

\* PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 1 hour per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

**U.S. DEPARTMENT OF STATE  
DIRECTORATE OF DEFENSE TRADE CONTROLS  
REQUEST FOR ADVISORY OPINION**

\*Transaction Number:

Please note that an Asterisk (\*) next to a field or block in a document designates a mandatory field or block.

**No classified information can be included in this request. Classified information must be sent separately to DDTC in accordance with the Defense Security Service guidelines.**

Classified information is being sent under separate cover

**To open a document, click on a document to highlight it and select the "Open Document" button. The document that you selected will open.**

Required Documents

DS-6001

Open Document

Optional Documents

126.13 Eligibility Letter  
Other Amplifying Documents  
Transaction Exception Request

Open Document

Included Documents

Open Document

DATE RECEIVED: 02/15/2005

CASE NO.

**UNITED STATES OF AMERICA DEPARTMENT OF STATE  
REQUEST FOR ADVISORY OPINION**

<b>* 1. Date Prepared</b> <input type="text" value="02/15/2005"/>	<b>2. DDTC Applicant/Registrant Code</b> <input type="text"/>
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**3. Applicant Name, Address, ZIP Code and Tel. No.**

Subsidiary

\*Name

\*Attention

\*Address

\*City

\* State  \*ZIP Code

\*Telephone #  Ext.

**4. Names, agency and telephone numbers of U.S. Government personnel (not DDTC) familiar with the request**

Name

Agency

Please Specify

Telephone #  Ext

**5. Name and telephone number of applicant contact(s)**

Name

Telephone #  Ext

**\*6. Country(s) of Ultimate Destination**

**\*7. Name, address, role of foreign intermediate(s) and nationality if not of country identified**

None

This foreign intermediate is a

Name

Address

City

Country

Role

Nationality of foreign intermediate

**\*8. Name and address of foreign end-user(s)**

Name

Address

City

Country

Line Item #	*9. Defense Article type	*10. Quantity	*11. Commodity	12. USML Cat. Number
1	<input type="text" value="Defense Article Type"/>  <b>*13. Envisioned transaction type</b> <input type="text" value="Transaction Type"/> If Temporary Import or In Transit, being shipped from <input type="text" value="Country"/>	<input type="text"/>  Unit Type <input type="text"/>	<input type="text"/>	<input type="text" value="*Category"/> <input type="text" value="*Sub"/> <input type="text" value="Commodity Code"/>  <b>14. \$ Value</b> Unit Price <input type="text"/> *Line Item Total <input type="text"/> <input type="button" value="Calculate"/>

<input type="button" value="Add More Items"/> <input type="checkbox"/>	<b>* 15. TOTAL VALUE (Sum of All Pages) \$</b> <input type="text" value="0"/>
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**\*16. Identify highest government security classification of the commodity that is the subject of this request (NOTE: Do not include classified information)**

a. The highest level of U.S. Government Security Classification is

b. If a commodity is produced in a foreign country, is it government classified?  Yes  No If yes, provide details in Block 18.

**\*17. Specific Purpose/End Use of Defense Article(s)**

Request for Prior Approval (22 CFR 126.8)

[View Full Description](#)

**18. Additional Details of Transaction**

[View Full Description](#)

**\* 19. Applicant's statement (see instructions)**

I, , an empowered official (ITAR 120.25) or an official of a foreign government entity in the U.S., hereby request an advisory opinion on the transaction above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of Title 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

- (1) Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:
  - (a) the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or
  - (b) ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;
- (2) To the best of the applicant's knowledge, no party to the export as defined in Section 126.7 (e) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976), or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government; and

**22 CFR 126.13 Certification Mandatory for 22 CFR 126.8 (Select one)**

- I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.
- I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy is attached.
- I am not authorized by the applicant to certify the conditions of 22 CFR 126.13 as listed above. The applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter for such certification.
- I am not authorized by the applicant to certify the conditions of 22 CFR 126.13 as listed above. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A letter of such certification and request for an exception to policy is attached.

Signature

**20. Response to be sent to (Enter name, address and tel. no.)**

*[Block is inactive on electronic form]*

- Same as Block 3     Hold for Pickup

Name

Attention

Address

City

State       Zipcode

Country

Telephone #       Ext.



**Subsidiary Information under Block 3, Page one of DS-6001**

Name			
Attention			
Address			
City			
State	State	Zipcode	
Telephone #		Ext.	