

**THE UNITED STATES OF AMERICA
DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

***APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP
ANTARCTIC MARINE LIVING RESOURCES (AMLR)***

A Vessel Identification Form (VIF) is attached to this application for each vessel seeking a permit to harvest AMLR or transship *Dissostichus* species. A Harvesting Information Form (HIF) describing contemplated fisheries, catch, and operations is attached to this application for each vessel seeking a permit to harvest AMLR.

Complete one.

Harvesting AMLR

Under the provisions of the Antarctic Marine Living Resources Act of 1984, the following person(s)
_____ submit(s) this permit application for
harvesting resources within the area covered by the Convention on the Conservation of Antarctic Marine Living
Resources (CCAMLR).

Is this application for a fishery designated by the Commission for the Conservation of Antarctic Marine Living?
Resources as a: New Fishery _____ Exploratory fishery _____

Transshipping toothfish

Under the provisions of the Antarctic Marine Living Resources Act of 1984, the following person(s)
_____ submit(s) this permit application for
transshipping toothfish species, wherever harvested.

Signature

Submitted by (Firm or individual):

Signature: _____

Title:

Date: _____

Detailed descriptions of the vessels and the methods of operation proposed are attached to this application. Completion of this application is required to obtain a permit to harvest Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Act Convention of 1984 (16 USC 2431 et seq.). No permit will be issued without completion of this application.

Vessel Monitoring Systems Certification Statement For Vessels Engaged in Fishing Activities covered by the Antarctic Marine Living Resources Convention Act

INSTRUCTIONS: This Vessel Monitoring Systems (VMS) Certification Statement for the Vessels Engaged in Fishing Activities covered by the Antarctic Marine Living Resources Convention Act is provided by the National Marine Fisheries Service (NOAA Fisheries) pursuant to the regulatory requirements of 50 C.F.R. § 300. This certification statement is applicable for the VMS providers currently approved for use by NOAA Fisheries.

Installation checklists will be provided and revised if additional VMS providers are approved. Follow the checklist steps indicated by the vendor for the communications service and transmitting unit selected. The vessel owner or operator must follow the installation procedures when installing or re-installing a NOAA Fisheries-approved VMS unit. The vessel owner is responsible for all installation and activation costs. After completion of the installation and activation, the owner may confirm that NOAA Fisheries is receiving position reports by calling NOAA Office of Law Enforcement in Silver Spring, MD, at 301-427-2300, or by sending email to vms@noaa.gov.

The vessel owner must sign the statement certifying compliance with the installation procedures, then submit the certification statement to the NOAA Office of Law Enforcement, 8484 Georgia Avenue, Suite 415, Silver Spring, MD 20910.

Vessel Name: _____ Vessel Doc. Number: _____

VMS Transmitting Unit Manufacturer: _____

VMS Communications Service Provider: _____

Certification:

In accordance with 50 C.F.R. § 300, as the owner of a vessel engaged in fishing activities covered by the Antarctic Marine Living Resources Convention Act, I hereby certify that the VMS system on my vessel has been installed in compliance with applicable procedures.

Vessel Owner Name: _____

Vessel Owner Signature: _____ Date: _____

Under the provisions of the Paperwork Reduction Act of 1995 (PL 104-13) and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested in the Vessel Monitoring System (VMS) certification statement is mandatory for the purpose of managing the Atlantic shark fisheries. The certification statement is used to ensure proper operation of the VMS unit. Reporting burden for the collection of information is estimated to average 4 hours per installation, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. The burden for submission of this certification statement is estimated at 5 minutes per response. Confidentiality of the information provided will be treated in accordance with NOAA Administrative Order 216-100. It is the policy of the National Marine Fisheries Service not to release confidential data, other than in aggregate form, as the Magnuson-Stevens Act protects (in perpetuity) the confidentiality of those submitting data. Whenever data are requested, NMFS ensures the information identifying the pecuniary business activity of a particular individual is not identified. Because you have been provided with a currently valid OMB control number for a collection of information subject to the requirements of the Paperwork Reduction Act, you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Office of Law Enforcement, 8484 Georgia Avenue, Suite 415, Silver Spring, MD 20910.

VESSEL IDENTIFICATION FORM (VIF)

FOR ALL VESSELS

(1) VESSEL NAME: _____

(2) ALL PREVIOUS VESSEL NAMES AND FLAGS (IF KNOWN):

(3) NAME AND ADDRESS OF OWNER:

(4) NAME AND ADDRESS OF BENEFICIAL OWNERS (IF KNOWN):

(5) NAME AND ADDRESS OF LICENCE OWNER (IF DIFFERENT FROM VESSEL OWNER):

(6) U.S. COAST GUARD DOCUMENTATION NUMBER: _____

(7) PORT OF HAIL: _____

(8) VESSEL'S EXTERNAL MARKINGS (ATTACH THREE COLOR PHOTOS):

(9) INTERNATIONAL RADIO CALL SIGN: _____

(10) VESSEL'S INTERNATIONAL MARITIME ORGANIZATION (IMO) NUMBER (IF ISSUED):

(11) VESSEL TYPE: _____

(12) WHERE BUILT: _____

(13) WHEN BUILT: _____

(14) LENGTH: _____

(15) GROSS TONS: _____

(16) MAXIMUM SHAFT OR HORSE POWER: _____

(17) PROCESSING EQUIPMENT: _____

(18) HOLD CAPACITY (IN CUBIC METERS - BALE CAPACITY ONLY):

HOLD #1: _____ HOLD #2: _____ HOLD #3: _____ HOLD #4: _____

(IF MORE THAN 4 HOLDS, GIVE TOTAL HOLD CAPACITY)

(19) OTHER CARRYING CAPACITY (IN TONNES): _____

(20) DETAILS OF THE TAMPER-PROOF ELEMENTS OF THE SATELLITE-LINKED VESSEL MONITORING
DEVICE (WHERE APPLICABLE): _____

(21) PROVIDE LAST AMLR PERMIT NUMBER _____ IF NONE CHECK _____

(22) WILL HARVESTING VESSEL BE SUPPORTED BY OR WORKING WITH A FOREIGN VESSEL? _____

IF YES, PROVIDE:

NAME OF THE VESSEL: _____

FLAG OF THE VESSEL: _____

INTERNATIONAL RADIO CALL SIGN: _____

TYPE OF VESSEL: _____

PERMIT NUMBER: _____

ADDITIONAL INFORMATION FOR VESSELS PARTICIPATING IN A NEW OR EXPLORATORY FISHERY

(1) NAME, ADDRESS OF OPERATOR (IF DIFFERENT FROM VESSEL OWNER):

(2) NAME, NATIONALITY OF MASTER: _____

(3) NAME, NATIONALITY OF FISHING MASTER (WHERE RELEVANT):

(4) VESSEL COMMUNICATION TYPES AND NUMBERS:

(5) NORMAL CREW COMPLEMENT: _____

(6) ICE CLASSIFICATION: _____

VESSEL OWNER'S/APPLICANT'S SIGNATURE

The undersigned acknowledges that he/she is bound by Federal regulations governing the harvest of Antarctic Marine Living Resources in Convention on the Conservation of Antarctic Marine Living Resources (CCAMLR) waters. This permit is valid only so long as the undersigned complies with these applicable regulations.

Knowingly supplying false information for the purpose of obtaining a permit is a violation of Federal law punishable by a fine of up to \$10,000 and/or imprisonment up to 5 years.

Signature: _____ Date: _____

HARVESTING INFORMATION FORM (HIF)

Use the space below (and supplemental sheets as necessary) to list each target species for which an AMLR harvesting permit is requested and to identify for each: the tonnage requested, CCAMLR statistical area to be fished, gear to be used, and type and amount of bycatch anticipated.

CONVENTION ON THE CONSERVATION OF ANTARCTIC
MARINE LIVING RESOURCES (CCAMLR)

INSTRUCTIONS FOR COMPLETING
APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP
ANTARCTIC MARINE LIVING RESOURCES (AMLR)
VESSEL INFORMATION FORM (VIF)
HARVESTING INFORMATION FORM (HIF)

Complete an application and VIF for each vessel for which a permit is requested. Applicants for a harvesting permit should also submit an HIF. Submit these documents at least 90 days before harvesting and/or transshipment activities are to begin. If you are applying to participate in an exploratory fishery, submit an application to the Assistant Administrator no later than four months before the annual meeting of CCAMLR (i.e., no later than July 15 in any given year). This allows time for review, issuance and delivery of the permit. Timely submission will ensure prompt consideration of your request. Incomplete, unsigned, or improperly signed applications will be returned for completion.

Type all materials in English. Complete all items except the space near the top, right hand corner labeled "No." The Government of the United States will assign a number.

Application for Permit to Harvest and/or Transship Marine Living Resources

- (1) **Harvest:** If this is an application to harvest AMLR, please complete the harvesting line.

New or Exploratory Fisheries: If this is an application to initiate a new fishery or participate in an exploratory fishery, please check either the New Fishery or Exploratory box.

New Fishery. A new fishery is defined as a fishery on a species using a particular method in CCAMLR statistical subarea for which: (1) information on distribution, abundance, demography, potential yield and stock identity from comprehensive research/surveys or exploratory fishing has not yet been submitted to CCAMLR; (2) catch and effort data have never been submitted to CCAMLR; or (3) catch and effort data from the two most recent seasons in which fishing has occurred have not been submitted to CCAMLR. If you are applying to initiate a new fishery, submit an application to the Assistant Administrator no later than July 1 of the year in which you are requesting to fish. Your application must be accompanied by information on: (1) the nature of the proposed fishery, including target species, methods of fishing, proposed region, and any minimum level of catches that would be required to develop a viable fishery; (2) biological information from comprehensive research/survey cruises, such a distribution, abundance, demographic data and information on stock identity; (3) details of dependent and associated species and the likelihood of them being affected by the proposed fishery; and (4) information from other fisheries in the region or similar fisheries elsewhere that may assist in the valuation of potential yield. This information should be submitted as a narrative attached to the harvesting vessel application. If you need assistance in providing this information, please contact the National Marine Fisheries Service, Southwest Fisheries Science Center, Antarctic Ecosystem Research Group, P.O. Box 271, LaJolla, California 92038-0271 or phone (858) 546-5601.

Exploratory Fishery: An exploratory fishery is a fishery that was originally a new fishery, but has not yet been fully developed. A fishery will continue to be classified by CCAMLR as an exploratory fishery until sufficient information is available to (1) evaluate the distribution, abundance, and demography of the target species, leading to an estimate of the fishery's potential yield; (2) review the fishery's potential impacts on dependent and associated species; and (3) allow the CCAMLR Scientific Committee to formulate and provide advice to the Commission for the Conservation of Antarctic Marine Living Resources on appropriate harvest catch levels and fishing gear.

- (2) **Transshipment:** If this is an application to transship *Dissostichus* species, whether harvested within or ~~outside CCAMLR~~ waters, please complete the transshipment line.

VESSEL IDENTIFICATION FORM (VIF)

INSTRUCTIONS FOR ALL VESSELS

- (1) **Vessel Name:** Type in the name of the vessel.

- (2) **All Previous Vessel Names and Flags (If Known):** Provide all, or as many, of the previous names and flags of the vessel as known. _____
- (3) **Owner's Name and Address:** Enter the names and mailing addresses of all owners of the vessel. If there is more than one owner, enter the first name and address on the VIF and the other names and addresses on attached pages. "Owner" includes any person, company, or government agency that owns the vessels; any charter, whether bareboat, time or voyage; and any person or company that acts in the capacity of a charter, including but not limited to parties to a management agreement, operations agreement, or any similar agreement that bestows control over the destination, function, or operation of the vessel.
- (4) **Names and Addresses of Beneficial Owners (If Known):** Enter the names and mailing addresses of all beneficial owners of the vessel. If there is more than one beneficial owner, enter the first name and address on the VIF and the other names and addresses on attached pages. "Beneficial owner" includes
- (5) **Name and Address of License Owner (If Different From Vessel Owner):** Enter the names and mailing addresses of all owners of the vessel's license. If there is more than one owner of the license, enter the first name and address on the VIF and the other names and address on attached pages. "License owner" includes
- (6) **U.S. Coast Guard Documentation Number:** Enter the Coast Guard Documentation number of the vessel.

- (7) **Port of Hail:** Enter the port of registration of the vessel.

- (8) **External Markings:** Attach three color photographs of the vessel (a) one photograph not smaller than 12x7 cm showing the starboard side of the vessel displaying its full overall length and complete structural features; (b) one photograph not smaller than 12x7 cm showing the port side of the vessel displaying its full overall length and complete structural features; and (c) one photograph not smaller than 12x7 cm showing the stern taken directly from astern.
- (9) **Call Sign:** Enter the vessel identifying markings permanently affixed to the vessel, normally the international radio call sign. _____
- (10) **Vessel's International Maritime Organization (IMO) Number (If Issued):** Provide the IMO number if one has been issued for the vessel. _____
- (11) **Vessel Type:** Enter one of the following vessel types:

- | | |
|---|--------------------|
| Stern Trawler
(small/0-289 GRT, medium/290-1399 GRT
large/1,400 plus GRT) | Longliner/Pot |
| Side Trawler | Longliner/Gillnet |
| Side Trawler/Purse Seiner | Gillnet |
| Pair Trawler | Troller |
| Danish Seiner | Factory/Mothership |
| Purse Seiner | Cargo/Transport |
| Longliner | Tanker |
| | Patrol/Cargo |

If other than one of the above, specify.

- (12) **Where Built:** Indicate where the vessel was originally built

- (13) **When Built:** Indicate the year the vessel was built.

- (14) **Length:** Enter the vessel's overall length to the nearest meter.

- (15) **Gross Tons:** Enter the registered gross tonnage, GRT, rounded to the nearest metric ton.

- (16) **Maximum Shaft Power or Horse Power:** Enter the vessel's maximum shaft power (kw at...rev/min) or horsepower.
- (17) **Processing Equipment:** List the types of fish processing equipment aboard the vessel such as flash freezer, header, eviscerator, filleter, fish meal plant, fish oil plant, surimi plant, cannery, etc.
- (18) **(Fill out only for a harvesting permit) Hold Capacity:** Enter in cubic meters the hold capacity for each hold or other space (including flash freezers) in which fish or fish products may be stored. Use **bale** capacity only. If there are more than four (4) holds, give the total hold capacity in cubic meters. Otherwise, list the holds individually. Record this information **accurately;** enforcement officials and CCAMLR inspectors to determine the quantity of fish or fish products aboard the vessel use the data.
- (19) **Other Carrying Capacity:** Provide the carrying capacity in tonnes of the vessels' storage capacity exclusive of holds.
- (20) **Details of the Tamper-Proof Elements of the Vessel's Satellite-Linked Vessel Monitoring Device:**
Describe the type and configuration of the vessel-monitoring device installed on the vessel. Note: The device must be located within a sealed unit and be protected by official seals (or mechanisms) of a type that indicate whether the unit has been accessed or tampered with. The unit must be capable of preventing the input or output of false positions and not capable of being over-ridden manually, electronically or otherwise.
- (21) **(Fill out only for a harvesting permit)** Indicate if the vessel has fished in Convention waters in prior years by listing the last permit application number. If the vessel has never applied for a permit, indicate so with a check mark next to the blank marked "none."
- (22) If the permitted vessels will be supporting or working with foreign vessels that are not permitted by the United States, the name of the foreign vessel, the flag of the vessel, the international radio call sign, type of vessel, and permit number.
- (23) In the event the modifications or amendments to this application become necessary, please submit a new application.

INSTRUCTIONS FOR SUPPLYING ADDITIONAL INFORMATION FOR VESSELS PARTICIPATING IN A NEW OR EXPLORATORY FISHERY

- (1) **Name and Address of Operator (If Different from Vessel Owner):** Enter the name and mailing address of the operator of the vessel. _____
- (2) **Name and Nationality of the Master:** Enter the name and nationality of the master (captain) of the vessel. _____
- (3) **Name and Nationality of the Fishing Master:** Enter the name and nationality of the fishing master of the vessel, ~~if the vessel is operating with a fishing master in~~ addition to the master of the vessel.
- (4) **Vessel Communication Types and Numbers:** Indicate the numbers of INMARSAT A, B or C. _____
- (5) **Normal crew complement:** Indicate the normal number of crew on the vessel. _____
- (6) **Ice classification:** Only vessels with a minimum classification standard of ICE-IC will be licensed for fishing in ~~high latitude areas~~ (Subareas 88.1 and 88.2).

Harvesting Information Form (HIF)

- (1) **Target species:** Identify all target species. Categories include: finfish, krill, squid, crab, and other species. If finfish is identified, please specify from the list below which finfish will be harvested.

Antarctic Finfish include:

Humped rockrod (*Gobionotothen gibberifrons*)
Marbled rockrod (*Notothenia rossii*)
Grey rockrod (*Lepidorhirus squamifrons*)
Striped-eyed rockcod (*Lepidonothon kempfi*)
Patagonian toothfish (*Dissostichus eleginoides*)
Antarctic toothfish (*D. mawsoni*)
Lanternfish (*Electrona carlsbergi*)
Patagonian rockrod (*Patagonothen brevicauda guntheri*)
Antarctic silverfish (*Pleuragramma antarcticum*) Antarctic
cods (*Trematomus* spp.)
Blackfin icefish (*Chaenocephalus aceratus*)
Spiny icefish (*Chaenodraco wilsoni*)
Mackerel icefish (*Champscephalus gunnari*)
Ocellated icefish (*Chionodraco rastrospinosus*)
South Georgia icefish (*Pseudochaenichthys georgianus*)

- (2) **Total Tonnage Requested.** For each species, enter the requested amounts in metric tons. If more tonnage is needed after submission of the first application, another application is required to increase the earlier request. If an additional application is not submitted to increase the tonnage from the initial application, you may only harvest the amount initially requested.
- (3) **CCAMLR Statistical Areas:** See enclosed map for designation of CCAMLR areas. Enter the CCAMLR statistical area(s) where each species will be harvested.
- (4) **Gear to be used:** Identify the gear which will be used in harvesting each requested species.
- (5) **Type and amount of bycatch:** Identify the type and amount of bycatch anticipated to be taken in each Statistical Area while harvesting for each requested species and the planned disposition of the bycatch (i.e., whether it is to be retained or discarded).

Completed applications should be sent to the following address:

Assistant Administrator for Fisheries National
Marine Fisheries Service 1335 East-West
Highway
Silver Spring, Maryland 20910
Attention: CCAMLR Permit Office

NMFS manages Antarctic fisheries and the importation of resulting products under the Antarctic Marine Living Resources Convention Act, codified at 16 U.S.C. 2431 et seq. NMFS implements CCAMLR conservation measures by regulations at 50 CFR part 300, subparts A and G. Changes to the existing regulations are necessary to incorporate new conservation measures and facilitate enforcement. Public reporting burden for this collection of information is estimated to average 30 minutes per response in the case of a harvesting permit and 12 minutes in the case of a transshipment permit, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910. Responses to the collection are mandatory. Confidentiality of information collected will be subject to NOAA Administrative Order 216-100, "Confidentiality of Fishery Statistics." Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.