

Department of Health and Human Services National Institutes of Health Agency Agreement and Clearance <input type="checkbox"/> Intra-Agency Agreement (within NIH) <input checked="" type="checkbox"/> Inter-Agency Agreement (outside NIH)	1. NIH Agreement Number (including Mod. No.) Y1-PC-4032-06
	2. Paying Agency NIH/NCI/
	3. Receiving Agency ESA/BC/

4. Title of the Agreement
Next Series of Tobacco Use Supplements to the Current Population Survey-2010-2011

5. Summary of the Substance of the Agreement (include purpose, resources committed: funds, personnel, equipment, facilities, etc.)
Y1-PC-4032-06 The purpose of this agreement is to conduct the next series of Tobacco Use Supplements to the Current Population Survey (TUS-CPS) in May 2010, August 2010, and January 2011. The TUS-CPS will continue to provide invaluable information for national and state estimates of tobacco use and other tobacco control measures as part of the continuing need for tobacco control surveillance. In 2006, the National Cancer Institute and the NIH Office of Medical Application of Research sponsored a State of the Science Conference on Tobacco Use: Prevention, Cessation, and Control. The conference highlighted an array of strategies to address these tobacco use issues. Ultimately, the conference evoked discussion about "what we need to learn" to further address this problem and emphasized that this future learning depends heavily on

6. Period of the Agreement
Date of signature to 09/29/2009

7. Authority for the Agreement
This agreement is made in accordance with the authority in Title 42 USC 285a-2(B) (8) of the Public Health Service Act. The authority for the Census Bureau to enter into this agreement is Title 13 U.S.C. 8(b).

Billing Information

Paying Agency	8. Agency Location Code 75-08-0031	10. Address Office of Financial Management, 2115 East Jefferson Street, Room 4B432, Bethesda, MD 20892-8500-MSC 8500
	9. Employer Identification Number 152085811501	

Receiving Agency	11. Agency Location Code 13040001	13. Address 4700 Silver Hill Road, Suitland, MD 20746
	12. Employer Identification Number 53-0204537F	

Accounting Information - Paying Agency

14. Paying Federal Agency	15. Agreement No. (for NIH Y1/Y2)	16. Appropriation	17. CAN	18. Amount	19. Signatories (Name and Title)	20. Date
WHE/DoHHS/NIH/NCI/DCCPS	Y1-PC-4032-06 DUNS: 927645168	75-9-0849	8381449	\$750,000	Robert Croyle Director	9/29/09
			8481398		Mary Kashanchi, B.A. Deputy ARC Manager	6/29/19

Accounting Information - Receiving Agency

21. Receiving Federal Agency	22. Agreement No. (for NIH Y1/Y2)	23. Appropriation	24. CAN	25. Amount	26. Signatories (Name and Title)	27. Date
WHE/DOC/ESA/BC	DUNS: 929955383	427		\$750,000	Howard Hogan Associate Director of Demographic Programs, Census Bureau	9/29/09

28. NIH Project Officer's Name
Anne Hartman, M.S., M.A., B.S.

29. IC
DCCPS

30. Phone
301-496-4970

31. NIH Administrative/Budget Office Contact
Mary Kashanchi, B.A.

32. IC
DCCPS

33. Phone
301-451-9470

34. Clearances	IC		
	Signature and Date		

Department of Health and Human Services
National Institutes of Health
Interagency Agreement (IAA)
between
Department of Commerce, U.S. Census Bureau
No. 0084-2007-035
and
National Cancer Institute (NCI), NIH
Y1-PC-4032-06

1. PARTIES, PURPOSE AND BACKGROUND

This Interagency agreement (IAA) establishes an agreement between the National Cancer Institute (NCI), National Institute of Health (NIH) and the U.S. Census Bureau, U.S. Department of Commerce (DOC) through which the NCI, NIH will provide funds to the Census Bureau to conduct the Next Series of Tobacco Use Supplements to the Current Population Survey (TUS-CPS) in May 2010, August 2010 and January 2011 which will provide invaluable information for national and state estimates of tobacco use and other tobacco control measures as part of the continuing need for tobacco control surveillance. In 2006, the NCI and the NIH Office of Medical Application of Research sponsored a State of the Science Conference on Tobacco Use: Prevention, Cessation, and Control. The conference highlighted an array of strategies to address these tobacco use issues. Ultimately, the conference evoked discussion about “what we need to learn” to further address this problem and emphasized that this future learning depends heavily on surveillance programs. Public health strategies require continuous need for surveillance; much that is needed is provided by the TUS-CPS series public use data, and disseminated reports and publications based on its data.

This survey is part of a continuing series of surveys that were originally fielded by the Census Bureau periodically over the 1990's (Y01-CN-00673, Y1-CN-0002-01 and Y1-PC-8002-01) and early 2000's (Y1-PC-0020, Y1-PC-1010, Y1-PC-4032) and made available for general public use and will be continuing in the future alternating between a standard or core tobacco use survey and a special topic survey focusing on emerging adult tobacco control issues. The CDC has been co-sponsoring these series with the NCI since 2001.

2. DESCRIPTION OF WORK

The Census Bureau will continue the following: 1) Review NCI's paper copy of survey instruments and make recommendations for simplifying code for NCI directed skip patterns as necessary. Work with NCI to update timeline for project; 2) Develop survey instruments (CAPI/CATI). Instruments should allow as usual for TUS-CPS at least five household contacts (four call-backs). To maximize overall response rates especially self-response, if available, use Census Bureau's newly developed “Contact History Instrument” (CHI) and any other useful methods from Census Bureau's vast experience with all its different surveys; 3) Conduct interviewer training and monitoring. Revise previous 2006-07 materials to accommodate changes in questions and procedures for the 2010-2011 instruments and fielding. Make copies of the NCI Spanish translation of the 2010-2011 TUS and disseminate them to the field for use; 4) Produce

sampling statements; 5) Assist NCI in securing of clearances; 6) Conduct full three month field collection of data in May 2010, August 2010, and January 2011. This year's work will include preparation and fielding of May 2010 and August 2010. While the IAA calls for three full months (i.e., 8 panels each month) of data collection, to reduce respondent burden, we have agreed to give the full TUS instrument to August panels 1-3 and 5-7. Panels 8 and/or possibly 4, will be given an abbreviated version of the TUS instrument. The 2010-2011 TUS-CPS abbreviated version's purpose is to evaluate the validity/reliability of some concepts being changed in the 2010-2011 TUS so that previous trend data can be calibrated to the new wording; and; 7) Conduct data handling, processing, and editing. Develop main weights and replicate weights. Create documentation and data files for NCI and for public users; 8) Provide for further dissemination of TUS-CPS public use information through Census Bureau's DataFerrett system. The Census Bureau will provide to NCI edited data files within 4 months, and corrected edited and weighted files within 6 months, of fielding each monthly data collection. A more detailed and updated timeline and specifics will continue to be developed jointly by both the NCI and the Census Bureau shortly after the contract is signed and executed by both parties.

3. AUTHORITY:

The authority for NCI to enter into this agreement is Title 42 U.S.C. 285a-2(B) (8) of the Public Health Service Act. The authority for the Census Bureau to enter into this agreement is Title 13 U.S.C. 8(b).

4. TERMS AND CONDITIONS

The Census Bureau will achieve full cost recovery for the goods and services it is providing under this agreement. See attached *Terms of Agreement*.

This agreement is subject to the availability of funds.

The data collected under this agreement are confidential under Title 13 U.S.C. § 9. Should the NCI staff require access to Title 13 data from this survey to assist in the planning, data collection, data analysis, or production of final products, those staff members are required to obtain Census Bureau Special Sworn Status (SSS). They must demonstrate that they have suitable background clearance and they must take Title 13 Awareness Training.

Any access to Title 13 data at the NCI is subject to prior approval by the Census Bureau's Data Stewardship Executive Policy Committee upon assurance that the NCI facility and information technology security meet Census Bureau requirements.

5. TRANSFER OF FUNDS

Billing in the amount of \$750,000 will be accomplished through the U.S. Treasury's Intra-Governmental Payment and Collection system once both parties sign this agreement. Reimbursable billing will be made to NCI following the effective date of this agreement. Transfer of funds will be by the on-line payment and collection system. Transfer of funds by the Census Bureau must take place before the end of the fiscal year (September 2009 for current commitment/obligation). At least quarterly, the parties will reconcile balances related to revenue and expenses for work performed under the agreement.

For performance of this Agreement, the NCI agrees to provide to the Census Bureau for costs incurred in the direct performance of the Statement of Work outlined above in Section 1, paragraph 2 of this Agreement. Total cost of \$2,800,000 has been estimated based on the last interagency agreement for the tobacco use supplements (2006-07), colas, pay and data collection increases and other overheads for the three categories (preparing for and actual data collection; sampling statements; and processing costs -- including questionnaire design and programming the revised questionnaire for CATI/CAPI collection, testing of instrument accuracy before collection, field representative training and other materials, computer processing programs for editing and weighting, and creation of microdata files and associated documentation).

NCI has already given the Census Bureau \$750,000 in FY '07 and \$750,000 in FY '08.. NCI plans to provide to the Census Bureau the remaining costs of \$1,300,000 as follows:

<u>FY 2009</u>	<u>FY 2010</u>
\$750,000	\$550,000

Funds currently available in FY '09 and allotted to this Agreement are \$750,000. While it is the intention of the NCI to fund this Agreement up to the full amount over the period of performance, the NCI will not be obligated to reimburse the Census Bureau for costs in excess of periodic allotments, nor will the Census Bureau be obligated to continue performance in excess of the amounts allotted. It is anticipated that this agreement will be renewed/amended in FY 2010. Future funding is subject to availability of funds.

6. PROJECT OFFICERS:

For U.S. Census Bureau:

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For NCI/DCCPS:

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(For Fed EX use: Rockville, MD 20852)
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7. BUDGET CONTACTS

For the U.S. Census Bureau:

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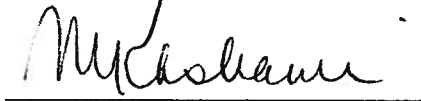
For the NIH/NCI:

Mary Kashanchi
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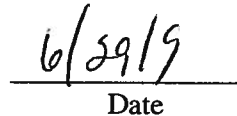
8. ACCOUNTING DATA: (FY 2009)

	<u>To Census Bureau</u>	<u>From NCI/DCCPS</u>
Agency DUNS Number/BPN:	929955383	927645168
Agency Location Code:	13040001	75-08-0031
EIN:	53-0204537F	152085811501
Treasury Account Symbol	13X4512	75-9-0849
Appropriation:		75-9-0849
Allowance		N/A
Object Class Code		25.38
Amount:	\$750,000	\$750,000
Business Event Type Code	COLL	DISB
CAN		8481398

Fiscal Year FY'09 Funds Available:



Mary Kashanchi
Deputy ARC Manager,
Administrative Resource Center, DCCPS, NCI


Date

The parties agree that if there is a change regarding the information in this section, the party making the change will notify the other party in writing of such change.

9. DURATION OF AGREEMENT, AMENDMENTS AND MODIFICATIONS

This agreement shall be effective on the date signed by both parties. Work can continue until project is complete. This agreement authorizes FY 2009 funding. Authorization for funding in future years, or changes to the current Fiscal Year funding, will require an amendment to this agreement and may be modified by mutual written consent of both parties.

Any party may terminate this agreement by providing 60 days written notice to the other party.

If the NCI cancels the order, the Census Bureau is authorized to collect costs incurred prior to cancellation of the order plus any termination costs, up to the total payment amount provided for under this agreement.

This year's obligation of the agreement shall be in effect from its execution through September 30, 2009. Work will continue until the purpose of the agreement is achieved. However, this agreement only authorizes Fiscal Year 2009 funding, the third year. This project is expected to continue for two years as outlined in this agreement in part 5.

10. RESOLUTION OF DISAGREEMENTS

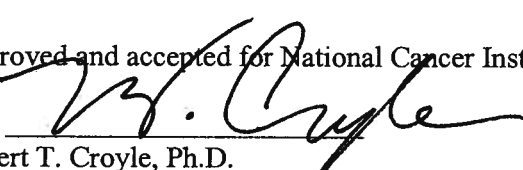
Nothing herein is intended to conflict with current Census Bureau or CNCS directives. If the terms of this agreement are inconsistent with existing directives of either of the agencies entering into this agreement, then those portions of this agreement that are determined to be inconsistent shall be invalid, but the remaining terms and conditions not affected by the inconsistency shall remain in full force and effect. At the first opportunity for review of the agreement, all necessary changes will be accomplished either by an amendment to this agreement or by entering into a new agreement, whichever is deemed expedient to the interest of both parties.

Disputes shall be resolved pursuant to applicable provisions of the Business Rules for Intra-governmental Transactions delineated in the *Treasury Financial Manual*, Vol. 1, Bulletin 2007-03, Section VII (Resolving Intra-governmental Disputes and Major Differences).

11. APPROVAL

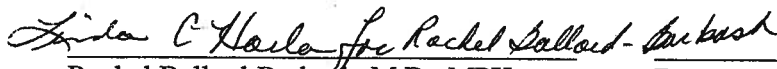
Approved and accepted for National Cancer Institute, NIH:

By:


Robert T. Croyle, Ph.D.
Director, DCCPS, NCI, NIH

Date

6/27/09

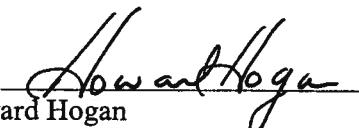

Rachel Ballard-Barbash, M.D., MPH

Date

6-29-09

Approved and accepted for Census Bureau:

By:


Howard Hogan
Associate Director for Demographic Programs
U.S. Census Bureau

Date

9 July 09