```
--------------------------------------------------------
|  NOTE:   THROUGHOUT THIS SECTION IN CAPI, FOR         |
| 'CATEGORY NAME FROM HX03 OR HX23', DISPLAY THE        |
|  FOLLOWING:                                           |
|                                                       |
|  - 'PROFESSIONAL ASSOCIATION' IF CODED '1' AT HX03    |
|  - 'SMALL BUSINESS GROUP' IF CODED '2' AT HX03        |
|  - 'UNION' IF CODED '3' AT HX03                       |
|  - 'INSURANCE AGENT' IF CODED '5' AT HX03             |
|  - 'INSURANCE COMPANY' IF CODED '6' AT HX03           |
|  - 'HMO' IF CODED '7' AT HX03                         |
|  - 'PREVIOUS EMPLOYER' IF CODED '8' AT HX03           |
|  - 'PREVIOUS EMPLOYER (COBRA)' IF CODED '9' AT HX03|
|  - THE TEXT ENTERED AT HX03OV IF CODED '91' AT HX03|
|  - 'SOURCE THE INSURANCE WAS PURCHASED FROM FOR       |
|     THAT BUSINESS' IF CODED '-7' OR '-8' AT HX03      |
|                                                       |
|  - 'GROUP OR ASSOCIATION' IF CODED '1' AT HX23        |
|  - 'SCHOOL' IF CODED '3' AT HX23                      |
|  - 'INSURANCE AGENT' IF CODED '4' AT HX23             |
|  - 'INSURANCE COMPANY' IF CODED '5' AT HX23           |
|  - 'HMO' IF CODED '6' AT HX23                         |
|  - 'UNION' IF CODED '7' AT HX23                       |
|  - 'ANYONE'S PREVIOUS EMPLOYER (COBRA)' IF CODED      |
|     '8' AT HX23                                       |
|  - 'ANYONE'S PREVIOUS EMPLOYER (NOT COBRA)' IF        |
|     CODED '9' AT HX23                                 |
|  - 'SPOUSE'S/DECEASED SPOUSE'S PREVIOUS EMPLOYER'     |
|     IF CODED '10' AT HX23                             |
|  - 'SOME OTHER EMPLOYER' IF CODED '11' AT HX23        |
|  - 'PLAN OF SOMEONE NOT LIVING HERE' IF CODED '12' |
|     AT HX23                                           |
|  - THE TEXT ENTERED AT HX23OV IF CODED '91' AT HX23|
|  - 'SOURCE THAT PROVIDED THE DIRECTLY PURCHASED       |
|     INSURANCE' IF CODED '-7' OR '-8'                  |
--------------------------------------------------------
```

```
--------------------------------------------------------
| THROUGHOUT THE SPECIFICATIONS FOR THIS CAPI          |
| SECTION, FOR SCREENS THAT SPECIFY THE REFERENCE      |
| PERIOD {END DATE} AS PART OF THE CONTEXT HEADER,     |
| CAPI DISPLAYS THE {END DATE} ONLY FOR ROUND 5. IN    |
| ANY OTHER ROUND, CAPI DOES NOT DISPLAY THE {END      |
| DATE} IN THE CONTEXT HEADER. FOR MOST PERSONS,       |
| THE END DATE FOR ROUND 5 WILL BE DECEMBER 31 OF      |
| THE SECOND YEAR OF THE PANEL.                        |
--------------------------------------------------------


--------------------------------------------------------
| NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE'     |
| (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS          |
| OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN   |
| ALL FUTURE ROUNDS.                                   |
--------------------------------------------------------


--------------------------------------------------------
| NOTE THAT ESTABLISHMENT ADDRESS INFORMATION AND      |
| THE INFORMED CONSENT SCREENS WERE OMITTED STARTING   |
| IN PANEL 12 ROUND 3.  THIS INFORMATION WAS           |
| IN PANEL 12 ROUNDS 1 AND 2.                          |
|                                                      |
| STARTING IN PANEL 13 THESE ITEMS WILL BE OMITTED     |
| IN ALL ROUNDS.                                       |
--------------------------------------------------------
```

BOX_00
======

```
--------------------------------------------------------
| CONTEXT HEADER DISPLAY INSTRUCTIONS:                 |
| DISPLAY PERS.FULLNAME, ESTB.ESTBNAME,                |
| PRND.BEGREFMM, PRND.BEGREFDD, PRND.BEGREFYY,         |
| PRND.ENDREFMM, PRND.ENDREFDD, PRND.ENDREFYY,         |
| 'INSURANCE SOURCE'.                                  |
|                                                      |
| FOR 'INSURANCE SOURCE', DISPLAY THE CATEGORY TEXT    |
| FROM HX23. IF HX23=91, DISPLAY THE OTHER SPECIFY     |
| TEXT.                                                |
--------------------------------------------------------
```

BOX_01
======

```
        -------------------------------------------------------
        |  IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN THE     |
        |  EMPLOYMENT (EM) SECTION AS 'PROVIDES HEALTH        |
        |  INSURANCE' AND NOT FLAGGED AS 'SELF-EMPLOYED'      |
        |  WITH A FIRM-SIZE-1, GO TO LOOP_01                  |
        -------------------------------------------------------


        -------------------------------------------------------
        |  IF LOOPING ON AN HX03 CATEGORY OR IF LOOPING ON    |
        |  AN HX23 CATEGORY (EXCEPT CODE '3' (DIRECTLY FROM   |
        |  A SCHOOL)), GO TO HP03                             |
        -------------------------------------------------------


        -------------------------------------------------------
        |  IF LOOPING ON CODE '3' (DIRECTLY FROM A SCHOOL)    |
        |  AT HX23, CONTINUE WITH HP01                        |
        -------------------------------------------------------
```

HP01
====

> Does the insurance from the school cover only injuries caused
> by accidents, or does it have general health coverage?

```
        GENERAL HEALTH COVERAGE ................ 1 {HP02}
        ONLY INJURIES CAUSED BY ACCIDENTS ...... 2 {BOX_11}
        REF .................................... -7 {HP02}
        DK ..................................... -8 {HP02}
```

> HELP AVAILABLE FOR DEFINITION OF GENERAL HEALTH COVERAGE.

                        [Code One]

HP02
====

> Would the insurance from the school cover health services
> outside of a school clinic?

>> YES .... 1 {HP03}
>> NO .... 2 {BOX_11}
>> REF .... -7 {HP03}
>> DK .... -8 {HP03}

HP03
====

> INSURANCE SOURCE: {CATEGORY NAME FROM HX03 OR HX23}

> I'd like to talk about the insurance which is from (a/an)
> {CATEGORY NAME FROM HX03 OR HX23}.

> SELECT 'CONTINUE' UNLESS RESPONDENT VOLUNTEERS INSURANCE
> REPORTED IN ERROR.

>> CONTINUE .... 1 {LOOP_01}
>> INSURANCE REPORTED IN ERROR .... 2 {BOX_11}

>> [Code One]

```
 -------------------------------------------------------
| FOR 'CATEGORY NAME FROM HX03 OR HX23' DISPLAY THE |
| CATEGORY TEXT FROM HX03 OR HX23.  SEE NOTE BOX AT |
| BEGINNING OR HP SECTION FOR DETAILS.              |
 -------------------------------------------------------

 -------------------------------------------------------
| IF CODED '2' (INSURANCE REPORTED IN ERROR), FLAG  |
| ITEM FOR SOURCE CLEAN-UP.                         |
 -------------------------------------------------------
```

LOOP_01
=======

```
--------------------------------------------------------
|  FOR EACH OF THE FOLLOWING:                           |
|                                                       |
|  ESTABLISHMENT 1                                      |
|  ESTABLISHMENT 2                                      |
|  ESTABLISHMENT 3                                      |
|  ESTABLISHMENT 4                                      |
|                                                       |
|  ASK BOX_01A-END_LP01                                 |
 --------------------------------------------------------
```

```
--------------------------------------------------------
|  LOOP DEFINITION:  LOOP-01 COLLECTS DETAILED          |
|  INFORMATION ABOUT INSURANCE PROVIDED THROUGH AN      |
|  EMPLOYER OR THE ESTABLISHMENT NAMES OF THE           |
|  INSURANCE SOURCE COLLECTED IN EITHER HX03 OR HX23.   |
|  IF LOOPING ON INSURANCE PROVIDED FROM AN EMPLOYER    |
|  ONLY ONE LOOP CYCLE IS COMPLETED.                    |
|                                                       |
|  IF LOOPING ON INSURANCE PROVIDED THROUGH AN          |
|  INSURANCE SOURCE COLLECTED IN HX03 OR HX23, THE      |
|  FIRST LOOP CYCLE COLLECTS THE MAIN ESTABLISHMENT     |
|  NAME OF THE INSURANCE SOURCE.  SUBSEQUENT CYCLES,    |
|  IF ANY, ARE DETERMINED BY THE RESPONSE TO HP18.      |
|  IF HP18 IS CODED '1' (YES), THE LOOP CYCLES AGAIN    |
|  TO COLLECT THE NEXT ESTABLISHMENT NAME.  IF HP18     |
|  IS NOT ASKED OR IS CODED '2' (NO), '-7' (REFUSED),   |
|  OR '-8' (DON'T KNOW), THE LOOP ENDS.                 |
 --------------------------------------------------------
```

BOX_01A
=======

```
--------------------------------------------------------
|  IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN           |
|  EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT    |
|  FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1,       |
|  GO TO HP09                                           |
 --------------------------------------------------------
```

```
--------------------------------------------------------
|  OTHERWISE, CONTINUE WITH HP04                        |
 --------------------------------------------------------
```

HP04A
=====

            OMITTED.

HP04
====

            {PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF
            ESTABLISHMENT} {STR-DT}
            {END-DT}

            Please give me the name of one of the {CATEGORY NAME FROM HX03
            OR HX23} {from which anyone in the family purchased this
            insurance/which covers anyone in the family/insurance companies
            for the insurance purchased from an agent}.

            INTERVIEWER: VERIFY WITH RESPONDENT AND SELECT
            (ESTABLISHMENT) BELOW:

                              |--------------------------|
ROSTER. ESTABLISHMENT
1. Establishment Name-30
2. Establishment Name-30
3. Establishment Name-30

```
---------------------------------------------------------
| DISPLAY '(CATEGORY NAME FROM HX03 OR HX23)' IF   |
| NOT LOOPING ON CODE '5' (INSURANCE AGENT) AT HX03 |
| OR CODE '4' (INSURANCE AGENT) AT HX23.            |
|                                                    |
| DISPLAY 'from which anyone in the family purchased|
| this insurance' IF NOT LOOPING ON CODE '5'        |
| (INSURANCE AGENT) AT HX03 OR CODES '4' (INSURANCE |
| AGENT) OR '12' (UNDER PLAN OF SOMEONE NOT LIVING  |
| HERE) AT HX23.                                     |
|                                                    |
| DISPLAY 'which covers anyone in the family' IF    |
| LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT   |
| LIVING HERE) AT HX23.                              |
|                                                    |
| DISPLAY 'insurance company for the insurance      |
| purchased from an agent' IF LOOPING ON CODE '5'   |
| (INSURANCE AGENT) AT HX03 OR CODE '4' (INSURANCE  |
| AGENT) AT HX23.                                    |
---------------------------------------------------------


---------------------------------------------------------
| FOR 'CATEGORY NAME FROM HX03 OR HX23' DISPLAY THE |
| CATEGORY TEXT FROM HX03 OR HX23.  SEE NOTE BOX AT |
| BEGINNING OF HP SECTION FOR DETAILS.              |
---------------------------------------------------------


---------------------------------------------------------
| THE CONTEXT HEADER DISPLAYED ON SCREENS           |
| HP04 - HP08 DEPENDS ON THE PATH THAT LEADS TO     |
| THE SCREEN. IF ASKING ABOUT A SPECIFIC PERSON     |
| (I.E., JOBHOLDER WHEN COMING FROM AN HX03         |
| CATEGORY), CAPI DISPLAYS THE PERSON AND START     |
| DATE. IF ASKING ABOUT A SPECIFIC ESTABLISHMENT,   |
| CAPI DISPLAYS THE ESTABLISHMENT AND START DATE.   |
| OTHERWISE, CAPI DISPLAYS THE START DATE. FOR      |
| ROUND 5, CAPI ALSO DISPLAYS THE END DATE OF THE   |
| REFERENCE PERIOD.                                  |
---------------------------------------------------------


---------------------------------------------------------
| DISPLAY AN "ADD ESTABLISHMENT" OPTION ON THIS     |
| SCREEN.                                            |
---------------------------------------------------------


---------------------------------------------------------
| IF 'ADD ESTABLISHMENT' OPTION IS SELECTED,        |
| CONTINUE WITH BOX_01B                             |
---------------------------------------------------------
```

```
         -------------------------------------------------
         |  OTHERWISE (ESTABLISHMENT WAS SELECTED FROM THE  |
         |  LIST), GO TO BOX_02                             |
         -------------------------------------------------


         -------------------------------------------------
         |  ROSTER DETAILS:                                |
         |  TITLE: RU_ESTB_3                               |
         |                                                 |
         |  COL # 1 HEADER: ESTABLISHMENT                  |
         |  INSTRUCTIONS: DISPLAY ESTABLISHMENT NAME       |
         |  (ESTB.ESTBNAME)                                |
         -------------------------------------------------


         -------------------------------------------------
         |  ROSTER DEFINITION:                             |
         |  THIS ITEM DISPLAYS RU-ESTABLISHMENTS-ROSTERS FOR |
         |  DISPLAY OF PRIVATE INSURANCE ESTABLISHMENTS.   |
         -------------------------------------------------


         -------------------------------------------------
         |  ROSTER BEHAVIOR:                               |
         |  1. SELECT ALLOWED.                             |
         |                                                 |
         |  2. MULTIPLE SELECT, ADD, DELETE, AND EDIT      |
         |     DISALLOWED.                                 |
         -------------------------------------------------


         -------------------------------------------------
         |  ROSTER FILTER:                                 |
         |  DISPLAY ESTABLISHMENTS THAT ARE SOURCES OF PRIVATE|
         |  INSURANCE. THIS DOES NOT INCLUDE ESTABLISHMENTS |
         |  FLAGGED AS 'EMPLOYER' AND 'SELF-EMPLOYED' WITH A |
         |  FIRM-SIZE-1 THAT ARE COMING FROM THE HX03 SERIES. |
         -------------------------------------------------


BOX_01B
=======


         -------------------------------------------------
         |  IF LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT|
         |  LIVING HERE) AT HX23 AND IF 'ADD ESTABLISHMENT' |
         |  IS SELECTED, GO TO HP07. (NOTE THAT HP07 IS NOT A |
         |  SEPARATE SCREEN; IT REPRESENTS A POPUP ON HP04.) |
         -------------------------------------------------


         -------------------------------------------------
         |  IF 'ADD ESTABLISHMENT' IS SELECTED AND IF NOT  |
         |  LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT |
         |  LIVING HERE) AT HX23, CONTINUE WITH HP06 (NOTE |
         |  THAT HP06 IS NOT A SEPARATE SCREEN; IT REPRESENTS |
```

```
| A POPUP ON HP04.)                              |
 ---------------------------------------------------
```

HP05
====

            OMITTED.

HP06
====

            {PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF
            ESTABLISHMENT} {STR-DT}
            {END-DT}

            INSURANCE SOURCE: {CATEGORY NAME FROM HX03 OR HX23}

            ENTER NAME OF ESTABLISHMENT WHERE PERSON PURCHASED
            INSURANCE.

            {ESTABLISHMENT: [_____]   {BOX_02}

              | WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS- |
              | ROSTER. |

HP07
====

            {STR-DT}
            {END-DT}

            You mentioned that someone in the family receives health
            insurance from the plan of someone not living here. How
            does that policyholder get this insurance?

            INTERVIEWER: RECORD ESTABLISHMENT NAME BELOW.

                [Establishment Name] .... {BOX_02}

              | ONLY CATEGORY '12' (UNDER PLAN OF SOMEONE NOT |
              | LIVING HERE) OF HX23 IS ASKED HP07. |

```
       -------------------------------------------------------
       |   WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS-      |
       |   ROSTER.                                            |
       -------------------------------------------------------
```


HP08
====
            OMITTED.

BOX_02
======

```
---------------------------------------------------
| IF HX03 IS CODED '1' OR '2' FLAG ESTABLISHMENT AS |
| 'GROUP'.                                          |
| IF HX03 IS CODED '3', FLAG ESTABLISHMENT AS       |
| 'UNION'.                                          |
| IF HX03 IS CODED '5', FLAG ESTABLISHMENT AS       |
| 'INSURANCE COMPANY-FROM AN AGENT'.                |
| IF HX03 IS CODED '6', FLAG ESTABLISHMENT AS       |
| 'INSURANCE COMPANY'.                              |
| IF HX03 IS CODED '7', FLAG ESTABLISHMENT AS 'HMO'.|
| IF HX03 IS CODED '8', FLAG ESTABLISHMENT AS       |
| 'PREVIOUS EMPLOYER, NOT COBRA'.                   |
| IF HX03 IS CODED '9', FLAG ESTABLISHMENT AS       |
| 'COBRA'.                                          |
| IF HX03 IS CODED '91', FLAG ESTABLISHMENT AS      |
| 'UNKNOWN TYPE-COLLECTED AT OTHER'.                |
|                                                   |
| IF HX23 IS CODED '1', FLAG ESTABLISHMENT AS       |
| 'GROUP'.                                          |
| IF HX23 IS CODED '3', FLAG ESTABLISHMENT AS       |
| 'SCHOOL'.                                         |
| IF HX23 IS CODED '4', FLAG ESTABLISHMENT AS       |
| 'INSURANCE COMPANY-FROM AN AGENT'.                |
| IF HX23 IS CODED '5', FLAG ESTABLISHMENT AS       |
| 'INSURANCE COMPANY'.                              |
| IF HX23 IS CODED '6', FLAG ESTABLISHMENT AS       |
| 'HMO'.                                            |
| IF HX23 IS CODED '7', FLAG ESTABLISHMENT AS       |
| 'UNION'.                                          |
| IF HX23 IS CODED '8', FLAG ESTABLISHMENT AS       |
| 'COBRA'.                                          |
| IF HX23 IS CODED '9', FLAG ESTABLISHMENT AS       |
| 'PREVIOUS EMPLOYER, NOT COBRA'.                   |
| IF HX23 IS CODED '10', FLAG ESTABLISHMENT AS      |
| 'SPOUSE PREVIOUS EMPLOYER'.                       |
| IF HX23 IS CODED '11', FLAG ESTABLISHMENT AS      |
| 'EMPLOYER'.                                       |
| IF HX23 IS CODED '12', FLAG ESTABLISHMENT AS      |
| 'UNKNOWN TYPE-OUTSIDE RU'.                        |
| IF HX23 IS CODED '91', FLAG ESTABLISHMENT AS      |
| 'UNKNOWN TYPE - COLLECTED AT OTHER'.              |
---------------------------------------------------
```

```
-------------------------------------------------------
| NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE'  |
| (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS       |
| OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN|
| ALL FUTURE ROUNDS.                                |
-------------------------------------------------------
```

BOX_03
======

```
-------------------------------------------------------
| IF LOOPING ON AN HX23 CATEGORY, GO TO HP11          |
-------------------------------------------------------
```

```
-------------------------------------------------------
| OTHERWISE, CONTINUE WITH HP09                       |
-------------------------------------------------------
```

HP09
====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF
ESTABLISHMENT} {STR-DT}
{END-DT}

{(Are/Is)/As of (END DATE), was} (PERSON) the primary insured
person or policyholder of this health coverage through
(ESTABLISHMENT)?

```
        YES .................................... 1 {LOOP_02}
        NO ..................................... 2 {HP10}
        REF .................................... -7 {HP10}
        DK ..................................... -8 {HP10}
```

        HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

```
-------------------------------------------------------
| DISPLAY '(Are/Is)' IF NOT ROUND 5.  DISPLAY 'As of|
| (END DATE), was' IF ROUND 5.                        |
-------------------------------------------------------
```

```
-------------------------------------------------------
| PERSON REFERS TO JOBHOLDER.                         |
-------------------------------------------------------
```

```
      ------------------------------------------------------
      |  IF CODED '1' (YES), FLAG JOBHOLDER AS             |
      |  'POLICYHOLDER'.                                   |
      ------------------------------------------------------
```

HP10
====

{NAME OF ESTABLISHMENT} {STR-DT}
{END-DT}

Who {is/was} the primary insured person or policyholder of this
health coverage through (ESTABLISHMENT) {on (END DATE)}?

{JOBHOLDER/EMPLOYER-PAIR 1}
{JOBHOLDER/EMPLOYER-PAIR 2}
{JOBHOLDER/EMPLOYER-PAIR 3}

    JOBHOLDER/EMPLOYER IS LISTED .... 1 {END_LP01}
    JOBHOLDER/EMPLOYER IS NOT LISTED .... 2 {END_LP01}
    REF .... -7 {END_LP01}
    DK .... -8 {END_LP01}

    HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

                        [Code One]

```
      ------------------------------------------------------
      |  DISPLAY 'is' IF NOT ROUND 5.  DISPLAY 'was' IF    |
      |  ROUND 5.  DISPLAY 'on (END DATE)' IF ROUND 5.     |
      |  OTHERWISE, USE NULL DISPLAY.                      |
      ------------------------------------------------------


      ------------------------------------------------------
      |  IF CODED '2' (NO), '-7' (REFUSED) OR '-8' (DON'T  |
      |  KNOW), FLAG FOR EVENT CLEANUP.                    |
      ------------------------------------------------------


      ------------------------------------------------------
      |  ROSTER DETAILS:                                   |
      |  TITLE: RU_ESTB_PERS_PAIRS_2                        |
      |                                                    |
      |  COL # 1 HEADER: JOBHOLDER/EMPLOYER PAIR           |
      |  INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE,  |
      |  AND LAST NAME/ESTABLISHMENT NAME (PERS.FULLNAME/   |
      |  ESTB.ESTBNAME)                                    |
      ------------------------------------------------------
```

```
        -------------------------------------------------------
        |  ROSTER DEFINITION:                                 |
        |  THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS-  |
        |  ROSTER FOR DISPLAY OF EMPLOYER/JOBHOLDER PAIRS.    |
        -------------------------------------------------------


        -------------------------------------------------------
        |  ROSTER BEHAVIOR:                                   |
        |  1. DISPLAY ONLY.                                   |
        |                                                     |
        |  2. SELECT, ADD, DELETE, AND EDIT DISALLOWED.       |
        -------------------------------------------------------


        -------------------------------------------------------
        |  ROSTER FILTER:                                     |
        |  DISPLAY ALL PAIRS ON THE RU-ESTABLISHMENT-PERSON-  |
        |  PAIRS-ROSTER THAT MEET BOTH OF THE FOLLOWING       |
        |  CONDITIONS:                                        |
        |                                                     |
        |  1. ESTABLISHMENT IS FLAGGED AS AN 'EMPLOYER' THAT  |
        |  IS ALSO FLAGGED AS 'PROVIDES HEALTH INSURANCE'     |
        |  AND                                                |
        |                                                     |
        |  2. PERSON IS A JOBHOLDER AT THE JOB PROVIDED BY    |
        |  ESTABLISHMENT                                      |
        -------------------------------------------------------
```

HP11
====

```
        {NAME OF ESTABLISHMENT}  {STR-DT}
        {END-DT}

        Who {is/was} the primary insured person or policyholder of this
        health coverage through (ESTABLISHMENT) {on (END DATE)}?

          [1. First Name,[Middle Name],Last Name-35] ..
          [2. First Name,[Middle Name],Last Name-35] ..
          [3. First Name,[Middle Name],Last Name-35] ..

              HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

                         [Code All that Apply]


        -------------------------------------------------------
        |  DISPLAY 'is' IF NOT ROUND 5.  DISPLAY 'was' IF     |
        |  ROUND 5.  DISPLAY 'on (END DATE)' IF ROUND 5.      |
        |  OTHERWISE, USE NULL DISPLAY. DISPLAY A             |
        |  "POLICYHOLDER NOT LISTED IN DU" AND "POLICYHOLDER  |
        |  DECEASED" OPTION ON THIS SCREEN.                   |
```

```
 -------------------------------------------------------
| IF BOTH 'POLICYHOLDER NOT LISTED IN DU' AND           |
| 'POLICYHOLDER DECEASED' ARE NOT SELECTED, GO TO       |
| LOOP_02                                               |
 -------------------------------------------------------
```

```
 -------------------------------------------------------
| IF 'POLICYHOLDER DECEASED' SELECTED, ALONE OR IN      |
| COMBINATION WITH OTHER NAMES EXCEPT 'POLICYHOLDER     |
| NOT LISTED IN DU', GO TO HP11B                        |
 -------------------------------------------------------
```

```
 -------------------------------------------------------
| IF 'POLICYHOLDER NOT LISTED IN DU' SELECTED, ALONE|
| OR IN COMBINATION WITH OTHER NAMES AND/OR             |
| 'POLICYHOLDER DECEASED', CONTINUE WITH HP11A          |
 -------------------------------------------------------
```

```
 -------------------------------------------------------
| ROSTER DETAILS:                                       |
| TITLE: DU_MEMBERS_1                                    |
|                                                       |
| COL # 1 HEADER: NAME                                  |
| INSTRUCTIONS: DISPLAY DU MEMBER'S FIRST, MIDDLE,      |
| AND LAST NAMES (PERS.FULLNAME)                        |
 -------------------------------------------------------
```

```
 -------------------------------------------------------
| ROSTER DEFINITION: THIS ITEM DISPLAYS DU-MEMBERS-     |
| ROSTER FOR SELECTION.                                 |
 -------------------------------------------------------
```

```
 -------------------------------------------------------
| ROSTER BEHAVIOR:                                      |
| 1. MULTIPLE SELECT ALLOWED.                           |
|                                                       |
| 2. ADD, DELETE, AND EDIT DISALLOWED.                  |
 -------------------------------------------------------
```

```
 -------------------------------------------------------
| ROSTER FILTER:                                        |
| NO FILTER; DISPLAY ALL DU MEMBERS.                    |
 -------------------------------------------------------
```

HP11A
=====

        {NAME OF ESTABLISHMENT}   {STR-DT}
        {END-DT}

        INTERVIEWER: ENTER NAME OR DESCRIPTION OF POLICYHOLDER WHO
        IS NOT IN THE DU:

            [Enter Specify-15] .... {LOOP_02}

        HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

          | WHENEVER THIS POLICYHOLDER IS BEING ASKED ABOUT |
          | IN THE REMAINDER OF HP, HQ, HX, AND OE, THE |
          | POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE |
          | DISPLAYED AS 'PLCYHLDR NOT IN DU-' FOLLOWED BY |
          | THE 15 CHARACTER ENTRY AT HP11A. |

          | IF 'POLICYHOLDER DECEASED' SELECTED AT HP11, |
          | CONTINUE WITH HP11B |

          | OTHERWISE, GO TO LOOP_02 |

HP11B
=====

        {NAME OF ESTABLISHMENT}   {STR-DT}
        {END-DT}

        INTERVIEWER: ENTER NAME OF DECEASED POLICYHOLDER:

            [Enter Specify-40] .... {LOOP_02}

        HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

          | FLAG POLICYHOLDER AS 'DECEASED'. |

```
-------------------------------------------------------
| WHENEVER THE POLICYHOLDER IS BEING ASKED ABOUT      |
| IN THE REMAINDER OF HP, HQ, HX, AND OE, THE         |
| POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE     |
| DISPLAYED AS 'PLCYHLDR DECEASED-' FOLLOWED BY THE   |
| FIRST 15 CHARACTERS OF THE ENTRY AT HP11B.          |
-------------------------------------------------------
```

LOOP_02
=======

```
-------------------------------------------------------
| FOR EACH ELEMENT ON THE RU-ESTABLISHMENT-PERSON-    |
| PAIRS-ROSTER, ASK BOX_04 - END_LP02                 |
-------------------------------------------------------
```

```
-------------------------------------------------------
| LOOP DEFINITION: LOOP_02 COLLECTS INFORMATION       |
| ABOUT THE POLICYHOLDER AND DEPENDENTS FOR EACH      |
| ESTABLISHMENT-PERSON.  THIS LOOP CYCLES ON EACH     |
| ESTABLISHMENT-PERSON-PAIR CREATED AT HP09 AND HP11  |
| DURING THE CURRENT ROUND FOR THE ESTABLISHMENT      |
| BEING CYCLED ON IN LOOP_01.                         |
-------------------------------------------------------
```

BOX_04
======

```
-------------------------------------------------------
| IF LOOPING ON AN ESTABLISHMENT FLAGGED IN           |
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE', GO TO    |
| BOX_07                                              |
-------------------------------------------------------
```

```
-------------------------------------------------------
| OTHERWISE, CONTINUE WITH BOX_05                     |
-------------------------------------------------------
```

BOX_05
======

```
     -------------------------------------------------------
     |  IF HX23 IS CODED '8' (PREVIOUS EMPLOYER-COBRA),    |
     |  '9' (PREVIOUS EMPLOYER-NOT COBRA), '10' (SPOUSE    |
     |  PREVIOUS EMPLOYER), OR '11' (OTHER EMPLOYER)       |
     |  CONTINUE WITH BOX_06                               |
     -------------------------------------------------------


     -------------------------------------------------------
     |  OTHERWISE, GO TO BOX_07                            |
     -------------------------------------------------------
```

BOX_06
======

```
     -------------------------------------------------------
     |  IF POLICYHOLDER WAS FLAGGED AT HP11 AS 'DECEASED', |
     |  CODE HP12 AS '4' (DECEASED) AUTOMATICALLY BY CAPI  |
     |  AND GO TO HP13                                     |
     -------------------------------------------------------


     -------------------------------------------------------
     |  IF POLICYHOLDER IS NOT A CURRENT RU MEMBER, GO TO  |
     |  BOX_07                                             |
     -------------------------------------------------------


     -------------------------------------------------------
     |  OTHERWISE, CONTINUE WITH HP12                      |
     -------------------------------------------------------
```

HP12
====

>   {POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
>   ESTABLISHMENT} {STR-DT}
>   {END-DT}
>
>   (Are/Is) (POLICYHOLDER) currently employed at this job,
>   retired from this job, previously employed at this job, or is
>   it some other situation?
>
>   CURRENTLY EMPLOYED .... 1 {HP13}
>   RETIRED .... 2 {HP13}
>   PREVIOUSLY EMPLOYED .... 3 {HP13}
>   DECEASED .... 4 {HP13}
>   OTHER .... 91 {HP12OV}
>   REF .... -7 {HP13}
>   DK .... -8 {HP13}
>
>   HELP AVAILABLE FOR DEFINITIONS OF ANSWER CATEGORIES.
>
>   [Code One]

```
      ------------------------------------------------------
     |   IF CODED '4' (DECEASED), FLAG POLICYHOLDER AS      |
     |   'DECEASED'.                                        |
      ------------------------------------------------------


      ------------------------------------------------------
     |   HARD CHECK:                                        |
     |   CODE '4' (DECEASED) CANNOT BE SELECTED FOR A       |
     |   POLICYHOLDER WHO IS A CURRENT RU MEMBER.           |
      ------------------------------------------------------
```

HP12OV
======

>   OTHER:
>
>   [Enter Other Specify] .... {HP13}
>   REF .... -7 {HP13}
>   DK .... -8 {HP13}

HP13
====

           {POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
           ESTABLISHMENT} {STR-DT}
           {END-DT}

           {(Are/Is)/(Were/Was)} (POLICYHOLDER) a federal government
           employee at this job?

```
     YES ................................... 1 {BOX_07}
     NO .................................... 2 {BOX_07}
     REF .................................. -7 {BOX_07}
     DK ................................... -8 {BOX_07}
```

      HELP AVAILABLE FOR DEFINITION OF FEDERAL GOVERNMENT.

```
     -------------------------------------------------------
     |  DISPLAY '(Are/Is)' IF HP12 IS CODED '1' (CURRENTLY|
     |  EMPLOYED).  OTHERWISE, DISPLAY '(Were/Was)'.      |
     -------------------------------------------------------
```

BOX_07
======

```
          --------------------------------------------------
          |  IF ESTABLISHMENT THAT PROVIDES INSURANCE IS    |
          |  FLAGGED AS:                                    |
          |                                                 |
          |  'EMPLOYER' AND JOB SUBTYPE IS NOT 'CURRENT MAIN', |
          |  'CURRENT MISCELLANEOUS JOB WITHIN REFERENCE    |
          |  PERIOD', OR 'RETIREMENT JOB'                   |
          |  OR                                             |
          |  'EMPLOYER' AND [JOB SUBTYPE IS 'FORMER MAIN',  |
          |  'FORMER MISCELLANEOUS' OR 'LAST JOB OUTSIDE    |
          |  REFERENCE PERIOD'] AND JOB IS ALSO FLAGGED AS  |
          |  'NOT RETIRED FROM'                             |
          |  OR                                             |
          | 'PREVIOUS EMPLOYER, NOT COBRA' (I.E., HX03-CODE |
          |  '8'; HX23-CODE '9')                            |
          |  OR                                             |
          |  'EMPLOYER' (I.E., HX23-CODE '11') AND HP12 IS NOT |
          |  CODED '1' (CURRENTLY EMPLOYED)                 |
          |  OR                                             |
          |  'SPOUSE PREVIOUS EMPLOYER' (I.E., HX23-CODE '10') |
          |  OR                                             |
          |  'UNKNOWN TYPE-OUTSIDE RU' (I.E., HX23-CODE '12') |
          |  OR                                             |
          |  'UNKNOWN TYPE-COLLECTED AT OTHER' (I.E., HX23- |
          |  CODE '91'),                                    |
          |                                                 |
          |  CONTINUE WITH HP14                             |
          --------------------------------------------------


          --------------------------------------------------
          |  OTHERWISE, GO TO HP15                          |
          --------------------------------------------------
```

HP14
====

                {POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
                ESTABLISHMENT} {STR-DT}
                {END-DT}

                Some employer insurance can be continued after leaving the
                company by continuing to pay the premium. This is sometimes
                referred to as a COBRA plan.

                {Is/Was} (POLICYHOLDER)'s (ESTABLISHMENT) insurance like that
                {on (END DATE)}?

                    YES .... 1 {HP15}
                    NO .... 2 {HP15}
                    REF .... -7 {HP15}
                    DK .... -8 {HP15}

                        HELP AVAILABLE FOR DEFINITION OF COBRA.

                 | DISPLAY 'Is' IF NOT ROUND 5. DISPLAY 'Was' IF |
                 | ROUND 5. DISPLAY 'on (END DATE)' IF ROUND 5. |
                 | OTHERWISE, USE NULL DISPLAY. |

HP15
====

                {POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
                ESTABLISHMENT} {STR-DT}
                {END-DT}

                Was anyone {living here} covered as a dependent under
                (POLICYHOLDER)'s health coverage through (ESTABLISHMENT)
                at any time {since (START DATE)/between (START DATE) and
                (END DATE)}?

                    YES .... 1 {HP16}
                    NO .... 2 {HP17}
                    REF .... -7 {HP17}
                    DK .... -8 {HP17}

                        HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

28-150

```
--------------------------------------------------------
| DISPLAY 'living here' IF LOOPING ON CODE '12'        |
| (OUTSIDE RU) AT HX23.                                |
|                                                      |
| DISPLAY 'since (START DATE)' IF NOT ROUND 5.         |
| DISPLAY 'between (START DATE) and (END DATE)' IF     |
| ROUND 5.                                             |
--------------------------------------------------------
```

HP16
====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
ESTABLISHMENT} {STR-DT}
{END-DATE}

Who is that?

PROBE: Was anyone else covered as a dependent {since
(START DATE)/between (START DATE) and (END DATE)}?

        [1. First Name,[Middle Name],Last Name-35]
        [2. First Name,[Middle Name],Last Name-35]
        [3. First Name,[Middle Name],Last Name-35]

                  [Code All That Apply]

```
--------------------------------------------------------
| DISPLAY 'since (START DATE)' IF NOT ROUND 5.         |
| DISPLAY 'between (START DATE) and (END DATE)' IF     |
| ROUND 5.                                             |
--------------------------------------------------------
```

```
--------------------------------------------------------
| IF 'PERSON NOT LISTED IN RU' IS SELECTED, FLAG      |
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR    |
| AS 'COVERING PERSON NOT LISTED IN RU'.              |
--------------------------------------------------------
```

```
--------------------------------------------------------
| GO TO BOX_08                                         |
--------------------------------------------------------
```

```
 -------------------------------------------------------
| ROSTER DETAILS:                                       |
| TITLE: RU_MEMBERS_1                                   |
|                                                       |
| COL # 1 HEADER: NAME                                  |
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE,      |
| AND LAST NAMES (PERS.FULLNAME)                        |
 -------------------------------------------------------


 -------------------------------------------------------
| ROSTER DEFINITION:                                    |
| THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION|
| OF RU-MEMBERS.                                        |
 -------------------------------------------------------


 -------------------------------------------------------
| ROSTER BEHAVIOR:                                      |
| 1. MULTIPLE SELECT ALLOWED.                           |
|                                                       |
| 2. ADD, DELETE, AND EDIT DISALLOWED.                  |
 -------------------------------------------------------


 -------------------------------------------------------
| ROSTER FILTER:                                        |
| DISPLAY ALL PERSONS ON THE RU-MEMBERS-ROSTER          |
| EXCLUDING THE PERSON WHO IS THE POLICYHOLDER FOR      |
| THIS INSURANCE; THAT IS, DO NOT DISPLAY THE NAME      |
| OF PERSON IN THE ESTABLISHMENT-PERSON-PAIR BEING      |
| ASKED ABOUT.                                          |
 -------------------------------------------------------


 -------------------------------------------------------
| DISPLAY 'PERSON NOT LISTED IN RU' AS LAST ITEM ON |
| ROSTER.                                               |
 -------------------------------------------------------
```

HP17
====

                  {POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
                  ESTABLISHMENT} {STR-DT}
                  {END-DT}

                  {Does/Between (START DATE) and (END DATE), did} (POLICYHOLDER)'s
                  health coverage through (ESTABLISHMENT) cover as dependents any
                  persons who do not live here?

                       YES .... 1 {BOX_08}
                       NO .... 2 {BOX_08}
                       REF .... -7 {BOX_08}
                       DK .... -8 {BOX_08}

                         HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

                  | DISPLAY 'Does' IF NOT ROUND 5. DISPLAY 'Between |
                  | (START DATE) and (END DATE), did' IF ROUND 5. |

                  | IF CODED '1' (YES), FLAG INSURANCE THROUGH THIS |
                  | ESTABLISHMENT-PERSON-PAIR AS 'COVERING PERSON NOT |
                  | LISTED IN RU' IN HP16. |

BOX_08
======

                  | IF THERE ARE NO POLICYHOLDERS OR DEPENDENTS WHO |
                  | ARE CURRENT RU MEMBERS, THAT IS, POLICYHOLDER IS A|
                  | DU MEMBER BUT NOT A CURRENT RU MEMBER, OR IS |
                  | FLAGGED AS 'NOT LISTED IN DU' OR 'POLICYHOLDER |
                  | DECEASED' AND INSURANCE ALSO FLAGGED ONLY AS |
                  | 'COVERING PERSON NOT IN RU', GO TO END_LP02 |

                  | OTHERWISE, CONTINUE WITH LOOP_03 |

LOOP_03
=======

```
 ------------------------------------------------------
|  FOR EACH  ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD-  |
|  PERS-TRPLS-ROSTER, ASK BOX-09-END_LP03            |
 ------------------------------------------------------
```

```
 ------------------------------------------------------
|  LOOP DEFINITION: LOOP_03 COLLECTS TIME PERIOD      |
|  COVERAGE FOR ALL CURRENT RU MEMBERS COVERED BY THE|
|  INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR.  |
|  THIS LOOP CYCLES ON CURRENT RU MEMBERS WHO ARE     |
|  SELECTED AS DEPENDENTS AT HP16 AND THE RU MEMBER   |
|  WHO IS FLAGGED AS THE POLICYHOLDER FOR THIS        |
|  INSURANCE.                                         |
 ------------------------------------------------------
```

BOX_09
======

```
 ------------------------------------------------------
|  ASK THE TIME PERIOD COVERED DETAIL (HQ) SECTION.   |
|                                                      |
|  AT COMPLETION OF TIME PERIOD COVERED DETAIL (HQ)   |
|  SECTION, CONTINUE WITH END_LP03                    |
 ------------------------------------------------------
```

END_LP03
========

```
 ------------------------------------------------------
|  CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR-      |
|  COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS   |
|  STATED IN THE LOOP DEFINITION.                     |
 ------------------------------------------------------
```

```
 ------------------------------------------------------
|  IF NO OTHER PERSONS MEET THE STATED CONDITIONS,    |
|  END LOOP_03 AND CONTINUE WITH END_LP02             |
 ------------------------------------------------------
```

END_LP02
========

```
---------------------------------------------------------
| CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-|
| PAIRS-ROSTER WHO MEETS THE CONDITIONS STATED IN   |
| THE LOOP DEFINITION.                              |
---------------------------------------------------------

---------------------------------------------------------
| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |
| LOOP_02 AND CONTINUE WITH BOX_10                  |
---------------------------------------------------------
```

BOX_10
======

```
---------------------------------------------------------
| IF LOOPING ON AN ESTABLISHMENT FLAGGED IN         |
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT |
| FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, GO |
| TO END_LP01                                       |
---------------------------------------------------------

---------------------------------------------------------
| OTHERWISE, CONTINUE WITH HP18                     |
---------------------------------------------------------
```

HP18
====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF
ESTABLISHMENT} {STR-DT}
{END-DT}

Aside from (POLICYHOLDER)'s (ESTABLISHMENT) insurance, is there
another health insurance plan that anyone in the family obtains
from (a/an) {CATEGORY NAME FROM HX03 OR HX23}?

```
        YES ..................................... 1 {END_LP01}
        NO ...................................... 2 {END_LP01}
        REF ..................................... -7 {END_LP01}
        DK ...................................... -8 {END_LP01}
```

```
      -------------------------------------------------------
      |  FOR 'CATEGORY NAME FROM HX03 OR HX23', DISPLAY    |
      |  THE CATEGORY TEXT FROM HX03 OR HX23.  SEE NOTE BOX|
      |  AT BEGINNING OF HP SECTION FOR DETAILS.           |
      -------------------------------------------------------
```

END_LP01
========

```
      -------------------------------------------------------
      |  IF HP18 IS CODED '1' (YES), CYCLE TO COLLECT NEXT |
      |  ESTABLISHMENT NAME.                               |
      -------------------------------------------------------
```

```
      -------------------------------------------------------
      |  IF HP18 IS NOT ASKED OR IS CODED '2' (NO), '-7'   |
      |  (REFUSED), OR '-8' (DON'T KNOW), END LOOP_01 AND   |
      |  CONTINUE WITH BOX_11                              |
      -------------------------------------------------------
```

BOX_11
======

```
      -------------------------------------------------------
      |  RETURN TO THE HEALTH INSURANCE (HX) SECTION.      |
      -------------------------------------------------------
```