**B. Statistical Methods**

**1. Respondent Universe and Sampling Methods**

The feasibility study does not have a respondent universe because data will be collected using a convenience sample. Participation by the correctional facilities is voluntary. Correctional systems will be identified by the Bureau of Justice Statistics (BJS) based on 1) willingness to participate, 2) estimated prevalence of sexual violence as determined by the BJS administrative record review and inmate surveys, and 3) average daily inmate population as a measure of the size of the facility.

CDC/BJS will initially contact correctional systems in Illinois, Pennsylvania, Florida, Texas, Louisiana, Georgia, and California to determine willingness to participate. These states represent the largest correctional systems in the United States by inmate population. In addition, these states have expressed some initial interest through discussions with the Association of State Correctional Administrators. These seven states include hundreds of individual correctional facilities that may potentially participate. We will work with these seven state correctional systems to identify eligible facilities to participate in the prospective data collection as based on the procedures outlined in section 2 below.

If necessary, CDC/BJS will contact additional states and jail jurisdictions to participate, based on criteria outlined in section 2 below. The total number of facilities that will be contacted is unknown; it will depend on the level of support from prison and jail administrators (which is perceived to be high) and willingness of “high-rate” facility administrators to permit surveillance to better understand initial results from BJS PREA surveys.

The respondents providing information for the proposed project are health-care providers working in the selected correctional facility in which the surveillance is conducted. Providers will report cases of sexual violence as defined by the surveillance form for all male inmates 18 years of age or older.

Youth have been excluded from the feasibility study due to the additional time that would be involved in gaining approval from state institutional review boards as well as the additional challenges associated with mandatory reporting of abuse and neglect. In addition, youth facilities are typically very small in size, and surveillance programs in such facilities would likely yield few (if any) reports related to sexual violence.

Similarly, females comprise 7.2% of prison inmates (Sabol and Couture 2008) and 12.9% of jail inmates (Sabol and Minton 2008). While prior surveys have shown that female inmates are more likely to be victimized than male inmates (5.1% vs. 2.9% in jails), the nature of victimization among females has been shown to largely involve unwanted touching (without physical injury). As a result, the pretest in female facilities would likely yield few (if any) reports related to sexual violence.

The feasibility study has been designed to maximize the likelihood of detecting physical injury among inmates. As a consequence, it is focused on males in correctional facilities. Depending on the outcome and experience within male facilities, BJS would anticipate conducting a feasibility study and/or pilot test in female and youth facilities before mounting a national surveillance program.

Feasibility Study Sample Size

The CISVC feasibility study will attempt to capture all cases of the conditions likely associated with sexual violence. No inmates will be interviewed directly but rather forms will be filled out by medical providers for those inmates who seek medical care and either make an allegation of sexual abuse or who exhibit one of five medical conditions The estimated number of inmates meeting these criteria is based on the inmate population for larger prisons (4,000) and jails (10,000), the estimated prevalence of sexual violence in prisons (4.5%) and jails (3.2%), and the proportion of assaults in prisons (18%) and jails (19.5%) that result in an injury. Based on these parameters, we expect up to 810 surveillance forms to be filled out by medical providers across the 25 participating prisons (roughly 33 forms per prison) and up to 624 surveillance forms to be filled out by medical providers across the 10 participating jails (roughly 63 forms per jail) over the period of a year.

**2. Procedures for the Collection of Information**

Main steps in data collection

The recruitment of facilities is not based on statistical sampling procedures that are used to generalize to the correctional population. The facilities that will be recruited are a convenience sample of correctional facilities. However, an effort will be made to recruit facilities that have been identified with higher than expected rates of sexual violence allegations as determined by the BJS administrative record review (the 2007 Survey on Sexual Violence) or with higher than expected rates of inmate self-reported sexual violence victimization as determined by the BJS survey of inmates (the 2007 National Inmate Survey).

The feasibility study is designed to include 25 prisons and 10 jails. These facilities will be selected from each of the 4 Census regions. Large facilities and facilities identified by BJS as “high-rate” facilities will be targeted. This strategy is based on initial reports that suggest incidents of sexual violence involving physical injuries are relatively rare (0.8% among prisoners in 2007). Consequently, the feasibility study will target facilities most likely to generate data in the surveillance system; it is not intended to provide prevalence estimates for sexual violence in facilities nationwide, rather it is intended as a precursor to a national pilot.

The feasibility study will collect information using one of two methods. For correctional facilities with electronic medical records, the data elements from the electronic medical record will be used to capture the data elements for the pilot surveillance form for all inmates who meet the case definition. Data will be transmitted to CDC electronically via a secured data network and will be imported into a CDC-designed database for analysis. For facilities that do not have electronic medical records, a paper surveillance form will be completed for all inmates who meet the case definition. The paper records will be sent to CDC either via the U.S. Postal System or via a secure facsimile transmission which encrypts the data and creates an electronic record. For paper records received by CDC, data will be entered into the CDC-designed database. Data will be requested quarterly over the period of a year.

Quality Control

Data quality is ensured by use of a standard form, standard operating procedure manual, medical provider training and monitoring, site visits, and data editing.

A half-day training of local health-care providers occurred on November 16, 2009. This training discussed the background of the project, reviewed of all data elements on the surveillance form to ensure that health-care providers understood the purpose of each, and how to complete the surveillance form (see Attachment 8 - *CISVC Implementer Training Agenda.doc* - for the final agenda). Based on the results of this meeting, the surveillance form was modified and materials related to training have been fine-tuned. A draft of the reporter instructions document is attached (Attachment 9 - *Reporter Instructions.doc*). In addition, drafts of the frequently asked questions (Attachment 10 - *Frequently Asked Questions.doc*), a self-learning training PowerPoint presentation (Attachment 11 - *Self-Learning Training PowerPoint.ppt*), and standard operating procedures (Attachment 12 *- Standard Operating Procedures.doc*) are included.

The November 2009 training included:

Denise Thomas

Corrections Health Care Administrator

SCI-Somerset

1590 Walters Mill Road

Somerset, PA 15510

(814) 443-8100

Ted Williams

Corrections Health Care Administrator

SCI-Rockview

1 Rockview Place

Bellefonte, PA 16823

(814) 355-4874

Cynthia Kielen

Director of Nursing

Westville Correctional Facility

5501 South 1100 West

Westville, IN 46391

(219) 785-2511

Jeff Renzi

Associate Director Planning and Research

Rhode Island Department of Corrections

40 Howard Avenue

Cranston, RI 02920

(401) 462-1000

Jerilyn Wickersham

Director of Nursing

Tecumseh State Correctional Institution

2725 N Hwy. 50

Tecumseh, NE 68450

(402) 335-5998

Lisa Feeken

Acting Associate Director of Nursing

Nebraska State Penitentiary

4201 S. 14th St.

Lincoln, NE 68502

(402) 471-3161

April Meggs

Director of Nursing/Site Manager

South Mississippi Correctional Institution

P.O. Box 1419

Leakesville, MS 39451

(601) 394-5600

Norma Evans

Director of Nursing/Site Manager

Mississippi State Penitentiary

P.O. Box 1057

Parchman, MS 38738

(662) 745-8912

David Karandy

Facility Director of Health Services

Great Meadow Correctional Facility

11739 State Route 22

P.O. Box 51

Comstock, NY 12821-0051

(518) 639-5516

Cathy Jo Shuman

Nurse 2

Ulster Correctional Facility

P.O. Box 800

750 Berme Road

Napanoch, NY 12458

(845) 647-1670

Leon Vickers

Health Services Administrator

Jefferson City Correctional Center

8200 No More Victims Road

Jefferson City, MO 65101

(573) 751-3224

Tammy Harr

Director of Nursing

Northeast Correctional Center

13698 Airport Rd

Bowling Green, MO 63334

Stephanie Kasting

Director of Nursing

Southeast Correctional Center

300 East Pedro Simmons Drive

Charleston, MO 63834

(573) 683-4409

Pamela Leite

Assistant Director of Patient Care Services

UMass Correctional Health Program

333 South St.

Shrewsbury, MA 01545

(508) 793-1221

Helene Murphy

Regional Director of Site Operations

UMass Correctional Health Program

333 South St.

Shrewsbury, MA 01545

(508) 793-1221

Glen Babich

Assistant Medical Director

CMS Arkansas

6814 Princeton Pike Road

Pine Bluff, AR 71603

(870) 247-4791

Brenda Bearden

ADC Assistant Medical Administrator

6814 Princeton Pike Road

Pine Bluff, AR 71603

(870) 247-4791

Laura Webster

Director of Nursing

Hutchinson Correctional Facility

P.O. Box 1568

Hutchinson, KS 67504

(620) 662-2321

Ellen Bartz

Health Services Administrator

Lansing Correctional Facility

301 East Kansas St.

Lansing, KS 66043

(913) 727-3235

Jessica Lee

Regional Health Educator

Prison Health Services, Inc.

MOD II

8001 State Road

Philadelphia, PA 19136

(215) 685-7888

Avery Hart

Chief Medical Officer

Cermak Health Services of Cook County

2800 S. California Avenue

Chicago, IL 60608

(773) 869-7000

Nelson Aguilar

Clinic Director

Jacksonville City Department of Corrections

300 East Bay Street

Jacksonville, FL 32202

(904) 630-0500

Brooks Plowden

Lead Correctional Health Nurse

Multnomah County Jail

11540 NE Inverness Dr

Portland, OR 97220

(503) 243-7600

Dana Rice

Health Promotion/Disease Prevention

Wayne County Jail

1231 St. Antoine

Detroit, MI 48226

(313) 224-2222

Zoran Vukcevic

Medical Director

Correctional Health Services

234 N. Central Ave., 5th Fl.

Phoenix, AZ 85303

(602) 506-2906

Landon Stephens

Health Services Administrator

Lubbock County Sheriff’s Office

811 Main Street

Lubbock, TX 79401-3417

(806) 775-1493

Jamie Lynn Clayton

Administrative Lieutenant

San Joaquin Custody Division

7000 Michael Canlis Blvd

French Camp, CA 95231

(209) 468-4400

Karen Davies

Medical Unit

North Broward Jail

1550 Blount Road

Pompano Beach, FL 33069

(954) 831-5900

Cynthia Booker

Nurse Consultant

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

Reena Chakraborty

Statistician, Forecasting

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

Henry Lesansky

Health Services Administrator

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

Nader Marzban

Medical Director

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

Mark Pflaum

IT Specialist

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

James Riddick

Director, Case Management

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

Gloria Robertson

Program Specialist

District of Columbia Department of Corrections

1923 Vermont Ave., NW

Washington, DC 20001

(202) 673-7316

Doug Caulfield

Warden

Correctional Treatment Facility

1901 E Street, SE

Washington, DC 20003

(202) 698-3000

Dwyne Shoemaker

Investigator

Correctional Treatment Facility

1901 E Street, SE

Washington, DC 20003

(202) 698-3000

Brenda Hatcher

Sexual Misconduct Coordinator

Correctional Treatment Facility

1901 E Street, SE

Washington, DC 20003

(202) 698-3000

Diana Lapp

Medical Director

Unity Health Care

1901 D Street, SE

Washington, DC 20003

(202) 673-8136

Nilesh Kalyanaraman

Assistant Medical Director

Unity Health Care

1901 D Street, SE

Washington, DC 20003

(202) 673-8136

Bianca Thompson

Director of Nursing

Unity Health Care

1901 D Street, SE

Washington, DC 20003

(202) 673-8136

Bruce Reid

Director, Mental Health

Unity Health Care

1901 D Street, SE

Washington, DC 20003

(202) 673-8136

Allen Beck

Senior Statistical Advisor

810 Seventh Street, NW

Washington, DC 20531

(202) 307-0765

Paul Guerino

Statistician

810 Seventh Street, NW

Washington, DC 20531

(202) 307-0765

Paige Harrison

Statistician

810 Seventh Street, NW

Washington, DC 20531

(202) 307-0765

Marie Garcia

Social Science Analyst

National Institute of Justice

810 Seventh St. NW

Washington, DC 20531

(202) 514-7128

Laurie Reid

Co-Chair, Corrections Workgroup

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(404) 639-8461

Andra Teten

Behavioral Scientist

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(770) 488-3936

Matt Breiding

Behavioral Scientist

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(770) 488-1396

Kristin Holland

Project Coordinator

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(770) 488-3954

Audrey Aaron-Moffitt

Public Health Advisor

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(404) 639-6105

Drew Voetsch

Project Officer

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(404) 639-8088

Katie Salo

Project Coordinator

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

Atlanta, GA 30333

(404) 639-6110

During the data collection period, CDC staff will periodically monitor progress of the data collection via telephone. CDC will contact each facility on a regular basis (at least monthly) to ensure data are being collected according to protocol and to resolve problems that occur with the data collection procedures. CDC will also conduct one site visit to each correctional system during data collection. The purpose of the site visit will be to monitor adherence to the protocol, review completed surveillance forms, and obtain feedback on surveillance procedures.

Generalizability

Because a convenience sample of correctional facilities will be chosen for the feasibility study, the results will not be generalizable to the inmate population of the United States.

Evaluation

CDC and BJS will conduct an evaluation of the surveillance form and data collection methods during implementation and at the conclusion of data collection. This evaluation will encompass survey procedures and results. Relevant findings from these evaluations will be incorporated into the design of possible future data collection, and BJS will release these findings in a project report. Specific findings related to analysis of the data collected will be made available to users of the data.

BJS Project Staff

All BJS project staff can be reached at the following address and phone number:

Bureau of Justice Statistics
810 Seventh Street, NW
Washington, DC 20531
Phone: (202) 307-0765

Allen Beck, PhD

Chief of the Corrections Statistics Program

Email: Allen.Beck@usdoj.gov

Paul Guerino

Project Officer

Email: Paul.Guerino@usdoj.gov

NIJ Project Staff

Marilyn Moses

Social Science Analyst

Email: Marilyn.Moses@usdoj.gov

CDC Project Staff

All CDC project staff can be reached at the following address and phone number:

Behavioral and Clinical Surveillance Branch

Division of HIV/AIDS Prevention

Centers for Disease Control and Prevention

1600 Clifton Rd, NE

MS E-46

Atlanta, GA 30333

Phone: (404) 639-2090

Drew Voetsch, PhD

Project Officer

Email: aav6@cdc.gov

Alexandra Balaji, PhD

Project Officer

Email: dvi7@cdc.gov

James Heffelfinger, MD, MPH

Team Leader, Specials Studies and Diagnostic Team

Email: izh7@cdc.gov