



National Institutes of Health
National Institute of Mental Health
6001 Executive Boulevard
Bethesda, Maryland 20892

March 1, 2007

Kathryn Dowd
Research Triangle Institute
P.O. Box 12194
Research Triangle Park, NC 27709-2194

Dear Ms Dowd:

Enclosed is the amended Confidentiality Certificate protecting the identity of research subjects in your project entitled, "National Survey on Child and Adolescent Well-Being (NSCAW)." Please note that the Certificate expires on September 30, 2012.

Please be sure that the consent form given to research participants accurately states the intended uses of personally identifiable information and the confidentiality protections, including the protection provided by the Certificate of Confidentiality with its limits and exceptions.

If you determine that the research project will not be completed by the expiration date, September 30, 2012, you must submit a written request for an extension of the Certificate three (3) months prior to the expiration date. If you make any changes to the protocol for this study, you should contact me regarding modification of this Certificate. Any requests for modifications of this Certificate must include the reason for the request, documentation of the most recent IRB approval, and the expected date for completion of the research project.

Please advise me of any situation in which the certificate is employed to resist disclosure of information in legal proceedings. Should attorneys for the project wish to discuss the use of the certificate, they may contact the Office of the NIH Legal Advisor, National Institutes of Health, at (301) 496-6043.

Correspondence should be sent to:

Ms. Olga Boikess
Office of Resource Management
National Institute of Mental Health
6001 Executive Boulevard, Room 8102 (MSC 9653)
Bethesda, Maryland 20892-9653
Telephone: (301) 443-3877
Fax: (301) 443-2578

Sincerely,

Olga Boikess

Enclosure

CONFIDENTIALITY CERTIFICATE

MH-ACF-99-01C

issued to

Research Triangle Institute

conducting research known as

“National Survey on Child and Adolescent Well-Being”

In accordance with the provisions of section 301(d) of the Public Health Service Act 42 U.S.C. 241(d), this amended Certificate is issued in response to the request of the new Project Officer, Ms Kathryn Dowd, who is replacing Ms Susan H. Kinsey, to protect the privacy of research subjects by withholding their identities from all persons not connected with this research. Ms Dowd is now primarily responsible for the conduct of this research, which is supported by the Administration for Children, and Families (ACF).

Under the authority vested in the Secretary of Health and Human Services by section 301(d), all persons who:

1. are enrolled in, employed by, or associated with Research Triangle Institute and its contractors or cooperating agencies, and
2. have in the course of their employment or association access to information that would identify individuals who are the subjects of the research pertaining to the project known as “National Survey on Child and Adolescent Well-Being (NSCAW),”

are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research.

This Certificate extends and amends Certificate of Confidentiality MH-ACF-99-01B, “National Survey on Child and Adolescent Well-Being” with new Project Officer, Ms Dowd.

Mandated by Congress in PL104-193, The National Survey of Child and Adolescent Well-Being (NSCAW) is designed to address crucial child welfare program, policy, and practice issues of concern to the federal, state, and local governments, and child welfare agencies.

This longitudinal research examines children and families in the child welfare system by interviewing children, foster parents, parents/guardians, caregivers, and caseworkers. The information collected will aid policy makers to improve the child welfare system.

Page 2 – Confidentiality Certificate

A Certificate of Confidentiality is needed because sensitive information about mental health, substance use, involvement with criminal law and psychological well-being will be collected during the course of the study. The certificate will help researchers avoid involuntary disclosure that could expose subjects or their families to adverse economic, legal, psychological and social consequences.

All subjects will be assigned a coded number and identifying information and records will be kept in locked files.

This research is underway, and is now expected to end on September 30, 2012.

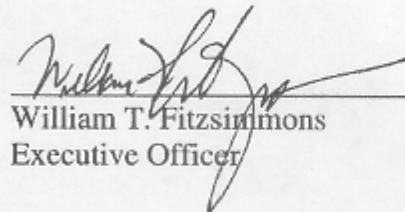
As provided in section 301 (d) of the Public Health Service Act 42 U.S.C. 241(d):

"Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals."

This Certificate does not protect you from being compelled to make disclosures that: (1) have been consented to in writing by the research subject or the subject's legally authorized representative; (2) are required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.) or regulations issued under that Act; or (3) have been requested from a research project funded by NIH or DHHS by authorized representatives of those agencies for the purpose of audit or program review.

This Certificate does not represent an endorsement of the research project by the Department of Health and Human Services. This Certificate is now in effect and will expire on September 30, 2012. The protection afforded by this Confidentiality Certificate is permanent with respect to any individual who participates as a research subject (i.e., about whom the investigator maintains identifying information) during any time the Certificate is in effect.

Date: March 1, 2007



William T. Fitzsimmons
Executive Officer