



PRIVACY THRESHOLD ANALYSIS (PTA)

CONTACT LISTS

**This form is used to determine whether
a Privacy Impact Assessment is required.**

Please use the attached form to determine whether a Privacy Impact Assessment (PIA) is required under the E-Government Act of 2002 and the Homeland Security Act of 2002.

Please complete this form and send it to the DHS Privacy Office:

Rebecca J. Richards
Director of Privacy Compliance
The Privacy Office
U.S. Department of Homeland Security
Washington, DC 20528
Tel: 703-235-0780
Fax: 703-235-0442

PIA@dhs.gov

Upon receipt, the DHS Privacy Office will review this form. If a PIA is required, the DHS Privacy Office will send you a copy of the Official Privacy Impact Assessment Guide and accompanying Template to complete and return.

A copy of the Guide and Template is available on the DHS Privacy Office website, www.dhs.gov/privacy, on DHSOnline and directly from the DHS Privacy Office via email: pia@dhs.gov, phone: 703-235-0780, and fax: 703-235-0442.



Privacy Threshold Analysis

Version date: July 10, 2007

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PRIVACY THRESHOLD ANALYSIS (PTA)

Please complete this form and send it to the DHS Privacy Office.
Upon receipt, the DHS Privacy Office will review this form
and may request additional information.

SUMMARY INFORMATION

DATE submitted for review: October 19, 2007

NAME of Project: TESSA

Name of Component: Science and Technology

Name of Project Manager: Silvia G. Cabrera

Email for Project Manager: silvia.cabrera@dhs.gov

Phone number for Project Manger: (202) 254-5792

TYPE of Project:

Information Technology and/or System*

A Notice of Proposed Rule Making or a Final Rule.

Other: <Please describe the type of project including paper based Privacy Act system of records.>

* The E-Government Act of 2002 defines these terms by reference to the definition sections of Titles 40 and 44 of the United States Code. The following is a summary of those definitions:

•“Information Technology” means any equipment or interconnected system or subsystem of equipment, used in the automatic acquisition, storage, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information. See 40 U.S.C. § 11101(6).

•“Information System” means a discrete set of information resources organized for the collection, processing, maintenance, use, sharing, dissemination, or disposition of information. See: 44. U.S.C. § 3502(8).

Note, for purposes of this form, there is no distinction made between national security systems or technologies/systems managed by contractors. All technologies/systems should be initially reviewed for potential privacy impact.



SPECIFIC QUESTIONS

1. Describe the project and its purpose:

The SAFETY Act provides important legal liability protections for providers of Qualified Anti-Terrorism Technologies-whether they are products or services. The goal is to encourage the development and deployment of new and innovative anti-terrorism products and services by providing liability protections.

2. Status of Project:

This is a new development effort.

This an existing project.

Date first developed: July 11, 2003

Date last updated: July 10, 2006

July 10, 2006 is the date the final rule became effective. Streamlined application kit August 16th , 2006

3. Could the project relate in any way to an individual?*

No.

Yes. Please provide a general description, below.

The SAFETY Act protections apply to Products and/or Services. You may have a Service that consists of consultants. The information gathered would relate to the business i.e. their experience and not the individual consultants.

4. What information about individuals could be collected, generated or retained?

Information would be related to the business, i.e. POC, name of the company, address, email, phone, etc.

5. Is the contact information limited to non-sensitive personally identifiable information? *An example of sensitive personally identifiable information is the social security number or date of birth.*

* Projects can relate to individuals in a number of ways. For example, a project may include a camera for the purpose of watching a physical location. Individuals may walk past the camera and images of those individuals may be recorded. Projects could also relate to individuals in more subtle ways. For example, a project that is focused on detecting radioactivity levels may be sensitive enough to detect whether an individual received chemotherapy.



We do not ask for personal identifiable information other than POC, we ask for company ID information.

6. **Has an Authority to Operate from the Chief Information Security Officer been granted to the program or project that the document or database which stores the contact information?**

Yes.

No.

7. **Are program or project user access controls in place governing who may view or access the contact information?**

Yes.

No. The contact information must not be universally accessible.

8. **Is the contact information only being used for the purpose for which it originally was collected, i.e., to contact individuals?**

Yes.

No. Any additional sharing or use will require a separate PIA.

9. **Is there a Certification & Accreditation record within OCIO's FISMA tracking system?**

Unknown.

No.

Yes. Please indicate the determinations for each of the following:

Confidentiality: Low Moderate High Undefined

Integrity: Low Moderate High Undefined

Availability: Low Moderate High Undefined



PRIVACY THRESHOLD REVIEW

(To be Completed by the DHS Privacy Office)

DATE reviewed by the DHS Privacy Office: October 19, 2007

NAME of the DHS Privacy Office Reviewer: Rebecca J. Richards

DESIGNATION:

This is NOT a Privacy Sensitive System – the system contains no Personally Identifiable Information.

This IS a Privacy Sensitive System

- PTA sufficient at this time
- A PIA is required
- National Security System
- Legacy System
- HR System

DHS PRIVACY OFFICE COMMENTS

A PIA is required due to the information collected from the applicants. The system is covered under the DHS Contact List PIA. The SORN covering this system is DHS/ALL-002.