## Attachment J: Resident Selection Questionnaire

Form Approved
OMB No. 0920-0780
Exp. Date __xx/xx/20xx
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| Question number | SamplingResident  <br>  Question item | Code categories | Resident Sampling skip pattern |
| :---: | :---: | :---: | :---: |
| P_CHECK | INTERVIEWER ENTER WHAT STAGE YOU HAVE REACHED IN INTERVIEWING THIS FACILITY. | 1 HAVE COMPLETED THE FACILITY QUESTIONNAIRE 2 STARTED THE FACILITY QUESTIONNAIRE, IT IS NOT COMPLETE 3 HAVE NOT STARTED THE FACILITY QUESTIONNAIRE | All facilities |
| P_FA1 | This is the first question included in the Pre-interview Worksheet that we mailed to your facility. If you have that form available it would be helpful to reference that now. <br> At this facility, what is the number of licensed, registered, or certified residential care beds? <br> INTERVIEWER: ACCORDING TO THE SCREENER, FACILITY HAS 4 OR MORE BEDS. IF FACILITY RESPONDENT TELLS YOU A NUMBER LESS THAN 4, PLEASE CLARIFY. | $1 . .995$ | P_CHECK=3 |
| P_FA1 (alternate wording) | I will now re-ask a question I asked earlier. This is because the information is needed for this next part of the interview. <br> At this facility, what is the number of licensed, registered, or certified | 1-995 | $\begin{aligned} & \text { P_CHECK=1 } \\ & \text { OR } 2 \end{aligned}$ |


|  | residential care beds? <br> INTERVIEWER: ACCORDING TO THE SCREENER, FACILITY HAS 4 OR MORE BEDS. IF FACILITY RESPONDENT TELLS YOU A NUMBER LESS THAN 4, PLEASE CLARIFY. |  |  |
| :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { P_FA1_CONF } \\ & \text { IRM } \end{aligned}$ | Can you confirm that your facility has less than 4 beds? | $\begin{array}{\|l\|} \hline 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | P_FA1=<4 |
| $\begin{aligned} & \text { P_FA1_ABOR } \\ & \mathrm{T}^{-} \end{aligned}$ | I am sorry but your facility is not eligible for this study. Thank you for your time. | 1 CONTINUE | $\begin{aligned} & \text { P_FA1_CONF } \\ & \text { IRM=1 } \end{aligned}$ |
| P_FA1a | CAN'T ANSWER IN BEDS BECAUSE FACILITY IS LICENSED BY THE NUMBER OF UNITS, ASK: <br> Thank you. In that case, what is the number of licensed, registered, or certified residential care rooms and apartments at this facility? <br> CAPI CONVERTS LICENSED UNITS TO LICENSED BEDS BY MULTIPLYING P_FA1a BY STATESPECIFIC CONVERSION FACTOR PRELOADED WITH THIS FACILITY. | 1-995 | P_FA1= UNKNOWN BECAUSE LICENSED BY UNITS |
| P_INTRO_A | To help make a random selection of residents for our resident interviews, can you tell me the number of residents living in this residential care facility as of midnight last night? <br> ENTER CURRENT NUMBER OF RESIDENTS. | 1.. 995 | P_CHECK = 1 |
| P_INTRO_B | I will be able to complete the interviews about the residents now and come back to complete the facility information later. I'll need your help for a few more minutes right now. <br> What is the current number of residents living at this residential care facility as of midnight last night? <br> ENTER CURRENT NUMBER OF RESIDENTS. | 1..995 | P_CHECK = 2 |


| P_INTRO_C | In order to obtain national level data about the residents of residential care facilities such as this one, we are collecting information from a sample of current residents. I will be asking questions about the background, health status, and charges for each sampled resident. I will need your help for a few minutes right now. What is the current number of residents living at this residential care facility as of midnight last night? <br> ENTER CURRENT NUMBER OF RESIDENTS. | $1 . .995$ | P_CHECK = 3 |
| :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { P_INTRO_OT } \\ & \text { HER } \end{aligned}$ | The next process is sampling the residents. In order to protect the confidentiality of your residents, I will need to work with someone who can best assist with selecting the sample from a list and locating staff who are familiar with the sampled residents Are you the best person to help the resident sampling process? <br> INTERVIEWER: ASK FOR A SUBSTITUTE IF ONE IS AVAILABLE, TO ANSWER THESE QUESTIONS. <br> OTHERWISE, USE ALT-X TO BREAKOFF THIS INTERVIEW IF NO ONE ELSE IS AVAILABLE OR IF ADMINISTRATOR NEEDS A BREAK FOR DUTIES OR OTHER REASONS. | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | All facilities |
| $\begin{aligned} & \text { P_INTRO_ST } \\ & \text { ART } \end{aligned}$ | We'll begin by selecting a sample of your residents. Because this is a statistical survey, I will need to follow procedures to do this. To start, I will ask you to provide a list of the current residents as of midnight last night. The sampling procedures do not require the full name of the residents. I will only record the initials of each resident. Each resident should be listed on a separate line. If you list by rooms or apartments, I will ask you how many residents are currently in each of the rooms or apartments. <br> Then, I will briefly review the list with | 1 CONTINUE | All facilities |


|  | you. Finally after I enter the total number of current residents, the computer program will randomly choose which residents we will include in the sample. |  |  |
| :---: | :---: | :---: | :---: |
| P_CONFIRM | INTERVIEWER: WHEN YOU GET THE LIST FROM THE RESPONDENT, READ: <br> I just need to confirm that this is a complete list of all the residents as of midnight last night. <br> INTERVIEWER: LOOK AT THE LIST TOGETHER WITH THE RESPONDENT. <br> Please take a moment to check for anyone on the list who was not a resident as of midnight last night or who is younger than 18 years old. Also check for new residents who might not be on the list but were admitted before midnight last night. <br> To the best of your knowledge, is this list complete and accurate? <br> INTERVIEWER: IF NO, ASK THE RESPONDENT TO MAKE ANY ADJUSTMENTS NECESSARY TO THE LIST. YOU WILL NOT BE ABLE TO PROCEED UNTIL THE LIST IS CORRECT AND THE RESPONSE IS YES. | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All facilities |
| $\begin{aligned} & \text { P_ENUMERA } \\ & \text { TE } \end{aligned}$ | NUMBER THE RESIDENTS BEGINNING WITH 1. IF THERE ARE MULTIPLE PAGES OF RESIDENTS, THEN CONTINUE NUMBERING THE NEXT PAGE WHERE YOU LEFT OFF. THE PROGRAM WILL MAKE A RANDOM SELECTION WHICH WILL REFER TO YOUR PERSON-LEVEL NUMBERING. <br> WHEN YOU ARE DONE NUMBERING, PRESS 1 TO CONTINUE | 1 CONTINUE | All facilities |
| P_UNIT_RES | INTERVIEWER: <br> IS THE LIST BY RESIDENTS OR | 1 RESIDENTS-GO TO SELECTION BY RESIDENT 2 ROOMS/APARTMENTS- | All facilities |


|  | ROOMS/APARTMENTS | GO TO SECTION BY ROOMS/APARTMENTS |  |
| :---: | :---: | :---: | :---: |
| P_ASK_R | I have counted $\{n$ lines $\}$ on the list. Would you say that is an accurate number of residents? <br> INTERVIEWER: IF THE RESPONSE IS NO THEN CORRECT AND RENUMBER THE LIST BEFORE ENTERING YES TO CONTINUE. | $\begin{array}{\|l\|} \hline 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | $\begin{aligned} & \begin{array}{l} \text { P_UNIT_RES } \\ =1 \end{array} \end{aligned}$ |
| P_NUM_R | INTERVIEWER: <br> ENTER THE TOTAL NUMBER OF RESIDENTS ON THE CONFIRMED LIST. | 1..999 | P_ASK_R = 1 |
| P_ASK_U | I have counted (n) rooms/apartments. Would you say that is an accurate number of rooms/apartments? | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | $\begin{aligned} & \text { P_UNIT_RES } \\ & =2 \end{aligned}$ |
| p_end | PLEASE PRESS "1" AND ENTER TO CONTINUE. | 1 CONTINUE | All facilities |
| S_Start | PLEASE PRESS "1" AND ENTER TO SELECT RESIDENTS AT THIS FACILITY. | 1 CONTINUE | All facilities |
| S_ResinUnit | How many residents are in room/apartment- List [1]? | 1-10 | $\begin{aligned} & \text { P_UNIT_RES } \\ & =2 \end{aligned}$ |
| $\begin{aligned} & \mathrm{S}_{-} \mathrm{CHOOSE} \\ & \mathrm{R}_{-} \end{aligned}$ | INTERVIEWER: THIS DISPLAY TELLS YOU WHICH ARE YOUR SELECTED CASES. <br> MARK YOUR LISTS NOW BY CIRCLING THE SELECTED CASES. <br> NOW SAY TO YOUR RESPONDENT: <br> The computer program has identified the following residents [READ RESIDENTS SELECTED]. To complete interviews about these selected residents, I would like to speak with a caregiver who knows about them. Our Resident Questionnaire asks about services provided to the resident and a little about his or her background. I can interview different caregivers for different residents. I | 1 CONTINUE | All facilities |


|  | would like your help arranging to speak with the caregiver in a private place or some place where we won't be disturbed. The Resident Questionnaire takes about 20 minutes for each selected resident. It will also be helpful if the resident records for each of the residents selected could be retrieved before we begin these interviews. |  |  |
| :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { S_RESIDENTI } \\ & \mathrm{D}^{-} \end{aligned}$ | Here I will record a description of our selected residents. <br> INTERVIEWER: ENTER THE FIRST AND LAST INITIALS OF THE SAMPLED RESIDENTS. NO OTHER INFORMATION ABOUT THE RESIDENTS SHOULD BE ENTERED HERE. | 1-6 SAMPLED RESIDENTS INITIALS | All facilities |
| S_End | PLEASE PRESS "1" AND ENTER TO CONTINUE. | 1 CONTINUE | All facilities |
| S_THANKU | Thank you, now we can proceed with the Resident portion of the study. | 1 CONTINUE | All facilities |

