

APPLICATION FOR FEDERAL FISHERIES PERMIT (FFP)

United States Department of Commerce
National Oceanic and Atmospheric Administration
National Marine Fisheries Service (NMFS) , Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, Alaska 99802-1668
Telephone: (800) 304-4846 toll free or (907) 586-7202 Juneau
Fax: (907) 586-7354



NOTICE:

Only persons who are U.S. Citizens are authorized to receive or hold a Federal Fisheries Permit (FFP).

- ◆ All applicants who plan to participate in directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear type other than jig gear, must also complete **Block D**.
- ◆ If ownership of the vessel listed in **Block A** has changed or if this is a permit application for a vessel to which an FFP has never been issued, documentation of vessel ownership must be provided with this application. To demonstrate vessel ownership you must provide:

For United States Coast Guard (USCG) documented vessels, a copy of the USCG Abstract of Title or Certificate of Documentation

For undocumented vessels, a copy of the State of Alaska vessel license or registration.

- ◆ Applicant must sign and date the application in **Block E**. See application instructions for more detailed information.

Indicate the type of request.

New FFP FFP Renewal Amended FFP Surrendered FFP

If this application is submitted to renew, amend, or surrender an existing FFP

Please provide your current FFP number _____

If you are amending your permit, enter the permit number where indicated and fill out all blocks relevant to your processor and activities. Failure to complete all relevant blocks can result in improper permitting.

BLOCK A -- OWNER INFORMATION

1. Owner Name:		2. NMFS Person ID:
3. Business Mailing Address: <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary		4. Managing Company Name (if any):
5. Business Telephone No.:	6. Business Fax No.:	7. Business E-Mail Address (if available)

BLOCK B -- VESSEL INFORMATION

1. Vessel Name:	2. Home Port (city and state):	3. ADF&G Processor Code:
4. Is this vessel a vessel of the United States? <input type="checkbox"/> YES <input type="checkbox"/> NO	5. USCG Documentation No.	6. ADF&G Vessel No.
7. Length Overall (LOA) _____ ft Registered Length _____ ft	8. Gross Tonnage _____ Net Tonnage _____	9. Shaft Horsepower

BLOCK C -- PERMIT INFORMATION

1. **AREA OF OPERATION** Check one or both areas of operation, as appropriate.
 Gulf of Alaska (GOA) Bering Sea and Aleutian Islands Management Area (BSAI)

2. **TYPE OF VESSEL OPERATION**
Indicate below the type(s) of vessel operation you request in the federal groundfish fishery. An FFP may be issued for any combination of catcher vessel, catcher/processor, mothership, and/or tender vessel. In addition, an FFP may also be issued for a support vessel.

Check one or any combination of the following operation types that apply:
 Catcher Vessel Catcher/processor Mothership Tender Vessel (buying station)

A vessel permitted as a catcher vessel, catcher/processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel.

Or check: Support Vessel

A vessel permitted as a support vessel may not conduct activities as a catcher vessel, catcher/processor, mothership,

and/or tender vessel.

3. GEAR TYPES (Catcher vessels and Catcher/processors only)

Check only the gear types used for groundfish fishing:

Trawl Hook-and-line Pots Jig Troll

Harvesting vessels (catcher and catcher/processor vessels) must complete Block D if you plan to participate in the directed fisheries for Pacific cod, pollock and/or Atka mackerel with any gear other than jig gear.

4. GOA INSHORE PROCESSING ENDORSEMENT (vessels under 125 ft. LOA)

Vessels under 125' LOA that wish to process GOA inshore pollock or GOA inshore Pacific Cod must apply for a GOA inshore processing endorsement by checking the box below.

GOA Inshore Processing Endorsement

A vessel with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of GOA pollock and GOA Pacific cod, in the aggregate, during any reporting week.

Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year. See application instructions for further explanation.

BLOCK D -- SPECIES ENDORSEMENTS AND AMENDMENTS

- ◆ Indicate below whether you plan to participate in any of the following four directed fisheries with gear other than jig gear: (1) Pacific cod; (2) pollock; (3) BSAI Atka mackerel; and (4) Aleutian Islands (AI) Atka mackerel Harvest Limit Areas (HLA).
- ◆ See application instructions for information regarding registration requirements for the directed Atka mackerel fisheries inside the HLA in the AI and participation requirements for these fisheries.
- ◆ Your selections will remain valid until you submit a new FFP application removing those endorsements by checking "Remove Endorsement(s)" boxes below.
- ◆ Vessels participating in these fisheries must have a vessel monitoring system (VMS) operable at any time while the directed fishery that the permit is endorsed for is open.
- ◆ See the application instructions for more information on the VMS requirements.

PACIFIC COD	POLLOCK	BSAI ATKA MACKEREL	AI HLA ATKA MACKEREL (Vessels Using Trawl Gear Only)
GEAR TYPE	GEAR TYPE	GEAR TYPE	AREA
<input type="checkbox"/> Trawl	<input type="checkbox"/> Trawl	<input type="checkbox"/> Trawl	<input type="checkbox"/> Statistical Area 542
<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Statistical Area 543
<input type="checkbox"/> Pot	<input type="checkbox"/> Pot	<input type="checkbox"/> Pot	<input type="checkbox"/> Both
<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)	

BLOCK E -- APPLICANT SIGNATURE

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name (please print or type)	Signature	Date
---------------------------------------	-----------	------

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 21 minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et. seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics

Instructions
APPLICATION FOR FEDERAL FISHERIES PERMIT (FFP)

Federal Fisheries Permits are required for all vessels conducting groundfish operations and/or retaining groundfish while targeting non-groundfish in the 3-200 mile zone off Alaska (see § 679.4). This includes vessels fishing for groundfish, vessels processing groundfish, vessels tendering groundfish, and support vessels assisting other groundfish vessels (see 679.4).

Groundfish includes but may not be limited to: pollock, Pacific cod, sablefish, Atka mackerel, rockfish, sharks, skates, sculpins, octopus, squid, and any species of flatfish except Pacific halibut (see § 679.20(a)(2)).

Processing or to process means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting (see yearly specifications under § 679.20(a)(2)).

GENERAL INFORMATION

- ◆ Complete a separate application for each vessel. Application forms and instructions are also available on the NMFS Alaska Region web site at www.fakr.noaa.gov/ram.
- ◆ **Attachment:** A copy of the *USCG Abstract of Title* or *Certificate of Documentation* must be included with this application if ownership of the vessel listed in Block C has changed or if this is an application for a vessel to which an FFP has never been issued.
- ◆ Type or print legibly in ink.
- ◆ Retain a copy of completed application for your records.
- ◆ The original, signed permit must be onboard the vessel.
- ◆ When complete, mail application to:

**NMFS, Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, Alaska 99802-1668**

Or deliver to:

**Federal Building
709 W. 9th Street, Suite 713
Juneau, Alaska 99801**

Or fax to: **907-586-7354**

Applications can be faxed to RAM; however, permits **cannot** and **will not** be faxed back.
If you need assistance in completing this application or need additional information:

Call: (800) 304-4846 (#2) or (907) 586-7202 (#2)

View our website: www.fakr.noaa.gov/ram, or

e-mail your questions: RAM.Alaska@noaa.gov.

SPECIAL HANDLING OF PERMITS

Please allow at least ten working days for your application to be processed. Do not wait until right before an opening to apply for your permit, as you may not receive it on time. Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or corporate account number for express delivery.

If you would like to have your permit sent by a method other than regular mail, please attach a note indicating a method, and follow the appropriate procedure below.

Express Mail. If you would like to have your permit sent to you by U.S. Postal Express Mail, determine from the table below the weight and dimensions of the appropriate documents. Send us an express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope. **NOTE:** If the express mail envelope you send is too small or the postage attached is less than the amount required, your permit and logbook will be sent to you by regular U.S. mail.

Other Express Carriers. If you would like to have your permit sent to you by a private express carrier, e.g., Federal Express, UPS, DHL, etc., submit your account number and name of carrier or a prepaid envelope with the permit application.

LOGBOOKS

Logbooks may be requested:

By mail: Sustainable Fisheries Division
NMFS, AKR
P.O. Box 21668
Juneau, Alaska 99802-1668

By telephone: 800-304-4846 (select option 3) or 907-586-7228

By fax: 907-586-7465.

	Dimensions (inches)	Weight (lb,oz)
Catcher vessel, trawl gear logbook	11 x 12	3.4
Catcher vessel, longline and pot gear logbook	12 x 17	4.0
Catcher/processor, trawl gear logbook	11 x 12	3.0
Catcher/processor, longline and pot gear logbook	12 x 17	3.6
Mothership logbook	11 x 12	3.0

Note: It is important that all blocks are completed and all necessary documents are attached. Failure to answer any of the questions, provide attachments, or to have signatures notarized could result in delays in the processing of your application.

BLOCK A -- OWNER INFORMATION

1. Name. Enter the full name(s) of the owner(s) of the vessel listed in **Block B**.

Note: If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation. The permit MUST be issued to the owner of the vessel, not to operators or lessees.
2. NMFS Person ID.
3. Permanent Business Mailing Address. Indicate whether address is permanent or temporary. Enter your complete **permanent** business mailing address, including street or P.O. Box number, state, and zip code. Your permit will be sent to this address.
4. Temporary Business Mailing Address: Address you want the permit sent if somewhere other than to the permanent address. Include street or P.O. Box number, city, state, and zip code
- 5-7. Business Telephone No., Business Fax No., and Business e-mail Address. Enter the business telephone number and business fax number, including area code, and business e-mail address. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.
8. Managing Company. Enter the name of the company, if other than the owner, that manages the operations of the vessel.

BLOCK B -- VESSEL INFORMATION

1. Enter the complete vessel name as displayed in the official documentation.
2. Enter the home port (city and state) of the vessel as recorded in official documentation.
3. Enter State of Alaska, Department of Fish and Game (ADF&G) Processor Code.
4. Indicate whether this vessel is a vessel of the United States.
5. Enter USCG documentation number (example: 566722).
6. Enter the 5-digit ADF&G vessel registration number (example: 51233).
7. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments.

8. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
9. Enter the shaft horsepower.

BLOCK C -- PERMIT INFORMATION

1. Area of operation. Check one or both areas (GOA or BSAI) to indicate the areas of operation for which you are requesting.
2. Vessel Operation Categories.
A vessel permitted as a catcher vessel, catcher/processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel. A vessel permitted as a support vessel may not conduct activities as a catcher vessel, catcher-processor, mothership, and/or tender vessel.

Check one or a combination of the vessel operation categories in the groundfish fisheries for which you are requesting.

Catcher Vessel - A vessel that is used for catching fish and that does not process fish onboard.

Catcher/Processor - A vessel that is used for catching fish and processing that fish.

Mothership - A vessel that receives and processes groundfish from other vessels.

Tender Vessel (buying station) - A vessel that is used to transport unprocessed fish received from another vessel to a shoreside processor, stationary floating processor, or mothership.

Or check:

Support Vessel - Any vessel that is used in support of a permitted vessel, including, but not limited to, supplying a fishing vessel with water, fuel, provisions, fishing equipment, fish processing equipment or other supplies, or transporting processed fish.

3. Gear Type. If the permit request is for a catcher vessel or catcher/processor, indicate the gear type(s) used for groundfish operations.

4. **GOA Inshore Processing Endorsement**. Vessels under 125' LOA that wish to process GOA inshore pollock or GOA inshore Pacific Cod must apply for a GOA inshore processing endorsement. A vessel with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of pollock and GOA Pacific cod, in the aggregate, during any reporting week. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at (800) 304-4846 (select option #3) or (907) 586-7228. **BLOCK D -- PACIFIC COD, POLLOCK, ATKA MACKEREL FISHERIES**

◆ Endorsements.

Check the appropriate box to indicate that you **would like an endorsement on your FFP** to participate in a directed fishery for Pacific cod, pollock, and/or Atka mackerel with gear other than jig gear. (Once an endorsement is elected, it remains valid until the permit is amended, the permit expires, the permit is revoked, the permit is suspended, or the permit is surrendered).

or

Check to indicate that you would like to **remove an endorsement(s) from your FFP.**

◆ Vessel Monitoring System (VMS)

Vessels participating in these directed fisheries in the EEZ off Alaska are required (see § 679.28(f)) to have onboard an operable VMS transmitter while the directed fisheries for Pacific cod, pollock, and/or Atka mackerel are open, regardless of where the vessel is fishing at the time (including Alaska State waters) or what the vessel is targeting.

◆ Atka mackerel fishery.

Registration. All vessels using trawl gear to conduct directed fishing for Atka mackerel in the Harvest Limit Area (HLA) which is defined as statistical areas 542 and 543, are required to register with NMFS (see § 679.20(a)(8)). To register, the vessel owner or operator must provide information required for an endorsement to the vessel's FFP.

HLA assignment. A semi-annual lottery performed by NMFS Alaska Region (see § 679.20(a)(8)) will randomly assign each vessel to one of two directed fisheries for each statistical area in which the vessel is registered. Each HLA directed fishery within 542 or 543 will be assigned a number of vessels in each of two seasons (A season and B season).

If registered for an Atka mackerel HLA directed fishery, a vessel may not conduct directed fishing for groundfish, other than for Atka mackerel, during the time period that the first Atka mackerel HLA directed fishery to which the vessel is assigned is open.

BLOCK E -- APPLICANT SIGNATURE

The applicant must sign and date the application certifying that all information is true, correct, and complete to the best of his or her knowledge and belief. The application will be considered incomplete without this signature.