## Attachment K: Resident Data Collection Questionnaire

Form Approved
OMB No. 0920-0780
Exp. Date __xx/xx/20xx
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| Question number | Resident Survey Question item | Code categories | Resident asked and Skip pattern |
| :---: | :---: | :---: | :---: |
| R_A_INTRO1 | INTERVIEWER READ ONLY ONCE FOR EACH RESPONDENT: <br> In order to obtain national level data about the residents of residential care facilities such as this one, we are collecting information from a sample of current residents. I will be asking questions about the background, health status, and charges for each sampled resident. The information you provide will be held in strict confidence and will be used only by persons involved in the survey and only for the purpose of the survey. The interview for each of the selected residents should take about 15 minutes to complete. <br> IF NEEDED, HAND R CONFIDENTIALITY BROCHURE. | 1 CONTINUE | All facilities |
| ```R_A_INTRO1 A``` | INTERVIEWER: MAKE SURE THE RESPONDENT KNOWS WHICH RESIDENT WAS SAMPLED FOR THIS INTERVIEW. TELL THE RESPONDENT THAT YOU WILL BE REFERRING TO THE RESIDENT BY THEIR INITIALS THROUGHOUT THE INTERVIEW. <br> Now I am going to ask questions about the following resident - [RESIDENT INITIALS]. | 1 CONTINUE | All residents |


| R_A_INTRO2 | Do you have the resident records for [RESIDENT INITIALS]? <br> (You may want to use the resident file in answering a few of the questions in this survey. If you have not retrieved the records and would like to do so now, I can wait a few minutes while you obtain them.) | 1 RECORD OBTAINED 2 RECORD NOT OBTAINED | All residents |
| :---: | :---: | :---: | :---: |
| R_A1 | Please tell me [RESIDENT INITIALS] gender? | 1 MALE 2 FEMALE | All residents |
| R_A3 | Is [RESIDENT INITIALS] of Hispanic, Latino, or Spanish origin or descent? | $\begin{aligned} & 1 \text { YES } \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_A2 | Please tell me [RESIDENT INITIALS] age? | $0 . .120$ | All residents |
| ENDINT | I am sorry but our survey is about residents that are 18 or older. Since this person is not eligible, I won't complete an interview for this particular resident. I need to check my records for any other selected residents for whom you were identified as a caregiver. | 1 CONTINUE | R_A2 = < 18 |
| R_A4 | HAND R SHOWCARD <br> Which one or more of the following would you say is [RESIDENT INITIALS] race? <br> SELECT ALL THAT APPLY | 1 <br> WHITE/CAUCASIAN <br> 2 BLACK OR <br> AFRICAN <br> AMERICAN <br> 3 ASIAN <br> 4 HAWAIIAN OR <br> OTHER PACIFIC <br> ISLANDER <br> 5 AMERICAN <br> INDIAN OR ALASKA <br> NATIVE | All residents |
| R_A5 | What is the highest grade or level of education [RESIDENT INITIALS] completed...? <br> High school or less or Some college or more | 1 High school or less 2 Some college or more | All residents |
| R_A6 | Is [RESIDENT INITIALS] currently married, divorced, legally separated, widowed, or never married? | 1 Married <br> 2 Divorced <br> 3 Legally separated <br> 4 Widowed <br> 5 Never married | All residents |
| R_A7 | How well does [RESIDENT INITIALS] speak English...? | 1 Excellent 2 Very well | All residents |


|  | Excellent <br> very well <br> well <br> fair <br> poor or not at all | 3 Well <br> 4 Fair <br> 5 Poor or not at all 6 DOES NOT SPEAK BECAUSE OF A DISABILITY, OR SEVERE DEMENTIA |  |
| :---: | :---: | :---: | :---: |
| R_A8a | Overall, is [RESIDENT INITIALS] health...? <br> Excellent <br> very good <br> good <br> fair or <br> poor | 1 Excellent 2 Very Good 3 Good 4 Fair 5 Poor | All residents |
| R_A9 | HAND R SHOWCARD <br> Which of these places best describes [RESIDENT INITIALS] living quarters? | 1.ROOM DESIGNED <br> FOR ONE PERSON <br> 2. ROOM <br> DESIGNED FOR <br> TWO PERSONS <br> 3. ROOM <br> DESIGNED FOR <br> THREE OR MORE <br> PERSONS <br> 4. STUDIO <br> APARTMENT <br> 5 ONE BEDROOM <br> APARTMENT <br> 6. TWO BEDROOM <br> APARTMENT <br> 7. THREE <br> BEDROOM <br> APARTMENT | All residents |
| R_A10 | Does [RESIDENT INITIALS] currently share this (room/apartment) with another person? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_A11 | Is this person [RESIDENT INITIALS] spouse or other relative? | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | If R_A10=1 |
| R_A12 | How many other residents not counting [RESIDENT INITIALS] live in the (room/apartment)? | 1 ONE OTHER PERSON 2 TWO OR MORE OTHER PERSONS | If R_A10=1 |
| R_A13 | Does [RESIDENT INITIALS] live in a Dementia/Alzheimer's Special Care Unit? | ```1 YES 2 NO 3 FACILITY DOES NOT HAVE DEMENTIA/ ALZHEIMERS UNIT``` | All residents |
| New question | HAND R SHOWCARD | 1.MICROWAVE | All residents |


|  | Which of the following are located inside [RESIDENT INITIALS] (room/apartment)? <br> SELECT ALL THAT APPLY | $\begin{array}{\|l} \hline 2 \text { COOK TOP OR } \\ \text { HOT PLATE } \\ 3 \text { OVEN } \\ 4 \text { REFRIGERATOR } \\ 5 \text { KITCHEN SINK } \\ 6 \text { NONE OF THE } \\ \text { ABOVE } \\ \hline \end{array}$ |  |
| :---: | :---: | :---: | :---: |
| R_A15 | Does [RESIDENT INITIALS] (room/apartment) have a door to the hallway that can be locked from the inside? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_A15A | Does [RESIDENT INITIALS] (room/apartment) have a bathroom located inside the (room/apartment)? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_A15Bath | HAND R SHOWCARD <br> Which type of bathroom is in [RESIDENT INITIALS] (room/apartment) | 1 FULL BAHTROOM INCLUDING A TOILET, SINK, AND SHOWER OR TUB 2 HALF-BATH INCLUDING A SINK AND TOILET | If R_A15A=1 |
| R_A16 | HAND R SHOWCARD <br> Please read this list of activities and tell me whether [RESIDENT INITIALS] regularly participates in any of these at least twice a month, regardless of whether or not it is arranged by the facility. <br> SELECT ALL THAT APPLY | 1 CARDS, BOARD GAMES, BINGO, PUZZLES 2 ARTS OR CRAFTS, SUCH AS SEWING, KNITTING, PAINTING, <br> QUILTING, FLOWER ARRANGING <br> 3 EXERCISE OR SPORTS <br> 4 PLAYING, OR <br> LISTENING TO <br> MUSIC, OR <br> SINGING <br> 5 READING OR <br> WRITING <br> 6 SPIRITUAL OR <br> RELIGIOUS <br> ACTIVITIES <br> 7 SHOPPING OR <br> TRIPS <br> 8 WATCHING <br> TELEVISION <br> 9 LEAVING THE <br> FACILITY <br> GROUNDS <br> 10 TALKING WITH <br> FRIENDS OR <br> RELATIVES | All residents |


|  |  | 11GOING OUT TO THE MOVIES, DINING OUT OR OTHER SOCIAL ACTIVITIES <br> 12 GARDENING <br> 13 TAKING CARE OF PETS <br> 14 OTHER HOBBIES OR ACTIVITIES <br> 15 NONE OF THE ABOVE |  |
| :---: | :---: | :---: | :---: |
| R_A16_outsi de | HAND R SHOWCARD <br> Does [RESIDENT INITIALS] go outside the facility to do any of the following activities? <br> SELECT ALL THAT APPLY | 1 WORK AT A JOB <br> FOR PAY <br> 2 PARTICIPATE IN A <br> SHELTERED <br> WORKSHOP <br> 3 PARTICIPATE IN A <br> WORK TRAINING <br> PROGRAM <br> 4 ATTEND DAY <br> PROGRAMS FOR <br> SOCIAL OR <br> RECREATIONAL <br> ACTIVITIES <br> 5 ATTEND AN <br> EDUCATIONAL <br> PROGRAM <br> 6 <br> ATTEND <br> ADULT DAY CARE <br> PROGRAM <br> 7 NONE OF THE <br> ABOVE | All residents <br> AN |
| R_A17 | Does [RESIDENT INITIALS] still drive? | $\begin{aligned} & 1 \text { YES } \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_A18 | How often does [RESIDENT INITIALS] drive? <br> Daily or every other day <br> Once or twice a week or <br> Less than once per week | 1 Daily or every other day 2 Once or twice a week 3 Less than once week | If R_A17=1 <br> per |
| R_AEND | PRESS "1" AND ENTER TO CONTINUE. | 1 CONTINUE |  |
| R_B1Month | When did [RESIDENT INITIALS] first move into this facility? <br> MONTH | 1 January <br> 2 February <br> 3 March <br> 4 April <br> 5 May <br> 6 June <br> 7 July | All residents |


|  |  | 8 August <br> 9 September <br> 10 October <br> 11 November <br> 12 December |  |
| :---: | :---: | :---: | :---: |
| R_B1Year | (When did [RESIDENT INITIALS] first move into this facility?) <br> YEAR | 1970.. 2010 | All residents |
| R_B1Range | HAND R SHOWCARD <br> Please look at this card and tell me approximately how long it has been since [RESIDENT INITIALS] first moved into this facility? | 10 TO 3 MONTHS <br> 2 MORE THAN 3 <br> MONTHS TO 6 <br> MONTHS <br> 3 MORE THAN 6 <br> MONTHS TO 1 <br> YEAR <br> 4 MORE THAN 1 <br> YEAR TO 3 YEARS <br> 5 MORE THAN 3 <br> YEARS TO 5 YEARS <br> 6 MORE THAN 5 <br> YEARS | $\begin{aligned} & \text { If R_B1Year } \\ & =\mathrm{DK} \end{aligned}$ |
| R_B2 | When [RESIDENT INITIALS] first moved into this facility, was (he/she) directly admitted from a short-term stay at a: <br> READ CHOICES <br> hospital rehabilitation facility nursing home | 1 Hospital <br> 2 Rehabilitation facility <br> 3 Nursing home 4 NONE OF THE ABOVE | All residents |
| R_B3 | HAND R SHOWCARD <br> Where did (he/she) live prior to (his/her) (moving to this facility/stay at the (hospital/rehabilitation facility/nursing home))? | 1 PRIVATE HOME, APARTMENT, <br> RENTED ROOM, OR FAMILY <br> RESIDENCE <br> 2 DIFFERENT <br> RESIDENTIAL <br> CARE, ASSISTED <br> LIVING, OR GROUP <br> HOME FACILITY <br> 3 RETIREMENT OR INDEPENDENT <br> LIVING COMMUNITY <br> 4 NURSING HOME <br> (THIS EXCLUDES <br> SHORT NURSING <br> HOME STAYS FOR <br> REHABILITATION) <br> 5 PSYCHIATRIC <br> FACILITY <br> 6 JAIL | All residents |


|  |  | 7 HOMELESS 8 OTHER |  |
| :---: | :---: | :---: | :---: |
| R_B4 | For last month, what was the total charge for [RESIDENT INITIALS] to live in this facility? Include the basic monthly charge and charges for any additional services. <br> INTERVIEWER: IF RESIDENT LIVES WITH ANOTHER RESIDENT AND CHARGES ARE PROVIDED COLLECTIVELY, HAVE RESPONDENT TAKE ONE HALF OF THE AMOUNT OF THE BASIC MONTHLY CHARGE AND ADD CHARGES FOR ADDITIONAL SERVICES FOR SAMPLED RESIDENT | $0 . .8000$ | All residents |
| R_B5 | During the last 30 days did [RESIDENT INITIALS] have any of (his/her) long-term care services at this facility paid by Medicaid? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_B6 | Is [RESIDENT INITIALS] a veteran of U.S. Military service? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_BEND | PRESS "1" AND ENTER TO CONTINUE. | 1 CONTINUE |  |
| R_C_INTRO | The next questions are about [RESIDENT INITIALS] health status and physical functioning. | 1 CONTINUE | All residents |
| R_C1 | HAND R SHOWCARD <br> As far as you know, has a doctor or other health professional ever diagnosed [RESIDENT INITIALS] with any of the following conditions? Please tell me the numbers that apply from this card. <br> SELECT ALL THAT APPLY | 1 ALZHEIMER'S DISEASE OR <br> OTHER DEMENTIA <br> 2 ANEMIA <br> 3 ARTHRITIS OR <br> RHEUMATOID <br> ARTHRITIS <br> 4 ASTHMA <br> 5 CANCER OR <br> MALIGNANT <br> NEOPLASM OF ANY <br> KIND <br> 7 CEREBRAL <br> PALSY <br> 8 CHRONIC <br> BRONCHITIS <br> 9 CONGESTIVE <br> HEART FAILURE <br> 10 COPD <br> 11 CORONARY <br> HEART DISEASE <br> 12 DEPRESSION <br> 13 DIABETES | All residents |


|  |  | 14 EMPHYSEMA <br> 15 GLAUCOMA <br> 16 GOUT, LUPUS, <br> OR FIBROMYALGIA 17 HEART ATTACK (MYOCARDIAL INFARCTION) 18 HIGH BLOOD PRESSURE OR HYPERTENSION 19 INTELLECTUAL OR <br> DEVELOPMENTAL DISABILITIES SUCH AS MENTAL RETARDATION, SEVERE AUTISM, OR DOWN SYNDROME 20 KIDNEY DISEASE 21 MACULAR DEGENERATION 22 MUSCULAR DYSTROPHY 23 NERVOUS SYSTEM DISORDERS, INCLUDING MULTIPLE SCLEROSIS, PARKINSON'S DISEASE, AND EPILEPSY 24 OSTEOPOROSIS 25 OTHER MENTAL, EMOTIONAL OR NERVOUS CONDITION 26 PARTIAL OR TOTAL PARALYSIS 27 SERIOUS MENTAL PROBLEMS SUCH AS <br> SCHIZOPHRENIA OR PSYCHOSIS 28 SPINAL CORD INJURY STROKE 29 TRAUMATIC BRAIN INJURY 30 ANY OTHER KIND OF HEART CONDITION OR HEART DISEASE |  |
| :---: | :---: | :---: | :---: |


|  |  | (OTHER THAN LISTED ABOVE) <br> 31 OTHER <br> 32 NONE OF THESE |  |
| :---: | :---: | :---: | :---: |
| R_C1_Cancer | What kind of cancer? <br> SELECT ALL THAT APPLY | 1 BLADDER <br> 2 BLOOD <br> 3 BONE <br> 4 BRAIN <br> 5 BREAST <br> 6 CERVIX <br> 7 COLON <br> 8 ESOPHAGUS <br> 9 GALLBLADDER <br> 10 KIDNEY <br> 11 LARYNX, <br> WINDPIPE <br> 12 LEUKEMIA <br> 13 LIVER <br> 14 LUNG <br> 15 LYMPHOMA <br> 16 MELANOMA <br> 17 <br> TONGUE, OR LIP <br> 18 OVARY <br> 19 PANCREAS <br> 20 PROSTATE <br> 21 RECTUM <br> 22 SKIN, NON- <br> MELANOMA <br> 23 SKIN, DON'T <br> KNOW WHAT KIND <br> 24 SOFT TISSUE <br> (MUSCLE OR FAT) <br> 25 STOMACH <br> 26 TESTIS <br> 27 THROAT, <br> PHARYNX <br> 28 THYROID <br> 29 UTERUS <br> 30 OTHER | R_C1= CANCER |
| R_C1OTH | Specify other condition. | SPECIFY | $\begin{aligned} & \text { R_C1_Cance } \\ & \mathrm{r}=30 \end{aligned}$ |
| NEWR_XX1 | HAND R SHOW CARD HAND R SHOWCARD <br> Please look at this card and tell me which category best describes [RESIDENT INITIALS] documented vaccination status for a flu shot during the past 12 months. | 1 VACCINATED WHILE RESIDING AT THIS FACILITY 2 VACCINATED BEFORE ADMISSION TO THIS FACILITY 3 NOT VACCINATED IN PAST 12 MONTHS - NO RECORD OF DOCTOR'S ORDER | All residents |


|  |  | OR OF <br> VACCINATION <br> OFFERED <br> 4 NOT <br> VACCINATED IN <br> PAST 12 MONTHS- <br> VACCINATION <br> MEDICALLY <br> CONTRAINDICATED <br> 5 NOT VACCINATED <br> IN PAST 12 <br> MONTHS- <br> RESIDENT/FAMILY <br> REFUSED <br> VACCINATION <br> 6 NOT VACCINATED <br> IN PAST 12 MONTHS <br> - OTHER REASON <br> 7 NOT VACCINATED <br> IN PAST 12 MONTHS <br> - REASON <br> UNKNOWN <br> 8 DID NOT RESIDE <br> IN THE FACILITY <br> DURING THE MOST <br> RECENT FLU <br> SEASON |  |
| :---: | :---: | :---: | :---: |
| NEWR_XX2 | HAND R SHOW CARD <br> Which statement on this card best describes the documented vaccination status for whether [RESIDENT INITIALS] has ever had a pneumococcal vaccine? | ```1 VACCINATED WHILE RESIDING AT THIS FACILITY 2 VACCINATED BEFORE ADMISSION TO THIS FACILITY 3 NEVER VACCINATED - NO RECORD OF DOCTOR'S ORDER OR OF VACCINATION OFFERED 4 NEVER VACCINATED- VACCINATION MEDICALLY CONTRAINDICATED 5 NEVER VACCINATED- RESIDENT/FAMILY REFUSED VACCINATION 6 NEVER VACCINATED - OTHER REASON 7 NEVER VACCINATED -``` | All residents |


|  |  | REASON UNKNOWN |  |
| :---: | :---: | :---: | :---: |
| R_C1_impair _4 | HAND R SHOWCARD <br> Which statement on this card best describes [RESIDENT INITIALS] hearing without a hearing aid? | ```1 HEARING IS GOOD 2 HAS A LIT TROUBLE HEARING 3 HAS A LOT TROUBLE HEARING 4 DEAF``` | All residents <br> LE <br> OF |
| ${ }_{-6}^{\text {R_C1_impair }}$ | Is [RESIDENT INITIALS] blind in both eyes or unable to see? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| $\begin{aligned} & \text { R_C1_impair } \\ & \__{5} \end{aligned}$ | Does [RESIDENT INITIALS] have any trouble seeing even when wearing glasses or contact lenses | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | $\begin{aligned} & \text { R_C1_impair } \\ & \begin{array}{l} 6=2 \end{array} \end{aligned}$ |
| R_C2a | These next questions refer to the (past 12 months/\# of months since [RESIDENT INITIALS] moved into this residential care facility). <br> During this time, has [RESIDENT INITIALS] been treated in a hospital emergency room? | $\begin{aligned} & \hline 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_C2b | \{This question refers to the (past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} <br> During this time, has [RESIDENT INITIALS] been a patient in a hospital overnight or longer (excluding trips to the emergency room that did not result in a hospital stay)? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_C2c | \{This question refers to the (past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} <br> during this time has [RESIDENT INITIALS]] had a stroke | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_C2d | \{This question refers to the (past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} <br> during this time has [RESIDENT INITIALS] had a heart attack | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |
| R_C2e | \{This question refers to the (past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |


|  | during this time has [RESIDENT INITIALS] had a fall that caused a hip fracture |  |  |
| :---: | :---: | :---: | :---: |
| R_C2f | \{This question refers to the (past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} <br> during this time has [RESIDENT INITIALS] had a fall that caused an injury other than a hip fracture | $\begin{array}{\|l} 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | All residents |
| R_C2g | \{This question refers to the (past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} <br> during this time has [RESIDENT INITIALS] had a stay in a nursing home | $\begin{array}{\|l} \hline 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | All residents |
| New question R)C2i | During the \{ past 12 months/\# months since [RESIDENT INITIALS] moved into this residential care facility)\} <br> has [RESIDENT INITIALS] had a stay in a rehabilitation facility? | $\begin{array}{\|l\|} \hline 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | All residents |
| R_C3 | How many times has [RESIDENT INITIALS] been treated in a hospital emergency room over this period? | 1.. 35 | R_C2a $=1$ |
| R_C4 | HAND R SHOWCARD <br> Does [RESIDENT INITIALS] currently use any of the items listed on this card? <br> SELECT ALL THAT APPLY | 1 DENTUR <br> INCLUDING A <br> PARTIAL PLATE <br> 2 <br> GLASSES <br> CONTACT LENSES <br> 3 HEARING AID <br> 4 CANE, INCLUDING <br> A TRIPOD CANE <br> 5 WALKER <br> 6 MANUAL WHEEL <br> CHAIR <br> 7 ELECTRIC OR MOTORIZED <br> WHEEL CHAIR OR SCOOTER <br> 8 OXYGEN <br> 9 COMMUNICATION BOARD OR OTHER APPLIANCE TO COMMUNICATE 10 ARTIFICIAL LIMB 11 NONE OF THE ABOVE | Ell residents <br> OR |


|  |  |  |  |
| :--- | :--- | :--- | :--- |
| R_C4a | Does [RESIDENT INITIALS] currently use <br> telescopic lenses, Braille, readers, a guide <br> dog, white cane, or any other equipment for <br> people with severe visual impairments? | 1 YES <br> 2 NO | R_C1_impair <br> $-6=1$ |
| R_C5a | Is [RESIDENT INITIALS] limited in any way <br> because of difficulty remembering or because <br> [RESIDENT INITIALS] experiences periods of <br> confusion? | 1 YES <br> 2 NO | All residents |
|  | During the last 7 days, has [RESIDENT <br> INITIALS] given evidence of a problem with <br> short-term memory, such as difficulty <br> remembering what (he/she) had for breakfast <br> or something you told (he/she) a few minutes <br> earlier? | 1 YES <br> 2 NO |  |
| R_C5 | During the last 7 days, has [RESIDENT <br> INITIALS] given evidence of a problem with <br> long-term memory, such as forgetting how old <br> (he/she) is or forgetting that (he/she) was <br> married? | 1 YES <br> 2 NO | All residents |
| R_C6 | During the last 7 days, has [RESIDENT <br> INITIALS] had any of the following problems <br> with orientation, such as: | 1 Knowing the <br> location of (his/her) <br> bedroom <br> 2 | Recognizing staff |


|  | ABILITY OF THE RESIDENT TO MAKE ACTUAL EVERYDAY DECISIONS ABOUT TASKS OR ACTIVITIES OF DAILY LIVING. EXAMPLES ARE CHOOSING CLOTHING THAT IS APPROPRIATE TO THE WEATHER AND THE ACTIVITY, KNOWING WHEN TO GO TO SCHEDULED MEALS OR ACTIVITIES, USING CUES LIKE CLOCKS APPROPRIATELY, AND SEEKING INFORMATION APPROPRIATELY WHEN MAKING DECISIONS, AND HOW THE RESIDENT HANDLES BEING IN A NEW SITUATION, SUCH AS A FIELD TRIP OUTSIDE THE FACILITY, A NEW ACTIVITY, OR BEING MOVED TO A NEW TABLE FOR MEALS | 4 SEVERELY <br> IMPAIRED- HE/SHE NEVER OR RARELY MADE DECISIONS |  |
| :---: | :---: | :---: | :---: |
| R_C9 | HAND R SHOWCARD <br> During the last 7 days, which of these answers best describes [RESIDENT INITIALS] ability to make (himself/herself) understood by others? Please tell me the number from the card. | 1 ALWA UNDERSTOOD BY OTHERS 2 USUALLY UNDERSTOOD DIFFICULTY FINDING WORDS OR FINISHING THOUGHTS 3 SOMETIMES UNDERSTOOD ABILITY IS LIMITED TO MAKING CONCRETE REQUESTS 4 RARELY OR NEVER UNDERSTOOD | YAdl residents |
| R_C9a | Is [RESIDENT INITIALS] difficulty in making (himself/herself) understood by others due to a severe speech impairment or other disability? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | R_C9 = 2-4 |
| R_C10 | Next, I would like to ask about everyday activities and whether [RESIDENT INITIALS] receives any assistance in doing them. <br> By assistance, I mean help from special equipment, another person or both. <br> READ THE ABOVE STATEMENT ONLY ONCE PER RESPONDENT | 1 CONTINUE |  |
| R_c10a | Does [RESIDENT INITIALS] currently receive assistance in bathing or showering? This includes standby assistance. | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | All residents |


| R_c10a1 | Does [RESIDENT INITIALS] bathe or shower with the help of: <br> Special Equipment <br> Another Person <br> CODE ALL THAT APPLY. | 1 Special Equipment <br> 2 Another Person | R_c10a=1 |
| :---: | :---: | :---: | :---: |
| R_c10b | Does [RESIDENT INITIALS] currently receive assistance in dressing? This includes standby assistance. | $\begin{array}{\|l} 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | All residents |
| R_c10b1 | Does [RESIDENT INITIALS] dress with the help of: <br> Special Equipment, such as zipper pulls or button hook aids <br> Another Person <br> CODE ALL THAT APPLY. | 1 Special Equipment 2 Another Person | R_c10b=1 |
| R_c10c | Does [RESIDENT INITIALS] currently receive assistance in eating, such as cutting up food, or cueing? | $\begin{array}{\|l} 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | All residents |
| R_c10c1 | Does [RESIDENT INITIALS] eat with the help of: <br> Special Equipment <br> Another Person <br> CODE ALL THAT APPLY. | 1 Special Equipment 2 Another Person | R_c10c=1 |
| R_C10d | Is [RESIDENT INITIALS] confined to bed by health problems? | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_C10e | Is [RESIDENT INITIALS] confined to a chair by health problems? | $\begin{array}{\|l} 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | R_C10d = 2 |
| R_C10f | Does [RESIDENT INITIALS] currently receive any assistance in transferring in and out of bed or a chair? | $\begin{array}{\|l\|} \hline 1 \text { YES } \\ 2 \text { NO } \end{array}$ | R_C10e = 2 |
| R_C10f1 | Does [RESIDENT INITIALS] transfer in or out of a bed or a chair with the help of: <br> Special Equipment Another Person <br> CODE ALL THAT APPLY. | 1 Special Equipment 2 Another Person | R_C1Of = 1 |
| R_C10g | Does [RESIDENT INITIALS] currently receive | 1 YES | R_C10d = 2 |


|  | any assistance in walking? | 2 NO | $\begin{aligned} & \text { and R_C10e } \\ & =2 \end{aligned}$ |
| :---: | :---: | :---: | :---: |
| R_C10g1 | Does [RESIDENT INITIALS] walk with the help of: <br> Special Equipment Another Person <br> CODE ALL THAT APPLY. | 1 Special Equipment 2 Another Person | R_C10g = 1 |
| R_C10h | Does [RESIDENT INITIALS] currently receive any assistance going outside the grounds of this facility? | ```1 YES 2 NO 3 DOES NOT GO OUTSIDE FACILITY GROUNDS``` | $\begin{aligned} & \text { R_C10d=2 } \\ & \text { and R_C10e } \\ & =2 \end{aligned}$ |
| R_C10h1 | When [RESIDENT INITIALS] goes outside the grounds does [RESIDENT INITIALS\} require the help of: <br> Special Equipment Another Person <br> CODE ALL THAT APPLY. | 1 Special Equipment 2 Another Person | R_C10h = 1 |
| R_C10i | Does [RESIDENT INITIALS] have an ostomy, an indwelling catheter or similar device? | $\begin{aligned} & 1 \text { YES } \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_C10i1 | Does [RESIDENT INITIALS] receive any help from another person in caring for this device? | $\begin{array}{\|l\|} \hline 1 \mathrm{YES} \\ 2 \mathrm{NO} \end{array}$ | R_C10i = 1 |
| R_C10j | Does [RESIDENT INITIALS] currently receive any assistance using the bathroom? | ```1 YES 2 NO 3 DOES NOT L TOILET (AN OSTOMY PATIENT, CHAIRFAST, ETC.)``` | All residents <br> SE |
| R_C10j1 | Does [RESIDENT INITIALS] require the help of: <br> Special equipment <br> Another person <br> CODE ALL THAT APPLY. | 1 Special equipment 2 Another person | R_C10j = 1 |
| R_C10k | Has [RESIDENT INITIALS] had any episode of bowel incontinence during the last 7 days? | ```1 YES 2 NO NOT APPLICA (E.G., HAD A COLOSTOMY, ILEOSTOMY)``` | All residents <br> LE |
| R_C10I | Has [RESIDENT INITIALS] had any episode of urinary incontinence during the last 7 days? | $\begin{array}{\|l\|} \hline 1 \text { YES } \\ 2 \text { NO } \\ 3 \\ 3 \end{array}$ | All residents <br> LE |


|  |  | (E.G., HAS AN INDWELLING CATHETER, HAD AN UROSTOMY) |  |
| :---: | :---: | :---: | :---: |
| R_C10m | Is [RESIDENT INITIALS] able to get out of the facility without the help of another person in case of an emergency? <br> IF VERBAL CUEING REQUIRED, CODE NO | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | $\begin{aligned} & \text { R_C10d } \neq 1 \\ & \text { and R_C10e } \\ & \neq 1 \end{aligned}$ |
| R_C11 | HAND R SHOWCARD <br> For the next questions, please tell me whether or not [RESIDENT INITIALS] needs help from another person or does not perform this activity. | 1 CONTINUE |  |
| R_C11a | Does [RESIDENT INITIALS] currently need help from another person with: <br> Going shopping for personal items, such as toilet items or medicine. If the only help [RESIDENT INITIALS] needs is for transportation to and from the store, choose "No." <br> NOTE: DOES NOT INCLUDE TRANSPORTATION TO AND FROM STORE. INTENDED TO DETERMINE WHETHER RESIDENT CAN MAKE DECISIONS ABOUT WHAT TO PURCHASE, LOCATE THE ITEMS, ETC... | 1 YES, NEEDS HELP <br> 2 NO, DOES NOT NEED HELP 3 DOES NOT PERFORM THIS ACTIVITY | All residents |
| R_C11b | (Does [RESIDENT INITIALS] currently need help from another person with:) <br> Managing money, such as keeping track of expenses or paying bills? | 1 YES, NEEDS HELP <br> 2 NO, DOES NOT NEED HELP 3 DOES NOT PERFORM THIS ACTIVITY | All residents |
| R_C11c | Does [RESIDENT INITIALS] currently need help from another person or a special device with: <br> Using the telephone? This includes TTY or dialing out. | 1 YES, NEEDS HELP <br> 2 NO, DOES NOT NEED HELP 3 DOES NOT PERFORM THIS ACTIVITY | All residents |
| R_C11c_1 | Does [RESIDENT INITIALS] receive help using the telephone from another person or a special device? | $\begin{aligned} & 1 \text { ANOTHER } \\ & \text { PERSON } \\ & 2 \text { SPECIAL DEVICE } \\ & 3 \text { BOTH } \end{aligned}$ | R_C11c = 1 |


|  |  |  |  |
| :---: | :---: | :---: | :---: |
| R_C11d | Does [RESIDENT INITIALS] currently need help from another person with: <br> Doing light housework, like straightening up (his/her) room or apartment? | 1 YES, NEEDS HELP <br> 2 NO, DOES NOT NEED HELP 3 DOES NOT PERFORM THIS ACTIVITY | All residents |
| R_C11e | (Does [RESIDENT INITIALS] currently need help from another person with:) <br> Taking medication -- this includes opening the bottle, remembering to take medication on time, and taking the prescribed dosage? | 1 YES, NEEDS HELP <br> 2 NO, DOES NOT NEED HELP 3 DOES NOT TAKE ANY MEDICATION | All residents |
| R_C12a | Does [RESIDENT INITIALS] now use an amplifier for the telephone, a TDD, TTY or teletype, closed caption TV, assistive listening or signaling devices, an interpreter, or any other equipment for people with hearing or speech impairments? | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_C13 | Does [RESIDENT INITIALS] have a landline telephone or cellular telephone in (his/her) room? | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ | All residents |
| R_C12 | HAND R SHOWCARD <br> Over the last 30 days, how often did [RESIDENT INITIALS] receive one or more outside visitors? | 1 EVERY DAY <br> 2 AT LEAST <br> SEVERAL TIMES A WEEK <br> 3 ABOUT ONCE A WEEK <br> 4 SEVERAL TIM DURING THE PAST 30 DAYS BUT LESS THAN EVERY WEEK $5 \quad$ AT LEAST ON IN THE LAST 30 DAYS 6 <br> NOT AT ALL <br> THE LAST 30 DAYS | All residents <br> ES <br> CE <br> IN |
| R_C12a1 | HAND R SHOWCARD <br> Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to... <br> Walk a quarter mile, about three city blocks? Please tell me the number that applies from this card. | 1 NOT AT DIFFICULT 2 ONLY A LITTLE DIFFICULT 3 DIFFICULT 4 VERY DIFFICULT 5 CAN'T DO AT ALL- HEALTH REASON 6 DOES NOT DO- OTHER REASON | $\begin{aligned} & \text { AR_C10d and } \\ & \text { R_C10e } \neq 1 \end{aligned}$ <br> AT |


|  |  |  |
| :---: | :---: | :---: |
| R_C12a2 | Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to... <br> Walk up 10 steps without resting? | 1 NOT AT $A$ AR C10d $\neq 1$ <br> DIFFICULT and R_C10e <br> 2 ONLY A LITTLE $\neq 1$ <br> DIFFICULT  <br> 3  <br> DIFFICULT  <br> 4 VERY DIFFICULT  <br> 5 CAN'T DO AT ALL-  <br> HEALTH REASON  <br> 6 DOES NOT DO-  <br> OTHER REASON  |
| R_C12a3 | Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to... <br> Stand or be on feet for about two hours? | 1 1 DIFFICULT 2 ONLY A LITTLE AT DIFFICULT $\quad$ and R_C10d $\neq 1$ 3 DIFFICULT DOMEWHAT 4 VERY DIFFICULT 5 CAN'T DO AT ALL- HEALTH REASON 6 DOES NOT DO- OTHER REASON |
| R_C12a4 | (Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS]) <br> Sit for about two hours? | 1 DIFFICULT NOT AT ALAll residents 2 ONLY A LITTLE DIFFICULT 3 DIFFICULT 4 VERY DIFFICULT 5 CAN'T DO AT ALL- HEALTH REASON 6 DOES NOT DO- OTHER REASON |
| R_C12a5 | (Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to) <br> Stoop, bend, or kneel? | 1 NOT AT $A$ AR C10d $\neq 1$ <br> DIFFICULT and R_C10e <br> 2 ONLY A LITTLE $\neq 1$ <br> DIFFICULT  <br> 3  <br> DIFFICULT  <br> 4 VERY DIFFICULT  <br> 5 CAN'T DO AT ALL-  <br> HEALTH REASON  <br> 6 DOES NOT DO-  <br> OTHER REASON  |
| R_C12a6 | (Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to) <br> Reach up over head? | 1 DIFFICULT 2 ONLY A LITTLE AT ALAll residents DIFFICULT 3 |


|  |  | DIFFICULT 4 VERY DIFFICULT 5 CAN'T DO AT ALLHEALTH REASON 6 DOES NOT DOOTHER REASON |  |
| :---: | :---: | :---: | :---: |
| R_C12a7 | (Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to) <br> Use fingers to grasp or handle small objects? | 1 DIFFICULT NOT AT A 2 ONLY A LITTLE DIFFICULT SOMEWH 3 DIFFICULT 4 VERY DIFFICULT 5 CAN'T DO AT ALL- HEALTH REASON 6 DOES NOT DO- OTHER REASON | AAAll residents <br> AT |
| R_C12a8 | Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to) <br> Lift or carry something as heavy as 10 pounds, such as a bag of groceries? | 1 DIFFICULT NOT AT A 2 ONLY A LITTLE DIFFICULT 3 DIFFICULT 4 VERY DIFFICULT 5 CAN'T DO AT ALL- HEALTH REASON 6 DOES NOT DO- OTHER REASON | AAAll residents НАТ |
| R_C12a9 | (Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to) <br> Push or pull a large object like a living room chair? | 1 DIFFICULT NOT AT ALTM 2 ONLY AITTLE DIFFICULT 3 DIFFICULT 4 VERY DIFFICULT 5 CANN'T DO AT ALL- HEALTH REASON 6 DOES NOT DO- OTHER REASON | ALAll residents НАТ |
| R_C12a10 | Without assistance and without equipment, how difficult is it for [RESIDENT INITIALS] to) <br> Go out to do things like shopping, movies, or sporting events? | 1 NOT AT A DIFFICULT 2 ONLY A LITTLE DIFFICULT 3 SOMEWH DIFFICULT 4 VERY DIFFICULT 5 CAN'T DO AT ALLHEALTH REASON 6 DOES NOT DOOTHER REASON | $\begin{aligned} & \text { ALRC10d } \neq 1 \\ & \text { and R_C10e } \\ & \neq 1 \\ & \text { HAT } \end{aligned}$ |


| R_C14 | In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors? | 1 CONTINUE |  |
| :---: | :---: | :---: | :---: |
| R_C14a | HAND R SHOWCARD <br> (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Refusing to take prescribed medicines at the appropriate time or in the prescribed dosage- | 1 OFTEN <br> 2 <br> SOMETIMES(INCLUD <br> ES 1TIME) <br> 3 NEVER <br> 4 RESIDENT DOES <br> NOT TAKE ANY <br> PRESCRIBED <br> MEDICATIONS <br> 5 FACILITY DOES <br> NOT HANDLE <br> RESIDENTS' <br> MEDICATIONS | All residents |
| R_C14c | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Creating disturbances or being excessively noisy by knocking on doors or yelling or being verbally abusive? | 1 Often 2 Sometimes (INCLUDES 1 TIME) 3 Never | All residents |
| R_C14cc | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Wandering or moving aimlessly about in the building or on the grounds? | 1 Often 2 Sometimes (INCLUDES 1 TIME) 3 Never | All residents |
| R_C14d | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Refusing to bathe or clean (himself/herself)? | 1 Often 2 Sometimes (INCLUDES 1 TIME) 3 Never | All residents |
| R_C14e | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Rummaging through or taking other people's belongings? | 1 Often 2 Sometimes (INCLUDES 1 TIME) 3 Never | All residents |
| R_C14f | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Damaging or destroying property? | 1 Often <br> 2 Sometimes <br> (INCLUDES 1 TIME) <br> 3 Never | All residents |
| R_C14g | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the | 1 Often 2 Sometimes | All residents |


|  | following behaviors?) <br> Verbally threatening other persons including staff or other residents? | (INCLUDES 1 TIME) <br> 3 Never |  |
| :---: | :---: | :---: | :---: |
| R_C14h | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Being physically aggressive towards other persons including staff or other residents? | 1 Often <br> 2 Sometimes <br> (INCLUDES 1 TIME) <br> 3 Never | All residents |
| R_C14i | (In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Removing clothing in public? | 1 Often 2 Sometimes (INCLUDES 1 TIME) 3 Never | All residents |
| R_C14j | In the past 30 days, how often has [RESIDENT INITIALS] exhibited any of the following behaviors?) <br> Making unwanted sexual advances towards staff or other residents? | 1 Often 2 Sometimes (INCLUDES 1 TIME) 3 Never | All residents |
| R_C15 | Does a physician ever prescribe medications to help control [RESIDENT INITIALS] behavior or to reduce agitation? | $\begin{aligned} & 1 \text { YES } \\ & 2 \text { NO } \end{aligned}$ | $\begin{aligned} & \text { R_C14a-j: } \\ & =1 \text { or } 2 \text { in } \\ & \text { any of these } \\ & \text { questions } \end{aligned}$ |
| R_C16 | HAND R SHOWCARD <br> The following services may be offered by facility staff or provided at the facility by nonfacility staff. <br> Please look at this Showcard and tell me if [RESIDENT INITIALS] uses any of these services. <br> SELECT ALL THAT APPLY | 1 SPECIAL DIETS 2 ASSISTANCE WITH ACTIVITIES OF DAILY LIVING 3 ASSISTAN WITH A BATH OR SHOWER AT LEAST ONCE A WEEK 4 SKILLED NURSING SERVICES <br> 5 BASIC HEALTH MONITORING SUCH AS BLOOD PRESSURE AND WEIGHT CHECKS 6 SOCIAL AND RECREATIONAL ACTIVITIES WITHIN THE FACILITY 7 SOCIAL AND RECREATIONAL ACTIVITIES OUTSIDE THE FACILITY | All residents <br> CE |


|  |  | 8 INCONTINENCE CARE <br> 9 <br> TRANSPORTATION TO MEDICAL APPOINTMENTS 10 <br> TRANSPORTATION <br> TO STORES AND <br> ELSEWHERE <br> 11 PERSONAL <br> LAUNDRY <br> 12 LINEN LAUNDRY <br> SERVICES <br> 13 SOCIAL <br> SERVICES <br> COUNSELING <br> 14 NONE OF THE <br> ABOVE |  |
| :---: | :---: | :---: | :---: |
| R_C17a | HAS THIS RESPONDENT ALSO COMPLETED EITHER THE FACILITY QUESTIONNAIRE OR ANOTHER RESIDENT'S QUESTIONNAIRE? | $\begin{aligned} & 1 \mathrm{YES} \\ & 2 \mathrm{NO} \end{aligned}$ |  |
| R_C17 | The next few questions are about you. How long have you worked at this facility? | 16 MONTHS OR LESS <br> 2 <br> MORE THA MONTHS BUT LESS THAN ONE YEAR 3 AT LEAST ONE YEAR TO LESS THAN TWO YEARS 4 TWO YEARS OR MORE | $\begin{aligned} & \text { R_C17a = } 2 \\ & \text { N } 6 \end{aligned}$ |
| R_C18 | HAND R SHOWCARD <br> Please look at this card and tell me which best describes your position at this facility: | 1 RN <br> 2 LPN <br> 3 CERTIFIED <br> MEDICATION AIDE <br> 4 NURSING <br> ASSISTANT/CNA/ <br> PERSONAL CARE <br> AIDE <br> 5 ACTIVITY <br> DIRECTOR OR <br> STAFF <br> 6 OWNER, <br> ADMINISTRATOR, <br> EXECUTIVE <br> DIRECTOR, <br> ASSISTANT <br> DIRECTOR, <br> DIRECTOR OF OPERATIONS, OR | R_C17a $=2$ |


|  |  | MANAGER <br> 7 SOME OTHER <br> POSITION |  |
| :--- | :--- | :--- | :--- |
| R_CEND | Thank you. These are all the questions I have <br> for you regarding this resident. Now I need to <br> check my records if there are any other <br> selected residents for whom you were <br> identified as a caregiver. | 1 CONTINUE | R_C17a = 1 <br> or 2 |
| R_C_DR | INTERVIEWER: ARE YOU READY TO <br> FINALIZE THIS RESIDENT INTERVIEW? <br> HAVE YOU ANSWERED ALL QUESTIONS | 1 YES <br> 2 NO |  |
|  | TO THE BEST OF YOUR ABILITY AND <br> THAT OF YOUR RESPONDENT(S)? | IF THERE ARE QUESTIONS ANSWERED <br> DON'T KNOW (?)OR REFUSED (!) FOR <br> WHICH YOU CAN STILL DO DATA |  |
| RETRIEVAL, ANSWER NO ON THIS <br> SCREEN. |  |  |  |

