OHSR RESPONSE TO REQUEST FOR REVIEW OF RESEARCH ACTIVITY INVOLVING HUMAN SUBJECTS

FAX	X :			EX	empt: #:	4095
To:	Bright, Mary Anne	Э				
	NCI					
	Building 6116 - 6	116 Executive Bou	levard, 3049			
Fro	m: Office of Human	Subjects Research	(OHSR)			
TI C in be	ancer Information Servi cluding assessing the e ehavior of individuals se	is: 1. Customer ser ice (CIS) in order to extent by which the eeking NCI's smok	rvice and demographic oproperly plan, implement CIS impacts under sering cessation assistance and track their smooth	ent, and evaluate ved populations; 2 e in order to provi	cancer educ 2. Smoking/t de smoking	cation efforts, obacco use
Original Request Received in OHSR on: 5/18/2009						
Responsible NIH Research Investigator(s): Mary Anne Bright, NCI						
OH	ISR review of your requ	iest dated Fri, May	15, 2009 has determin	ed that:		
\boxtimes	Federal regulations for activity. No further a		f human subjects do no	t apply to above n	amed	
		ISR OF ANY SIGI	d has been entered in t NIFICANT CHANGES T CH ACTIVITY.			
		no may ask you to	RB review. Please forw provide additional inforr iew is appropriate.		o the	
	Confidentiality Agree	ment				
	Reliance					
	Amendment					
	Other					
No	te:		Office P	erson SPC	Admin Assi	ist. CB
Si Do	narlotte Hølden, JD gnature mestic/International:		Acting Director, OHSR Title		<u>5/27/200</u> Date	9
	omestic			OHSR Use Only	1	
	man Subjects Data: Ye			□1 □2 □		5 □6
HIC	logic Material: No	()				

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REQUEST FOR REVIEW OF RESEARCH ACTIVITY INVOLVING HUMAN SUBJECTS_

INSTRUCTIONS: Please type directly on this form. You can expand the document if you need more space. If your research involves a survey or questionnaire, please attach it to this completed form.

Completed forms (with all required signatures) may be sent to OHSR by FAX (301-402-3443) or by mail (2C146). If you have any questions, call OHSR at (301) 402-3444.

Date:May 15, 2009
To: OFFICE OF HUMAN SUBJECTS RESEARCH, Building 10, Room 2C-146
From: Many Cesse Treats
(Signature)
Through: (Signature of appropriate Official for IC, e.g., Lab/Branch Chief)
Name of NIH Principal Investigator(s):Mary Anne Bright
ICNCI Laboratory/BranchOffice of Public Information and Resource Management, Office of Communications and Education
Building & Room No6116/3049_ Tel. No302-594-9048 FAX No301-402-0555
Is the Principal investigator an NIH employee? _X_YesNo
If no, please explain:
1. What is the proposed research activity that you intend to perform at NIH (please use lay terms): _The NCI currently collects: 1. Customer service and demographic information from clients who contact NCI's Cancer Information Service (CIS) in order to properly plan, implement, and evaluate cancer education efforts, including assessing the extent by which the CIS impacts underserved populations; 2. Smoking/tobacco use behavior of individuals seeking NCI's smoking cessation assistance in order to provide smoking cessation services tailored to the individual client's needs and track their smoking behavior at follow up

2. If applicable, list your non-NIH Collaborating Investigator(s). Name Institution Address Tel. # FAX # 3. Proposed start date of your research __September 30, 2009____ Proposed completion date September 30, 2012 4. Will you be _____ these samples or data? Collecting Yes/No Receiving Yes/No Sending Yes/No 5. Do the samples or data: (a) Already exist?_X_Yes ____No (b) Or are they being collected for the express purpose of this study? X Yes No If "yes," please describe: (c) Or a combination of (a) and (b)? X Yes No 6. What role will you have in this research project? (Check all that apply) X Analyze samples/data only. ____ Consultant/advisor to collaborator(s) listed above. Author of the protocol that is being implemented by your collaborating investigator (identified in question #2). ____ Co-authorship on publication(s)/manuscript(s) pertaining to this research. You or NIH hold an IND for this research. Decisional authority over the design or implementation of the research at the IRB approved site? If so, please explain.

e the subjects are located th	•		
	•		

9. What kind of human samples (e.g., tissue, blood) or data (e.g., private information, responses to questionnaires) will be involved in your research? Responses to customer service and demographic questions.
10. If the samples, data do not come from an IRB approved protocol, do they come from:
(a) RepositoryYes _x No
(b) Pathological waste YesxNo
(c) Autopsy material Yes _x No
(d) Publicly available source _x_Yes No
(e) OtherIndividuals who respond to demographic and customer service questions
11. Please check the box(es) that apply(ies) to the samples/data that you will receive.
(a) _X_ Samples and/or data will be anonymized/unlinked. (The samples/data cannot be linked to individual subjects by you or your collaborators at other sites.)
(b) Samples and/or data will be coded, however that code cannot be used by either the sender or the receiver to identify specific individuals.
(c) Samples and/or data will be coded so that the provider of the samples/data can link them to specific individuals but the receiver will not be able to do so.
12. Will you send results back to the provider(s) (listed in question 2 of this form)?
(a) X No, I will not send results back to the provider(s).
(b) Yes, I will send aggregate results to the provider(s).
(c) Yes, I will send results to the provider(s) that are linked to identifiable individuals. If yes, does the provider intend to link your data to identifiable individuals? Yes No

an Institutional Review Board (IRB) elsewhere? Yes, the NIH research activity has been reviewed by the following IRB (s) (Please provide the following information for each IRB): Name of institution that provided the review Address of reviewing institution Name of PI for the IRB approved protocol Title of IRB approved protocol and protocol # Federal Wide Assurance (FWA) number** No IRB review of the research activity described in question #1 above has taken place (**An FWA is a contract between the U.S. Department of Health and Human Services (DHHS) and an entity receiving DHHS funds to conduct clinical research that the latter will follow ethical guidelines and federal regulations for the protection of human subjects. For a list of domestic and international institutions go to http://ohrp.cit.nih.gov/search/asearch.asp#ASUR 14. Per NIH guidance***, have conflicts of interest by NIH employees, if any, been resolved? __X__Yes ____No If your answer is no, please see your Clinical Director about this matter before proceeding with this research. ***The January 5, 2005 NIH Guide to Preventing Conflict of Interest applies to all research conducted at NIH, http://ohsr.od.nih.gov/New/mpafwa docs.html

13. Has the research activity that you are proposing in this form been approved by



National Institutes of Health Bethesda, Maryland 20892 www.nih.gov

DATE:

May 1, 2009

TO:

Mary Anne Bright, Associate Director

Office of Communications and Education, OPIRM, NCI

FROM:

NIH Privacy Act Officer

SUBJECT:

Applicability of the Privacy Act: Cancer Information Service (CIS)

I have reviewed the NCI submission to OMB requesting approval for the revision to "NCI Cancer Information Service (CIS) on Demographic and Smoking/Tobacco Use" (OMB No. 0925-0208) and have determined that the Privacy Act will apply to this data collection.

Although the proposed methodologies will require the collection of personal information, data will not be retrieved by personal identifiers except when information is to be sent or clients have agreed to smoking cessation call backs. In these cases, personally identifiable information will be collected and retrieved by name, mailing address, e-mail address, and/or telephone number. Raw data from data collections that include personal information will not be retained once the data have been aggregated.

Three data collection efforts will be conducted: (1) a survey of a sample of CIS Telephone Clients; (2) a survey of a sample of Quitline Smoking Cessation Clients; and (3) a survey of a sample of LiveHelp Clients. The evaluation results will help CIS improve its program, products, and services.

The data collection is covered by NIH Privacy Act Systems of Record 09-25-0156, "Records of Participants in Programs and Respondents in Surveys Used to Evaluate Programs of the Public Health Service, HHS/PHS/NIH/OD".

If you have any questions, please contact my office at (301) 496-2832.

Karen M. Plá

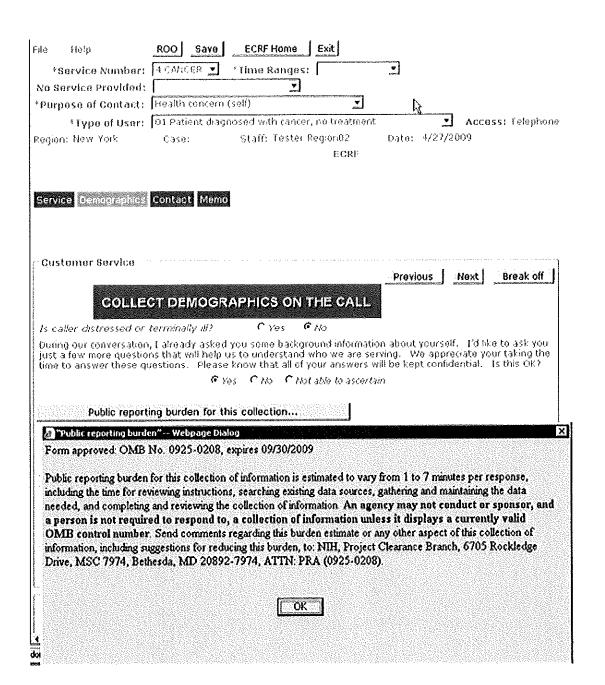
Muen m. Pla

Attachment

APPENDIX 2 A

DATA COLLECTION INSTRUMENTS AND ELECTRONIC CONTACT RECORD FORM (ECRF)

TELEPHONE QUESTIONS (CUSTOMER SERVICE AND DEMOGRAPHICS QUESTIONS)



How did you find our number to call?	v 1
What is your home ZIP code? 20850	T
Promotion?	
Demographics	
What is your age?	44
Are you female or male?	2 Male 2
Which of these categories best describes you?	100 T Hispanic or Latino 100 T
1 am going to read another set of categories. Which of these categories best describes you?	Black or African American 300
What is the highest level of education you have completed? (read categories 1-6)	05 College graduate 05
Is there a place you usually go to when you need advice about your health?	are sick or YES 01
What kind of place do you go most often?	A doctor's office 01
In the last 12 months, did you have any kin- care coverage, including health insurance, p plans such as HMOs, or government plans s Medicare?	repaid VEC 01
Would you say you had this coverage during months or less than 12 months?	3 all 12 All 12 months 01
Which type of coverage did you have	
11	ate, such as an HMO, Blue Cross, Kaiser, Aetna? 02
to stress again that all of the information yo	
What was your total household income from before taxes last year? Just stop me when right category.	all sources 1 get to the \$80,000 or more 07 ▼
Including yourself, how many people living in household are supported by this total house income?	
4	
No promo found	✓ Trusted sites 1 100% →

APPENDIX 2 D

DATA COLLECTION INSTRUMENTS AND ELECTRONIC CONTACT RECORD FORM (ECRF)

PROACTIVE SMOKING CESSATION "QUITLINE" QUESTION

OMB No. 0925-0208 Expiry Date: 9/30/2012

SMOKING CESSATION (QUITLINE) PROACTIVE CALLBACK SERVICE CLIENTS

Burden statement:

Public reporting burden for this collection of information is estimated to average 1 minute per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0208). Do not return the completed form to this address.

Proactive smoking cessation callers are asked:

When was the last time you smoked a cigarette, even a puff?

APPENDIX 2 C

DATA COLLECTION INSTRUMENTS AND ELECTRONIC CONTACT RECORD FORM (ECRF)

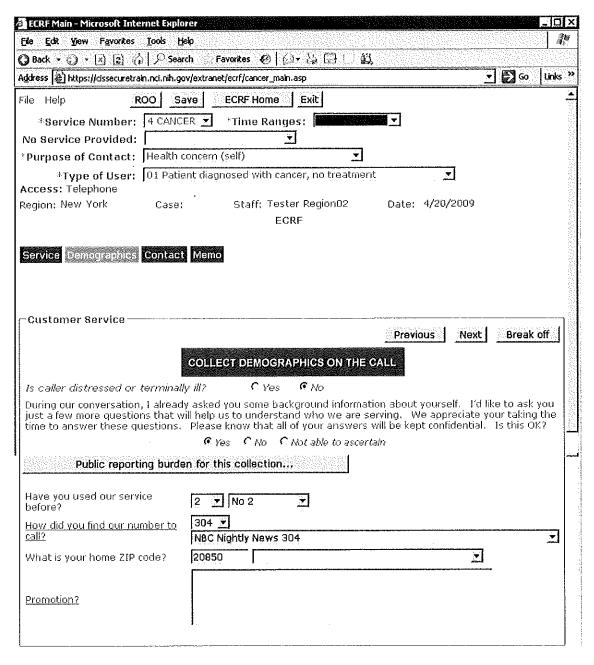
REACTIVE SMOKING CESSATION "QUITLINE" QUESTIONS

SMOKING CESSATION "QUITLINE" REACTIVE DEMOGRAPHIC AND INTAKE QUESTIONS

OMB No. 0925-0208 Expiry Date: 9/30/2012

Example of burden statement in screen shot below:

Public reporting burden for this collection of information is estimated to average 7 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0208). Do not return the completed form to this address.



Current Smoking Cessation Intake Questions – Background Component

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No Service Provided:	J						
*Purpose of Contact:		⊥					
*Type of User:	30 Cigarette sr	noker-help to Quit	▼ Access:	Telephone			
Region: New York	Case:	Staff; Tester	Region02	Date: 3/2	23/2009		
		Smoking C	essation				
Background Dependen			Street Carries	Demograp	siae Marsa		
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Avg. Number of cigare	ttes smoked eac	h day?				_	
Age?		ſ			▼ TIP		
Sex?		Ĩ	~				
Notes		•					
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Current Smoking Cessation Intake Questions – Dependency Component

Smoking	Cessation	ا
Background Dependency Motivation Interventions	Contact Service Demographics Memo	
Dependency	Previous Next Break off	
Age when starting smoking cigarettes regularly? First cigarette of the day after awakening? In life, number of quit attempts for 1 day or longer? Duration (beyond a day) of successful quitting?	Minutes ▼ Days ▼	
In past year, number of quit attempts? Longest time quit?	Days 🔻	

Symptoms experienced after quitting?	Feeling irritable, angry Mood swings, depress Nervous, anxious, jum Trouble sleeping, nigh Has not tried to quit b Weight gain Finces Tired, fatigued Feeling ill/sick/nausea Headache F Chest p Stress F Unable to c Dizzy/lightheaded F	sed, down, or blue upy IT Cravings tmares, dreams sefore (1 st quit attempt) ased appetite or hunger /general malaise pain, shortness of breath
Triggers?	□ Alcohol □ Anxiety □ Fatigue □ Coffee □ Driving □ Phone calls	「Sadness 「Stress 「After Meals 「Work breaks 「Watching TV 「Other
Used medication in the past?	Patches Polacrilex Gums Lozenges C No Inhalers	
Is medication to help quit a consideration this time?	Patches Polacrilex Gums Lozenges Inhalers	
Notes		<u>A</u>
	Previou	s Next Break off

Current Smoking Cessation Intake Questions – Motivation Component

	Smoking Cessation	
Background Dependency Motivation Inte	rventions Contact Service Demographics Memo	
Notivation	Previous Next Break off	
Most important reason for wanting to quit	? Family or friends	
Secondary Reason?	To save money	
Person most likely to positively influence effort to quit?	Your spouse	
Others in the household currently using tobacco products (one or more)?	Your spouse Your domestic partner/significant other Your friend Your parent T Chewing T Snuff T Pipes Cigars T Cigarettes	
Notes		To Committee and
1	<u></u>	

Current Smoking Cessation Intake Questions – Intervention Component

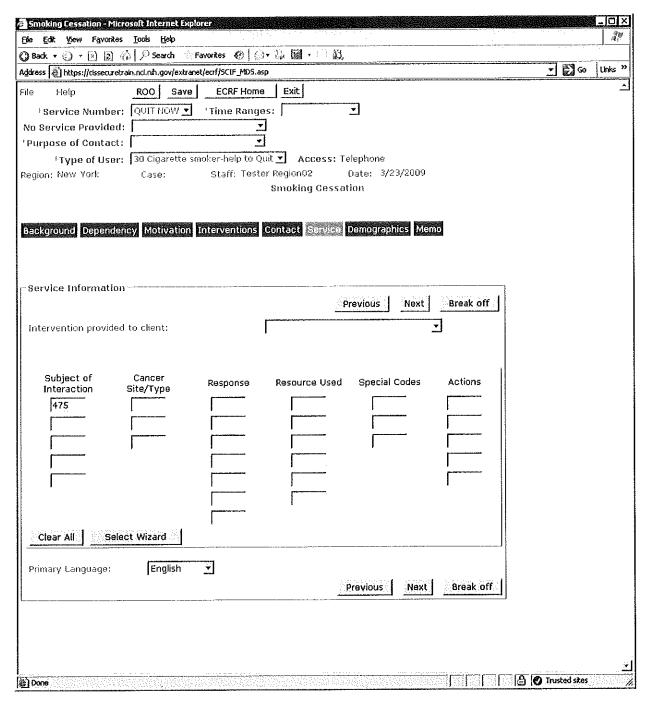
	Smoking Cessation
Background Dependency Motivation Intervention	ns Contact Service Demographics Memo
Intervention	Previous Next Break off
Interest in quitting within next 30 days?	Yes •
Confidence in ability to quit within the next 30 days?	Not confident at all 🔻 TIP
Quit Date?	03/25/2009 🗊 mm/dd/yyyy
Nates	
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Current Smoking Cessation Intake Questions - Callback Component

(May we contact you in the future to see how you're doing and offer additional assistance?)

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Address (a) https://cissecuretra	n.nci.nih.gov/extranet/ecrf/SCIF_MOS.asp	▼ 🔂 Go Links "
File Help	ROO Save ECRF Home Exit	لث ا
[†] Service Number:	QUIT NOW T 'Time Ranges:	
No Service Provided:		
*Purpose of Contact:		
	30 Cigarette smoker-help to Quit	
Region: New York	Case: Staff: Tester Region02 Date: 3/23/2009 Smoking Cessation	
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Background Dependent	Motivation Interventions Contact Service Demographics Memo	
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Contact —		
	Previous Next Break off	
Name (first/m/last)		
Organization:		
Address 1:		
Address 2:		
Address 3:		
city:		
County:		
State:		
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Current Smoking Cessation Intake Questions – Customer Service Component



Current Smoking Cessation Intake and Follow-up Questions – Demographics Component

Demographics	
What is your age?	S5
Are you female or male?	1 ▼ Female 1 ▼
Which of these categories best describes you?	200 Not Hispanic or Latino 200 V
I am going to read another set of categories. Which of these categories best describes you?	American Indian or Alaska Native 100 Asian 200 Black or African American 300 Native Hawaiian or Other Pacific Islander 400 White 500
What is the highest level of education you have completed? (read categories 1-6)	04 ▼ Some college 04 ▼
Is there a place you usually go to when you need advice about your health?	are sick or YES 01
What kind of place do you go most often?	A clinic, health center, or hospital clinic 02 💌
In the last 12 months, did you have any kind care coverage, including health insurance, pr plans such as HMOs, or government plans su Medicare?	repaid Ves ns 🔻
Would you say you had this coverage during months or less than 12 months?	all 12 All 12 months 01 ▼
Which type of coverage did you have	
	poth public and private? 03
'What was your total household income from all source before taxes last year? Just stop me when I get to th right category.	\$40,000 to \$59,000 05 <u>-</u>
Including yourself, how many people living in your household are supported by this total household income?	5 NUMBER OF PEOPLE
Is there anything else	Previous Next Break off

APPENDIX 2 B

DATA COLLECTION INSTRUMENTS AND ELECTRONIC CONTACT RECORD FORM (ECRF)

LIVEHELP QUESTIONS

LiveHelp Point of Access - Information Specialist Screen

ſ	File Help	ROO Save	ECRF Home	Exit			۵
	No Service Provid	ied:]			
l	Purpose of Conta	act: Health concern	n (self)	<u> </u>			
l	*Type of U	ser: 01 Patient dia	gnosed with can	cer, no treatment		Access: LiveHelp	
l	Region: New York	Case:	Staff; Test	er Region02	Date: 4/27/2009	9	
l			Electr	onic Contact Re	cerd Form		
	Contact	Memo					
	STATE OF THE PERSON NAMED IN COLUMN 1	PECANONICAL CONTRACTOR					
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Ouring our conversation, I already asked you some background information about yourself. I'd like to just a few more questions that will help us to understand who we are serving. We appreciate your table to answer these questions. Please know that all of your answers will be kept confidential. Is the					te vour taking the T		
				No C Not able t			
١		Publi	c reporting burd	en for this collection	on		

"Public reporting burden" -- Webpage Dialog

X

Form approved: OMB No. 0925-0208, expires 09/30/2009

Public reporting burden for this collection of information is estimated to average 2 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0208).

OK)

-	Have you used our service before? 2 ▼ No 2 ▼	A. T. A. C.
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١		- 1

7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0208).

https://assecuredev.tmbps.com/demo/public_burden_livehelp.asp

<u>...</u> Please Answer These Questions Thank you for responding to our questions. For information about the confidentiality of your responses, click here. Windows Internet Explore Q: How can I be certain that the information I provide is confidential? A: The Cancer Information Service is a confidential program. We will not obtain personal information about you unless you provide such information to us. If you identify yourself by sending an e-mail or ordering publications, we use this information to respond to your request and improve our customer service. Names and addresses of people ordering publications are kept in our publications fulfillment system for 3 months in order to follow up on orders, if necessary. They are then deleted from the system. OK To read more about this data collection, click here. "Public reporting burden" — Webpage Dialog itips://cissecuredev.lmbps.com/demo/public_burden_livehelp.asp Public reporting burden for this collection of information is estimated to average 2 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC

Internet

OK

Form approved: OMB No. 0925-0208, expires 09/30/2009

[
How did you find our site today?	Relative/friend 💌		
What is your age?	44		
Are you female or male?	Female 🔻		
Which of these categories best describes your ethnic background?	Not Hispanic or Latino ▼		
Which of these categories best describes your racial background? (Select all that apply)	 ✓ American Indian or Alaska Native ☐ Asian ☐ Black or African American ☐ Native Hawaiian or Other Pacific Islander ☐ White 		
What is the highest level of education you have completed?	College graduate 🔻		
What is your home ZIP code? For use by U.S. residents only.	20850		
Is there a place you usually go to when you are sick or need advice about your health?	YES •		
What kind of place do you go most often?	A doctor's office		
In the last 12 months, did you have any kind of health care coverage, including health insurance, prepaid plans such as HMOs, or government plans such as Medicare?	YES •		
Would you say you had this coverage during all 12 months or less than 12 months?	All 12 months 🔻		
Which type of coverage did you have			
Was it private, such as an HMO, Blue Cross, Kaiser, Aetna? ▼			
What was your total household income from all sources before taxes last year?	\$80,000 or more •		
Including yourself, how many people living in your household are supported by this total household income?	24		

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Clear Page Submit

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OHSR (NIH/DDIR)

From: OHSF

OHSR (NIH/DDIR)

Sent:

Monday, May 18, 2009 3:44 PM

To:

Bright, Mary Anne (NIH/NCI) [E]

Subject: Request for Review Rec'd

Good afternoon Ms. Bright,

This email is to verify that OHSR has received your Request for Review of Research and it is currently being processed as OHSR #4695. Please use this number in any future correspondence regarding this study. We will contact you via email if any additional information is needed. If you have not heard from OHSR within 7 business days, please contact us.

OHSR:

Ph: 301.402.3444 Fax: 301.402.3443

Thank you.

Sincerely,

Chris Brentin

Program Support Assistant

OD/OHSR/NIH

10 Center Drive, Rm. 2C-146

Bethesda, MD 20892

301-402-8631 (Direct)

OHSR (NIH/DDIR)

From: Bright, Mary Anne (NIH/NCI) [E]

Sent: Wednesday, May 27, 2009 11:32 AM

To: OHSR (NIH/DDIR)

Subject: RE: BrightM_NCI_4695_CY2009

Good morning.

Yes, this is a service activity and is not research. Thank you for your review. Much appreciated.

Mary Anne

Mary Anne Bright
Associate Director, Office of Public Information and Resource Management
Office of Communications and Education
National Cancer Institute
phone - 301-594-9048
fax - 301-402-0555
brightma@mail.nih.gov

This e-mail message may contain privileged and confidential information intended for the use of the individual(s) or entity named above. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this message or its content is strictly prohibited. If you have received this message in error, please notify the sender immediately and delete or destroy the message without making a copy. Thank you.

From: OHSR (NIH/DDIR)

Sent: Wednesday, May 27, 2009 10:07 AM To: Bright, Mary Anne (NIH/NCI) [E] Subject: BrightM_NCI_4695_CY2009

Good Morning Ms. Bright:

Thank you for the opportunity to review your project to collect customer service and demographic information from clients who contact NCI's Cancer Information Service (CIS) and smoking cessation services tailored to the client's needs. OHSR has one point of clarification. Based on the information you have provided, it appears that this is a service activity; not a research activity. Please confirm this for OHSR records,

Best regards,

Suzanne Pursley-Crotteau, PhD, RN

Office of Human Subjects Research Office of Intramural Research National Institutes of Health Bldg 10 Room 2C146 Bethesda, MD 20892 Office Telephone: 301-402-3444 Office Fax: 301-402-3443