

Date: _____

NASA SBIR Company - Commercial Metrics (Commercially Sensitive Information)

Company: _____ State: _____
 Company Contact Person: _____ Title: _____ Phone: _____

Nondisclosure: Unless you advise otherwise on this form, the information provided below will be considered by NASA as confidential commercial data and accordingly will not be disclosed for any purpose by NASA to non-NASA private or public parties, other than as part of data aggregated with that of other respondents, except for the compiling of this information by NASA subcontractor personnel for NASA program evaluation purposes.

1. Regarding your firm’s commercial products or services, currently or formerly generating revenues in non-USG markets, which are based on or partially based on technology developed under NASA SBIR awards to your firm:
 (Note: “**commercial**” is defined to be non-U.S. Government (non-USG) markets. Count a “product line” of products having varying attributes as a single product. “Best estimate” data is sufficient if the requested data is not available or unreasonably burdensome to obtain.)

Commercial Product or Service (include venture name, if different, and Reg. Trademark name, if any)	NASA SBIR Contract #'s Briefly Identify Commercial Application	Other (e.g. dividends; Associated with Each Comm'l Product/Service	Cumulative Revenues (\$ Millions) to Date <u>Recognized by Your Firm from non-USG Sources</u>			Your Firm's No. of Full-Time Job Equivalents Currently Applied to Related Commercial Activities
			<u>Sales</u>	<u>License Fees/Royalties</u>	<u>\$ re: sell-off of technology</u>	
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

(Please continue on reverse side, if additional space is necessary.)

2. Regarding your firm's efforts (successful or unsuccessful) & planning to date regarding commercial ventures to develop commercial products/services targeted to non-USG markets based on, or partially based on, technology developed under NASA SBIR Phase II awards^(a) which were made to your firm, please provide the following information with respect to your firm:

(A commercial **venture** is defined to be 1) a separate profit center established by your firm; or, 2) a profit center of another private entity that is a source of royalties, licensing fees, or dividends for your firm or that has been established based on the outright purchase of NASA SBIR related technology from you firm.)

Venture Name	Associated NASA SBIR Contract Numbers	Cum. Priv. Cap. Investment by Your Firm & by Partner Firms (\$ M)	No. of Spin Off Firms Formed (Names: Opt.*)	No. of Joint Partnerships (JV's) Entered	No. of Lic./ Roy. Agmts. Entered	No. of Other Strategic Alliance Agreements			No. of Patents	No. of Pat. Appl.	Other Significant Business Development		No Sig. Business Development; but Some Preliminary Planning (e.g. mkt. research)	
						W/Priv. Entities	JV's	No. of Other Firms Involved: (Names: Optional*)			Yes	No	Yes	No
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____

(Note: For those NASA SBIR contracts not associated with a commercial venture to date, please enter "NONE" in the "Venture Name" column. Please continue on reverse side, if additional space is necessary.)

3. NASA SBIR Phase II awards ^(a) made to your firm for which NASA and/or non-NASA USG agencies provided Phase III funding to your firm:

(Note: **USG Phase III funding** is defined to be sales to NASA or to non-NASA USG agencies plus post-Phase II development funding awarded by NASA or by non-NASA USG agencies.)

NASA SBIR Phase II ^(a) Contract No.'s	Cumulative USG Phase III Funding (in \$ Millions) Received by Your Firm To Date		Your Firm's No. of Full-Time Job Equivalents (not accounted for in Section 1 above) currently applied to Related Phase III activities in USG Markets
	Phase III Funding From NASA	Phase III Funding From non-NASA USG Agencies	
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(Please continue on reverse side, if additional space is necessary.)

4. **Comments:** (*) Optional names of any spin-off or partnering entities referred to above plus any other optional comments; use backside of this form, if necessary. Also, you may attach any marketing literature/other information on commercial applications of technology developed under NASA SBIR awards to your firm.)

(a) If commercial ventures or USG Phase III funding resulted from NASA Phase I awards for which there were no follow-on NASA Phase II awards, then please provide the requested information with respect to those NASA Phase I awards.