

# SUPPORTING STATEMENT FOR PAPERWORK REDUCTION ACT SUBMISSION

## APPLICATION FOR GRANTS UNDER THE ASIAN AMERICAN AND NATIVE AMERICAN PACIFIC ISLANDER-SERVING INSTITUTIONS PROGRAM HIGHER EDUCATION ACT (HEA) OF 1965 (CFDA # 84.031L)

### A. JUSTIFICATION

1. Collection of information is necessary in order for the Secretary of Education to award grants under the Higher Education Opportunity Act of 2008.

The Asian American and Native American Pacific Islander-Serving Institutions (AANAPISI) Program was established by the Higher Education Opportunity Act of 2008 (HEOA) and authorized under the Higher Education Act of 1965, as amended (HEA). The program awards discretionary grants to eligible institutions of higher education so that they might increase their self-sufficiency by improving academic programs, institutional management, and fiscal stability. This information collection is being submitted under the Streamlined Clearance Process for Discretionary Grant Information Collections (1894-0001).

2. This collection of information is gathered electronically by the Department for the purpose of obtaining programmatic and budgetary information needed to evaluate applications and to make funding decision based on the authorizing statute and the published selection criteria.
3. This is an existing collection. Previously this collection was used to collect information for grants under two **mandatory** programs: AANAPISI and Native American Nontribal-serving Institutions program. These programs were authorized in FYs 2008 and 2009 under Title III, Part F of the HEA (formerly authorized under section 802 of the College Cost Reduction and Access Act). Electronic submission has reduced the burden of both the applicants and Department staff.
4. Since the information submitted in this application is unique to each respondent and to the authorization legislation, no duplication exists.
5. This collection of information does not involve small businesses or other small entities.

6. The Department uses this information to make new multi-year grant awards. If this information is not collected or collected less frequently, the Department would not be able to evaluate applications and make funding decisions based on the provisions of the authorizing statute. Data is only collected when the program appropriation amount is enough to fund new awards.
7. There are no special circumstances as outlined in #7 of the instructions.
8. The Department's Regulatory Information Management Group will solicit comments on this information collection in the Federal Register. Program staff will respond to any questions or comments resulting from the publication of the information collection in the Federal Register as required by 5 CFR 1320.8(d).
9. The Department will not provide payments or gifts to respondents.
10. The Department's disclosure policies adhere to the provisions of the Privacy Act.
11. Questions of a sensitive nature are not included in this information collection.
12. Estimated burden hour of this collection of information is 40 hours. We estimate 50 respondents. The Department estimates the following average time for the completion of the application.

	<b>INDIVIDUAL DEV. GRANTS</b>	<b>COOPERATIVE ARRANGEMENT DEV. GRANTS</b>	<b>TOTALS</b>
<b># of Respondents</b>	<b>49</b>	<b>1</b>	<b>50</b>
<b>Frequency of Responses</b>	<b>1</b>	<b>1</b>	<b>N/A</b>
<b>Burden Hours</b>	<b>40</b>	<b>40</b>	<b>N/A</b>
<b>Annual Burden Hr.</b>	<b>1,960</b>	<b>45</b>	<b>2,005</b>
<b>Estimated Costs to Respondents*</b>	<b>\$88,200</b>	<b>\$1,800</b>	<b>\$90,000</b>

13. There is no additional cost to respondents.

14. Estimated annual cost to the Federal Government:

Development and Approval Process (2 staff x 40 hours x \$40 per hour)	\$ 3,200
Printing (25 applications x \$3 per app)	\$ 75
Peer Review Planning and Coordination (15 days x 4 staff x \$280 per day)	\$ 16,800
Peer Review (Stipends and Training) (50 peer reviewers x \$1,000)	\$ 50,000
Analyses and Evaluation (15 days x 3 staff x \$ 280 per day)	\$ 12,600
Monitoring of Grants (160 days x 1 staff x 40 per hour)	\$ 6,400
World Wide Web Preparation for Posting (4 hours x 1 staff x \$35 per hour)	\$ 140
<b>Total estimated cost to the Federal Government</b>	<b>\$ 89,215</b>

15. A separate clearance is being requested for this application. In the previous clearance, the number of respondents represented the estimated number of schools that could apply for the program. The number of burden hours requested was incorrect. The reduction in respondents for this request more closely reflects the current number of applicants. The increase in burden accurately reflects the number of hours associated with this individual collection.

16. There are no plans to publish the results. The information collected will be used for internal purposes only.

17. There is no request to omit the OMB expiration date. The Department will display the expiration date for the OMB approval on the form, as required.

18. There are no exceptions to the certification statement.