## Appendix A: Overview of Data Collection and Analysis

## Exhibit A-1: Planned Data Collection

| Source | Mode | Sample | Topics |
| :---: | :---: | :---: | :---: |
| States | Administrative data (supplemented by Common Core Data) | 16 States | - Description of schools applying and selected for the FFVP <br> - FFVP implementation costs (detail for sample schools) |
|  | Web survey | All 54 State Agencies | - Description of FFVP selection process and criteria, summary data on results <br> - State policies, recommended practices, curricula and materials, and oversight <br> - Programs for purchase of foods for the FFVP <br> - Non-Federal partners <br> - FFVP implementation costs (State totals including State administration) |
| School Food Authorities | Web survey | SFAs responsible for 704 (256+448) selected schools <br> Some separate modules for FFVP schools | Satisfaction with the FFVP and specific fruits/vegetables <br> - FFVP distribution: methods and locations, days per week, time of day, duration, frequency <br> - FFVP foods offered <br> - Non-Federal partners (district level) <br> - Changes to SBP and NSLP menus <br> - SBP and NSLP meal counts <br> - Vending machines and other competitive food sales by school food service |
| School principals | Web survey | Regression discontinuity (RD) sample of FFVP and comparison schools, N=256 <br> Supplementary sample of FFVP schools, N=448 <br> Some separate modules for FFVP schools | - Satisfaction with the FFVP and specific fruits/vegetables <br> - Non-Federal partners (school level) <br> - Nutrition education and promotion <br> - Vending machines and other food sales competing with school food service |
| School environment | Observation, including photographs | RD sample of schools, $\mathrm{N}=256$ | - FFVP distribution <br> - Presentation of fruits and vegetables served at school breakfast and lunch <br> - Nutrition education and promotion |
| School food service managers | Interview/Selfadministered questionnaire | RD sample, $\mathrm{N}=256$ | Satisfaction with the FFVP and specific fruits/vegetables <br> Data for days of visit: FFVP foods offered SBP and NSLP menus |
| Teachers | Cover sheet questionnaire for parental releases | Three per school in RD school sample, $\mathrm{N}=768$ | - Satisfaction with the FFVP |
| Students | Interview Food diary/ assisted recall; selfadministered questionnaire | 24 per school in RD analytic (final) sample, $\mathrm{N}=6,144$ | - Demographic characteristics <br> - FFVP participation <br> - Reasons for nonparticipation <br> - Perceptions, attitudes, familiarity, preferences regarding the FFVP <br> - All foods/drinks consumed during sample 24hour period: description, time, place, amount |
| Parents | Cover sheet questionnaire for consent form | 24 per school in RD analytic (final) sample, $\mathrm{N}=6,144$ | - Satisfaction with the FFVP <br> - Student demographic characteristics |

Exhibit A-2: Outcome Measures and Planned Analysis

| Research Question | Outcome Measures | Data Sources for Outcome Measures | Planned Analyses |
| :---: | :---: | :---: | :---: |
| Topic Area 1: Description of participating schools |  |  |  |
| What are the characteristics of the schools in each State that applied to participate in the FFVP for School Year? | School characteristics: grade range, enrollment, urban/ suburban/ rural, census region, percent FRPSL, racial /ethnic composition | State databases + Common Core Database for 16 States | - Tabulations <br> - Nationally representative |
| For the School Years 2009-10 and 2010-11, what are the characteristics of the schools that were selected in each State to participate and how do they compare to those that were not selected? To other schools in the State or district? | School characteristics as above | As above | - Tabulations comparing selected schools with other applicants and nonapplicants. <br> - Nationally representative. |
| Topic Area 2: Description of participating students (Students attending FFVP schools) |  |  |  |
| At FFVP schools, how do characteristics of regular FFVP participants compare to those of occasional participants and to those students who rarely participate? Never participate? | Student characteristics: grade level, sex, FRPSL status, race/ethnicity | Student interviews (4th, 5th, 6th graders) | Tabulations comparing regular, occasional, rare participants and nonparticipants |
| For children that typically did not participate, why not? | Reasons for nonparticipation among rare participants and nonparticipants | As above | Tabulations |
| What proportion of children in FFVP schools participated (i.e., obtained and consumed fruits and/or vegetables)? With what frequency? | - Ever participate <br> - Frequency of participation | As above | Tabulations |
| What is the level of intake of fresh fruits and vegetables eaten by children through the FFVP? At school? And, in total, of fresh fruits and vegetables (including outside of school hours on school days)? | Mean and distribution of servings consumed of (a)fresh fruits <br> (b)fresh vegetables <br> - at school <br> - over 24 hours | Student interviews + assisted dietary recalls | Tabulations, by student characteristic (grade level, sex, FRPSL status, race/ethnicity) |
| Topic Area 3: Description of FFVP implementation |  |  |  |
| What are the methods of fresh fruit/vegetable distribution for the fresh fruit and vegetable program in schools (e.g., kiosk, classroom, midafternoon snack, etc.)? What percent of schools use each method? What is the frequency of use of each of these distribution methods? How many schools use multiple methods of distribution? What are the combinations of multiple methods, and what is the frequency of use of each of these combinations? | Modes and combinations of modes of distribution | SFA web survey (full spectrum of FFVP schools in 16 States). | - Tabulations <br> - Nationally representative |
| What fruits and vegetables are offered through FFVP? How frequently are each of these foods offered? How many schools offer multiple choices of foods on a school day? What is the frequency of use of the common combinations of multiple foods? | Frequencies of <br> - offering specific foods <br> - numbers of choices per day <br> - common combinations of foods offered per day | As above. | - Tabulations <br> - Nationally representative |
| The FFVP encourages participating schools to partner with non-Federal entities to promote successful implementation. What proportions of FFVP schools have non-Federal partners, who are these partners, what is the distribution by number of partners? | - Use of non-Federal partners <br> - Types of non-Federal partners <br> - Numbers of non-Federal partners | As above | - Tabulations <br> - Nationally representative |

Exhibit A-2: Outcome Measures and Planned Analysis

| Research Question | Outcome Measures | Data Sources for Outcome Measures | Planned <br> Analyses |
| :---: | :---: | :---: | :---: |
| What are the distribution patterns of fresh fruit/vegetable offerings in participating schools (days per week, time of day, duration, frequency, and exposure of fresh fruits/vegetables offerings)? | FFVP distribution characteristics, as listed | SFA web survey, school principal web survey | - Tabulations <br> - Nationally representative |
| How many schools offer FFVP at multiple times during the school day? What is the frequency of use of the common combinations of multiple times of food offering? | Frequencies of times of day, combinations of times of day | As above | - Tabulations <br> - Nationally representative |
| At FFVV schools, what is the distribution of locations for offering FFVP foods? How many schools offer FFVP foods at multiple locations in the school? What is the frequency of use of the common combinations of multiple locations for offering FFVP foods? | Frequencies of locations, combinations of locations | As above | - Tabulations <br> - Nationally representative |
| What level of nutrition education or other promotion accompanies the FFVP in participating schools? Does this represent a change from prior to FFVP implementation? | - Characteristics of nutrition education <br> - Reported changes in nutrition education in since FFVP | As above + school environmental audit | - Tabulations <br> - Nationally representative |
| What products (e.g., types of fresh fruits and vegetables) are provided, offered, and consumed through the FFVP? | Specific fruits and vegetables provided, offered, consumed | As above | - Tabulations <br> - Nationally representative |
| What quantity (per quarter, per school year, and per student) of fresh fruits and vegetables was purchased by the school and what quantity was taken and consumed by students? | Mean amounts of specific fruits and vegetables purchased, taken, consumed | - Purchased: as above <br> - Taken/consumed: student interviews with 4th, 5th, 6th graders in regression discontinuity sample of schools | Tabulations |
| What roles do the partners play in promoting the goals of FFVP, and what level of resources are they providing to each school? | - Partner roles - Partner resources | SFA web survey | - Tabulations <br> - Nationally representative |
| What are the costs of implementation (e.g., labor, storage, products especially food, supplies, etc.)? | Mean total implementation costs and components | As above | - Tabulations <br> - Nationally representative |
| To what extent was the FFVP favorably viewed by parents, school administrators and teachers, and school food service staff? | Overall level of satisfaction for each group | - Parents: consent form <br> - School administrators: school principal web survey <br> - Teachers: principal survey <br> - School food service staff: SFA web survey, interview/ survey with school food service manager | Tabulations |
| To what extent are specific fresh fruits and vegetables offered through FFVP favorably viewed? | Level of satisfaction with specific fruits and vegetables for each group | As above | Tabulations |

Exhibit A-2: Outcome Measures and Planned Analysis

| Research Question | Outcome Measures | Data Sources for Outcome Measures | Planned <br> Analyses |
| :---: | :---: | :---: | :---: |
| Topic Area 4: Impacts on school environment |  |  |  |
| What was the impact of the FFVP on the types of foods offered in vending machines and in other venues in schools? | Reported current levels and recent changes in types of foods offered in vending machines, bake sales, a la carte | SFA web survey, school principal web survey, and school food service manager survey for treatment and comparison schools in regression discontinuity sample | Tabulations |
| What was the impact of FFVP participation on the number or types of fruits and vegetables offered and taken (i.e., served to students) in school meals in participating schools? | Reported current levels and recent changes in numbers and types of fruits on SBP and NSLP menus | SFA web survey and school food service manager survey for treatment and comparison schools in regression discontinuity sample | Tabulation |
| What was the impact of FFVP participation on the number of school meals served (NSLP and SBP)? | Current and two years prior numbers of SBP and NSLP meals served over comparable 3-month period | SFA web survey for treatment and comparison schools in regression discontinuity sample | Tabulation |
| What was the impact of FFVP participation on the range of choices in vending machines and other venues in schools? | Current range of choices and recent changes in vending machines, bake sales, a la carte | SFA web survey, school principal web survey, school food service manager survey, and school observational audit for treatment and comparison schools in regression discontinuity sample | Tabulations |
| What was the impact of the provision of fresh fruits and vegetables on the quantity of food purchased from other venues (e.g. - vending machines, bake sales, a la carte sales, etc...) and consumed by children? | Current and two years prior dollar value of foods purchased from vending machines, bake sales, a la carte over comparable 3-month period | SFA web survey, for treatment and comparison schools in regression discontinuity sample | Tabulations |
| Topic Area 5: Main impacts of FFVP on child outcomes |  |  |  |
| What is the impact of FFVP participation on the consumption of fresh fruits and vegetables by children during the school day, overall, and by demographic subgroup, and time of day, on days in which the FFVP provides fresh fruits and/or vegetables to children? What are the quantitative (e.g. - MyPyramid equivalents) and relative percent changes? | Mean servings of fresh fruits, fresh vegetables consumed by children during the school day <br> - morning <br> - lunchtime <br> - afternoon <br> - total | Assisted dietary recalls for 4th, 5th, 6th graders in regression discontinuity sample of schools | Regression discontinuity analysis, for all children and for subgroups defined by grade, sex, race/ ethnicity, FRPSL status |
| What impact does the FFVP have on the usual level of student intake of fresh fruits and vegetables over a 24 -hour period on school days? Analyses shall include a breakout of fresh fruits alone, fresh vegetables alone, all fruits alone, all vegetables alone, fresh fruits and fresh vegetables together, and all fruits and vegetables together. Analyses shall also include whether there are differential impacts by student socioeconomic and demographic characteristics. | Mean and distribution of servings over 24-hour period on school days of <br> - fresh fruits <br> - fresh vegetables <br> - all fruits <br> - all vegetables <br> - fresh fruits and vegetables <br> - all fruits and vegetables | As above | Regression discontinuity analysis, for all children and for subgroups defined by grade, sex, race/ ethnicity, Free and Reduced-Price Lunch (FRPSL) status |

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| Research Question | Outcome Measures | Data Sources for Outcome Measures | Planned <br> Analyses |
| :---: | :---: | :---: | :---: |
| What is the impact of FFVP on children's consumption of foods other than FFV? What foods are replaced? To what extent are they replaced? | Mean and distribution of servings over 24-hour period and at school on school days of other food groups ${ }^{\text {a }}$ | As above | Regression discontinuity analysis, for all children and for subgroups defined by grade, sex, race/ ethnicity, FRPSL status |
| What is the impact of FFVP on the degree to which total consumption below, at, or above FNS' school meal guidelines and other standards (such as, Dietary Guidelines for Americans or MyPyramid levels)? | - Percentage of children above/below: <br> - FNS school meal guidelines ${ }^{\text {b }}$ <br> - DGAs ${ }^{\text {c }}$ <br> - MyPyramid leveld ${ }^{\text {d }}$ | As above | Regression discontinuity analysis, for all children and for subgroups defined by grade, sex, race/ ethnicity, FRPSL status |
| What is the impact of FFVP on total consumption above, at, or below the relevant Dietary Reference Intakes for Americans, on the HEI2005, on inadequate and excessive nutrient intake, and on consumption within the AMDR's (Acceptable Macronutrient Distribution Range) | - Percentage of children above/below DRIse, with inadequate/ excessive nutrient intake, ${ }^{\dagger}$ with consumption above/below AMDRs ${ }^{9}$ <br> - Mean Healthy Eating Index (HEI)-2005 score and components ${ }^{h}$ | As above | Regression discontinuity analysis, for all children and for subgroups defined by grade, sex, race/ ethnicity, FRPSL status |
| What is the impact of FFVVP participation on perceptions of, attitudes toward, familiarity with, and preferences for, fresh fruits and vegetables among children in participating schools? | Indexes of perceptions, attitudes, familiarity, preferences | Interviews with 4th, 5th, 6th graders in regression discontinuity sample of schools | Regression discontinuity analysis, for all children and for subgroups defined by grade, sex, race/ ethnicity, FRPSL status |
| Topic Area 6: Contextual effects of nutrition education and FFVP delivery system |  |  |  |
| Does nutrition education and/or nutrition promotion (as currently available at school and other venues) play a role in changing children's consumption of fresh fruits and vegetables, modifying fresh fruit and vegetable consumption in response to the FFVP and in generating other observed outcomes? To what extent? | Mean and distribution of servings of fresh fruits, fresh vegetables during the school day and over 24hour period | Assisted dietary recalls for 4th, 5th, 6th graders in regression discontinuity sample of schools | Tabulations and exploratory regressions |
| What is the relationship of the specific fruits and vegetables offered and the characteristics of the FFVP delivery (method, timing, location, frequency, etc...) to (1) changes in the HEL-2005 and its components, (2) changes in inadequate and excessive nutrient intake, and (3) changes in consumption within the AMDR's (Acceptable Macronutrient Distribution Range) | - HEI-2005 score <br> - HEI-2005 components, as above <br> - Inadequate nutrient intake, as above <br> - Excessive nutrient intake, as above <br> - AMDRs, as above | Assisted dietary recalls for 4th, 5th, 6th graders in regression discontinuity sample of schools | Tabulations and exploratory regressions |
| Is [the number of school meals served (NSLP and SBP)] affected by the particular fresh fruit or vegetable offered through FFVP? | - Number of SBP meals served <br> - Number of NSLP meals served | - SFA web survey <br> - Assisted dietary recalls for 4th, 5th, 6th graders in regression discontinuity sample of schools | Tabulations and exploratory regressions |

## Exhibit A-2: Outcome Measures and Planned Analysis

| Research Question | Outcome Measures | Data Sources for <br> Outcome Measures | Planned <br> Analyses |
| :--- | :--- | :--- | :--- |
| How does [student] participation vary in response <br> to the specific fresh fruit(s) and vegetable(s) <br> offered? | Student participation | SFA web survey <br> Assisted dietary <br> recalls for 4th, 5th, | Tabulations and <br> exploratory <br> regressions |
| 6th graders in |  |  |  |$\quad$.

${ }^{\text {a }}$ Sample list: white potatoes, fruit juice, milk and dairy, meat and beans, whole grains, and less desirable "discretionary" foods (such as sweetened beverages, fast foods, snack foods, sweets including cookies, cakes, candy, and other energy-dense, nutrient poor choices).
${ }^{\text {b }}$ Sample list: no more than $30 \%$ of calories from fat, less than $10 \%$ of calories from saturated fat, one third of RDAs for protein, vitamin A, vitamin C, iron, calcium.
${ }^{\text {c }}$ Sample list: variety of fruits and vegetables each day, five subgroups of vegetables (dark green, orange, legumes, starchy vegetables, and other vegetables) several times a week, 3 cups per day of fat-free or low fat milk or equivalent milk products for children aged 9 and older, total fat intake between $20-25 \%$ of calories (most from poly- and monounsaturated fats).
${ }^{\text {d }}$ Sample list (per 1800 calorie intake): 6 ounces of grains, of which half are whole-grain, $21 / 2$ cups of vegetables (including./excluding fried potatoes), $11 / 2$ cups of fruit (excluding/excluding fruit juice), 3 cups of milk, 5 ounces of meat and beans.
${ }^{e}$ Sample list of nutrients for analysis: calories, calcium, iron, zinc, magnesium, beta carotene, vitamin A, vitamin C, folate, sodium, fiber.
${ }^{\mathrm{f}}$ Sample list of nutrients for analysis: protein, vitamin A, vitamin C, iron, calcium, calories, sodium, beta carotene, folate, fiber.
${ }^{g}$ Values for children are: less than $10 \%$ / more than $30 \%$ of calories from protein, less than $25 \%$ / more than $35 \%$ of calories from fat, and less than $45 \%$ / more than $65 \%$ of calories from carbohydrate.
${ }^{\text {h }}$ Components of HEI-2005 are: total fruit (including $100 \%$ juice); whole fruit (not juice); total vegetables; dark green and orange vegetables and legumes; total grains; whole grains; milk and milk products, meat and beans, oils, saturated fat, sodium, and calories from solid fat, alcohol, and added sugar. (P. M. Guenther et al., Healthy Eating Index-2005 (CNLPP Fact Sheet No. 1), USDA Center for Nutrition Policy and Promotion, June 2008. Retrieved September 17, 2009 from http://www.cnpp.usda.gov/Publications/HEI/ healthyeatingindex2005factsheet.pdf.)

