

U.S. DEPARTMENT OF COMMERCE

Office of Energy & Environmental Industries

www.trade.gov/envirotech

ITA Environmental Technologies Non-Tariff Barriers Survey

The U.S. Department of Commerce seeks your assistance in identifying non-tariff trade barriers (NTBs) that affect U.S. exports of environmental goods and services. The information you provide will be used to seek the elimination of these barriers. NTBs occur in many forms; examples include: quotas, licensing requirements, customs procedures, and limits on delivery of professional services.

Company Information

Name: Title:

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"h
tt
p:
//
w
eb
.it
a.
do
c.
go
v/
ic
on
s/
ec

INCLUDEPICTURE "http://web.ita.doc.gov/icons/ecblank.gif" *
MERGEFORMATINET

bl
an
k.
gi
f"
*
M
E
R
G
E
F
O
R
M
A
T
I
N
E
T

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"
h
t
t
p:
/
w
e
b
.i
t
a.
d
o
c.
g
o
v/
i
c
o
n
s/
e
c
b
l
a
n

Company Name:

k.
gi
f"
*
M
E
R
G
E
F
O
R
M
A
T
I
N
E
T

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"h
tt
p:
//
w
eb
.it
a.
do
c.
go
v/
ic
on
s/
ec
bl
an
k.
gi

f" * M E R G E F O R M A T I N E T

Address:

I N C L U D E P I C T U R E "h t t p : // w e b . i t a . d o c . g o v / i c o n s / e c b l a n k . g i f"

City: State: Zip:

*
M
E
R
G
E
F
O
R
M
A
T
I
N
E
T

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"h
t
t
p:
//
w
e
b
.it
a.
do
c.
go
v/
ic
on
s/
ec
bl
an
k.
gi
f"
*
M

E
R
G
E
F
O
R
M
A
T
I
N
E
T

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"
h
t
t
p
:
/
w
e
b
.it
a.
do
c.
go
v/
ic
on
s/
ec
bl
an
k.
gi
f"
*
M
E
R

Phone Number: **Fax:**

INCLUDEPICTURE "http://web.ita.doc.gov/icons/ecblank.gif" *
MERGEFORMATINET

G
E
F
O
R
M
A
T
I
N
E
T

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"
h
t
t
p
:
/
w
e
b
.i
t
a
.d
o
c
.g
o
v/
i
c
o
n
s/
e
c
b
l
a
n
k.
g
i
f
"
*
M
E
R
G
E

E-mail:

F
O
R
M
A
T
I
N
E
T

I
N
C
L
U
D
E
P
I
C
T
U
R
E
"h
t
t
p:
//
w
e
b
.it
a.
do
c.
go
v/
ic
on
s/
ec
bl
an
k.
gi
f"
*
M
E
R
G
E
F
O

Regions of Interest:

Is the information supplied in this survey business confidential? Yes No

Freedom of Information Act: Information submitted to the government may be subject to disclosure pursuant to the Freedom of Information Act. However, all confidential commercial information will be protected from disclosure to the extent permitted by law. You will be notified in advance if any such information submitted by you becomes subject to release pursuant to a Freedom of Information Act request.

Type of Company (check all that apply):

- | | | | | |
|--|---|--|---|--|
| <input type="checkbox"/> Architectural | <input type="checkbox"/> Construction | <input type="checkbox"/> Consulting | <input type="checkbox"/> Distributor | <input type="checkbox"/> Engineer |
| <input type="checkbox"/> Federal Agency | <input type="checkbox"/> Finance | <input type="checkbox"/> Legal | <input type="checkbox"/> Manufacturer | <input type="checkbox"/> Media |
| <input type="checkbox"/> Minority/Female Owned | <input type="checkbox"/> Service Provider | <input type="checkbox"/> State Agency | <input type="checkbox"/> State Enviro Bus Assoc | <input type="checkbox"/> Trade Association |
| <input type="checkbox"/> Trade Center | <input type="checkbox"/> Trade Show Firm | <input type="checkbox"/> University/Academic | <input type="checkbox"/> U.S. NGO | <input type="checkbox"/> Utility |

Other

INCLUDEPICTURE "http://web.ita.doc.gov/icons/ecblank.gif" *REMERGEFORMATINET

INCLUDEPICTURE "http://web.ita.doc.gov/icons/ecblank.gif" *REMERGEFORMATINET

INCLUDEPICTURE "http://web.ita.doc.gov/icons/ecblank.gif" *REMERGEFORMATINET

INCLUDEPICTURE "http://web.ita.doc.gov/icons/ecblank.gif" *REMERGEFORMATINET

Industry (check all that apply):

- | | | | | |
|--|---|---|--------------------------------------|---|
| <input type="checkbox"/> Air | <input type="checkbox"/> All | <input type="checkbox"/> Energy | <input type="checkbox"/> Finance | <input type="checkbox"/> Hazardous Waste |
| <input type="checkbox"/> Incineration | <input type="checkbox"/> Instrumentation/Monitoring | <input type="checkbox"/> Medical Waste | <input type="checkbox"/> Oil Cleanup | <input type="checkbox"/> Pollution Prevention |
| <input type="checkbox"/> Recycling | <input type="checkbox"/> Remediation | <input type="checkbox"/> Sanitation | <input type="checkbox"/> Services | <input type="checkbox"/> Solid Waste Equip. |
| <input type="checkbox"/> Solid Waste Serv. | <input type="checkbox"/> Water/Wastewater Equip. | <input type="checkbox"/> Water/Wastewater Serv. | <input type="checkbox"/> Other | INCLUDEPICTURE "http://web.ita.doc.gov/ico |

Annual Sales (check one):

- Under \$1 million \$1-\$5 million \$6-\$50 million \$51-\$75 million Over \$75 million Unknown

**Non - Tariff Barriers
Survey**

Please check the non-tariff trade barriers (NTBs) that your company has experienced. Provide as much information as possible for each barrier cited. Following each item checked, please include specific laws, regulations, etc., of the country that adversely affect the importation of your products and services, and in each instance, name specific countries. For example: "In country X, regulations (cite regulations) do not allow the establishment of foreign owned engineering companies. For every construction project in country Y, it is necessary to get design approval from local institutes that do not publish transparent design specifications."

1.) Subsidies:

- Internal subsidies for local producers or service providers
- Cheap financing and tax holidays for local manufacturers
- Other

Explain: Specific Examples-

2.) Quotas and Quantitative Restrictions:

- Limits on number of service suppliers in a market
- Limits on how many units/dollars of products can be imported
- Cut-off dates during calendar year for entry of products
- Other

Explain: Specific Examples-

3.) Sanitary and Phythosanitary (SPS) Measures:

- Onerous licensing procedures
- non Science-base regulations
- Other

Explain: Specific Examples-

4.) Restrictions on Who Can Import:

- Only state sanctioned trading companies may import
- Govt./Quasi Govt. organizations only are permitted to import
- Govt. organizations are sometimes/always exonerated from paying duties
- Govt. organizations can have preferential access to foreign exchange
- Private firms with accumulated "export credits" can have preferential access to foreign exchange/import permits
- Other

Explain: Specific Examples-

5.) Labeling, Packaging, & Documentation Requirements

- Date of expiration of chemical requirements
- Pallet/packaging fumigation requirements
- Conflicting hazardous material labeling requirements and packaging between countries of origin & destination
- Conflicting requirements of support documentation for hazardous materials (i.e.-

MSDS documentation)

- Labeling indicating certification of requirements of importing country
- Other

Explain: Specific Examples-

6.) Standards

- ISO certifications required to supply products or services- ISO 14000 environmental standards must be met
- Requirements for periodic proof of instrument calibration
- Emissions compliance requirements
- Safety requirements for grounding and other hazards
- Off-standard electrical requirements (90-120 VAC/60hz etc)
- Unclear quality regulations or those based on unique or outdated standards
- Use of metrological certification boards to determine if instrumentation is designed to standard(s)
- Milling mixing or other processing regulations
- Other

Explain: Specific Examples-

7.) Intellectual Property Requirements

- Inadequate protection
- Inadequate enforcement
- Arbitrary rulings on patent or trademark rights forcing time consuming court reviews
- Other

Explain: Specific Examples-

8.) Harassment of Imports:

- Onerous licensing or qualification requirements
- Embargoes (political or economic)
- Arbitrary values on goods for duty purposes including shipping charges
- National boycotts
- Currency fluctuations adversely affecting importers
- Delays resulting from competitor's influence on susceptible officials (corruption/bribery)
- Cumbersome customs formalities/requirements
- Restrictive customs procedures that promulgate complexities and regulations for classifying and valuing commodities making compliance difficult and expensive
- Other

Explain: Specific Examples-

9.) Restrictions on Distribution, Logistics, and Banking Services:

- Foreign exchange restrictions
- Access to hard currency
- Advanced deposit on import requirements
- National carrier preferences
- Foreign carrier restrictions
- Prohibition of collection-basis sales
- Govt requirements to withhold/reduce commission payments to distributors
- Arbitrarily short periods in which to apply for import licenses
- Pre-shipment inspections required prior to exportation from source country
- Other

Explain: Specific Examples-

10.) Restrictions on Marketing:

- Advertising restrictions
- Complicated bidding requirements
- Requirements for local surety and performance bonds
- Proof of % of national content requirements
- Letters of authorization to sell (or resell) product or service requirements
- Requirements for extended or extraordinary warranty coverage for product or service
- Arbitrary rulings on patent or trademark rights forcing time consuming court reviews
- National state or municipal requirements to use specific distribution channels at the wholesale and retail level
- Other

Explain: Specific Examples-

11.) Restrictions on Investment & Nature of Commercial Relationships

- Restrictions on foreign ownership of companies
- Restrictions on foreign management of companies
- Commercial relationships such as partnerships are not permitted
- Percentage of local workforce required
- Percentage of local content required
- Better treatment provided to home country investors or third party investors
- Other

Explain: Specific Examples-

12.) Discriminatory Devices:

- Unfair competition from local state-owned enterprises
- Competition from third-country exporters who enjoy subsidies monopolies or state-organization status
- Discriminatory government purchasing practices
- Discrimination resulting from bilateral trade agreements or barter agreements
- Discrimination arising from special relationships including ex-colonial status customs unions commonwealth preferences or currency areas
- Disguised tariffs such as "surcharges" revenue duties and consumption taxes levied against only imported goods
- Turnover equalization taxes ostensibly to put imports on the footing as local products
- Countervailing duties (offsets to subsidies)
- Arbitrary interpretations of anti-dumping laws
- Impact psychological or direct of "buy home products" policies
- Other

Explain: Specific Examples-

13.) Restrictions on the Cross-Border Supply of a Service:

- Limitations on movement of personnel to supply a service
- Restrictions on what services can be sold cross-border
- Local presence requirements that must be met before service can be supplied cross-border
- Other

Explain: Specific Examples-

14.) Transparency:

- Non-transparent regulations
- Unequal enforcement of regulations
- Regulations imposed without prior notice or input from interested parties
- Other

Explain: Specific Examples-

15.) Recognition:

- Licensing or certification requirements unevenly applied to professionals
- Other

Explain: Specific Examples-

[Privacy Statement](#)

Burden Statement

Public reporting for this collection of information is estimated to be 10 minutes per response, including the time for reviewing instructions, and completing and reviewing the collection of information. All responses to this collection of information are voluntary, and will be provided confidentially to the extent allowed under the Freedom of Information Act. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subject to penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB control number. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Clearance Officer, International Trade Administration,

Department of Commerce, Room 4001, 14th and Constitution Avenue, N.W.,
Washington, D.C. 20230.

NOTE: You may also return this survey via e-mail, fax, or regular mail. Please also provide this survey to your agents or distributors in foreign countries. We need as much information as possible to help U.S. exporters!

Marc Lemmond

U.S. Department of Commerce

Room 4053

Washington, DC 20230

Email: marc.lemmond@trade.gov

Tel: 202-482-5225

Fax: 202-482-5665

URL: www.trade.gov/envirotech

INCLUDEPICTURE INCLUDEPICTURE

"http://web.ita.doc.gov/icons/"http://web.ita.doc.gov/icons/ecblank.gif" *

ecblank.gif" *

MERGEFORMATINET

MERGEFORMATINET

OMB Control No: 0625-0241

Form Number: ITA-4150P.

Expires: XX/XX/XXXX