

UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
ANTARCTIC MARINE LIVING RESOURCES

*Application for Pre-Approval of Catch Documents of Toothfish*

1. NAME OF IMPORTER (Contact Person): \_\_\_\_\_

COMPANY NAME (Business): \_\_\_\_\_

COMPANY ADDRESS: \_\_\_\_\_ CITY \_\_\_\_\_ ST \_\_\_\_\_ ZIP \_\_\_\_\_

PHONE NUMBER : \_\_\_\_\_ FAX NUMBER: \_\_\_\_\_

2. ESTIMATED DATE OF ARRIVAL: \_\_\_\_\_

3. PORT OF ARRIVAL (ALSO PORT OF UNLADING IF DIFFERENT THAN ARRIVAL): \_\_\_\_\_

4. CONSIGNEE(S) OF PRODUCT: \_\_\_\_\_

5. AMOUNT TO BE IMPORTED (in kgs): \_\_\_\_\_

6. U.S. CUSTOMS 7501 NUMBER : ...../...../...../...../...../...../...../...../...../...../.....  
(MUST BE 11 DIGITS/CHARACTERS LONG)(TO BE SUPPLIED AT LEAST 3 WORKING DAYS PRIOR TO SHIPMENTS ARRIVAL)

8. SHIPPING LINE AND CONTAINER NUMBERS FOR EACH CONTAINER IN THIS SHIPMENT.  
\_\_\_\_\_

THE FOLLOWING ITEMS ARE FOUND ON THE DISSOSTICHUS CATCH DOCUMENT(S)

9. DOCUMENT NUMBER OF DCD(S): \_\_\_\_\_

10. FLAG STATE CONFIRMATION NUMBER: \_\_\_\_\_

11. EXPORT REFERENCE NUMBER: \_\_\_\_\_

11. INDICATE WHETHER A RE-EXPORT DOCUMENT IS ALSO ACCOMPANYING THIS SHIPMENT: Y or N

12. SIGNATURE: \_\_\_\_\_ TITLE: \_\_\_\_\_ DATE: \_\_\_\_\_

A fee for all shipments requiring pre-approval will be assessed at a rate of \$200 per application which includes staff time plus overhead with this function  
**Return this Application for Pre-Approval along with Catch Documents a minimum of 15 days prior to importing resource(s) (with the exception of the U.S. Customs 7501 number which can be supplied at a later date but at least 3 working days prior to the shipments arrival into port) to the address below via overnight mail:**

National Seafood Inspection Laboratory /NOAA Fisheries  
3209 Frederic Street  
Pascagoula, MS 39567  
Attention: CCAMLR Data Management

Completion of this application is required to obtain a permit to import Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Convention Act of 1984 (16 USC 2431 et seq). No authorization to import will be issued without completion of this application. Pre-approval of toothfish imports enables NMFS to track all imports and ensure that all AMLR are being imported in compliance with all applicable regulations. Continuing measures and U.S. regulations implementing them appear in Volume 50, Part 300, Subpart G of the Code of Federal Regulations. Annual measures are noticed in the Federal Register and are also available at CCAMLR website located at <http://www.ccamlr.org>.

Public reporting burden for completing this form is estimated to average 15 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, F/IA, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

To the extent permitted under the Trade Secrets Act and the Freedom of Information Act, NMFS will maintain the confidentiality of information determined to be a trade secret or privileged and confidential commercial or financial information.

## INSTRUCTIONS FOR COMPLETING APPLICATION FOR PRE-APPROVAL OF CATCH DOCUMENTS OF TOOTHFISH

No marine mammal may be imported into the United States unless authorized and accompanied by an import permit issued under the Marine Mammal Protection Act and/or the Endangered Species Act of 1983. Do not use this application to request authorization to import an Antarctic marine mammal.

1. Provide contact name, company name, business address, phone and fax numbers, and current AMLR permit number of entity applying for the pre-approval of catch documents prior to import .
2. Provide known or estimated date of arrival of shipment.
3. Provide port of arrival of shipment (also list port of unloading if different than port of arrival).
4. Provide company name of consignee of product, if consignee is the same as importer then place NA in space provided.
5. Provide amount (net weight in kgs) to be imported in shipment. Note: the amount must match amount listed on Catch Document export section (or amount listed on re-export document if re-export) within 10%.
6. Provide U.S. Customs 7501 Number to be used for shipment. Note: must be 11 digits/characters long. **NOTE: This can be provided at a later date but no later than 3 working days prior to the shipments arrival in port.**
7. Provide the shipping line information, ship name and container number(s) for each container.

Provide the following information that can be taken from the Catch Document(s):

8. Provide the Document Number of Dissostichus Catch Document(s).
9. Provide the Flag State Confirmation Number of the Dissostichus Catch Document(s).
10. Provide the Export Reference Number of the Dissostichus Catch Document(s).
11. If this shipment is a re-export then a re-export document will also be required for pre-approval. Please indicate whether there is such a re-export document provided for this shipment.
12. Application must be signed, with title of Person signing if for a company.

**Return this Application for Pre-Approval along with Catch Documents a minimum of 14 days prior to importing resource(s) to the address below, or fax to (228) 762-7144:** (Checks should be made out to NOAA Fisheries)

National Seafood Inspection Laboratory/NOAA Fisheries  
3209 Frederic Street  
Pascagoula, MS 39567  
Attention: CCAMLR Data Management

No resources may be imported in violation of conservation and management measures adopted by CCAMLR and adopted by the United States. Continuing measures and U.S. regulations implementing them appear in Volume 50, Part 300, Subpart G of the Code of Federal Regulations. Annual measures are noticed in the Federal Register and are also available at CCAMLR website located at <http://www.ccamlr.org>.

NOTE: The United States can only authorize imports of AMLR harvested by vessels licensed to fish by their flag states for AMLR and fishing consistent with measures adopted by the Commission for the Conservation of Antarctic Marine Living Resources for the conservation and management of the harvested species. No authorization to import will be granted for any product that has been harvested from FAO statistical area 51 or 57.

The Antarctic Marine Living Resources Act of 1984 is the U.S. statutory authority for requiring import permits. In addition, it addresses the activities of brokers and any U.S. entity in the market chain for Antarctic Marine Living Resources.

Section 306 (3) makes it unlawful to any person to ship, transport, offer for sale, sell, purchase, import, export, or have custody, control of, any Antarctic Marine Living Resource (or part thereof) which he knows or reasonably should have known, was harvested in violation of conservation measures in force with respect to the United States pursuant to Article IX of the Convention [i.e., CCAMLR] or in violation of any regulation promulgated under this title, without regard to the citizenship of the person that harvested, or vessel that was used in the harvesting of, the Antarctic Marine Living Resource (or part or product thereof). This is in addition to making it unlawful to import without a permit. Thus, this section applies to brokers and any other entity offering to sell Antarctic Marine Living Resources, whether that entity is an importer of record or not, and whether the resource enters the U.S. market or an overseas market.

Thus, in your contacts with brokers and with vessels that may be potential sources of AMLR, it is important that you inquire as to whether the vessel holds a currently valid license authorizing it to fish for AMLR species.

If you have any questions about the status of a vessel offering AMLR species, please contact the AMLR Permit Office of the National Marine Fisheries Service, 3209 Frederic Street, Pascagoula, MS 39567 (228)769-8964.