### Clinical Indicators of Sexual Violence in Corrections

#### **Training Presentation**





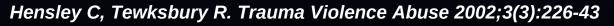
### Background





#### Male rape in correctional facilities

- Few studies
- Estimates of sexual assault <1% to 14%</li>
- Estimates of sexual coercion up to 22%
- Vulnerable populations
  - Inmates with drug addiction
  - Inmates with mental health issues
  - Inmates who are homosexual or bisexual
  - Inmates with little experience with corrections







#### **Prison Rape Elimination Act of 2003**

#### Purposes of the Act:

- Establish a zero-tolerance standard
- Make the prevention of prison rape a top priority
- Develop and implement national standards for detention, prevention, reduction, and punishment of prison rape
- Increase available data
- Standardize the definitions used for data collection
- Increase the accountability of prison officials
- Protect the Eighth Amendment right of prisoners





#### A multi-measure, multi-mode strategy

Adult ACASI survey in prisons and jails

Juvenile ACASI survey Administrative records survey

Incidence and prevalence

Former inmate ACASI survey Clinical indicators surveillance project





### **BJS Reports**

- Reports available on the BJS website (http://bjs.ojp.usdoj.gov/):
  - Sexual Violence Reported by Correctional Authorities (2004, 2005, 2006)
  - Sexual Victimization in State and Federal Prisons Reported by Inmates (2007)
  - Sexual Victimization in Local Jails Reported by Inmates (2007)
  - Sexual Violence Reported by Juvenile Correctional Authorities (2005-2006)
  - Sexual Victimization in Juvenile Facilities Reported by Youth (2008-2009)





#### **Clinical Indicators Surveillance Project**

Medical surveillance

– Are there clinical conditions that are indicative of sexual assault?





#### **BJS administrative records study – 2005**

#### **Types of injury**

- Bruises, black eye, sprains, cuts, scratches, swelling 11.0%
- Anal tearing 6.1%
- Broken bones 0.3%
- Teeth chipped/knocked out
- Knocked unconscious
   0.3%





0.3%

#### BJS jail inmate survey – 2007

#### **Types of injury**

• Bruises, cuts, scratches 15.8% Teeth chipped/knocked out 8.9% Knocked unconscious 7.8% 6.3% Anal tearing Internal injuries 6.3% Broken bones 3.3% Knife or stab wounds 2.1%





#### Clinical Indicators of Sexual Violence in Custody



To develop a sensitive, specific, and sustainable data collection system to measure the occurrence of clinical indicators of sexual violence in correctional facilities.





#### **Proposed conditions**

**Unexplained rectal bleeding Rectal or anal tears or fissures** Bruises, scratches, or abrasions on buttocks **Genital bruising** Nipple injuries OR Allegation of sexual violence OR **Clinician suspects sexual violence** 





#### Syndromic surveillance

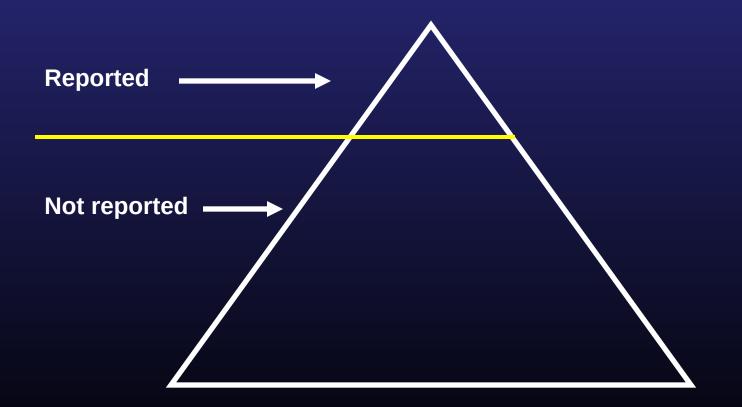
Surveillance using health-related data that precede diagnosis and signal a sufficient probability of a case or an outbreak to warrant further public health response.





#### Surveillance

Surveillance pyramid = total number of acts of sexual violence

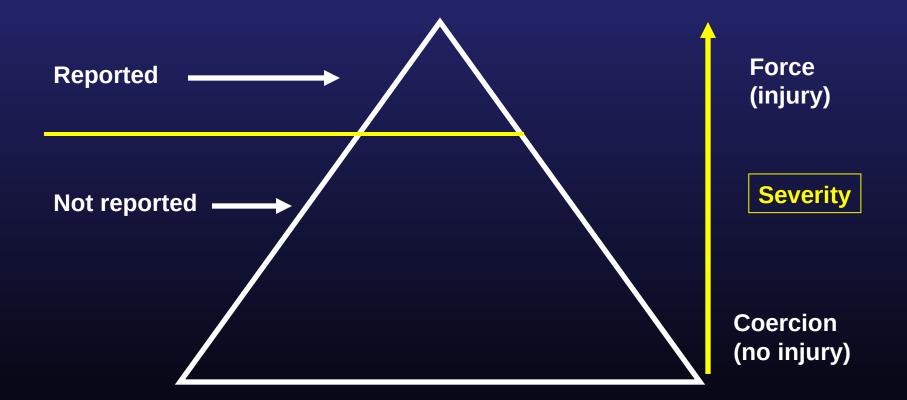






#### Surveillance

Surveillance pyramid = total number of acts of sexual violence







#### **Data Collection**





#### When does the form get filled out?

- If an inmate makes an allegation of sexual violence
- If a clinician has a suspicion of sexual violence
- If an inmate has any of the following conditions diagnosed as part of a medical examination:
  - Unexplained rectal bleeding
  - Rectal or anal tears or fissures
  - Bruises, scratches, or abrasions on buttocks
  - Genital bruising
  - Nipple injuries





### Which inmates qualify?

• Male inmates ages 18 and older





### Which staff members can complete the form?

- Physicians
- Physician assistants (PA)
- Nurse practitioners (NP)
- Registered nurses (RN)
- Licensed practical nurses (LPN)





# Which type of incidents get recorded?

- Injuries that occurred during the current incarceration
- Allegations that are made about an incident that occurred during the current incarceration
- New onset of symptoms
  - Forms do not need to be completed for chronic conditions unrelated to sexual violence





### **Completing the form**





### **Form Identification Number**

OMB NO: 1121-0324 EXP DATE: 05/31/2011

#### CLINICAL INDICATORS OF SEXUAL VIOLENCE IN CUSTODY

| Form Identification Number:   | Facility ID | Patient ID   | Date of encounter: | Month | Day | Year |
|---|-------------|--|--------------------|-------|-----|------|
| This is a passive surveillance system for clinical indicators of<br>sexual violence in correctional facilities. Inmates should not be<br>nade aware of this project nor be interviewed to complete this<br>arm. Forms should be completed offer an immate bound the aram. |             | The <b>REPORTER</b> for each facility will be responsible for maintaining completed forms and serving as the point of contact for the facility, identifying duplicate forms, and reconciling any discrepancies before sending them to CDC. The <b>REPORTER</b> will be contacted |                    |       |     |      |

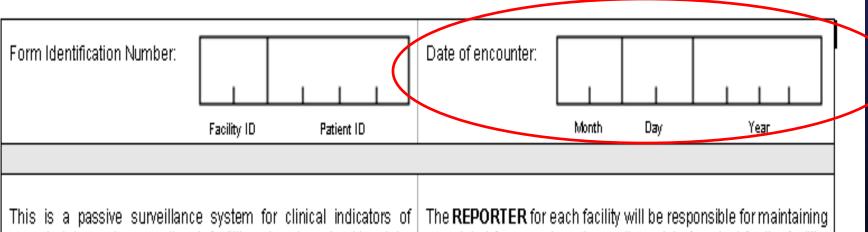




### **Date of encounter**

OMB NO: 1121-0324 EXP DATE: 05/31/2011

#### CLINICAL INDICATORS OF SEXUAL VIOLENCE IN CUSTODY



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## **Indicators of Sexual Violence**

#### PART A. INDICATORS OF SEXUAL VIOLENCE

- Please respond to all items in A.1 and A.2.
- A.1 Did the inmate make an allegation of sexual violence?
  □ Yes
  - 🗆 No
- A.2 Did the inmate have any of the following:

Unexplained rectal bleeding?

Rectal or anal tears or fissures?

Bruises, scratches or abrasions on the buttocks?

Genital bruising?

Nipple injuries?

- □ Yes □ No □ Don't know □ Yes □ No □ Don't know
- □ Yes □ No □ Don't know
- □ Yes □ No □ Don't know
- □ Yes □ No □ Don't know





### **Indicators of Sexual Violence**

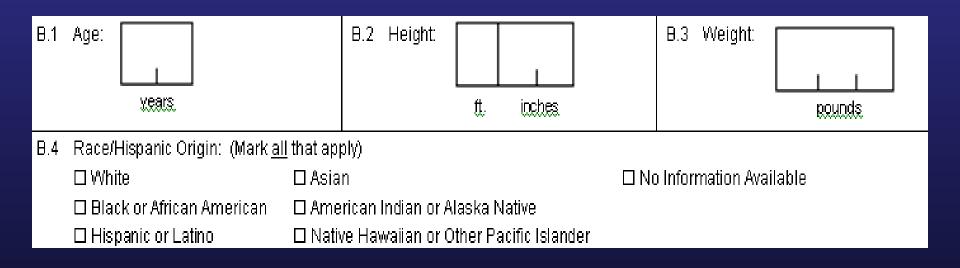
- If you responded NO to A.1 and A.2, please respond to A.3.
- A.3 Do you suspect there was an incident of sexual violence?
  - $\Box$  Yes  $\rightarrow$  Please explain in COMMENTS on page 3
  - 🗆 No

IF YOU ANSWERED YES TO ANY ITEM IN PART A, PLEASE COMPLETE PARTS B - F





### **Inmate Demographics**







## **General Injury Assessment**

- C.1 Did the inmate have <u>bruises or scratches</u> to any of the following areas? (Mark <u>all</u> that apply)
  - 🗆 Throat
  - □ Wrists
  - Ankles
  - □ Shoulders
  - 🗆 Trunk





## **General Injury Assessment**

- C.2 Did the inmate have any of the following <u>other injuries</u>? (Mark <u>all</u> that apply)
  - Defensive injuries to the arms, hands, or fingernails
  - □ Broken bone(s)
  - □ Bite wound(s)
  - □ At least one tooth recently chipped or knocked out
  - Bruises or cuts in or near the mouth





- D.1 High levels of anxiety. Does the inmate appear agitated or unusually upset during the examination? This may include fidgeting, crying, appearing to startle easily, trembling, or a report of having trouble sleeping.
  - □ Yes
  - □ No

Don't know





- D.2 Emotionally withdrawn. Does the inmate appear detached from others or in a daze, have difficulty concentrating, have difficulty attending to the examination, or appear lost in his own thoughts?
   □ Yes
  - 🗆 No
  - 🗆 Don't know





- D.3 Extreme emotional reactions at the suggestion of sexual assault. If the inmate did not make an allegation of sexual violence, does he react with extreme anger or sadness at the suggestion of victimization? The clinician should not change his/her routine practice regarding discussion of sexual assault with inmates.
  - 🗆 No
  - 🗆 Don't know

Inmate made an allegation of sexual violence





- D.4 Story/report not matching the physical signs. If the inmate does not make an allegation of sexual violence but has one of the five indicators listed in Part A or you have a suspicion of sexual violence, does his explanation of why he is injured seem implausible? Does he avoid discussing or thinking about the injury?
  - □ Story does not match physical signs / No explanation
  - □ Story matches physical signs
  - Don't know
  - □ Inmate made an allegation of sexual violence







- E.1 HIV/STD testing. Did the treating clinician recommend the inmate be tested for HIV, syphilis, gonorrhea, Chlamydia, hepatitis B, or another sexually transmitted disease as a follow up to this evaluation?
   Yes
  - □ No







E.2 Referral to mental health. Did the treating clinician refer the inmate for a mental health examination?
□ Yes
□ No







E.3 **Referral to another clinician.** Did the treating clinician refer the inmate to be seen by another clinician?

□ Yes

🗆 No







- E.4 Segregation, protective custody or transfer recommended. Did the treating clinician recommend to security staff that the inmate's housing status be changed?
  - □ Yes
  - □ No







- E.5 **Incident report initiated.** Did the treating clinician recommend that an incident report be initiated?
  - □ Yes
  - 🗆 No





#### Comments

#### COMMENTS

- · Record any additional relevant information.
- Include any comments that would provide a more detailed portrayal of the circumstances surrounding the injuries/allegation.





### **Visit Information**

- F.1 Level of training of treating clinician: (Mark ONE response)
  - Physician
     Physician Assistant
     Nurse Practitioner

Registered Nurse
 Licensed Practical Nurse
 Other \_\_\_\_\_





## **Visit Information**

- F.2 Method with which inmate came in contact with provider: (Mark ONE response)
  - Rounding
     Sick Call
     Walk-in visit
     Urgent care
     Emergency visit
- □ Routine medical appointment
- Booking
- Referral
- □ Other





## **Visit Information**

F.3 Has the inmate been seen for this incident/injury in the past 30 days?

```
    □ Yes → Date of most recent visit?
    □ No
```

|       | 1   |      |  |  |  |
|-------|-----|------|--|--|--|
| Month | Day | Year |  |  |  |





### **Completed forms**

- The Reporter will be responsible for maintaining completed forms and will serve as the point of contact for the facility
- Forms will be sent to CDC on a monthly basis



