

Form 5500 Package

PRA-numbers

6/6/2007

**IRS + SSA**

Number of Respondents (total) 780,000

Number of Respondents for Small Entity 630,000

ICR

	2007 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	780,000	0	
Annual IC Time Burden (Hours)	591,000	-37,000	0	628,000	0	
Annual IC Cost Burden (Dollars)	136,000,000	-4,000,000	0	140,000,000	0	

Short Statement

EBSA updated the model that is used for these burden calculations and used updated estimates of the number of plans affected by the regulation (Change Due to Adjustment in Agency).

The Pension Protection Act of 2006 required a simplified reporting alternative for certain small plans (Program Change Due to New Statute).

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING (RULE: RIN: 1210-AB06, OMB Ref #: 1210-A135)

NOTE: If you add the previously approved PRA numbers for IRC, this spreadsheet will calculate for you the "Change due to adjustment in agency estimate" (cells E8 to E10).

2008

Form 5500 Package

PRA-numbers

5/26/2008

**IRS + SSA**

Number of Respondents (total)	780,000
Number of Respondents for Small Entity	630,000

ICR

	2008 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	593,000	2,000	0	0	0	591,000
Annual IC Cost Burden (Dollars)	137,700,000	1,700,000	0	0	0	136,000,000

Short Statement

The Pension Protection Act of 2006 required some new questions as well as a question for the total number of contributing employers for defined benefit plans and an asset allocation question on the Schedule R (Program Change Due to New Statute).

The simplified reporting alternative for certain small plans is still in place (Change in 2007).

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING (RULE: RIN: 1210-AB06, OMB Ref #: 1210-A135)

2009

Form 5500 Package

PRA-numbers

8/6/2009

**IRS + SSA**

Number of Respondents (total)	780,000
Number of Respondents for Small Entity	630,000

ICR

	2009 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	323,000	0	-270,000	0	0	593,000
Annual IC Cost Burden (Dollars)	98,000,000	0	-39,700,000	0	0	137,700,000

The main difference from the 2008 Forms are: (a) all forms will be required to be filed electronically; (b) Schedule E and Schedule SSA will be removed; (c) the new Short Form 5500 (5500-SF) will be established; (d) the special limited reporting rules for Code section 403(b) plans will be eliminated; (e) Schedule C will be revised; (f) additional data elements will be added to Schedule R; and (g) various smaller technical revisions will be made to the Schedule A, Schedule H, and Schedule I.

Short Statement

Annual Cost to Federal Government

THIS DOCUMENT IS RELATED TO THE FORM 5500 NOTICE AND NOTICE OF RULEMAKING (RULE: RIN: 1210-AB06, OMB Ref #: 1210-A135)

2010

Form 5500 Package

PRA-numbers

8/17/2009

**IRS + SSA**

Number of Respondents (total) 780,000  
Number of Respondents for Small Entity 630,000

ICR

	2009 - Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	780,000	0	0	0	0	780,000
Annual IC Time Burden (Hours)	323,000	0	0	0	0	323,000
Annual IC Cost Burden (Dollars)	98,000,000	0	0	0	0	98,000,000

Short Statement

There will be no change between the 2009 and 2010 forms and burden.

Annual Cost to Federal Government

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