



## STATE PLAN ONLINE SUBMISSION

— CARL D PERKINS —  
CAREER & TECHNICAL  
EDUCATION ACT OF 2006  
(PERKINS IV)

U.S. DEPARTMENT OF EDUCATION  
OFFICE OF VOCATIONAL AND ADULT EDUCATION

### ◀ State Login ▶

Email:

Password:

[Create Account](#)

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**Note:** To use the State Plan Online Submission System, a State must first create a username (existing email address) and password. The system allows for only one username (email) and password per state. State Directors are responsible for providing access to other members of their state team. For technical assistance, please contact [eric.stanley@kauffmaninc.com](mailto:eric.stanley@kauffmaninc.com).

Welcome to the Office of Vocational and Adult Education (OVAE) State Plan Online Submission System; another way for states to enter their state plan revisions, budgets, and performance levels for FY 2010 Perkins IV Grant Awards under the Carl D. Perkins Career and Technical Education Act of 2006 (Act), 20 U.S.C. 2301 et seq. as amended by P.L. 109-270. Sections 122(a)(1) and 201(c) require each eligible agency desiring assistance under Titles I and II, respectively, for any fiscal year to prepare and submit to the Secretary a State plan for a 6-year period. During the first fiscal year following the enactment of the Act (Program Year 2007 which operates from July 1, 2007 - June 30, 2008), each eligible agency fulfilled its obligation by submitting a one-year transition plan. Each eligible agency during the second fiscal year following the enactment of the Act (Program Year 2008, which operates from July 1, 2008 - June 30, 2009) has submitted a five-year state plan covering the remaining program years, that meets all the requirements of Perkins IV, as explained in the March 12, 2006 OVAE program memo. (Transmittal of the Carl D. Perkins Career & Technical Education Act of 2006 State Plan Guide, OMB approval number 1830-0029). Each eligible agency must now submit any planned revisions, and their 2010-2011 budgets, as explained in the guidance instruction memo Dec. 23, 2009.

The framework for the guide is based on the requirements in the Act and a renewed vision of career and technical education (CTE) programs for the 21st century. Signed into law on August 12, 2006, the Act represents nearly three years of work on the part of Congress and the U.S. Department of Education (Department), in cooperation with other Federal agencies and the State and local agencies affected by its policies, to provide continuing Federal support for rigorous CTE programs that prepare students for today's competitive workforce. The Act envisions that all students will achieve challenging academic and technical standards and be prepared for high-skill, high-wage, or high-demand occupations in current or emerging professions. The Act provides an increased focus on the academic achievement of career and technical education students, improves State and local accountability, and strengthens the connections between secondary and postsecondary education.

This State Plan Online Submission System is organized into four parts, similar to the guide:

State Plan Cover Letter

Part A provides for an upload of any revisions to the State Plan submitted by the State.

Part B includes the required budget form. Please note that each State had an approved budget for the first two program years of Perkins IV, ending June 30, 2009. Among other budgetary decisions, each State needs to determine whether, and to what extent, it will consolidate all, or a portion, of its Title II (tech prep education) funds with its Title I (State basic grant) funds to carry out activities under Title I in accordance with section 202 of Perkins IV.

Part C includes the required accountability form. Information and directions for completing the accountability form are provided in the accountability and evaluation section (IV) of Part A.



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## Create Account

Name:

Email:

Confirm Email:

Password:

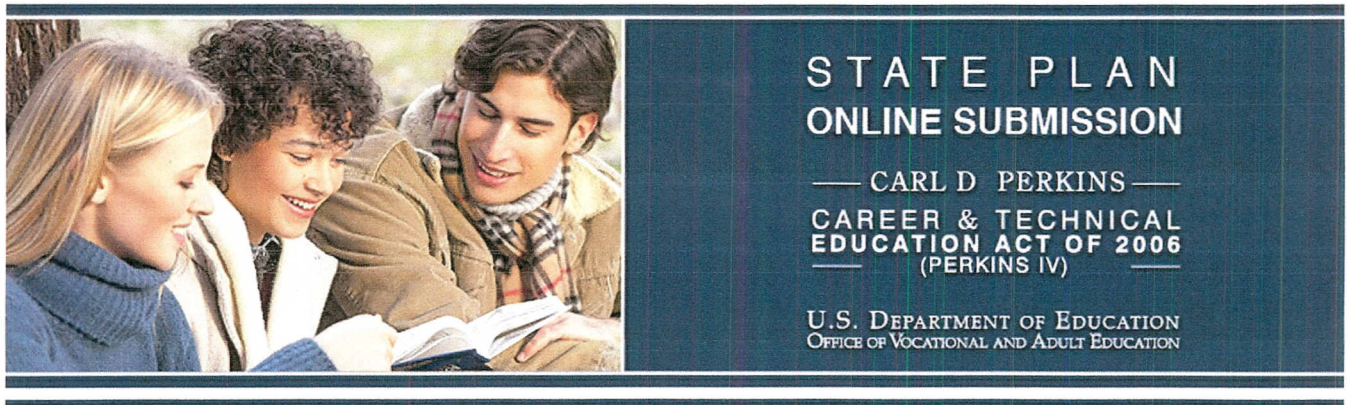
Confirm Password:

State:

Phone: (  )  -

Staff of the Division of Academic and Technical Education (DATE), particularly your State Liaisons and Regional Accountability Specialists, are available at any time to answer questions that arise as you prepare your plan. For technical assistance, please contact Eric at [eric.stanley@kauffmaninc.com](mailto:eric.stanley@kauffmaninc.com). For programmatic assistance, please contact Marjorie Beaulieu at [marjorie.beaulieu@ed.gov](mailto:marjorie.beaulieu@ed.gov)





**DEMO**

Please select from the following links to navigate to the different parts of your State Plan:

- State Plan Summary
- Cover Letter
- Part A: State Plan Revisions
- Part B: Budget Forms
- Part C: Accountability Forms

- Resources to Download:
- Complete Guide
  - Instructions Memo 2010
  - Instructions Memo 2009
  - Instructions Memo 2006
  - DATE Staff
  - Estimated 2010 Allocations

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**State Plan Summary**

The State Plan Summary provides users the opportunity to select any of the four sections of their State Plan to complete, as well as provide you with information on when it was last updated. **All users will have access to edit all parts of their submissions throughout the entry period, up to the closing date of March 30, 2010 at 5:00 pm (ET).**

**In addition to scanning and uploading a signed Cover Letter, please submit the signed original Cover Page via overnight mail along with other signed original documents.**

Submitted for OVAE Review	Finalized	Updated
<input type="button" value="Submit"/>	<a href="#">State Plan Cover Letter</a>	3-11-10 11:07 AM
<input type="button" value="Submit"/>	<a href="#">Part A: State Plan Revisions</a>	
<input type="button" value="Submit"/>	<a href="#">Part B: Budget Forms</a>	6-3-10 1:16 PM
<input type="button" value="Submit"/>	<a href="#">Part C: Accountability Forms</a>	

According to the Paperwork reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1830-0029. The time required to complete this information collection is estimated to average 36 hours per response, including the time to review instructions, search existing data resources, gather the data needed and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4537. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Dr. Edward R. Smith, Chief, Program Administration Branch, Division of Academic and Technical Education, Office of Vocational and Adult Education, U.S. Department of Education, 550 12th Street, SW, Potomac Center Plaza, Room 11060, Washington, DC 20202-7241.

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## State Plan Letter

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Completed and signed letters must also be mailed to the following address:

Edward R. Smith  
Chief, Program Administration Branch  
Division of Academic and Technical Education  
Office of Vocational and Adult Education  
U.S. Department of Education  
550 12th Street, SW  
Potomac Center Plaza, Room 11053  
Washington, DC 20202-7110

State Name: Demo

State Cover Letter: [Auto Papers.pdf](#)



\* Uploading a new letter will replace the current letter.

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Privacy Policy

Logout

### Part A: State Plan Revisions

The State Plan Revisions that need to be submitted should be uploaded to this site.

[Click here](#) for the Guidance Instruction Memo.

Each State has an approved Perkins IV State plan through program year six (July 1, 2012-June 30, 2013). Section 122(a)(2) of Perkins IV requires each agency, after the second year of the six-year period, to conduct a review of activities assisted under Title I (State basic grant) and submit to the Secretary any revisions that the eligible agency determines necessary.

**Please upload revisions below.**

#### Revisions

#### File Upload



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Privacy Policy

Logout

## Demo Part B: Budget Forms

**Updated Budget.** Each eligible agency will need to update its budget to reflect the new allotments from funds that will be available for program year four, beginning on July 1, 2010, for Title I and Title II of Perkins IV. Our office will issue estimated allocation tables to you as soon as they become available. Your State's budget must be submitted on the attached format.

The State Plan Budget Form consists of two sections: Title I and Title II. Applicants must select the edit button under each section to enter their budget for their respective state, including the amount of Title II Tech Prep funds that will be consolidated with Title I. The online budget form has been developed with automatic calculations to minimize errors and alert features to warn applicants of percentage minimums and maximums for respective entries. Title I budget data must be entered prior to Title II. All data may be edited by applicants throughout the State Plan submission period.

### Title I: Career and Technical Education Assistance to States **(Incomplete)**

[Edit Title I Budget](#)

Please note, you will need to round your figures to the nearest dollar.

A. Total Title I Allocation to the State	\$44,725,285.00	
B. Amount of Title II Tech Prep Funds to Be Consolidated with Title I Funds	\$0.00	
C. Total Amount of Combined Title I & Title II Funds to be distributed under section 112 <i>(Line A + Line B)</i>	\$44,725,285.00	
D. Local Formula Distribution <i>(not less than 85%)</i>	\$38,019,562.00	85.01%
1. Reserve <i>(not more than 10% of Line D)</i>	\$0.00	0.00%
a. Secondary Programs	\$0.00	%
b. Postsecondary Programs	\$0.00	%
2. Available for Formula allocations <i>(Line D minus Line D.1)</i>	\$38,019,562.00	100.00%
a. Secondary Programs	\$0.00	0.00%
b. Postsecondary Programs	\$0.00	0.00%
E. State Leadership <i>(not more than 10%)</i>	\$4,472,528.00	10.00%
1. Nontraditional Training and Employment	\$75,000.00	
2. Corrections or Institutions	\$447,252.00	
F. State Administration <i>(not more than 5%)</i>	\$2,233,195.00	4.99%
G. State Match <i>(from non-federal funds)</i>	\$2,233,195.00	

### Title II: Tech Prep Programs **(Incomplete)**

[Edit Title II Budget](#)

Please note, you will need to round your figures to the nearest dollar.

A. Total Title II Allocation to the State	\$250,000.00
B. Amount of Title II Tech Prep Funds to Be Consolidated with Title I Funds	\$0.00
1. Did you consolidate Title II funds last year?	We did not consolidate.
C. Amount of Title II Funds to Be Made Available For Tech Prep <i>(Line A less Line B)</i>	\$250,000.00
D. Tech Prep Funds Earmarked for Consortia	\$0.00
1. Percent for Consortia	0.00%
2. Number of Consortia	0





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## Demo Part B: Budget Forms

Demo

### Title I: Career and Technical Education Assistance to States

A. Total Title I Allocation to the State	44725285
<small>The amount of Title I funds available under section 112(a).</small>	
B. Amount of Title II Tech Prep Funds to Be Consolidated with Title I Funds	\$0.00
<small>The amount of Title II funds, if any, to be consolidated with Title I funds as described in section 202(a) and (b).</small>	
<small>* This value must be updated in your Title II budget</small>	
<input type="button" value="Edit Title II Budget"/>	
C. Total Amount of Combined Title I and Title II Funds to be distributed under section 112	\$44,725,285.00
<small>The total amount of combined Title I and Title II funds.</small>	

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Logout

## Demo Part B: Budget Forms

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### Title I: Career and Technical Education Assistance to States

A. Total Title I Allocation to the State	\$44,725,285.00	
B. Amount of Title II Tech Prep Funds to Be Consolidated with Title I Funds	\$0.00	
C. Total Amount of Combined Title I & Title II Funds to be distributed under section 112 ( <i>Line A + Line B</i> )	\$44,725,285.00	
E. State Leadership ( <i>not more than 10%</i> )	\$ 4472528	is 9.99%
<small>The percent and amount to be made available for State leadership under section 112(a)(2).</small>		
1. Nontraditional Training and Employment	\$ 75000	
<small>The amount to be made available for services to prepare individuals for non-traditional fields under section 112(a)(2)(B).</small>		
2. Corrections or Institutions	\$ 447252	
<small>The amount to be made available to serve individuals in State institutions, as described in section 112(a)(2)(A).</small>		
F. State Administration ( <i>not more than 5%</i> )	\$ 2233195	is 4.99%
<small>The percent and amount to be expended for State administration under section 112(a)(3).</small>		
G. State Match ( <i>from non-federal funds</i> )	\$ 2233195	
<small>The amount to be expended for matching of Federal expenditures for State administration under sections 112(b) and 323.</small>		

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Privacy Policy

Logout

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### Demo

#### Title I: Career and Technical Education Assistance to States

A. Total Title I Allocation to the State	\$44,725,285.00	
B. Amount of Title II Tech Prep Funds to Be Consolidated with Title I Funds	\$0.00	
C. Total Amount of Combined Title I & Title II Funds to be distributed under section 112 ( <i>Line A + Line B</i> )	\$44,725,285.00	
D. Local Formula Distribution ( <i>not less than 85%</i> )	\$38,019,562.00	85.01%
<small>The percent and amount, if any, slated for eligible recipients under section 112(a)(i).</small>		
1. Reserve ( <i>not more than 10% of Line D</i> )	\$0	0.00%
<small>The amount, if any, to be reserved under section 112(c).</small>		
a. Secondary Programs	\$0	0.00%
<small>The percent and amount reserved for secondary recipients.</small>		
b. Postsecondary Programs	\$0	0.00%
<small>The percent and amount reserved for postsecondary recipients.</small>		
2. Available for Formula Allocations	\$38,019,562.00	100.00%
<small>The amount to be made available for eligible recipients for under section 112(a)(1) by the allocation formulas addressed in sections 131 and 132.</small>		
a. Secondary Programs	\$0	0.00%
<small>The percent and amount slated for secondary recipients.</small>		
b. Postsecondary Programs	\$0	0.00%
<small>The percent and amount slated for postsecondary recipients.</small>		
E. State Leadership ( <i>not more than 10%</i> )	\$4,472,528.00	10.00%
1. Nontraditional Training and Employment	\$75,000.00	
2. Corrections or Institutions	\$447,252.00	
F. State Administration ( <i>not more than 5%</i> )	\$2,233,195.00	4.99%
G. State Match ( <i>from non-federal funds</i> )	\$2,233,195.00	

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Privacy Policy

Logout

## Part C: Accountability Forms

[Click here](#) for the Guidance Instruction Memo.

### I. Student Definitions

File to Upload

The 2010 State Plan Submission may include changes to a State's approved measurement approaches and definitions for one or more of the core indicators of performance described in section 113(b) of Perkins IV. However, if the eligible agency's proposed measures or definitions are other than those described in the U.S. Department of Education's (Department's) March 13, 2007, non-regulatory guidance document entitled "Student Definitions and Measurement Approaches for the Core Indicators of Performance under the Carl D. Perkins Career and Technical Education Act of 2006 (i.e. Perkins IV)," the eligible agency must describe how its new measures and definitions are valid and reliable. See the OVAE guidance document at:

<http://www.ed.gov/policy/sectech/guid/cte/perkinsiv/studentdef.pdf>

Consistent with the Department's current policy for revising performance levels, a State may request a revision to one or more of its State-adjusted levels of performance for program year four, covering the period from July 1, 2010 through June 30, 2011, if the State can show that an unanticipated circumstance arose in the State that resulted in a significant change in the factors that were considered at the time it negotiated its year four performance levels with the Department. See section 113(b)(3)(A)(vii) of Perkins IV. Unanticipated circumstances may include: significant shifts in population, economic changes such as spiraling unemployment rates, or natural disasters that closed programs for significant periods of time.

For those not submitting revisions to the FAUPL:

1. Upload your Student Definitions
2. Enter the FAUPL site below
3. Review your FAUPL (please contact your RAS with any errors in the FAUPL)
4. Once you have completed your review, return to the "State Plan Summary" (left margin)
5. Enter the "Submit" button to complete the process.

For those submitting FY 2010 State Plan Submission with revisions to the FAUPL:

1. Upload your Student Definitions
2. Enter the FAUPL site below
3. Review your FAUPL (please contact your RAS with any errors in the FAUPL)
4. Select the indicator to be revised
5. Enter the "State proposed" revision for each item within the indicator
6. Move to the next indicator to be revised (if any) and repeat the process until all revisions have been addressed
7. Once you have completed your revisions, return to the "State Plan Summary" (left margin)
8. Enter the "Submit" button to complete the process.

During the "review process" (March 31 - mid June) your RAS will be in contact with you to discuss the proposed changes and provide guidance on any next steps in the revision process.

### II. Final Agreed Upon Performance Levels (FAUPL)

[Enter FAUPL](#)





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Privacy Policy

Logout

## FAUPL

1S1	1S2	2S1	3S1	4S1	5S1	6S1	6S2	1P1	2P1	3P1	4P1	5P1	5P2	1A1
2A1	3A1	4A1	5A1	5A2										

### 1S1 - Academic Attainment in Reading/Language Arts

Academic Year 07-08 (click to expand/collapse)

Academic Year 08-09 (click to expand/collapse)

Academic Year 09-10 (click to expand/collapse)

	Numerator	Denominator	Measurement Approach	Baseline Performance
<b>Previously Negotiated</b>	09-10 1s1 num	09-10 1s1 den	Local Student Records, National assessment-(SAT) state admin records, State Approved Assessments	54.00
<b>State Proposed</b>				60.00
<b>OVAE Proposed</b>				
<b>State Counter</b>				
<b>Agreed</b>				
<b>Actual</b>				

Academic Year 10-11 (click to expand/collapse)

	Numerator	Denominator	Measurement Approach	Baseline Performance
<b>Previously Negotiated</b>	10-11 1s1 num	10-11 1s1 den	GPA, Locally Approved Standards, National Academic Assessment System	55.00 65.00
<b>State Proposed</b>			Employment Wage Record Match, External – Third-Party Assessments	
<b>OVAE Proposed</b>			Local Administrative Records, Locally Developed Survey	
<b>State Counter</b>				
<b>Agreed</b>	10-11 1s1 num	10-11 1s1 den	Local Administrative Records, Locally Developed Survey	55.00 65.00
<b>Actual</b>				

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