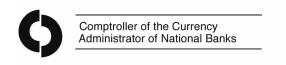
**Bank Survey** 

OMB Control No. Expiration Date:

# Minority-Owned National Bank Survey

PAPERWORK REDUCTION ACT STATEMENT: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number.



## **Bank Survey**

#### **Institution Identification**

City	4 State	5 Minority Classification	6 Institution Contact	
Phone Number	8 Email A	Address		

#### **Technical Assistance**

The GAO defines technical assistance as "one-on-one assistance that a regulator may provide to a bank." For example a regulator may advise a bank on compliance with a particular statute or regulation. Regulators may also provide technical assistance that is related to deficiencies identified in safety and soundness or compliance investigations. Please respond to the following questions applying the GAO definition.

Has your institution requested technical assistance from the OCC in the

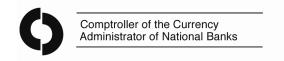
No \_\_\_
Yes \_\_\_
If yes, what technical assistance did you request? \_\_\_\_
Has your institution received technical assistance from the OCC in the past?
No \_\_\_
Yes \_\_\_
If yes, was the technical assistance beneficial?
No \_\_\_
Yes \_\_\_
Please explain.\_\_\_

#### Outreach

institution?

The OCC provides outreach to banks in various formats (e.g., telephone seminars; director workshops; Meet-the-Comptroller

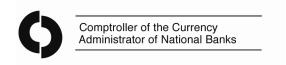
How can the OCC provide better technical assistance to your



# **Bank Survey**

meetings; roundtable discussions on emerging issues, hot topics and best practices; and interagency events). To help the OCC determine the effectiveness of its outreach initiatives, please answer the following:

What information, training, or topics would you recommend be addressed in OCC outreach?
What would be the most effective method(s) for delivering useful information, training, or topics to you and your bank?  1. Webinars 2. Instructor-led training 3. Telephone conferences 4. PowerPoint presentations 5. Other:
Throughout the year, the OCC sponsors director workshops focusing on risk management, compliance risk, and credit risk. Did you or your directors attend any workshops in 2008?
No Yes
If No, what were the barriers to your attending?  1. Scheduling conflict  2. Inconvenient location  3. The budget  4. Lack of need  5. Other:
If Yes, what workshop(s) did you attend?  1. A New Director's Challenge: Mastering the Basics 2. Credit Risk: A Director's Focus 3. Directors: Where Is the Risk in Your Bank? 4. Compliance Risk: What Directors Need to Know
Was/Were the workshop(s) useful? No Yes Please explain



## **Bank Survey**

General Assessment Statements	99				адгее	ate
Please indicate your level of agreement with the following statements.	Strongly Agree	Agree	Neutral	Disagnee	Strongly Disagree	Jnable to Rate
Customer Base and Market	챵	Ag	Se	Dis	£2	Š
OCC examiners understand how my institution's customer base and market impact its condition.	0	0	0	0	0	0
In reaching their supervisory conclusions, OCC examiners have appropriately considered my institution's customer base and market.	0	0	0	0	0	0
Business Strategy and Resources						
OCC examiners understand how my institution's business strategy and resources impact its condition.	0	0	0	0	0	0
In reaching their supervisory conclusions, OCC examiners have appropriately considered my institution's business strategy and resources.	0	0	0	0	0	C
Technical Assistance						
	-					~
The OCC provides technical assistance commensurate with my institution's needs.	0	0	0	0	0	0
The OCC provides technical assistance commensurate with my institution's needs.  The technical assistance provided by the OCC has had a positive impact on my institution's condition.	0	0	0	0	0	0
	0	0	0	0	0	0
The technical assistance provided by the OCC has had a positive impact on my institution's condition.	0	0	0	0 0	0	0
The technical assistance provided by the OCC has had a positive impact on my institution's condition.  OCC Supervisory Policies and Guidance  OCC supervisory policies and guidance appropriately address the issues unique to minority-	0 0	0000	0000	0000	0000	0000
The technical assistance provided by the OCC has had a positive impact on my institution's condition.  OCC Supervisory Policies and Guidance  OCC supervisory policies and guidance appropriately address the issues unique to minorityowned national banks.  Examiners appropriately consider issues unique to minority-owned institutions in applying	0 0 0	0000	0000	000	0000	000

If you have any additional comments or suggestions regarding ho	W
the OCC can better serve and support minority-owned national	
banks, please include them below.	

Thank you for participating in this survey. Your feedback is important to our ongoing efforts to provide high quality bank supervision to minority-owned national banks.