OMB NO. 3038-0048 NOVEMBER 1999

COMMODITY FUTURES TRADING COMMISSION NATIONAL FUTURES ASSOCIATION FORM 3-R

Supplemental statement to application for registration of an Agricultural Trade Option Merchant and Associated Person or Listed Individual of an Agricultural Trade Option Merchant and reporting of additional sponsoring firms for the multiple association of an Associated Person of an Agricultural Trade Option Merchant.

PART I: Changes and Corrections in Registration Information (Check where applicable)

☐ Agricultural Trade Option Merchant					☐ Associated Person	☐ Listed Individual	
Applicant or	registr	ant to whic	ch change	es apply			
NFA ID# OR INDIVIDUAL N	AME						
NFA ID#							
DATE AND PL	ACE OF	BIRTH (IF I	O NOT KNO	WN)			
FORM 7-R OR 8-R	ITEM NO.	ADDITION	CHANGE	DELETE	APPLICATION FOR REGISTRATION	N OR SUPPLEMENTAL STATEMENT	EFFECTIVE DATE MM/DD/YY
							-
							-
					-		
							-
Merchant wa	as termi	inated with	nin 90 days	s prior to t	he date this Form 3-R is mail	ed, the new sponsoring firm m	nust provide the
SponsorName					NFA ID#		
Date Form 3	3-R is m	ailed:					
			_	-		-	e and belief.
SPONSOR NAME					NFA ID#	,	
PRINT NAME							
SIGNATURE					DATE		
	Applicant of FIRM NAME NFA ID# OR INDIVIDUAL N NFA ID# DATE AND PL INSTRUCT Form 8-T, No FORM 7-R OR 8-R TRANSFER Merchant was following info SponsorNam Date Form 3 CERTIFIC I hereby certif SPONSOR NAME PRINT NAME	Applicant or registr FIRM NAME NFA ID# OR INDIVIDUAL NAME NFA ID# DATE AND PLACE OF INSTRUCTIONS F Form 8-T, Notice of T FORM 7-R OR 8-R NO. TRANSFER OF RE Merchant was term following information Sponsor Name Date Form 3-R is many CERTIFICATION I hereby certify that a SPONSOR NAME PRINT NAME	Applicant or registrant to white FIRM NAME NFA ID# OR INDIVIDUAL NAME NFA ID# DATE AND PLACE OF BIRTH (IF II INSTRUCTIONS FOR ITEM Form 8-T, Notice of Termination, OR 8-R NO. ADDITION TRANSFER OF REGISTRAT Merchant was terminated with following information and sign Sponsor Name Date Form 3-R is mailed: CERTIFICATION - Must b I hereby certify that all changes a SPONSOR NAME PRINT NAME	Applicant or registrant to which change FIRM NAME NFA ID# OR INDIVIDUAL NAME NFA ID# DATE AND PLACE OF BIRTH (IF ID NOT KNO) INSTRUCTIONS FOR ITEM 3: Please Form 8-T, Notice of Termination, or NASD FORM 7-R ITEM OR 8-R NO. ADDITION CHANGE TRANSFER OF REGISTRATION: If incomplete in the certific sponsor Name Date Form 3-R is mailed: CERTIFICATION - Must be signed in the reby certify that all changes and correct sponsor NAME PRINT NAME	Applicant or registrant to which changes apply FIRM NAME NFA ID# OR INDIVIDUAL NAME NFA ID# DATE AND PLACE OF BIRTH (IF ID NOT KNOWN) INSTRUCTIONS FOR ITEM 3: Please indicate Form 8-T, Notice of Termination, or NASD Form U-5 of	Applicant or registrant to which changes apply FIRM NAME NFA ID# DATE AND PLACE OF BIRTH (IF ID NOT KNOWN) INSTRUCTIONS FOR ITEM 3: Please indicate Form 7-R or 8-R as well as the iter Form 8-T, Notice of Termination, or NASD Form U-5 to report terminations of Associate Porm 8-R in No. ADDITION CHANGE DELETE TRANSFER OF REGISTRATION: If individual's prior registration as an Associate Application for Registration in SponsorName Date Form 3-R is mailed: CERTIFICATION - Must be signed by AP, Listed Individual or authorized I hereby certify that all changes and corrections contained or incorporated herein are SPONSOR NAME NFA ID# NFA ID# PRINT NAME	Applicant or registrant to which changes apply FIRM NAME NFA ID# OR INDIVIDUAL NAME NFA ID# DATE AND PLACE OF BIRTH (IF ID NOT KNOWN) INSTRUCTIONS FOR ITEM 3: Please indicate Form 7-R or 8-R as well as the item number (i.e., "7-R," "No. 4" or "8 Form 8-T, Notice of Termination, or NASD Form U-5 to report terminations of Associated Persons and Listed Individual FORM 7-R ITEM OR 8-R NO. ADDITION CHANGE DELETE CHANGES AND CORRECTIONS OF INFORMATION CONTANIED IN APPLICATION FOR REGISTRATION SUPPLEMENTAL STATEMENT (Altach a supplemental sheet if necessary) TRANSFER OF REGISTRATION: If individual's prior registration as an Associated Person of an Agriculture Merchant was terminated within 90 days prior to the date this Form 3-R is mailed, the new sponsoring firm of following information and sign the certification. Effective date of registration is the date the Form 3-R is mailed: CERTIFICATION - Must be signed by AP, Listed Individual or authorized signatory. I hereby certify that all changes and corrections contained or incorporated herein are true to the best of my knowledges PONSOR NAME NFA ID# PRINT NAME

WILLFUL FALSIFICATION, MISREPRESENTATION OR OMISSION OF ANY MATERIAL FACT REQUIRED TO BE STATED ON THIS FORM CONSTITUTES CAUSE FOR DENIAL, SUSPENSION OR REVOCATION OF REGISTRATION AND PROSECUTION UNDER CRIMINAL STATUTES OF THE INDIVIDUAL AND FIRM MAKING THE ABOVE CERTIFICATION.

CFTC NFA

INSTRUCTIONS

NOTE: Any reference in the instructions to Form 3-R and in the Form 3-R itself to "Form 7-R, Form 3-R, Form 8-R or Form 8-T" applies only to the November 1999 versions of these forms created for Agricultural Trade Option Merchants and their Listed Individuals and Associated Persons.

FORM 3-R

- 1 The prompt filing of Part I of this form is required by Commission Regulation 3.13 if the information reported in any of the items specified in the instructions to Form 7-R or Form 8-R, or supplemental statement thereto, is found to be incorrect or incomplete, or if changes occur which render that information no longer accurate and current. If you are reporting changes to disciplinary history, be sure to provide certified copies of applicable documents.
- Whenever there is an addition of a Listed Individual in Item 10 of Form 7-R, that person must complete a Form 8-R for Listed Individual of an Agricultural Trade Option Merchant which should be attached to this form unless that individual is exempt from filing under the provisions of Commission Regulation 3.13.
- 3 Use this form to promptly amend an application that has been filed, whether or not registration is pending or registration has been granted, unless instructed otherwise.
- **4 Where to file.** Send this form to National Futures Association, 200 West Madison Street, Suite 1400, Chicago, Illinois 60606-3447.
- 5 Report terminations and failures to become associated on Form 8-T, Notice of Termination of an Associated Person or Listed Individual of an Agricultural Trade Option Merchant, or on NASD Form U-5, Uniform Termination Notice for Securities Industry Registration.