number of Local/State Respondents	148	175	202	525	175		
Hours	4,160	4,862	5,564	14,586	4,862		
Labor Costs	\$366,648	\$428,706	\$490,765	\$1,286,119	\$428,706		
O&M Costs	\$3,858	\$4,596	\$5,334	\$13,788	\$4,596		
ΓΟΤΑL Costs	\$370,506	\$433,302	\$496,099	\$1,299,907	\$433,302		
CHP Partnership Program							
Number of Respondents	234	262	290	786	262		
Number of Company/Institutional Respondents	232	260	288	780	260		
Number of State Respondents	2	2	2	6	2		
Hours	861	960	1,061	2,882	961		
Labor Costs	\$74,382	\$82,942	\$91,515	\$248,839	\$82,946		
O&M Costs	\$786	\$870	\$954	\$2,610	\$870		
ΤΟΤΑL Costs	\$75,168	\$83,812	\$92,469	\$251,449	\$83,816		
Combined Totals for Both Programs	8						
Number of Respondents	1,520	1,794	2,068	5,382	1,794		
Hours	5,021	5,822	6,625	17,468	5,823		
Labor Costs	\$441,030	\$511,648	\$582,280	\$1,534,958	\$511,653		
O&M Costs	\$4,644	\$5,466	\$6,288	\$16,398	\$5,466		
TOTAL Costs	\$445,674	\$517,114	\$588,568	\$1,551,356	\$517,119		
Average annual hours per respondent	-	-	-	-	3.25		
Average annual costs per respondent	-	-	-	-	\$288		

^{*}In accordance with the Paperwork Reduction Act, Federal government respondents are not included in the burden estimate in Table 3 because, based on its experience, GPP assumes that participating Federal facilities are not run by contractors. The burden on Federal government respondents is shown separately in Table 5.

TABLE 4.
ESTIMATED TOTAL AGENCY BURDEN AND COST FOR GPP, CHP and COMBINED

	Year 1	Year 2	Year 3	Total	Annual Average		
Green Power Partnership Program							
Number of Respondents	1,308	1,558	1,808	4,674	1,558		
Hours	1,605	1,921	2,236	5,762	1,921		
Labor Costs	\$76,311	\$90,884	\$105,456	\$272,651	\$90,884		
O&M Costs	\$0	\$0	\$0	\$0	\$0		
ГОТАL Costs	\$76,311	\$90,884	\$105,456	\$272,651	\$90,884		
CHP Partnership Program							
Number of Respondents	234	262	290	786	262		
Hours	866	968	1,071	2,905	968		
Labor Costs	\$45,768	\$51,172	\$56,577	\$153,517	\$51,172		
O&M Costs	\$0	\$0	\$0	\$0	\$0		

22

	Year 1	Year 2	Year 3	Total	Annual Average
TOTAL Costs	\$45,768	\$51,172	\$56,577	\$153,517	\$51,172
Combined Totals for Both Program	S				
Number of Respondents	1,542	1,820	2,098	5,460	1,820
Hours	2,470	2,889	3,307	8,666	2,889
Labor Costs	\$122,079	\$142,056	\$162,033	\$426,168	\$142,056
O&M Costs	\$0	\$0	\$0	\$0	\$0
ΓΟΤΑL Costs	\$122,079	\$142,056	\$162,033	\$426,168	\$142,056

TABLE 5.
ESTIMATED RESPONDENT BURDEN FOR FEDERAL GOVERNMENT PARTNERS

	Year 1	Year 2	Year 3	Total	Annual Average			
Green Power Partnership Program								
Number of Respondents	22	26	30	78	26			
Hours	71	82	94	247	82			
Labor Costs	\$4,083	\$4,740	\$5,397	\$14,220	\$4,740			
O&M Costs	\$66	\$78	\$90	\$234	\$78			
TOTAL Costs	\$4,149	\$4,818	\$5,487	\$14,454	\$4,818			
CHP Partnership Program								
Number of Respondents	0	0	0	0	0			
Hours	0	0	0	0	0			
Labor Costs	0	0	0	0	0			
O&M Costs	0	0	0	0	0			
TOTAL Costs	0	0	0	0	0			
Combined Totals for Both Program	S							
Number of Respondents	22	26	30	78	26			
Hours	71	82	94	247	82			
Labor Costs	\$4,083	\$4,740	\$5,397	\$14,220	\$4,740			
O&M Costs	\$66	\$78	\$90	\$234	\$78			
TOTAL Costs	\$4,149	\$4,818	\$5,487	\$14,454	\$4,818			
Average annual hours per respondent					3.15			
Average annual costs per respondent					\$185			

6(f) Reasons for Change in Burden

Under this renewal ICR, the average annual total respondent burden is 5,823 hours. The 2004 ICR average annual respondent burden was 3,980 hours per year.

Since the last ICR renewal, both the Green Power Partnership and CHP Partnership have introduced program efficiencies to reduce program burden and simplified collection forms into pre-populated spreadsheets or documents. As a result of these changes, the average number of hours per Partner has decreased from 3.4 hours to 3.25 hours, but the total hourly burden for Partners still increased because of an increase in the number of Partners. For perspective on the

magnitude of Partner growth, the number of Partners at the end of 2004 was 865, whereas by year-end 2008 their will be an estimated 1,308.

6(g) Burden Statement

The annual public reporting and recordkeeping burden for this collection of information is estimated to average 3.25 hours per respondent. The average number of annual burden hours per type of response is: 8.8 hours for a Partnership Agreement (a one-time burden for Green Power); 3.5 hours for a Letter of Intent (a one-time burden for CHP Partners), 2.6 hours for the Partner Yearly Report for the CHP Partnership.

Partners from both programs may also submit voluntary updates of simple information, such as contact information or company profiles, via the Web site. These updates would take from 15 minutes to 0.5 hours per response. A subset of Partners may participate in brief (i.e., 15 minute) telephone calls with EPA to clarify questions pertaining to the Partnership Agreement or LOI, Green Power Partner Yearly Report, or CHP Partner project reporting. All of these activities are included in the annual burden estimate.

To comment on the Agency's need for this information, the accuracy of the provide burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques, EPA has established a public docket for this ICR under Docket ID No. EPA-HQ-OAR-2004-0501, which is available for public viewing at the Air and Radiation Docket and Information Center in EPA's Docket Center (EPA/DC), EPA West, Room 3334, 1301 Constitution Avenue, NW, Washington, DC. EPA's Docket Center Public Reading Room is open from 8:30 a.m. to 4:30 p.m., Monday through Friday, excluding legal holidays. The telephone number for the Reading Room is (202) 566-1744, and the telephone number for the Air and Radiation Docket is (202) 566-1742. An electronic version of the public docket is available at www.regulations.gov. This site can be used to submit or view public comments, access the index listing of the contents of the public docket, and to access those documents in the public docket that are available electronically. Once in the system, select "search," then key in the docket ID number identified above. Also, you can send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC 20503, Attention: Desk Officer for EPA. Please include EPA's Docket ID No. EPA-HQ-OAR-2004-0501 and OMB Control Number 2060-0578 in any correspondence.

ATTACHMENT A: Green Power Partnership Respondent Burden for Years 1 though 3

- A-1 Green Power Partnership Private Sector Respondent Burden for Year 1
- A-2 Green Power Partnership Private Sector Respondent Burden for Year 2
- A-3 Green Power Partnership Private Sector Respondent Burden for Year 3
- A-4 Green Power Partnership Local & State Government Respondent Burden for Year 1
- A-5 Green Power Partnership Local & State Government Respondent Burden for Year 2
- A-6 Green Power Partnership Local & State Government Respondent Burden for Year 3
- A-7 Green Power Partnership Federal Government Respondent Burden for Year 1
- A-8 Green Power Partnership Federal Government Respondent Burden for Year 2
- A-9 Green Power Partnership Federal Government Respondent Burden for Year 3

ATTACHMENT B: CHP Partnership Respondent Burden for Years 1 through 3

- B-1 CHP Partnership Private Sector Respondent Burden for Year 1
- B-2 CHP Partnership Private Sector Respondent Burden for Year 2
- B-3 CHP Partnership Private Sector Respondent Burden for Year 3
- B-4 CHP Partnership State & Local Government Respondent Burden for Year 1
- B-5 CHP Partnership State & Local Government Respondent Burden for Year 2
- B-6 CHP Partnership State & Local Government Respondent Burden for Year 3

ATTACHMENT C: Green Power Partnership Agency (EPA) Burden for Years 1 through 3

- C-1 Green Power Partnership Agency (EPA) Burden for Year 1
- C-2 Green Power Partnership Agency (EPA) Burden for Year 2
- C-3 Green Power Partnership Agency (EPA) Burden for Year 3

ATTACHMENT D: CHP Partnership Agency (EPA) Burden for Years 1 through 3

- D-1 CHP Partnership Agency (EPA) Burden for Year 1
- D-2 CHP Partnership Agency (EPA) Burden for Year 2
- D-3 CHP Partnership Agency (EPA) Burden for Year 3