Supporting Statement

Information Collection

Head Start Program Grant Application and Budget Instrument OMB 0970-0207

1. Circumstances which make the data collection necessary

Sections 641, 642, 643, and 644 of the Head Start Act [42 U.S.C. 9839] prescribe the conditions program applicants must meet and the services applicants must provide in order to be eligible to receive funding under the Head Start Act. Applicants are required to demonstrate that they are meeting prescribed funding and service priorities when submitting annual applications for continued funding. In addition to the requirements of the Act, priorities for the use of funds and delivery of services are provided to applicants annually through the provision of information memos and program instructions pursuant to the requirements for the use of funds as specified in annual appropriations by the Congress.

The Head Start Bureau adopted a uniform OMB approved application and budget instrument to standardize the format for the collection of this information in April 2000, and provided applicants with the capacity to submit this information electronically in an effort to improve the efficiency of the application process.

2. Purpose and Use of the Information Collection

The information submitted by applicants assists granting officials in determining whether the applicant meets the requirements for continued funding under the requirements of the Head Start Act and the requirements for the use of funds as specified in annual appropriations by the Congress.

3. Use of Improved Information Technology and Reduction Burden

Applicants may submit this information electronically.

4. Efforts to Identify Duplication and Use of Similar Information

Information submitted by applicants is not duplicated in other information collection activities.

5. Impacts on Small Business or Other Small Entities

Not applicable, large numbers of small business and small entities are not impacted.

6. Consequence of Collecting Information Less Frequently

By promulgating this information collection the Head Start Bureau established a three-year application cycle. Applicants are required to submit full applications once in every three

year period and may satisfy the application requirements by submitting updates, if applicable, in succeeding years. This procedure in undertaken to reduce the information collected.

7. Special Circumstances Relating to Guidelines of 5 CFR 1320.5

Not applicable. No conditions as specified are required.

8. Comments in Response to the Federal Register Notice and Efforts to Agency

A 60 day notice was published on April 7, 2009, vol 74, page 15735. No comments were received.

9. Explanation of Any Payment or Gift to Respondents

Not applicable.

10. Assurance of Confidentiality Provided to Respondents

Not applicable, no confidential information will be collected.

11. Justification for Sensitive Questions

Not applicable. There are no answers to sensitive questions required.

12. Estimates of Hour Burden and Costs

Instrument	Number of	Number of	Average Burden	Total Burden
	Respondents	Responses per	Hours per	Hours
		Respondent	Response	
HS grant and				
budget	1,600	1	33	52,800
instrument				

Estimated Total Annual Burden Hours:

52,800

The cost of the hourly burden is 52,800 X \$30 or \$1,584,000.

13. Annualized Cost Per Respondent

There are no annualized costs to the respondents.

14. Estimate of Costs to Federal Government

There is no cost to the Federal Government.

15. Explanation for Program Changes or Adjustments

There are no program changes nor adjustments.

16. Plans for Tabulation and Publication

There are no plans to publish the information submitted other then in a public record of any proceedings which might occur.

17. Reasons that Display of Expiration Date Is Inappropriate

The last paragraph of the rule contains the OMB expiration date.

18. No exceptions to the certification statement are contemplated.

- **B.** Collection of Information Employing Statistical Methods
 - **1.** Rules apply to all grantees
 - 2. There is no central collection of information
 - 3. All grantees are monitored to assess their compliance with this rule
 - 4. On site review procedures are validated to insure integrity of information findings.

Not statistical methods of analysis are conducted.