



UNITED STATES DEPARTMENT OF COMMERCE  
**National Oceanic and Atmospheric Administration**  
Southeast Fisheries Science Center  
75 Virginia Beach Dr.  
Miami, Florida 33149

March 1, 2009

...

...

PALACIOS, TX 77465

Dear Permit Owner:

Together with the introduction of the Gulf permit moratorium, the NOAA Fisheries Service started an **Annual Economic Survey of Federal Gulf and S. Atlantic Shrimp Permit Holders**. Each year we will randomly select about 20% of permitted vessels in order to collect data about operating expenses and the costs of owning and maintaining shrimp vessels.

**You have been randomly selected to participate in this year's survey.** Enclosed is a form asking about expenditures you made in 2008 for your vessel "**<<Ves\_Name>>**" with the registration number **<<Ves\_ID>>**. **You must complete and submit this survey in order to be eligible for permit renewal.** We have tried hard to reduce the collection of information to the minimum necessary. Please look at the enclosed material for more details on this survey effort and why we need to collect this data. No vessel will be selected two years in a row.

Please complete the enclosed survey form and return it to us by April 30, 2009. A pre-addressed, postage-paid envelope is enclosed. All information you supply is strictly confidential and will be combined with information from other fishermen to present an overall view of the economic status of the fishery and the problems it faces. A summary of these results will be sent to you once the survey data has been analyzed.

By accurately completing this survey, you will ensure that management decisions are based on correct information about the economic effects of regulations on fishermen. Please print all requested information clearly. A form with incomplete or unclear information cannot be entered into the database and will be returned for clarification. If you have any questions or require help filling out the survey, please contact Christopher Liese at (305) 361-4263.

Thank you very much for your cooperation with this data collection and Good Luck this shrimping season.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Bonnie J. Ponwith".

Bonnie J. Ponwith, Ph. D.,  
Science and Research Director

## **2008 Annual Economic Survey of Federal Gulf and S. Atlantic Shrimp Permit Holders**

Permit owner name:

Permit #:

Vessel name:

Vessel ID:

Even if this vessel was **inactive** in 2008 please complete this survey.

Enter “0” if you did not have any expenses in a category. **Do not leave blank!**

## **Total 2008 Expenses:**

- On this page we would like you to enter the total **financial expenses** (actual dollar payments) you incurred during 2008 for the operation and keeping of the vessel listed above.
  - For each question enter the **sum of all 2008 expenses**.
  - Please **consult the detailed instructions** if you are unsure about any question.

1. Is the owner also the captain of this vessel?  Yes  No

2. Total amount paid to ***hired*** crew and captain(s) of this vessel: \$   ,   ,   .  .00  
(For example: from IRS Form(s) 1099-MISC or equivalent)

3. Is the owner paid a captain's share?  Yes  No

If Yes, total amount of captain's share: \$ \_\_\_\_\_ .00

4. Total amount paid for the fuel used by this vessel in 2008: \$ - , - - - , - - .00

5. a) Estimated average price of fuel in 2008: \$ . . . per gallon

b) Total amount of fuel purchased: \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ gallons

6. Total amount paid for all ***trip related*** supplies or expenses (other than fuel): \$   ,   ,   .  .  00  
(For example: ice, groceries, oil and lubricants, freezing, packaging, and cleaning supplies)

7. a) Total amount paid for any vessel maintenance, repair, replacement,  
new purchase or upgrade (including engine, gear, electronics, etc.) \$ . . . . .00

b) The answer to Question 7, a), includes (check all that apply):

Maintenance or regular repairs       Major repairs or haul-out       New purchase or upgrade

8. Overhead applicable to this vessel (***including*** loan payments and vessel insurance; ***excluding*** depreciation and income taxes): \$   ,  ,  .  .00  
(For example: loan payments, insurance, dockage, licenses, (share of) rent, utilities, prof. services, truck expenses)

**9. Total 2008 Expenses** (the above entries should sum to this value): \$ --,---,--.00

**Other Important Economic Information** (permit #: \_\_\_\_\_):10. Vessel insurance in 2008 (check all that apply):     None     Hull     P&I

If Hull insured, enter coverage level if vessel is lost: \$ \_\_\_, \_\_\_ , \_\_\_ .00  
 (do not enter monthly or annual insurance premium)

11. Appraised value of this vessel (if insured) or best estimate of this value (if not insured):

a) Market value of vessel with current permits (in 2008): \$ \_\_\_, \_\_\_ , \_\_\_ .00

b) Market value of vessel without permits (in 2008): \$ \_\_\_, \_\_\_ , \_\_\_ .00

c) Original purchase price of vessel: \$ \_\_\_, \_\_\_ , \_\_\_ .00

12. Did you have any loan(s) on your vessel at any time during 2008:     Yes     No

If Yes: a) Total amount you still owe at *end of* 2008: \$ \_\_\_, \_\_\_ , \_\_\_ .00

b) Total loan payments in 2008: \$ \_\_\_, \_\_\_ , \_\_\_ .00

Please split b) into:    c) Interest paid in 2008: \$ \_\_\_, \_\_\_ , \_\_\_ .00

d) Principal repaid in 2008: \$ \_\_\_, \_\_\_ , \_\_\_ .00

13. Depreciation of vessel as claimed for tax purposes (2008): \$ \_\_\_, \_\_\_ , \_\_\_ .00

14. During 2008 this vessel was active in (check all that apply):

Shrimp Fishery (any)     Other Comm Fisheries     Non-Fishing Income Activities     Not Active

15. Total gross revenue generated by this vessel in commercial fisheries *other than shrimp* in 2008 (if none enter "0"): \$ \_\_\_, \_\_\_ , \_\_\_ .00

16. Government payments received for this vessel in 2008; for example due to imports and low shrimp prices (tariff money; trade assistance adjustment payments) or hurricanes/disaster relief (if none enter "0"): \$ \_\_\_, \_\_\_ , \_\_\_ .00

I certify that the information contained on this form is accurate and complete to the best of my knowledge:

---

Signature of person completing report

---

Date

---

Printed name of person signing report

(\_\_\_\_\_) \_\_\_\_\_  
 Phone number

**Please return this completed form in the enclosed prepaid envelope!**

[Mail to: NMFS; Miami Lab; P.O. Box 491500; Key Biscayne, FL 33149-9916]

**Thank You!**

**Other Questions (voluntary)**

1. Please use the reverse side or a separate piece of paper for any comments. We appreciate any comments concerning this survey effort and any ideas on how to improve or simplify it.

### **Detailed Instructions**

Please check that your information at the top of Page 1 is correct. If not, please clearly print the correct information in the white space.

#### **Page 1 – Total 2008 Expenses**

On **Page 1** we would like you to enter the total financial expenses you incurred during 2008 for the operation and keeping **of your vessel** with the registration number listed at the top of the page. This should correspond to actual dollar payments made. For each question enter the sum of all 2008 expenses in that category. If you had **NO expenses in a category, please enter “0”** and do not leave any spaces blank.

- Please be comprehensive: **Account for all the expenses** incurred by this boat in 2008 on **Page 1**.
- Please **avoid double counting**: Any expense should appear only a single time on **Page 1**.
- If an expense benefits this vessel as well as other vessel(s) and/or business operations (such as processing), **only list the share of the expense** that can be assigned to this vessel.
- Feel free to round numbers to the nearest \$100, such as entering \$ 3,600.00 rather than \$ 3,643.00.

**Question 1:** Check the YES box, if you (the owner) also act as captain for this vessel. Check the NO box if you hired captain(s) to operate this vessel.

**Question 2:** Enter the sum of all hired crew and captains' shares paid during 2008. This should reflect the amount the crew and captain(s) actually received, including any bonuses, but excluding any contributions she/he made to cover operating costs.

**Question 3:** Check the YES box, if you separately account for your income *as captain* (as opposed to *as owner*, i.e. business profit). If you checked Yes, enter the total amount you paid yourself on the following line. If you do not pay yourself a captain's share, simply check the No box and continue with question 4.

**Question 4:** Enter the total amount spent on fuel in 2008. The total amount should reflect the actual amount paid for the fuel used by this vessel; including those portions "paid" out of the crew's or captain's shares.

**Question 5:** **a)** Please estimate the average price per gallon you paid for fuel in 2008 (in dollars and cents per gallon, as best you can).   **b)** Enter the total number of gallons of fuel you purchased in 2008 in order to operate this vessel and all its equipment (such as generators and freezers). If this number is not available, then divide the amount entered in Question 4 by the estimated price per gallon entered in a) and enter this amount in the space provided.

**Question 6:** Enter the sum of all remaining expenses incurred on a 'per fishing trip' basis in 2008. This should exclude all amounts already listed in the above questions, i.e. amounts paid to crew,

captain or fuel. Please sum all your expenses for: ice, groceries, oil and lubricants, freezing and packaging supplies, gloves, processing, storage, cleaning supplies or services, and any other trip related expense.

**Question 7:** **a)** Enter the total 2008 expenses, not already listed above, related to the vessel (hull and all) and associated equipment, such as fishing gear (nets, trawl doors, etc), engine(s), freezers and electronics. Include all expenses for maintenance, repair, replacement, upgrades and new purchases. Also include haul-outs, rebuilds, retrofits, etc.

**b)** This question asks about the type of expenses that are included in Question 7. **a).**

Please check all the boxes that apply. Check the first box if some or all the expenses listed in 7.a) were for normal maintenance or regular repairs and repeated replacements (such as worn out nets). Check “Major repairs and haul-out” if you incurred expenses in 2008 that occur less than annually, include haul-outs, repairs during haul-outs, and other major repairs or replacement; or unusual expenses resulting from unexpected events such as hurricanes, accidents or theft. Check “New investments or upgrades” if you spent money on the vessel that extend its functionality, such as increases in engine power, new electronic systems, increases or improvements to fishing gear, etc.

**Question 8:** Enter the total amount of overhead applicable to this vessel. Typical overhead expenses include: Dockage/mooring, rent, utilities, insurance, loan payments, commercial fishing licenses and permits, property taxes and other fees, (share of) car or truck expenses, (share of) office expenses, (share of) accountant, lawyer, other professional services fees, and any other annual expenditure paid by the vessel (not already included in Questions 1 through 7). **Very Important on Question 8:**

- ***Include:*** **Loan Payments** (interest and principal) and **Insurance premiums** for the vessel!
- ***Exclude:*** **Depreciation** and **Income Tax!**
- If an overhead expense benefits this vessel AND other vessel(s) and/or business operations (such as processing), then only list the **share of the expense** that can be assigned to this vessel.

**End of Page 1:** Please make sure you have accounted for all expenses associated with the operation and keeping of this vessel in 2008. **If there are expenses not yet accounted for, please add them to the category they fit best:**

- If they are trip-related, add them to Question 6.
- If they relate to the vessel, gear and equipment, add them to Question 7.
- If they fit in neither of the above categories, add them to Question 8 (overhead or business related costs).

**Question 9:** Enter the total financial expenses you incurred during 2008 for the operation and keeping of this vessel. This number should equal the sum of all \$ dollar expenses entered on Page 1.

## **Page 2 – Other Important Economic Information**

**Question 10:** Check the boxes for how your vessel was insured in 2008. Check all that apply or ‘None’ if your vessel was not insured. **If the hull was insured**, then enter the total amount the hull was insured for, i.e. the maximum dollar amount the insurance would have paid in case of a total loss of the vessel. Do not enter your monthly or yearly insurance premiums or payments!

**Question 11:** Enter the market value of your vessel in 2008. Please enter the most accurate number you have. If the vessel is insured, please consult your insurance records for these values. Otherwise, please give us your best estimate or guess. For market value with permit **(a)**, please enter the approximate amount you would expect to receive if you had sold your vessel and federal Gulf/S. Atlantic shrimp permit(s) together during 2008. For market value without permit **(b)**, please enter the amount you would expect to receive if you had sold your vessel in 2008 without the federal Gulf/S. Atlantic shrimp permit(s).

**c)** Enter your purchase price of the vessel.

**Question 12:** Check YES if you had any outstanding loans on your vessel **at any time during 2008**. If Yes, enter: **a)** the amount of principal still needing to be paid back **at the end of 2008**; and **b)** your total loan payments for this vessel in 2008. Please split your total loan payments entered under b) into: **c)** the total sum of interest paid in 2008; and **d)** the total amount of principal repaid in 2008. Please estimate if you do not have the exact numbers.

**Question 13:** Enter the amount of depreciation you claimed for your vessel on your 2008 tax return.

**Question 14:** Please indicate in what fisheries or other income activities your vessel participated in during 2008. Please **check all the boxes that apply**. Check “Shrimp Fishery” if this vessel caught shrimp anywhere for commercial sale. Check “Other Commercial Fisheries” if your vessel participated in any commercial fisheries other than shrimp. Check “Non-Fishing Income Activities” if this vessel was used to generate income besides commercial fishing (oil work, charter, etc.). Check “Not Active” if your vessel did not generate any revenue or income during 2008.

**Question 15:** Enter the total sum of all revenue generated by this vessel in 2008 in commercial fisheries **other than shrimp**. This can include revenue generated in the Gulf of Mexico as well as the rest of the Atlantic Ocean and elsewhere; from State, Federal or international waters; offshore or inshore; etc. It should not include any revenue generated by the sale of shrimp (caught anywhere).

**Question 16:** Enter the sum of all payments received by this vessel in 2008 from federal, state, and local governments. Such as payments resulting from low shrimp prices and the dumping of imports (for example, tariff monies received from U.S. Customs, trade assistance adjustment payments received from the U.S. Department of Agriculture, “kickbacks”, incentives, etc.) and disaster relief (monies received for hurricane recovery).

If you have any questions, please call Christopher Liese at (305) 361-4263.

#### **PAPERWORK REDUCTION ACT STATEMENT:**

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Christopher Liese, National Marine Fisheries Service, Southeast Fisheries Science Center, 75 Virginia Beach Drive, Miami, Florida 33149. Information submitted will be treated as confidential in accordance with NOAA Administrative Order 216-100. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection displays a currently valid OMB Control Number. This reporting is required for permit renewal. NMFS requires this information for the conservation and management of marine fishery resources. These data will be used to evaluate the economic effects of proposed regulations in the fishery.