



Transportation Security Administration

Corporate Security Review Interview Form- Hazmat Addendum

Version #

General Information

1. Interviewee USDOT#	2. CSR Date Performed
3. Organization Name	<input type="checkbox"/> Site <input type="checkbox"/> Corporate
4. Transportation Mode <input type="checkbox"/> Motor Carrier <input type="checkbox"/> Motorcoach <input type="checkbox"/> School Bus <input type="checkbox"/> HAZMAT Carrier	5. Type of Organization <input type="checkbox"/> Private <input type="checkbox"/> Public
6. Number Of Employees (Including Drivers)	

Address	Physical			Mailing <input type="checkbox"/> Same as Physical		
	7. Address 1			12. Address 1		
	8. Address 2			13. Address 2		
9. City	10. State	11. Zip Code	14. City	15. State	16. Zip Code	

Security Coordinator Contact Information	17. Primary Security Coordinator Name					
	18. Office	19. Cell	20. Fax	21. Email		
	22. Alternate Security Coordinator Name					
	23. Office	24. Cell	25. Fax	26. Email		
	27. 24/7 Emergency Operations Number					

Interview Participants	28. Name			29. Title		
	30. Phone			31. Email		
	32. Name			33. Title		
	34. Phone			35. Email		
	36. Name			37. Title		
	38. Phone			39. Email		

Interview Team	40. Name			41. Role <input type="checkbox"/> Report Author		
	42. Organization			43. E-mail		
	44. Name			45. Role <input type="checkbox"/> Report Author		
	46. Organization			47. E-mail		
	48. Name			49. Role <input type="checkbox"/> Report Author		
	50. Organization			51. E-mail		

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HAZMAT ADDENDUM

SECURITY ASSESSMENT AND SECURITY PLAN

Yes/No

1 Does the carrier have a security plan prepared in accordance with 49CFR 172.800?
(request a copy of the security plan)

A) Does the security plan include a security assessment?
(request a copy of the security assessment)

B) Is the carrier familiar with the PHMSA Risk Management Self-Evaluation Framework (RMSEF)?

C) Is the carrier familiar with the FMCSA Guidance on Developing an Effective Security Plan?

D) What areas did the Security Plan/Security Assessment identify as gaps in security?
Briefly record or describe those areas below:

Comments:

E) Were specific actions identified and undertaken to improve security?

AWARENESS OF SECURITY PRACTICES

Yes/No


2 Is the carrier a member of an industry or private sector association?

If so, which ones?

- American Chemistry Council Responsible Care Program
- Chlorine Institute
- International Cargo Security Council
- Compressed Gas Association
- Others: _____

Comments:

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AWARENESS OF SECURITY PRACTICES CONT'D

3 Is the carrier enrolled in a Federal security program?

Yes/No

If so, which ones?

TSA Air Carrier Security Programs

- Cargo Security Program (49 CFR Part 1544)
 Indirect Air Carrier Security Program (49 CFR Part 1548)
 Customs-Trade Partnership Against Terrorism (CTPAT) (Customs and
 Tier One Certified
 Tier Two Validated
 Chemical Facility Anti-Terrorism Standards (DHS) (6 CFR Part 27)
 Department of Defense Transportation Regulations, DoD 5100.76-M, Physical Security of Sensitive Conventional Arms, Ammunition, and Explosives

Comments:

INVENTORY CONTROL PROCESS

4 Does the carrier have a written inventory control process?

Yes/No

If so, how is it implemented?

- Manual Paper Records
 Computerized Data System with Entries
 Fully Automated, Computerized System (barcode or other inventory)
 Other _____

Comments:

BUSINESS AND SECURITY CRITICAL INFORMATION

5 Does the carrier have a written control process for business and security critical information?


Yes/No

If so, which of the following does it control?

- Telecommunications Systems (fax machines, dispatch)
 Printed Records (Bills of Lading, Invoices)
 Locking Storage Capability (cabinets, offices)

Comments:

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VALID CDL

- 6** Does the motor carrier have a process in place to confirm that all drivers employed to drive a vehicle transporting hazardous materials possess a valid CDL with hazmat endorsement including a security threat assessment under 49 CFR Part 1572?

Yes/No

Comments:

COMMUNICATION PLAN

- 7** Does the motor carrier have a written communication plan that includes an SOP for communication between company employees in the event of a security event?


Yes/No

Comments:

- 8** Does the SOP provide methods of two-way communications between company personnel?

Comments:

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VEHICLE SECURITY PLAN

9 Does the motor carrier have a written plan identifying methods of ensuring that all company vehicles are secured **Yes/No**

If so, which system(s) are used to secure vehicles?

- Shut Down of Vehicle Engine
- Removal of Ignition Keys
- Closing Windows
- Locking Vehicle Doors
- Key Control Policy with Secured Control of Keys
- Steering Wheel Locking System
- Air Brake Locking System
- Wheel Locks

Comments:

CARGO SECURITY PROGRAM

10 Does the motor carrier have a written plan identifying methods of ensuring that all cargo containers are secured when not in use during loading, unloading, or maintenance procedures? **Yes/No**

If so, which security action(s) are included in the plan?

- Glad Hand Locks
- King Pin Locks
- Wheel Locks
- Lockout Control Process
- Other _____

Comments:

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SEAL/LOCK CONTROL PROGRAM

Yes/No

11 Does the motor carrier have a written policy implementing a seal/lock control program?

If so, which does the program include?

- Procurement
- Storage
- Accountability
- Installation
- Response to Seal Anomalies
- Removal and Inspection

Comments:

12 Does the motor carrier have a copy of the *User's Guide on Security Seals for Domestic Cargo* (January 2007) available?

Comments:

HIGH ALERT LEVEL PROTOCOLS

Yes/No

13 Does the motor carrier have a written policy implementing specific actions during periods of an elevated threat condition?

Comments:


14 Does the written policy provide specific actions to be implemented by the carrier and the driver during periods of an elevated threat condition?

If so, which of the following does it include?

- Identification of local and state law enforcement officials with their phone numbers along the intended route of shipment
- Identify secure locations to seek refuge along the intended route shipment
- Identify private sector escorts to provide increased vehicle security and surveillance for shipments exceeding 200 miles
- Other appropriate security measures during periods of an elevated threat condition

Comments:

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SECURITY INSPECTION POLICY

15 Does the motor carrier have a written plan implementing security inspection policies?

Yes/No

Comments:

16 Does the written policy provide specific actions to be implemented by the carrier and driver during periods of an elevated threat condition?

If so, which of the following does it include?

- Frequency of inspections
- Identify specific areas of the vehicle to be inspected
- Reporting and response procedures to follow if a suspicious package is found
- Training program to recognize suspicious packages and to implement the motor carrier's policies
- Frequency of training

Comments:

REPORTING POLICY AND PROCEDURES

17 Does the motor carrier have a written plan establishing reporting policies and procedures to follow when describing suspicious incidents, threats, or concerns?


Yes/No

If so, which of the following does the plan include?

- Motor carrier points of contact
- Points of contact and phone numbers for local and State law enforcement agencies
- Telephone number required in 49 CFR 172.604 and 172.606

Comments:

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SHIPMENT PRE-PLANNING, ADVANCE NOTICE OF ARRIVAL AND RECEIPT

Yes/No

18 Does the carrier have a written policy to address shipment pre-planning, advance notice of arrival and receipt Yes/No

If so, which does the policy include?

- Route with the least public exposure
- Route with minimal delay
- Estimated time of arrival (ETA)
- Load specifics (shipping paper information)
- Driver Identification
- Receipt confirmation with shippers and consignee
- Methods of communication

Comments:

PREPLANNING ROUTES

Yes/No

19 Does the carrier have a written policy to ensure pre-planning of primary and alternate routes? Yes/No

If so, which does the policy include?

- Avoiding or minimizing proximity to highly populated urban areas or critical infrastructure (bridges, dams, and tunnels)
- Protocols for operations during periods of elevated threat conditions (Orange or Red) under the Homeland Security Advisory System

Comments:

SECURITY FOR TRIPS EXCEEDING HOURS OF SERVICE

Yes/No

20 Does the carrier have a written policy to address security for trips that exceed the drivers' hours of service in Title Yes/No

If so, which does the policy include?

- Vehicle Attendance
- Visual Observation
- Driver Teams
- Vetted Companions
- Secure Locations (mutual agreement with industry partners, State weigh stations and inspection facilities that provide law enforcement protection)

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DEDICATED TRUCK

21 Does the carrier have a written policy to ensure that, except under emergency situations, contracted shipments remain with the primary carrier? **Yes/No**

Comments:

22 Are there situations that are covered in the policy that allow for subcontracting, driver/team substitutions, or transloading of shipments? **Yes/No**

Briefly describe those situations in the comment box.

Comments:

TRACTOR ACTIVATION CAPABILITY

23 Does the carrier utilize driver identification by login and password or biometric data to drive the tractor? **Yes/No**

If so, which does it include?

- Written Policy and Instructions
- Login
- Password
- Biometric Data
- Other _____

Comments:

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PANIC BUTTON CAPABILITY

Yes/No

24 Does the carrier utilize "Panic Button" technology for the driver to transmit emergency alert notifications or to disable the vehicle?

If so, which?

- Emergency Alert Notifications
 Vehicle Disable Capability
 Other _____

Comments:

TRACTOR AND TRAILER TRACKING SYSTEM

Yes/No

25 If the carrier has a tracking system, which does it include? (Refer to Section 8: En-Route Security #2)

- Current position by latitude and longitude
 Geofencing and route monitoring
 Alert notifications of route deviations
 Remote monitoring of trailer "connect" and "disconnect" events
 Polling capability to request current location and status report for the tractor and trailer
 Periodic position reports (how long are the intervals between reports)
 Periodic testing and recording of results

Comments:

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CONTINUATION SHEET

COMMENTS: (To begin a new line of text press ALT & Enter keys at the same time.)

What type of Hazardous Materials do you carry?

What are your chemicals of concern?

Where do you, as an industry feel vulnerable?

What concerns do you have?

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DOCUMENTS SECURED

(Security Sensitive Materials) * Should be marked as such

COMMENTS: (To begin a new line of text press ALT & Enter keys at the same time.)

	Date	Initials
<input type="checkbox"/> Security Plan	_____	_____
<input type="checkbox"/> Vulnerability Assessments	_____	_____
<input type="checkbox"/> Critical Asset List	_____	_____
<input type="checkbox"/> Emergency Operations Plan	_____	_____
<input type="checkbox"/> Disaster Recovery Plan	_____	_____
<input type="checkbox"/> System Maps	_____	_____
<input type="checkbox"/> Organizational Chart	_____	_____
<input type="checkbox"/> Training Curriculum	_____	_____
<input type="checkbox"/> Track Charts	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____
<input type="checkbox"/> _____	_____	_____

Paperwork Reduction Act Statement: This form is designed to gather information to establish the current state of security practices for highway modes of transportation. The results will be used to assist the Transportation Security Administration in making policy and programmatic decisions to improve overall security within the surface transportation community. It is estimated that the total average burden per response associated with this questionnaire is approximately 2 to 3 hours. Please send comments to: TSA-11, Attention: PRA 1652-0036, 601 South 12th Street, Arlington, VA 20598. An agency may not conduct or sponsor, and persons are not required to respond to a collection of information unless it displays a current valid OMB control number. The OMB control number assigned to this collection is 1652-0036 which expires dd/mm/yyyy.

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