

U.S. DEPT OF COMMERCE, NOAA
 NMFS PERMITS BRANCH, F/SER1
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 727/824-5326 (8:00 am - 4:30 pm ET)
 http://sero.nmfs.noaa.gov



FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

Application ID

FOR OFFICE USE ONLY

FOR OFFICE USE ONLY	
Reviewer's Initials and Date	
Permit Check or Money Order Number and Amount	
Floy Tag Check or Money Order Number and Amount	
Sanction Case Number if Sanctioned	
Non Compliance Hold Date	
Non Compliance Cleared Date	
Expiration Date(s)	

REMEMBER TO SEND A COPY of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation. If this vessel is not documented, send a copy of your state vessel registration. If we have a copy of your documentaion or state registration on file, it must not be expired. Do not send your original. We cannot accept a bill of sale. If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

1. VESSEL INFORMATION

<p>OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION (if the vessel is documented)</p> <input style="width: 100%; height: 20px;" type="text"/> <p>STATE REGISTRATION NUMBER (as applicable)</p> <input style="width: 100%; height: 20px;" type="text"/> <p>VESSEL NAME</p> <input style="width: 100%; height: 20px;" type="text"/> <p>HULL IDENTIFICATION or IMO NUMBER</p> <input style="width: 100%; height: 20px;" type="text"/> <p>HAILING PORT CITY</p> <input style="width: 100%; height: 20px;" type="text"/> <p>HAILING PORT COUNTY OR PARISH HAILING PORT STATE</p> <input style="width: 150px; height: 20px;" type="text"/> <input style="width: 80px; height: 20px;" type="text"/>	<p>YEAR BUILT</p> <input style="width: 100%; height: 20px;" type="text"/> <p>Crew Size - Including the Captain</p> <input style="width: 100%; height: 20px;" type="text"/> <p>HOLD or FISH BOX CAPACITY (Pounds of Harvest)</p> <input style="width: 100%; height: 20px;" type="text"/>	<p>LENGTH (FEET)</p> <input style="width: 100%; height: 20px;" type="text"/>	<p>TOTAL HORSEPOWER</p> <input style="width: 100%; height: 20px;" type="text"/>				
<p style="text-align: center; margin-top: 0;">USCG DOCUMENTED VESSELS ONLY</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">GROSS TONS</td> <td style="width: 50%;">NET TONS</td> </tr> <tr> <td><input style="width: 100%; height: 20px;" type="text"/></td> <td><input style="width: 100%; height: 20px;" type="text"/></td> </tr> </table>	GROSS TONS	NET TONS	<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	<p>HULL MATERIAL</p> <p><input type="checkbox"/> FIBERGLASS</p> <p><input type="checkbox"/> STEEL</p> <p><input type="checkbox"/> WOOD</p> <p><input type="checkbox"/> CEMENT</p> <p><input type="checkbox"/> OTHER _____</p>	<p>FUEL DATA</p> <p><input type="checkbox"/> DIESEL</p> <p><input type="checkbox"/> GASOLINE</p> <p><input type="checkbox"/> OTHER (DESCRIBE)</p> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> <p>TOTAL GALLONS OF FUEL</p> </div>	<p>PRODUCT STORAGE (check all that apply)</p> <p><input type="checkbox"/> ON ICE IN HOLD, FISH BOX, COOLER ETC.,</p> <p><input type="checkbox"/> FREEZER</p> <p><input type="checkbox"/> LIVE WELL</p> <p><input type="checkbox"/> OTHER _____</p>
GROSS TONS	NET TONS						
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>						

PASSENGER CAPACITY DATA FOR CHARTER/ HEADBOAT VESSELS ONLY

UNINSPECTED VESSEL - "6-PACK"

USCG INSPECTED VESSEL (Specify Passenger Capacity as listed on the USCG Certificate of Inspection, not including Capt. and Crew)

This vessel is used MOSTLY for (select only 1)

Commercial Fishing

Headboat

Charter

For Shark and Swordfish Directed and Incidental Permit Applicants Only: Does your vessel fish with, or carry onboard, either longline or gillnet gear?

Yes No

Reminder: If yes, include a copy of your "Protected Species Release, Disentanglement, and Identification Workshop Certificate".

2. PERMITS and ENDORSEMENTS

INSTRUCTIONS: Indicate which permit(s) and transaction(s) you are applying for. Find the fishery in the left column and mark the check box beside that fishery to indicate what transaction you want.

OPEN ACCESS COMMERCIAL	NEW	RENEWAL	DUPLICATE
COMMERCIAL ATLANTIC DOLPHIN/ WAHOO PERMIT (ADW)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER TAILING PERMIT (LT)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER PERMIT (LC) (Not required for the EEZ off Florida)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPANISH MACKEREL PERMIT (SM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ROCK SHRIMP (CAROLINAS ZONE) PERMIT (RSCZ) (Formerly South Atlantic Rock Shrimp (RS))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC PENAEID SHRIMP PERMIT (SPA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF ROYAL RED SHRIMP ENDORSEMENT (GRRS) You must possess a valid Gulf of Mexico Shrimp (SPGM) Permit to obtain this endorsement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

OPEN ACCESS CHARTER/HEADBOAT	NEW	RENEWAL	DUPLICATE
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR SNAPPER-GROUPER PERMIT (SC)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS PERMIT (CHS)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ATLANTIC CHARTER/ HEADBOAT FOR DOLPHIN/ WAHOO PERMIT (CDW)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

LIMITED ACCESS/MORATORIUM COMMERCIAL	PERMIT NUMBER	TRANSFER	RENEWAL	DUPLICATE
GULF OF MEXICO SHRIMP PERMIT (SPGM)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
KING MACKEREL PERMIT (KM)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GILLNET ENDORSEMENT FOR KING MACKEREL (GN)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO REEF FISH PERMIT (RR)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ROCK SHRIMP (SOUTH ATLANTIC EEZ) PERMIT (RSLA) (Formerly South Atlantic Rock Shrimp Limited Entry Area Endorsement (RSE))		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) PERMIT (SG1)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC 225-LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) PERMIT (SG2)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH DIRECTED PERMIT (SFD)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH HANDGEAR PERMIT (SFH)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH INCIDENTAL PERMIT (SFI)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SHARK DIRECTED PERMIT (SKD)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SHARK INCIDENTAL PERMIT (SKI)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ATLANTIC TUNA LONGLINE PERMIT (ATL) You must possess valid shark and swordfish permits (SFD or SFI, and SKD or SKI) to obtain this permit.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

LIMITED ACCESS/MORATORIUM CHARTER/ HEADBOAT	PERMIT NUMBER	TRANSFER	RENEWAL	DUPLICATE
GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (RCG)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HISTORICAL CAPTAIN ENDORSEMENT FOR GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCHG)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HISTORICAL CAPTAIN ENDORSEMENT FOR GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (HRCG)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3. VESSEL OWNER AND LESSEE INFORMATION

Please copy this page as needed to provide information on all persons or businesses that own or lease the vessel listed in Section 1.

- 1) Please complete the top section of this page for the owner of the vessel as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned, please enter the information for the managing (primary) owner. If the owner is a business, enter the Federal ID number and date the business was formed. If the owner is an individual, enter the Social Security Number.
- 2) Complete the bottom section of this page for a joint owner if the vessel is jointly owned by more than one owner, OR if the vessel is leased, for the entity that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy the blank form or provide the required information on a separate sheet of paper.
- 3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information, usually the managing owner if the vessel is jointly owner. Please only mark one box.
- 4) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Vessel Owner as shown on the USCG Certificate of Documentation,
or for undocumented vessels, the State Registration; and/or Vessel Lessee Information

This entity is a vessel OWNER <input type="checkbox"/> or vessel LESSEE <input type="checkbox"/> (For lessees only) LEASE START DATE: <input style="width: 100px;" type="text"/>		LEASE EXPIRATION DATE: <input style="width: 100px;" type="text"/>					
Check one <input type="checkbox"/> INDIVIDUAL or SOLE PROPRIETORSHIP <input type="checkbox"/> JOINT OWNERSHIP <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input type="checkbox"/> OTHER _____							
<input type="checkbox"/> Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person							
Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.			
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>			
Mailing Address		Apt/Suite #	City	State	County/parish	Zip Code	Country
<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Physical Address		Apt/Suite #	City	State	County/parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address		<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Tax ID # (Employer ID or SSN)		Date of Birth/business filed (MM/DD/YYYY)		Area Code	Phone Number		
<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>		
Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.							
<input type="checkbox"/> Commercial King Mackerel <input type="checkbox"/> Commercial Spiny Lobster <input type="checkbox"/> Spanish Mackerel <input type="checkbox"/> Reef Fish							

Second Vessel Owner as shown on the USCG Certificate of Documentation or State Registration, or
Vessel Lessee

This entity is a vessel OWNER <input type="checkbox"/> or vessel LESSEE <input type="checkbox"/> (For lessees only) LEASE START DATE: <input style="width: 100px;" type="text"/>		LEASE EXPIRATION DATE: <input style="width: 100px;" type="text"/>					
Check one <input type="checkbox"/> INDIVIDUAL or SOLE PROPRIETORSHIP <input type="checkbox"/> JOINT OWNERSHIP <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input type="checkbox"/> OTHER _____							
<input type="checkbox"/> Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person							
Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.			
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>			
Mailing Address		Apt/Suite #	City	State	County/parish	Zip Code	Country
<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Physical Address		Apt/Suite #	City	State	County/parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address		<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Tax ID # (Employer ID or SSN)		Date of Birth/business filed (MM/DD/YYYY)		Area Code	Phone Number		
<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>		
Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.							
<input type="checkbox"/> Commercial King Mackerel <input type="checkbox"/> Commercial Spiny Lobster <input type="checkbox"/> Spanish Mackerel <input type="checkbox"/> Reef Fish							

REMINDER: THE APPLICANT MUST SIGN THE APPLICATION IN THE SIGNATURE SECTION ON PAGE 6

4. OFFICER/SHAREHOLDER INFORMATION FOR ENTITIES THAT OWN OR LEASE THE VESSEL

Please copy this page as needed to provide information on all persons or businesses that own or lease this vessel.

If this vessel is owned or leased by a business, then complete this section for each officer or partner associated with the business. You must provide the information for all officers that are shown on your most recent annual report. If your business is structured as a corporation, you are required to identify all shareholders in the corporation, as well as the percentage of all shares in the corporation held by each shareholder. The total of all entries must be 100 percent.

Owner or lessee of the vessel: Owner Lessee

Business name:

Federal Tax ID #

All individuals associated with the above-named vessel owner or lessee must be included in this application. Photocopy this page or attach additional sheets as necessary to list all officers, directors, shareholders, and registered agents of the business. Provide names, Social Security Numbers, addresses, phone number, date of birth, and position held in business.

Position held - check ALL that apply

President/CEO Vice President Secretary Treasurer Director/Manager Shareholder Other

Percent (%) of Corporation Held

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.
<input style="width: 70px;" type="text"/>	<input style="width: 300px;" type="text"/>	<input style="width: 230px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 70px;" type="text"/>

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
<input style="width: 280px;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 40px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 80px;" type="text"/>	<input style="width: 50px;" type="text"/>

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
<input style="width: 280px;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 40px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 80px;" type="text"/>	<input style="width: 50px;" type="text"/>

Check box if same as Mailing Address

SSN	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number
<input style="width: 130px;" type="text"/>	<input style="width: 270px;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 150px;" type="text"/>

Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.

Commercial King Mackerel Commercial Spiny Lobster Spanish Mackerel Reef Fish

Position held - check ALL that apply

President/CEO Vice President Secretary Treasurer Director/Manager Shareholder Other

Percent (%) of Corporation Held

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.
<input style="width: 70px;" type="text"/>	<input style="width: 300px;" type="text"/>	<input style="width: 230px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 70px;" type="text"/>

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
<input style="width: 280px;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 40px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 80px;" type="text"/>	<input style="width: 50px;" type="text"/>

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
<input style="width: 280px;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 40px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 80px;" type="text"/>	<input style="width: 50px;" type="text"/>

Check box if same as Mailing Address

SSN	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number
<input style="width: 130px;" type="text"/>	<input style="width: 270px;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 150px;" type="text"/>

Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.

Commercial King Mackerel Commercial Spiny Lobster Spanish Mackerel Reef Fish

REMINDER: THE APPLICANT MUST SIGN THE APPLICATION IN THE SIGNATURE SECTION ON PAGE 6

5. HISTORICAL CAPTAIN OR OTHER INCOME QUALIFIER (DESIGNATED OPERATOR)

Provide information on person who is the Historical Captain for Gulf of Mexico Charter/Headboat Historical Captain permit(s) and/or the designated Operator (income qualifier) who is not the vessel owner or lessee, or related through business association to the vessel owner or lessee, as listed in Section 3 or Section 4 of this application.

Please complete this section of the application only if you are applying for:

- * A Gulf of Mexico Charter/Headboat permit with a Historical Captain provision (renewal or transfer),
- * A King Mackerel, Spanish Mackerel, Reef Fish, or Commercial Spiny Lobster permit for which you have met the income qualification requirement by using the fishing income of a designated operator who is neither a vessel owner or lessee as listed in Section 3 of this application, nor is an officer or a shareholder of a business that owns or leases the vessel, as listed in Section 4 of this application.

Please complete the bottom part of this section of the application only if you are applying for:

- * A King Mackerel or Spanish Mackerel permit for which you have met the income qualification requirement by using the fishing income of a company that is not the vessel owner or lessee as listed in Section 3 of this application.

For all other applications, this section should be blank.

This person is a (check all that apply):

- Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish
- Historical Captain for Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic Fish
- Income Qualifier (Designated Operator other than Permit Holder) for: (check all that apply)
- Commercial King Mackerel Commercial Spiny Lobster Spanish Mackerel Reef Fish

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
Mailing Address		Apt/Suite #	City	State	County/parish	Zip Code	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Physical Address		Apt/Suite #	City	State	County/parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
SSN	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number				
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>				

6. SNAPPER-GROUPER POTS

**COMPLETE THIS SECTION ONLY IF YOU FISH WITH
SEA BASS POTS IN THE SOUTH ATLANTIC SNAPPER-GROUPER FISHERY.**

Tag cost is \$1.50 per tag made payable by check or money order to Floy Tag, Inc.

What color is your Buoy for Sea Bass Pots? Color

If you have an existing buoy color code for ANY other trap or pot fishery, Color
List it here.

South Atlantic Sea Bass Pot Information Number of Pots

Size: H x L x W (in inches) Mesh size (in inches)
Height x Width

**IF SECTION 5 OF THIS PAGE IS FILLED OUT, THE HISTORICAL CAPTAIN OR OTHER INCOME QUALIFIER LISTED
MUST SIGN THE SIGNATURE SECTION AS THE APPLICANT ON PAGE 6.**



Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the U.S. Treasury. The fee required is \$25.00 for the first permit or endorsement and \$10.00 for each additional permit or endorsement requested with each application. Checks returned for insufficient funds will result in the issuance of a notice of intent to annul the permit(s) for which the check was submitted as payment. Further non-payment will result in the eventual annulment of the permits(s).

FEE SCHEDULE FOR PERMITS AND ENDORSEMENTS:

1: \$25	2: \$35	3: \$45	4: \$55	5: \$65	6: \$75	7: \$85	8: \$95	9: \$105
10: \$115	11: \$125	12: \$135	13: \$145	14: \$155	15: \$165	16: \$175	17: \$185	18: \$195

7. SIGNATURE FOR APPLICATION

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Further, the undersigned certifies that if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 3, or an officer or shareholder of the lessee as listed in Section 4. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 3, or an officer or shareholder of the owner as listed in Section 4.

Applicant Signature		Position in Company		Date	
Print Name		Operator Signature if required			

8. INCOME QUALIFICATION AFFIDAVIT FOR INCOME QUALIFIED PERMITS

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. This signed Income Qualification Affidavit is required with every application to renew or transfer an income qualified permit (as listed below). Knowingly supplying false information or willfully overvaluing any fishing income for the purpose of obtaining a permit is a violation of Federal law punishable by a fine and/or imprisonment.

<p>Commercial Spiny Lobster 50CFR640.4(A)(2) An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.</p>	<p>King Mackerel 50CFR622.4(a)(iii) To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.</p>	<p>Spanish Mackerel 50CFR622.4(a)(iv) To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.</p>	<p>Gulf of Mexico Reef Fish 50CFR622.4(a)(v) To obtain or renew a commercial vessel permit for Gulf reef fish, more than 50 percent of the applicant's earned income must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during either of the 2 calendar years preceding the application.</p>
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The following information applies to my income qualification for the following fisheries:

- Commercial Spiny Lobster
 King Mackerel
 Spanish Mackerel
 Gulf of Mexico Reef Fish

I, _____, hereby declare under penalty of perjury that the foregoing information is true and correct. (28 USC 1746; 18 USC 1621; 18 USC 1001; 16 USC 1857). I agree to provide the necessary documentation to prove that I met the earned income requirement when so requested by the National Marine Fisheries Service.

Executed on _____
(date signed)

Printed Name	Signature
Business Name (If Applicable)	Type of Business (If Applicable)

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.



Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

Rev 12/10/2009

General Instructions:

In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET, and to consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. (Incomplete or illegible applications will be returned.)

1. Complete all applicable sections of this application form. Incomplete or illegible applications will be returned. Applications should be typed, or hand printed and should be filled out in ink.
2. The application fee is **\$25 for one fishery and \$10 for each additional fishery** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application. There is a fee schedule on page 6 of the application and further along in these instructions to assist you in determining the total amount to pay for your permits.
3. Mail the application, payment, and all required supporting documentation to: **National Marine Fisheries Service (F/SER1), 263 13th Avenue South., St. Petersburg, FL 33701**. Questions may be telephoned to our toll free number at (877) 376-4877 or 727/824-5326 between 8 am - 4:30pm ET. If you would like your permit and associated documents returned to you overnight upon completion of processing, enclose a completed, pre-paid **FEDERAL EXPRESS** air bill, complete with your street delivery address (FEDEX does not deliver to PO Boxes), telephone number, and your FEDEX account number or major credit card number with the expiration date. Please note, using the prepaid overnight delivery option does not expedite permit processing, it can only expedite delivery upon completion of processing.
4. If transferring a Gulf of Mexico charter/headboat vessel permit, a copy of a **valid** U.S. Coast Guard Operator of Uninspected Passenger Vessel License (commonly referred to as a 6-pack license) or a valid U.S. Coast Guard Masters License must be provided, along with any other requested information. A **valid** U.S. Coast Guard Certificate of Inspection must be provided if passenger capacity of the vessel is greater than 6 passengers. A valid temporary Certificate of Inspection will be accepted.
5. Each vessel issued a Rock Shrimp (South Atlantic) or a Rock Shrimp (Carolinas Zone) permit or an Atlantic Dolphin/Wahoo (charter/headboat or commercial) permit, when at sea or offloading, is required to have on board at least one person who has a Federal operator permit card issued by the NMFS Southeast Regional Office or the NMFS Northeast Regional Office.
6. Requests to renew or transfer permits will not be processed until all required reporting requirements (e.g., logbooks, the MRIP For-Hire telephone survey, etc) have been met. Noncompliance with any reporting requirement will preclude processing of all permit transaction requests. To avoid delays, ensure you comply with all reporting requirements in a timely manner and in advance of any permit application requests. Send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew or transfer your permit(s) until all reporting requirements (e.g., logbook submission) are met.
7. An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew or transfer an income qualified permit. The blank Income Qualification Affidavit is included on page 6 of this application.
8. Applications to transfer permits for which the Federal Regulations restrict transfers to certain family members or to a vessel with certain characteristics may be required to submit documentation to verify their eligibility for such transfers. The applicable United States Code of federal regulations is available on line at <http://sero.nmfs.noaa.gov/regulations/reg.htm>

In accordance with Federal regulations, any change in your permit information must be reported in writing to NMFS within 30 days of the change.

APPLICATION SECTION 1 Unless otherwise exempted by the application form, complete **all** portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation; or if not documented, the state registration certificate. If applying for a Highly Migratory Species commercial swordfish or shark permit issued without a vessel write "NO VESSEL" in the field for USCG Official Number. For charter/headboats that are inspected, list the greatest passenger capacity listed on the USCG Certificate of Inspection. A vessel's passenger capacity does not include the captain and crew.

APPLICATION SECTION 2

Indicate the fishery and transaction type for each permit requested in this application.

APPLICATION SECTION 3

Enter the information of the person/business shown as the "**owner**" on the U.S. Coast Guard Certificate of Documentation or, if not documented, from the state registration certificate. If there is more than one vessel owner shown on the USCG documentation or the vessel is titled to more than one person, provide the required information for all listed owners. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all vessel owners and lessees.

If the owner/qualifier is an individual, provide the owner's date of birth and enter the Social Security Number (taxpayer ID information). If the

person shown as the “owner” is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company’s Federal Tax ID number (taxpayer ID information). If corporations are in an INACTIVE status, permits will not be issued.

If the vessel is leased, also provide information on all vessel lessees. If lessee is an individual, provide the owner’s date of birth and enter the Social Security Number (taxpayer ID information). If the person shown as the “lessee” is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company’s Federal Tax ID number (taxpayer ID information). If corporations are in an INACTIVE status, permits will not be issued.

If the vessel is leased, provide a copy of the signed (both parties) and dated lease agreement (see the Renewal and Initial (New) Issuance of permits section (below) of these instructions for lease requirements).

If any entities named as a vessel owner or for leased vessels named as vessel lessee are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification and submit a signed Income Qualification Affidavit.

APPLICATION SECTION 4

If the application is for a vessel that is owned by corporation, partnership, or other business entity, then information on the owner’s officers/ shareholders is required. Information on all officers/shareholders associated with the vessel owners and/or lessees is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the vessel owners and lessees.

PLEASE NOTE: you are no longer required to submit articles of incorporation/organization or an annual report for businesses that hold permits, own or lease vessels.

If any individuals named as an officer or shareholder of the vessel owner, or for leased vessels named as an officer or shareholder of the vessel lessee, are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification, and submit a signed Income Qualification Affidavit.

If the company holds IFQ shares, indicate what percentage of the company each person listed owns. The percentages reported must equal 100%.

APPLICATION SECTION 5

Complete this section **only** for applications that include:

- Gulf of Mexico Charter/Headboat permits with a Historical Captain provision, or
- King Mackerel, Spanish Mackerel, Reef Fish, and/or Commercial Spiny Lobster permit which has been income qualified using the fishing income of an operator who is neither a vessel owner or lessee as listed in Section 3 of this application, nor is an officer of a business that owns or leases the vessel, as listed in Section 4 of this application.

For all other applications, this section should remain blank.

APPLICATION SECTION 6 Complete this section only if you fish with pots in the snapper-grouper fishery off the southern Atlantic states. A **separate check or money order for pot tags (\$1.50 per tag) payable to FLOY TAG INC. is required for use in the snapper-grouper fishery.** Tags will be mailed directly from Floy Tag Inc., and will not be sent with the permit package.

APPLICATION SECTION 7 The application must be signed and dated by the vessel owner or for leased vessels by the vessel lessee. For corporate owned or leased vessels, an officer or shareholder of the vessel owner or vessel lessee must sign and date the application.

APPLICATION SECTION 8 An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. The affidavit is a signed promise, under penalty of perjury, that the applicant meets the federal income qualification requirements for the income qualified permit(s) and will provide such income documentation if NMFS requests such documentation. You are not required to provide tax or income documents unless we specifically ask for them. A signed Income Qualification Affidavit will be required with every application to renew an income qualified permit.

RENEWAL AND INITIAL (NEW) ISSUANCE OF PERMITS

- All permit renewal and first issuance requests must include, as a minimum: an application, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate. Please note - we cannot accept a bill of sale as documentation or registration.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. The lease must state who is leasing what vessel (identified by USCG Documentation or if not documented, then state registration number) to whom, what date the lease begins and what specific date the lease expires. The lease must run for a minimum of 7 months, and if you anticipate a long term arrangement, let the lease run for a number of years. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.
- To ensure that your renewal goes as quickly and smoothly as possible, send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew your permit(s) until all logbook reporting requirements are met.

TRANSFERS

- All permit transfer requests must include, as a minimum: an application, the original permits with appropriate signatures on the back, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate.
- **SIGNATURES ON PERMITS:** provide the **valid** (not expired) **ORIGINAL (not a copy)** transferable permit(s) with the signatures of the seller on the reverse of the permit.
 - If the sellers are a joint ownership, ALL persons that sell the permit **MUST** sign the permit.
 - The seller's signature(s) must be notarized.
 - **Print** the name of the new permit holder (individual(s) or business) as the receiving vessel permit owner.
 - If transferring to a different vessel owned by the same entity (person or business), no signatures are required unless the permit(s) is a Gulf of Mexico Charter/Headboat Permit(s).
 - Signatures are required to transfer Gulf of Mexico Charter/Headboat Permit(s) to certify that you have removed the charter/headboat permit sticker from the old vessel.
 - Permits sold by a business must be signed by an officer or shareholder of the business. The correct format must include the signature, the person's position in the business and the company name, for example:
John Doe, President, ABC Fishing Inc.
- Applications for the transfer of Gulf of Mexico Charter/Headboat permits must provide a copy of the unexpired USCG Operator of Uninspected Passenger Vessel License or Masters License. And, if larger than a 6-pack, you must also provide a copy of the vessel's unexpired Certificate of Inspection (COI).
- Gulf of Mexico Charter/Headboat permits (including Historical Captain permits) may not be transferred to a vessel that has a larger U.S. Coast Guard passenger capacity rating than the permit's baseline passenger rating. For example, a 10 passenger permit may not be transferred to a vessel with a COI for 12 passengers. Permits with a 6 passenger rating (6 pack) may only be transferred to an uninspected vessel (another "6 pack" vessel).
- Upon transfer of a Gulf of Mexico moratorium Coastal Migratory Pelagic Charter/Headboat permit and/or Gulf of Mexico moratorium Reef Fish Charter/Headboat permit, the Federal Charter/Headboat **decal must be removed** from your vessel.
- New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.
- Transfer of Atlantic SHARK or SWORDFISH permits for swordfish handgear or swordfish and shark directed permits are subject to vessel size increase limitations.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement. The lease must state the beginning and ending dates of the lease term. **Expired permits may not be transferred.**
- The following permits and endorsements are NOT transferable:

<u>Charter</u> South Atlantic Charter/headboat for Coastal Migratory Pelagics South Atlantic Charter/headboat for Snapper-Grouper Atlantic Charter/headboat for Dolphin/Wahoo	<u>Commercial</u> Atlantic Dolphin/Wahoo - Commercial Spiny Lobster Spiny Lobster Tailing Rock Shrimp (Carolinas Zone) Gulf of Mexico Royal Red Shrimp Endorsement Spanish mackerel
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DUPLICATE OR REPLACEMENT PERMITS

To request replacement of lost permits the USCG Official Number and/or the State Registration Number fields of Section 1 must be completed. Section 2 must be completed to indicate for which permit(s) duplicates are requested. The application must be signed in Section 7 by a permit owner. A check or money order for \$18 payable to the U.S. Treasury must accompany the application.

FEES

Fees for permit or endorsement renewal, initial issuance, and transfers are \$25 for the first permit or endorsement and \$10 for each additional permit or endorsement.

1 = \$25	2 = \$35	3 = \$45	4 = \$55	5 = \$65	6 = \$75	7 = \$85
8 = \$95	9 = \$105	10 = \$115	11 = \$125	12 = \$135	13 = \$145	14 = \$155

A flat rate fee of \$18 is accessed for replacement of one or more permits issued to a single vessel.

EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS

	KING & SPANISH MACKEREL	REEF FISH (GULF OF MEXICO)	SPINY LOBSTER
Percentage of earned income	At least 25%	More than 50%	At least 10%
Gross sales alternative	More than \$10,000 in sales of fish	N/A	N/A
Source of earned income	Commercial, charter, or headboat	Commercial, charter, or headboat	Sale of catch
Time frame for qualification	One of three years prior to application	Either of two years prior to application	Year prior to application

The following fisheries do not require any earned income:

COMMERCIAL

Atlantic Dolphin/Wahoo - Commercial
 Shark Directed or Incidental
 Swordfish Directed, Incidental, or Handgear
 Rock Shrimp (Carolinas Zone)
 Rock Shrimp (South Atlantic EEZ)
 Spiny Lobster Tailing
 South Atlantic Unlimited Snapper-Grouper excluding Wreckfish
 South Atlantic 225 lb. Trip Limit Snapper-Grouper excluding Wreckfish
 Gulf of Mexico Shrimp
 Gulf of Mexico Royal Red Shrimp Endorsement
 Atlantic Tuna Longline
 South Atlantic Penaeid Shrimp

CHARTER/HEADBOAT

Atlantic Dolphin/Wahoo - Charter
 Gulf of Mexico Coastal Migratory Pelagic Fish Charter/Headboat
 South Atlantic Coastal Migratory Pelagic Fish Charter/Headboat
 South Atlantic Charter for Snapper-Grouper
 Gulf of Mexico Reef Fish Charter/Headboat

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew an income qualified permit.

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non-confidential information means: Name, Street Address, City, State, Zip code, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and in the case of a "for hire" vessel the Passenger Capacity, or individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

ACTIVITIES FOR WHICH PERMITS ARE REQUIRED

OPEN ACCESS PERMITS (New permits may be issued; these permits may NOT be transferred)

ATLANTIC DOLPHIN-WAHOO CHARTER VESSEL/HEADBOAT- For a person aboard a vessel that is operating as a charter vessel/headboat to fish for or possess a dolphin-wahoo in or from Federal waters in the Atlantic, an Atlantic dolphin-wahoo charter vessel/headboat permit must be issued to that vessel. There are no earned income or landing requirements for this permit. An owner of a charter vessel/headboat who desires to sell dolphin is required to obtain a dolphin-wahoo commercial vessel permit (see below) in addition to the charter vessel/headboat permit. (The sale of wahoo harvested in the Atlantic EEZ by a vessel operating as a charter vessel/headboat is not allowed.) The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

ATLANTIC DOLPHIN-WAHOO COMMERCIAL - A vessel must be issued an Atlantic dolphin-wahoo commercial permit to be eligible for exemption from the bag and possession limits for dolphin-wahoo in the Atlantic EEZ or to sell dolphin-wahoo harvested in the Atlantic EEZ. . The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

SOUTH ATLANTIC COASTAL MIGRATORY PELAGIC CHARTER OR SNAPPER-GROUPER CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish or snapper-grouper in or from Federal waters off the southern Atlantic states, a charter permit must be issued to the vessel and be on board. These permits do NOT allow for the sale of fish caught under these permits.

SOUTH ATLANTIC PENAID SHRIMP - For a person aboard a trawler to fish for penaeid shrimp (brown, pink, or white shrimp) in the South Atlantic EEZ or possess penaeid shrimp in or from the South Atlantic EEZ, a valid (not expired) commercial vessel permit for South Atlantic penaeid shrimp must have been issued to the vessel and must be on board.

GULF OF MEXICO ROYAL RED SHRIMP ENDORSEMENT – For a person aboard a vessel to fish for royal red shrimp in the Gulf of Mexico EEZ or possess royal red shrimp in the Gulf of Mexico EEZ, a valid vessel permit for Gulf of Mexico shrimp **and** a royal red shrimp endorsement must have been issued to the vessel and must be on board. This endorsement is not transferable.

SPANISH MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for Spanish mackerel, a commercial permit must be issued to the vessel and be on board. At time of application income criteria must be submitted. *If applying for a Spanish mackerel permit, earned income or gross sales requirement must be met at time of application. See Earned Income or Gross Sales Requirements for Commercial Permits on page 4 of these instructions.*

SPINY LOBSTER - For a person aboard a vessel in Federal waters off of Texas, Louisiana, Mississippi, or Alabama to be exempt from the daily catch and possession limit, a commercial spiny lobster permit must be issued to the vessel and be on board. Florida's requirements apply to a vessel fishing in Federal waters off Florida. The daily bag or possession limit for spiny lobster in or from the EEZ of the southern Atlantic states other than Florida (North Carolina, South Carolina, and Georgia), is two per person for recreational and commercial fishing year round. To possess a separated spiny lobster tail in or from Federal waters, a tail-separation permit must be issued to the vessel and be on board. Tail separation permits may only be obtained for vessels that, due to the nature of the fishing, are required to remain at sea for 48 hours or longer. *If applying for a commercial spiny lobster permit, the income requirement must be met at time of application. See Earned Income Requirements for Commercial Permits on page 4 of these instructions.*

SOUTH ATLANTIC ROCK SHRIMP (CAROLINAS ZONE) - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to NMFS.

LIMITED ACCESS/MORATORIUM PERMITS (new permits will NOT be issued; these permits MAY be transferred)

Please note that charter permits DO NOT allow you to sell fish caught on a charter.

SOUTH ATLANTIC ROCK SHRIMP (SOUTH ATLANTIC EEZ) - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from Georgia and the east coast of Florida, a Rock Shrimp (South Atlantic EEZ) permit is required. For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. To transfer a Rock Shrimp (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has been issued a Rock Shrimp (Carolinas Zone) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (South Atlantic EEZ) permit on the same vessel, the Rock Shrimp (Carolinas Zone) permit must first be surrendered to the NMFS. Similarly, if a vessel has been issued a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to the NMFS.

SOUTH ATLANTIC SNAPPER-GROUPER UNLIMITED and 225-LB (EXCLUDING WRECKFISH PERMITS) - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits, to engage in a directed fishery for tilefish or to use a sea bass pot, a commercial permit must be issued to the vessel and be on board. Permits for the South Atlantic snapper-grouper fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit.

South Atlantic snapper-grouper (excluding wreckfish) 225-lb trip limit permits are only transferable to a vessel owned or leased by the same permit owner.

With the exception of South Atlantic unlimited snapper-grouper (excluding wreckfish) permits transferred from an individual to a "family corporation", a person desiring to acquire a permit for South Atlantic unlimited snapper-grouper (excluding wreckfish) must obtain and exchange two such permits for one new permit. If the South Atlantic unlimited snapper-grouper (excluding wreckfish) permit is transferred from an individual to a "family corporation" made up exclusively of that individual's mother, father, brother, sister, son, daughter, and/or spouse, it may be obtained as a one-for-one transfer. However, permits obtained by a "family corporation" under this 1:1 transfer provision may only be renewed by the family corporation as long as the corporation is comprised exclusively of the mother, father, brother, sister, son, daughter, or spouse of the individual who originally transferred the permit to the family corporation.

For all South Atlantic Snapper-Grouper permit transfers, the original valid (not expired) permit(s) must be returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

GULF OF MEXICO CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish in or from Federal waters in the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf coastal migratory pelagic charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic fish permit, the original valid permit must be signed and notarized as required, and returned with an application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

LIMITED ACCESS/MORATORIUM PERMITS (CONTINUED)

GULF OF MEXICO CHARTER/ HEADBOAT FOR REEF FISH - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a reef fish in or from Federal waters of the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf of Mexico reef fish charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/ Headboat for Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with an application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred*.**

GULF OF MEXICO COMMERCIAL REEF FISH - As a prerequisite to sell reef fish in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the commercial reef fish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.** Please note: Gulf of Mexico Reef Fish Permits may only be renewed or transferred on vessels that have an installed, activated and operational VMS (Vessel Monitoring System).

GULF OF MEXICO SHRIMP - As of March 26, 2007, permits for the Gulf of Mexico shrimp fishery are under a moratorium program. For a person aboard a vessel to fish for or shrimp in the Gulf of Mexico EEZ or possess shrimp in or from the Gulf of Mexico EEZ, a valid commercial vessel permit for Gulf of Mexico shrimp must have been issued to the vessel and must be on board. To transfer a Gulf of Mexico shrimp permit, the original valid permit must be signed and notarized as required and, returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred*.**

KING MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for king mackerel, a commercial permit must be issued to the vessel and be on board. Permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred*.**

GILLNET FOR KING MACKEREL - For a person aboard a vessel in Federal waters to use a run-around gillnet for King Mackerel in the southern Florida west coast subzone, a commercial gillnet permit for King Mackerel must be issued to the vessel and be on board. Gillnet permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. Gillnet permits for King Mackerel may only be transferred to an immediate family member (mother, father, husband, wife, son, daughter, brother, sister). To transfer a gillnet for King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred*.**

SHARK - As a prerequisite to sell a shark in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the shark fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a shark permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

SWORDFISH - To fish for or possess swordfish in or from the North Atlantic Ocean, or take swordfish as bycatch, whether or not retained, a commercial permit must be issued to the vessel and be on board. Permits for the swordfish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a swordfish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

ATLANTIC TUNA LONGLINE: Vessel owners conducting longline operations that may result in the incidental taking of large, medium and giant bluefin or in the directed/incidental taking of other Atlantic tunas must obtain this permit. The number of longline permits is limited, i.e., a vessel owner seeking to obtain a longline permit must obtain a longline permit from a qualified permit holder. To transfer an Atlantic Tuna Longline permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents. **Expired permits may not be transferred*.** Important: A total of 3 permits (swordfish, shark, and tuna longline) must be obtained to use longline to fish for tunas. The shark and swordfish permit may be either directed or incidental. Directed swordfish and shark permits are subject to upgrading and ownership restrictions. For further information on swordfish, shark, or tuna regulations, please call the Highly Migratory Species Management Division at (301) 713-2347.

*******IMPORTANT INFORMATION*******

* For all transferable permits – an expired permit MAY be transferred only when the seller(s) has signed over the permit AND the seller's signature(s) was notarized BEFORE the expiration date. Otherwise, an expired permit must be renewed by the permit holder shown on the front of the permit before it can be signed over for transfer to ownership by another entity. Permits that have been expired for more than 1 and have been terminated will not be transferred or renewed.