

REPOWERING ASSISTANCE PROGRAM

| Section 9004 NOFA - Year 1 | | | | | | | | | | | | |
|---|--|------------------------------------|-------------------------|-------------------------|---------------------|------------------------|-------------------------|----------------|------------------|---------------------|-------------------------|----------------|
| Section of Notice | Title | Form No. (if Any) | Est. No. of Respondents | Reports | Total Annual | Est. No. of | Est. Total | | Total | Private individuals | Private not individuals | S/L gov/Tribes |
| | | | | Filed Annually | Responses (D) x (E) | Man hours Per Response | Man-hours (F) x (G) | Wage Class | Cost (H) x (I) | | | |
| REPORTING REQUIREMENTS - NO FORMS | | | | | | | | | | | | |
| III.B.(8) | Feasibility Study | Written | 10 | 1 | 10 | 160 | 1,600 | 80 | \$128,000 | \$25,600 | \$102,400 | 0 |
| VII.B.(1) | Appeals | Written | 1 | 1 | 1 | 8 | 8 | 60 | \$480 | \$96 | \$384 | 0 |
| REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET | | | | | | | | | | | | |
| IV.B.(1) | Repowering Assistance Program Application | RD 9004-1 | 10 | 1 | 10 | 24 | 240 | 60 | \$14,400 | \$2,880 | \$11,520 | 0 |
| IV.B.(2) | Repowering Assistance Program Agreement | RD 9004-2 | 8 | 1 | 8 | 1 | 8 | 60 | \$480 | \$96 | \$384 | 0 |
| VI.A.(1) | Repowering Assistance Program Payment Request | RD 9004-3 | 8 | 2 | 16 | 3 | 48 | 60 | \$2,880 | \$576 | \$2,304 | 0 |
| IV.B.(3) | Restriction on Lobbying (>\$100,000) | RD Instruction 1940-Q, Exhibit A-1 | 10 | 1 | 10 | 0.5 | 5 | 60 | \$300 | \$60 | \$240 | 0 |
| IV.B.(4) | Equal Opportunity Agreement | RD 400-1 | 10 | 1 | 10 | 0.5 | 5 | 60 | \$300 | \$60 | \$240 | 0 |
| IV.B.(5) | Assurance Agreement | RD 400-4 | 10 | 1 | 10 | 0.5 | 5 | 60 | \$300 | \$60 | \$240 | 0 |
| IV.B.(6) | Request for Environmental Information | RD 1940-20 | 8 | | | | | | | | | |
| IV.B.(7)(i) | Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Trans. | AD-1047 | 10 | 1 | 10 | 0.5 | 5 | 60 | \$300 | \$60 | \$240 | 0 |
| IV.B.(7)(ii) | Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lowered Tier Covered Transactions | AD- 1048 | 10 | 1 | 10 | 0.5 | 5 | 60 | \$300 | \$60 | \$240 | 0 |
| FORMS APPROVED UNDER OTHER OMB NUMBERS | | | | | | | | | | | | |
| IV.B.(8) | Disclosure of Lobbying Activities | SF LLL (0348-0046) | 8 | 1 | 8 | 1 | 8 | 60 | \$480 | \$96 | \$384 | 0 |
| RECORDKEEPING REQUIREMENTS | | | | | | | | | | | | |
| VI.A.3. and 4. | General records (including payment eligibility records) | | 8 | 1 | 8 | 2 | 16 | 60 | \$960 | \$192 | \$768 | 0 |
| Totals | | | 10 | | 111 | | 1,953 | | \$149,180 | \$29,836 | \$119,344 | \$0 |
| Totals over the first 3 years | | | 54 | | 405 | | 6051 | | \$473,198 | | | |
| Average over the first 3 years | | | 18.0 | | 135.0 | | 2017.0 | | \$157,733 | | | |
| | | | Number | | | Percent | | | | | | |
| Total Program Assumption on Number of Applicants, Participating Applicants, and Distribution among applicant type | | | Private individuals | Private not individuals | S/L gov/Tribes | Private individuals | Private not individuals | S/L gov/Tribes | | | | |
| Number of applicants | | | 10 | 2 | 8 | 0 | 20.00% | 80.00% | 0 | | | |
| Number of participating biorefineries | | | 8 | 2 | 6 | 0 | 20.00% | 80.00% | 0 | | | |
| FOOTNOTES: | | | | | | | | | | | | |
| The estimated number of applicants is 10, and 8 are judged to be eligible for participation in the program | | | | | | | | | | | | |
| Eligible applicants submit two requests for payment each year | | | | | | | | | | | | |

Section 9004 NOFA - Year 2

| Section of Notice | Title | Form No. (if Any) | Est. No. of Respondents | Reports Filed Annually | Total Annual Responses (D) x (E) | Est. No. of Man hours Per Response | Est. Total Man-hours (F) x (G) | Wage Class | Total Cost (H) x (I) | Private individuals | Private not individuals | S/L gov/Tribes |
|---|--|------------------------------------|-------------------------|------------------------|----------------------------------|------------------------------------|--------------------------------|------------|----------------------|---------------------|-------------------------|----------------|
| REPORTING REQUIREMENTS - NO FORMS | | | | | | | | | | | | |
| III.B.(8) | Feasibility Study | Written | 10 | 1 | 10 | 160 | 1,600 | 82.40 | \$131,840 | \$26,368 | \$105,472 | 0 |
| VII.B.(1) | Appeals | Written | 1 | 1 | 1 | 8 | 8 | 61.80 | \$494 | \$99 | \$396 | 0 |
| REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET | | | | | | | | | | | | |
| IV.B.(1) | Repowering Assistance Program Application | RD 9004-1 | 10 | 1 | 10 | 24 | 240 | 61.80 | \$14,832 | \$2,966 | \$11,866 | 0 |
| IV.B.(2) | Repowering Assistance Program Agreement | RD 9004-2 | 8 | 1 | 8 | 1 | 8 | 61.80 | \$494 | \$99 | \$396 | 0 |
| VI.A.(1) | Repowering Assistance Program Payment Request | RD 9004-3 | 16 | 2 | 32 | 3 | 96 | 61.80 | \$5,933 | \$1,187 | \$4,746 | 0 |
| IV.B.(3) | Restriction on Lobbying (>\$100,000) | RD Instruction 1940-Q, Exhibit A-1 | 10 | 1 | 10 | 0.5 | 5 | 61.80 | \$309 | \$62 | \$247 | 0 |
| IV.B.(4) | Equal Opportunity Agreement | RD 400-1 | 10 | 1 | 10 | 0.5 | 5 | 61.80 | \$309 | \$62 | \$247 | 0 |
| IV.B.(5) | Assurance Agreement | RD 400-4 | 10 | 1 | 10 | 0.5 | 5 | 61.80 | \$309 | \$62 | \$247 | 0 |
| IV.B.(6) | Request for Environmental Information | RD 1940-20 | 8 | | | | | | | | | |
| IV.B.(7)(i) | Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Trans. | AD-1047 | 10 | 1 | 10 | 0.5 | 5 | 61.80 | \$309 | \$62 | \$247 | 0 |
| IV.B.(7)(ii) | Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lowered Tier Covered Transactions | AD- 1048 | 10 | 1 | 10 | 0.5 | 5 | 61.80 | \$309 | \$62 | \$247 | 0 |
| FORMS APPROVED UNDER OTHER OMB NUMBERS | | | | | | | | | | | | |
| IV.B.(8) | Disclosure of Lobbying Activities | SF LLL (0348-0046) | 8 | 1 | 8 | 1 | 8 | 61.80 | \$494 | \$99 | \$396 | 0 |
| RECORDKEEPING REQUIREMENTS | | | | | | | | | | | | |
| VI.A.3. and 4. | General records (including payment eligibility records) | | 16 | 1 | 16 | 2 | 32 | 61.80 | \$1,978 | \$396 | \$1,582 | 0 |
| Totals | | | 18 | | 135 | | 2,017 | | \$157,611 | \$31,522 | \$126,088 | \$0 |

| Total Program Assumption on Number of Applicants, Participating Applicants, and Distribution among applicant type | Private individuals | Number Private not individuals | S/L gov/Tribes | Private individuals | Percent Private not individuals | S/L gov/Tribes |
|---|---------------------|--------------------------------|----------------|---------------------|---------------------------------|----------------|
| Number of applicants | 10 | 2 | 8 | 0 | 20.00% | 80.00% |
| Number of participating biorefineries | 8 | 2 | 6 | 0 | 20.00% | 80.00% |

FOOTNOTES:

The estimated number of applicants is 10, and 8 are judged to be eligible for participation in the program. In year 2 there are a total of 16 participants Eligible applicants submit two requests for payment each year

Section 9004 NOFA - Year 3

| Section of Notice | Title | Form No. (if Any) | Est. No. of Respondents | Reports Filed Annually | Total Annual Responses (D) x (E) | Est. No. of Man hours Per Response | Est. Total Man-hours (F) x (G) | Wage Class | Total Cost (H) x (I) | Private individuals | Private not individuals | S/L gov/Tribes |
|---|--|------------------------------------|-------------------------|------------------------|----------------------------------|------------------------------------|--------------------------------|------------|----------------------|---------------------|-------------------------|----------------|
| REPORTING REQUIREMENTS - NO FORMS | | | | | | | | | | | | |
| III.B.(8) | Feasibility Study | Written | 10 | 1 | 10 | 160 | 1,600 | 84.87 | \$135,792 | \$27,158 | \$108,634 | 0 |
| VII.B.(1) | Appeals | Written | 1 | 1 | 1 | 8 | 8 | 63.65 | \$509 | \$102 | \$407 | 0 |
| REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET | | | | | | | | | | | | |
| IV.B.(1) | Repowering Assistance Program Application | RD 9004-1 | 10 | 1 | 10 | 24 | 240 | 63.65 | \$15,276 | \$3,055 | \$12,221 | 0 |
| IV.B.(2) | Repowering Assistance Program Agreement | RD 9004-2 | 8 | 1 | 8 | 1 | 8 | 63.65 | \$509 | \$102 | \$407 | 0 |
| VI.A.(1) | Repowering Assistance Program Payment Request | RD 9004-3 | 24 | 2 | 48 | 3 | 144 | 63.65 | \$9,166 | \$1,833 | \$7,332 | 0 |
| IV.B.(3) | Restriction on Lobbying (>\$100,000) | RD Instruction 1940-Q, Exhibit A-1 | 10 | 1 | 10 | 0.5 | 5 | 63.65 | \$318 | \$64 | \$255 | 0 |
| IV.B.(4) | Equal Opportunity Agreement | RD 400-1 | 10 | 1 | 10 | 0.5 | 5 | 63.65 | \$318 | \$64 | \$255 | 0 |
| IV.B.(5) | Assurance Agreement | RD 400-4 | 10 | 1 | 10 | 0.5 | 5 | 63.65 | \$318 | \$64 | \$255 | 0 |
| IV.B.(6) | Request for Environmental Information | RD 1940-20 | 8 | | | | | 63.65 | | | | |
| IV.B.(7)(i) | Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Trans. | AD-1047 | 10 | 1 | 10 | 0.5 | 5 | 63.65 | \$318 | \$64 | \$255 | 0 |
| IV.B.(7)(ii) | Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lowered Tier Covered Transactions | AD- 1048 | 10 | 1 | 10 | 0.5 | 5 | 63.65 | \$318 | \$64 | \$255 | 0 |
| FORMS APPROVED UNDER OTHER OMB NUMBERS | | | | | | | | | | | | |
| IV.B.(8) | Disclosure of Lobbying Activities | SF LLL (0348-0046) | 8 | 1 | 8 | 1 | 8 | 63.65 | \$509 | \$102 | \$407 | 0 |
| RECORDKEEPING REQUIREMENTS | | | | | | | | | | | | |
| VI.A.3. and 4. | General records (including payment eligibility records) | | 24 | 1 | 24 | 2 | 48 | 63.65 | \$3,055 | \$611 | \$2,444 | 0 |
| Totals | | | 26 | | 159 | | 2,081 | | \$166,408 | \$33,282 | \$133,126 | \$0 |

| Total Program Assumption on Number of Applicants, Participating Applicants, and Distribution among applicant type | Private individuals | Number Private not individuals | S/L gov/Tribes | Private individuals | Percent Private not individuals | S/L gov/Tribes |
|---|---------------------|--------------------------------|----------------|---------------------|---------------------------------|----------------|
| Number of applicants | 10 | 2 | 8 | 0 | 20.00% | 80.00% |
| Number of participating biorefineries | 8 | 2 | 6 | 0 | 20.00% | 80.00% |

FOOTNOTES:

The estimated number of applicants is 10, and 8 are judged to be eligible for participation in the program. In year 3 there are a total of 24 participants Eligible applicants submit two requests for payment each year

**Burden Estimate for Section 9044 NOFA - Repowering Assistance Program
Estimated Cost to Federal Government**

| Activity | Applicants | Hours | Rate* | Cost |
|--|-------------------|--------------|--------------|-------------|
| Acknowledge and review application | 30 | 8 | \$41.00 | \$9,840 |
| Evaluate and score application | 30 | 16 | \$41.00 | \$19,680 |
| Inform applicants of selection results | 30 | 2 | \$41.00 | \$2,460 |
| Legal document preparation | 24 | 8 | \$41.00 | \$7,872 |
| Review payment requests and issue payments** | 96 | 4 | \$41.00 | \$15,744 |
| Monitoring & review of records | 24 | 16 | \$41.00 | \$15,744 |
| Administrative | 24 | 40 | \$41.00 | \$39,360 |
| | | | | \$110,700 |

*based on 2009 GS Grade 12, step 6 (DC location)

**16 in yr 1; 32 in yr 2; 48 in yr 3.