

## Rule 30e-2 -- Reports to Shareholders of Unit Investment Trusts

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- a. At least semiannually every registered unit investment trust substantially all the assets of which consist of securities issued by a management company must transmit to each shareholder of record (including record holders of periodic payment plan certificates), a report containing all the applicable information and financial statements or their equivalent, required by [Rule 30e-1](#) to be included in reports of the management company for the same fiscal period. Each of these reports must be transmitted within the period allowed the management company by Rule 30e-1 for transmitting reports to its shareholders.
  - b. Any report required by this section will be considered transmitted to a shareholder of record if the unit investment trust satisfies the conditions set forth in [Rule 30d-1\(f\)](#) with respect to that shareholder.
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### Regulatory History

Rule N-30D-2, 6 FR 74, Jan. 4, 1941; 61 FR 24652, 24657, May 15, 1996; 64 FR 62540, 62547, Nov. 16, 1999; redesignated and amended at 66 FR 3734, 3759, Jan. 16, 2001