

Attachment 3b
MMP Medical Record Abstraction
Surveillance Period Summary Form

**Medical Monitoring Project (MMP)
Medical Record Abstraction Form
2012 Surveillance Period Summary Form (SPSF)
VERSION 7.1.0**

OPTIONAL- FOR LOCAL USE ONLY

<i>MMP SPSF v7.1.0</i>		Abstraction Facility ID:	
MMP Participant ID:			<small>(ID of the facility where abstraction is being conducted)</small>
Medical record number:			
Patient name:			
Physician name:			





I. ABSTRACTION AND IDENTIFICATION

MMP Participant ID:

Surveillance Period (SP)

SP start date: (12 months prior to date of interview OR 1st contact attempt if no interview obtained)

SP end date: (date of interview OR 1st contact attempt if no interview obtained)

Date of abstraction:

Abstractor ID:

Abstraction Facility ID:
(ID of the facility where abstraction is being conducted)

Was the documented care abstracted with this form given at another facility (i.e., outside the Abstraction Facility)?

Yes
Complete information about the "Care" Facility → Enter Care Facility ID or indicate that Care Facility was not documented or was outside jurisdiction:
Care Facility ID
(ID of the facility where the documented care was provided)

No
Continue to Section II below

Care Facility not documented or outside jurisdiction

II. PATIENT DEMOGRAPHICS

Most recent height (ft/in) during the SP:
 Height not documented

Patient's country of residence during the surveillance period (select ALL that apply):

1 United States

2 Canada

3 Mexico

4 Other, Specify:

5 Not documented/Could not be determined from residence address

III. SURVEILLANCE PERIOD SUMMARY FORM SECTIONS – OPTIONAL

Is there documentation of any of the following during the SP?

Yes → Select all that are documented below.

No → This form is now complete except for optional section XIII (Remarks).

<input type="radio"/> Type of coverage for medical care or other services → Complete section IV.	<input type="radio"/> Pregnancy (females only) → Complete section IX.
<input type="radio"/> Provision of other services at this facility → Complete section V.	<input type="radio"/> Reported or suspected substance abuse → Complete section X.
<input type="radio"/> Screening for tuberculosis (TB), or for cervical or anal cancer → Complete section VI.	<input type="radio"/> Death of the patient → Complete section XI.
<input type="radio"/> Whether or not hepatitis A, B, A and B, influenza or pneumococcal immunizations were given → Complete section VII.	<input type="radio"/> Visits to other facilities for HIV care → Complete section XII.

Referrals for other services

→ Complete section VIII.

IV. COVERAGE FOR MEDICAL CARE

Is there documentation of the type of coverage for medical care or other services during the SP?

- Yes → Select all that are documented below, including if the patient had no medical coverage during all or part of the SP ("None/Self-pay").
 No

<input type="radio"/> 1 AIDS Drug Assistance Program (ADAP)	<input type="radio"/> 6 None/Self-pay (during all or part of the SP)
<input type="radio"/> 2 CHAMPUS/Tricare	<input type="radio"/> 7 Private (including HMO/PPO)
<input type="radio"/> 3 Clinical Trial/Clinical Study	<input type="radio"/> 8 Prison/Jail
<input type="radio"/> 4 Medicaid	<input type="radio"/> 9 Ryan White (excluding ADAP)
<input type="radio"/> 5 Medicare	<input type="radio"/> 10 Veterans Administration
<input type="radio"/> 11 Other public insurance, Specify:	<input type="text"/>
<input type="radio"/> 12 Other public insurance, Specify:	<input type="text"/>
<input type="radio"/> 13 Other insurance, Specify:	<input type="text"/>
<input type="radio"/> 14 Other, Specify:	<input type="text"/>

V. OTHER SERVICES

Is there documentation that other services were provided at this facility during the SP?

- Yes → Select all that are documented below.
 No

<input type="radio"/> 1 Case management	<input type="radio"/> 9 Nutritional counseling
<input type="radio"/> 2 Chemotherapy	<input type="radio"/> 10 Physical therapy
<input type="radio"/> 3 Dental care	<input type="radio"/> 11 Prenatal care
<input type="radio"/> 4 Dialysis	<input type="radio"/> 12 Receipt of equipment or supplies
<input type="radio"/> 5 Education session	<input type="radio"/> 13 Substance abuse counseling or treatment
<input type="radio"/> 6 Hospice care	<input type="radio"/> 14 Support group
<input type="radio"/> 7 Mental health counseling or treatment	<input type="radio"/> 15 Pharmacist consultation
<input type="radio"/> 8 Nursing home care	
<input type="radio"/> 16 Other, Specify:	<input type="text"/>
<input type="radio"/> 17 Other, Specify:	<input type="text"/>
<input type="radio"/> 18 Other, Specify:	<input type="text"/>
<input type="radio"/> 19 Other, Specify:	<input type="text"/>
<input type="radio"/> 20 Other, Specify:	<input type="text"/>
<input type="radio"/> 21 Other, Specify:	<input type="text"/>

VI. TUBERCULOSIS (TB), CERVICAL AND ANAL CANCER SCREENING

Is there documentation of screening for tuberculosis (TB), or cervical or anal cancer, during the SP?

- Yes → Enter all that are documented for each screening below.

No

Was screening for tuberculosis (TB) performed during the SP? (select one)

1 Yes, screening done → Enter all that are documented below

2 No, documented that screening was not done

3 TB screening not documented

Date of the most recent tuberculin skin test (TST/PPD/Mantoux) or QuantiFERON test (QFT) during the SP:

Date not documented

VI. TUBERCULOSIS (TB), CERVICAL AND ANAL CANCER SCREENING cont'd

Result of the most recent TST/PPD/Mantoux or QFT test during the SP: (enter one for TST/PPD/Mantoux OR one for QFT)

TST/PPD/Mantoux: (enter OR select one)

OR

QFT: (select one)

Result in millimeters:

- 1 Positive, no value reported
- 2 Negative, no value reported
- 3 Not read
- 4 Anergic
- 5 Not documented

- 1 QFT positive
- 2 QFT negative
- 3 QFT indeterminate
- 4 Not documented

Was screening for cervical or anal cancer performed during the SP? (select one: Yes, No, or Not documented)

- 1 Yes – screening done → Select all that apply:
- 2 No – documented that screening was not done
- 3 Cervical and anal cancer screening not documented

	Site	Most Recent Result (select one for each documented site)			
<input type="radio"/> 1	Cervical	<input type="radio"/> 1 Normal	<input type="radio"/> 2 Abnormal	<input type="radio"/> 3 Indeterminate	<input type="radio"/> 4 Not documented
<input type="radio"/> 2	Anal	<input type="radio"/> 1 Normal	<input type="radio"/> 2 Abnormal	<input type="radio"/> 3 Indeterminate	<input type="radio"/> 4 Not documented
<input type="radio"/> 3	Unspecified	<input type="radio"/> 1 Normal	<input type="radio"/> 2 Abnormal	<input type="radio"/> 3 Indeterminate	<input type="radio"/> 4 Not documented

VII. HEPATITIS, INFLUENZA AND PNEUMOCOCCAL IMMUNIZATIONS

Is there documentation of whether or not hepatitis A, B, A and B, influenza or pneumococcal immunizations were given during the SP?

- Yes → Enter all that are documented for each vaccine below.
- No

Was hepatitis A vaccine (Havrix, Vaqta) given during the SP? (select one: Yes, No, or Not documented)

- 1 Yes → Enter a maximum of 2 documented doses and dates:
- 2 Yes – but number of doses not documented
- 3 No – documented that vaccine was not given

Dose No. (if documented) Date Date not documented

Reason vaccine not given: (select one)

- Prior vaccination
- Previously infected
- Other, specify
- Patient declined
- Not documented

4 Hepatitis A vaccination not documented

Was hepatitis B vaccine (Energix B, Recombivax) given during the SP? (select one: Yes, No, or Not documented)

- 1 Yes → Enter a maximum of 4 documented doses and dates:
- 2 Yes – but number of doses not documented
- 3 No – documented that vaccine was not given

Dose No. (if documented) Date Date not documented

Reason vaccine not given: (select one)

- Prior vaccination
- Previously infected
- Other, specify
- Patient declined
- Not documented

IX. PREGNANCIES AND OUTCOMES (FEMALES ONLY)

Is there documentation that the patient was pregnant during the SP?

Yes → Enter all that are documented for each pregnancy below.

No

Number of pregnancies that occurred during the SP: 1 2 3 or more

Outcome of the first pregnancy during the SP: (select one and enter date)

1 Elective abortion

2 Intrauterine fetal death → Select one delivery method:

3 Live birth → Select one delivery method:

4 Spontaneous abortion/miscarriage

5 Still pregnant

6 Not documented

Date of first outcome:

Mo.	/	Year
-----	---	------

Date not documented

Delivery method for the first pregnancy during the SP:

1 Cesarean section (elective)

2 Cesarean section (not elective)

3 Induced vaginal delivery

4 Spontaneous vaginal delivery

5 Not documented

Outcome of the second pregnancy during the SP:

(select one and enter date)

1 Elective abortion

2 Intrauterine fetal death → Select one delivery method:

3 Live birth → Select one delivery method:

4 Spontaneous abortion/miscarriage

5 Still pregnant

6 Not documented

Date of second outcome:

Mo.	/	Year
-----	---	------

Date not documented

Delivery method for the second pregnancy during the SP:

1 Cesarean section (elective)

2 Cesarean section (not elective)

3 Induced vaginal delivery

4 Spontaneous vaginal delivery

5 Not documented

Outcome of the third pregnancy during the SP:

(select one and enter date)

1 Elective abortion

2 Intrauterine fetal death → Select one delivery method:

3 Live birth → Select one delivery method:

4 Spontaneous abortion/miscarriage

5 Still pregnant

6 Not documented

Date of third outcome:

Mo.	/	Year
-----	---	------

Date not documented

Delivery method for the third pregnancy during the SP:

1 Cesarean section (elective)

2 Cesarean section (not elective)

3 Induced vaginal delivery

4 Spontaneous vaginal delivery

5 Not documented

X. SUBSTANCE ABUSE

Is there documentation of reported or suspected alcohol abuse or other non-prescribed use of substances, including counseling or treatment for alcohol and/or substance use/abuse, during the SP?

Yes → Enter all that are documented below.

No

Alcohol abuse

Is there documentation of alcohol abuse during the SP?	Yes <input type="radio"/>	No <input type="radio"/>
Other non-prescribed use of substances		
Is there evidence of any <u>injection</u> substance use (e.g., track marks) documented during the SP?	<input type="radio"/> Yes	<input type="radio"/> No

X. SUBSTANCE ABUSE cont'd

Non-prescribed use of substances documented during the SP: (select all that are documented and type of use)			
Substance	Type of Use <small>(select all that apply OR select Not documented)</small>		
	Injection	Non-Injection	Not documented
<input type="radio"/> 1 Amphetamines (other than methamphetamines)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 2 Cocaine (other than crack)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 3 Crack cocaine	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 4 Ecstasy (MDMA, X)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 5 GHB	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 6 Hallucinogens such as LSD or mushrooms	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 7 Heroin	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 8 Ketamine (Special K)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 9 Marijuana	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 10 Methadone	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 11 Methamphetamines	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 12 Painkillers such as Oxycontin, Vicodin or Percocet	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 13 Poppers (amyl nitrate)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 14 Rohypnol	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 15 Steroids/Hormones	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 16 Tranquilizers such as Valium, Ativan, or Xanax	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 17 Viagra, Levitra or Cialis	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 18 Other, <input style="width: 500px; height: 20px; border: 1px solid black; vertical-align: bottom;" type="text"/> Specify: _____	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 19 Other, <input style="width: 500px; height: 20px; border: 1px solid black; vertical-align: bottom;" type="text"/> Specify: _____	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 20 Other, <input style="width: 500px; height: 20px; border: 1px solid black; vertical-align: bottom;" type="text"/> Specify: _____	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/> 21 Substance not specified	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

XI. MORTALITY DATA

Is there documentation that the patient died during the SP?
 Yes → Enter all that are documented below.
 No

Date of death during the SP: Date not documented

Cause of death: (select one) Accident Suicide Other, Specify: _____
 Homicide Natural Cause not documented

Diagnoses at death: (enter all documented diagnoses) Diagnosis not documented

1.	6.
2.	7.
3.	8.
4.	9.
	10.

5.	
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MMP Participant ID:

Abstraction
Facility ID:

(ID of the facility where abstraction is being conducted)

XII. OTHER FACILITIES cont'd

Facility/Provider Name	Contact Information
1. _____ _____ _____ _____ _____	Street: _____ _____ _____ City: _____ State: _____ ZIP code: <input type="text"/> Telephone: <input type="text"/>
2. _____ _____ _____ _____ _____	Street: _____ _____ _____ City: _____ State: _____ ZIP code: <input type="text"/> Telephone: <input type="text"/>
3. _____ _____ _____ _____ _____	Street: _____ _____ _____ City: _____ State: _____ ZIP code: <input type="text"/> Telephone: <input type="text"/>
4. _____ _____ _____ _____ _____	Street: _____ _____ _____ City: _____ State: _____ ZIP code: <input type="text"/> Telephone: <input type="text"/>
5. _____ _____ _____ _____ _____	Street: _____ _____ _____ City: _____ State: _____ ZIP code: <input type="text"/> Telephone: <input type="text"/>

	State: _____ ZIP code: _____ Telephone: _____
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Abstraction
Facility ID: _____

(ID of the facility where abstraction is being conducted)

XII. OTHER FACILITIES cont'd

Facility/Provider Name	Contact Information
6. _____ _____ _____ _____ _____	Street: _____ _____ City: _____ State: _____ ZIP code: _____ Telephone: _____
7. _____ _____ _____ _____ _____	Street: _____ _____ City: _____ State: _____ ZIP code: _____ Telephone: _____
8. _____ _____ _____ _____ _____	Street: _____ _____ City: _____ State: _____ ZIP code: _____ Telephone: _____
9. _____ _____ _____ _____ _____	Street: _____ _____ City: _____ State: _____ ZIP code: _____ Telephone: _____
10. _____ _____ _____ _____ _____	Street: _____ _____ City: _____ State: _____ ZIP code: _____ Telephone: _____

	City: _____
	State: _____ ZIP code: <input type="text"/>
	Telephone: <input type="text"/>

