



FISCAL YEAR 2009

STATE HOMELAND SECURITY PROGRAM

Tribal Investment Justification Template

OMB Control Number: 1660-NEW

FEMA Form Number: 089-22

Expiration Date: XX-XX-XXXX

*This Tribal Investment Justification Template has been provided so that directly eligible tribal applicants may apply for SHSP Tribal grant funding. The eligible tribe **must** provide a copy of this Investment Justification for review to each State within which any part of the tribe is located before the tribe submits it to <http://www.grants.gov> by 11:59 p.m. Eastern Daylight Time (EDT) on March 20, 2009. Per the FY 2009 SHSP Tribal Guidance and Applicant Kit, applicants may submit up to 3 Investments as a part of their Investment Justification.*

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this form is estimated to average 300 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW, Washington, DC 20472, Paperwork Reduction Project (1660-NEW) **NOTE: Do not send your completed form to this address.**

Helpful Tools

Spell Check:

1. Click on the [Tools] menu
2. Select [Spelling]
3. The Spelling box will appear, indicating misspelled words and suggested corrections

Character Count:

1. Highlight the response text that you would like to count; click on the [Tools] menu
2. Select [Word Count]
3. The Word Count box will appear. The number of characters (with spaces) line indicates the number of characters for the highlighted response



Tribal Eligibility Certification

Eligible tribes must meet the requirements set forth in (A)(i), (ii), and (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (I), (II), (III), or (IV). (B) may also be satisfied, if each tribe meets the requirements of subparagraph (A).

I certify that I am an eligible tribe, have read and will abide by the requirements of the FY 2009 SHSP Supplemental Guidance for Tribal Applicants, and meet one of the two requirements below: [*please mark A) or B)]*

A) Any Indian tribe

(i) that is located in the continental United States;

(ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services;

(iii) [*please mark all that apply; at least one requirement must be marked*]

(I) that is located on or near an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters;

(II) that is located within 10 miles of a system or asset included on the prioritized

critical infrastructure list established under section 210E(a)(2) [*please refer to section 1001 of the Implementing Recommendations of the 9/11 Commission Act of 2007*] or has such a system or asset within its territory;

(III) that is located within or contiguous to 1 of the 50 most populous metropolitan statistical areas in the United States;

(IV) the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and

(iv) that certifies to the Secretary that a State has not provided funds under section 2003 [UASI] or 2004 [SHSP] to the Indian tribe or consortium of Indian tribes for the purpose for which direct funding is sought;

B) A consortium of Indian tribes, if each tribe satisfies the requirements of subparagraph (A)

Please list all of the tribes included in this consortium in the space provided below:

Tribes Participating in the Consortium

Name

Title

Eligible Tribe

Address

State

Zip Code

Phone

E-Mail

Overall Investment Justification Question

Describe your understanding of the spectrum of terrorism and natural hazard risks your tribal area faces. Explain how this understanding influenced development of this Investment Justification. (4,000 char. max)

Management & Administrative Costs Question

Provide a brief overall summary of planned management and administrative (M&A) expenditures that support the implementation of the Investments proposed in this Investment Justification. (2,500 char. max)

Project Management Question

Describe the management team's roles and responsibilities, governance structures, and subject matter expertise specifically required for all of the Investments included in this Investment Justification. (2,500 char. max)

INVESTMENT #1

Investment Heading

Tribe Name:

FY 2009 SHSP Tribal Funding Request:

Investment Name:

Investment Phase (*New or Ongoing*):

I.A. Baseline – Previous SHSP Tribal Request Name and Funding

If the Investment was included in a previous SHSP Tribal request, please provide the name of the Investment and the total amount of SHSP Tribal funding that was dedicated to this Investment, if any. (500 char. max for Investment name)

FY 2008 Investment name:

FY 2008 SHSP funding:

I.B. Baseline – Purpose Statement

Describe, at a high-level, what activities will be implemented and what will be accomplished by this Investment. (1,000 char. max)

I.C, Baseline – Description

Provide a description of the current state of this Investment, its objectives, and any outcomes that will be completed prior to the application of FY 2009 SHSP Tribal funds. Include in this description whether this is a new or ongoing Investment. Describe the capability gap(s) that this Investment is intended to address. (2,500 char. max)

II.A. Strategy – Homeland Security Plans

Explain how this Investment supports homeland security plans, such as the State Preparedness Report. (Part of overall Investment 15,000 char. max)

II.B. Strategy – Target Capabilities:

Provide one primary and up to four secondary Target Capabilities that this Investment supports. For the primary Target Capability selected, provide an explanation of how it is supported by this Investment.

(For a list of the current Target Capabilities, refer to <http://www.fema.gov/pdf/government/training/tcl.pdf>)

Primary Target Capability

Primary Target Capability Narrative (500 char. max)

Secondary Target Capability (1)	Secondary Target Capability (2)
Secondary Target Capability (3)	Secondary Target Capability (4)

II.C. Strategy – National Priorities

Identify the National Priority(ies) that this Investment supports; up to four may be selected.

(For a list of the current National Priorities, refer to <http://www.fema.gov/pdf/government/training/tcl.pdf>)

National Priority (1)	National Priority (2)
National Priority (3)	National Priority (4)

III.A. Funding Plan

Provide the total estimated cost to implement this Investment during the three year, FY 2009 SHSP Tribal period of performance by completing the following table:

- *For each solution area that has an associated FY 2009 SHSP Tribal cost, provide a brief summary of the planned expenditures (including personnel)*
- *If this Investment includes optional cost sharing funds, provide the cash or in-kind funding amounts in the appropriate section of the funding table and describe how those funds will be applied*
- *Other sources of funding should be identified and described appropriately (1,500 char. max per solution area)*

	FY 2009 State Homeland Security Program Tribal Funding Request				FY 2009 SHSP Tribal Funding Total	Optional Cost Sharing		Other Funding Source	Grand Total
	SHSP	UASI	MMRS	CCP		Cash	In-kind		
Planning									
Organization									
Equipment									
Training									
Exercises									
Total									

Planning (1,500 char. max)

Organization (1,500 char. max)

Equipment (1,500 char. max)

Training (1,500 char. max)

Exercises (1,500 char. max)

Optional Cost Sharing [Cash] (1,500 char. max)

Optional Cost Sharing [In-kind] (1,500 char. max)

Other Funding Sources (1,500 char. max)

III.B. Accomplishments:

Identify up to five accomplishments that will be achieved within the three year, FY 2009 SHSP Tribal period of performance. For each accomplishment:

- **Identify the planned end date for when the accomplishment will occur**
- **Identify at least one milestone that will indicate the Investment is progressing towards the accomplishment**
- **Identify at least one challenge that may impede the achievement of the accomplishment within the period of performance**

(1,000 char. max per solution area)

Accomplishment 1

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 2

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 3

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 4

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 5

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

III.C. Challenge Mitigation

Explain how the management team described in the Overall section will mitigate challenges and ensure that the expected accomplishments and milestones for this Investment will be achieved within the three year, FY 2009 SHSP Tribal period of performance. (Part of overall Investment 15,000 char. max)

IV.A. Impact on Identified Risk

Describe how achieving this Investment's accomplishments will reduce your identified risk as described in the Overall Investment Justification question (Part of overall Investment 15,000 char. max)

IV.B. Sustainability

Describe the long-term approach to sustaining the capabilities created or enhanced by this Investment, or explain why this Investment will not be sustained. (Part of overall Investment 15,000 char. max)

INVESTMENT #2

Investment Heading

Tribe Name:

FY 2009 SHSP Tribal Funding Request:

Investment Name:

Investment Phase (*New or Ongoing*):

I.A. Baseline – Previous SHSP Tribal Request Name and Funding

If the Investment was included in a previous SHSP Tribal request, please provide the name of the Investment and the total amount of SHSP Tribal funding that was dedicated to this Investment, if any. (500 char. max for Investment name)

FY 2008 Investment name:

FY 2008 SHSP funding:

I.B. Baseline – Purpose Statement

Describe, at a high-level, what activities will be implemented and what will be accomplished by this Investment. (1,000 char. max)

I.C, Baseline – Description

Provide a description of the current state of this Investment, its objectives, and any outcomes that will be completed prior to the application of FY 2009 SHSP Tribal funds. Include in this description whether this is a new or ongoing Investment. Describe the capability gap(s) that this Investment is intended to address. (2,500 char. max)

II.A. Strategy – Homeland Security Plans

Explain how this Investment supports homeland security plans, such as the State Preparedness Report. (Part of overall Investment 15,000 char. max)

II.B. Strategy – Target Capabilities:

Provide one primary and up to four secondary Target Capabilities that this Investment supports. For the primary Target Capability selected, provide an explanation of how it is supported by this Investment.

(For a list of the current Target Capabilities, refer to <http://www.fema.gov/pdf/government/training/tcl.pdf>)

Primary Target Capability

Primary Target Capability Narrative (500 char. max)

Secondary Target Capability (1)	Secondary Target Capability (2)
Secondary Target Capability (3)	Secondary Target Capability (4)

II.C. Strategy – National Priorities

Identify the National Priority(ies) that this Investment supports; up to four may be selected.

(For a list of the current National Priorities, refer to <http://www.fema.gov/pdf/government/training/tcl.pdf>)

National Priority (1)	National Priority (2)
National Priority (3)	National Priority (4)

III.A. Funding Plan

Provide the total estimated cost to implement this Investment during the three year, FY 2009 SHSP Tribal period of performance by completing the following table:

- *For each solution area that has an associated FY 2009 SHSP Tribal cost, provide a brief summary of the planned expenditures (including personnel)*
- *If this Investment includes optional cost sharing funds, provide the cash or in-kind funding amounts in the appropriate section of the funding table and describe how those funds will be applied*
- *Other sources of funding should be identified and described appropriately (1,500 char. max per solution area)*

	FY 2009 State Homeland Security Program Tribal Funding Request				FY 2009 SHSP Tribal Funding Total	Optional Cost Sharing		Other Funding Source	Grand Total
	SHSP	UASI	MMRS	CCP		Cash	In-kind		
Planning									
Organization									
Equipment									
Training									
Exercises									
Total									

Planning (1,500 char. max)

Organization (1,500 char. max)

Equipment (1,500 char. max)

Training (1,500 char. max)

Exercises (1,500 char. max)

Optional Cost Sharing [Cash] (1,500 char. max)

Optional Cost Sharing [In-kind] (1,500 char. max)

Other Funding Sources (1,500 char. max)

III.B. Accomplishments:

Identify up to five accomplishments that will be achieved within the three year, FY 2009 SHSP Tribal period of performance. For each accomplishment:

- Identify the planned end date for when the accomplishment will occur*
- Identify at least one milestone that will indicate the Investment is progressing towards the accomplishment*
- Identify at least one challenge that may impede the achievement of the accomplishment within the period of performance*

(1,000 char. max per solution area)

Accomplishment 1

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 2

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 3

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 4

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 5

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

III.C. Challenge Mitigation

Explain how the management team described in the Overall section will mitigate challenges and ensure that the expected accomplishments and milestones for this Investment will be achieved within the three year, FY 2009 SHSP Tribal period of performance. (Part of overall Investment 15,000 char. max)

IV.A. Impact on Identified Risk

Describe how achieving this Investment's accomplishments will reduce your identified risk as described in the Overall Investment Justification question (Part of overall Investment 15,000 char. max)

IV.B. Sustainability

Describe the long-term approach to sustaining the capabilities created or enhanced by this Investment, or explain why this Investment will not be sustained. (Part of overall Investment 15,000 char. max)

INVESTMENT #3

Investment Heading

Tribe Name:

FY 2009 SHSP Tribal Funding Request:

Investment Name:

Investment Phase (*New or Ongoing*):

I.A. Baseline – Previous SHSP Tribal Request Name and Funding

If the Investment was included in a previous SHSP Tribal request, please provide the name of the Investment and the total amount of SHSP Tribal funding that was dedicated to this Investment, if any. (500 char. max for Investment name)

FY 2008 Investment name:

FY 2008 SHSP funding:

I.B. Baseline – Purpose Statement

Describe, at a high-level, what activities will be implemented and what will be accomplished by this Investment. (1,000 char. max)

I.C, Baseline – Description

Provide a description of the current state of this Investment, its objectives, and any outcomes that will be completed prior to the application of FY 2009 SHSP Tribal funds. Include in this description whether this is a new or ongoing Investment. Describe the capability gap(s) that this Investment is intended to address. (2,500 char. max)

II.A. Strategy – Homeland Security Plans

Explain how this Investment supports homeland security plans, such as the State Preparedness Report. (Part of overall Investment 15,000 char. max)

II.B. Strategy – Target Capabilities:

Provide one primary and up to four secondary Target Capabilities that this Investment supports. For the primary Target Capability selected, provide an explanation of how it is supported by this Investment.

(For a list of the current Target Capabilities, refer to <http://www.fema.gov/pdf/government/training/tcl.pdf>)

Primary Target Capability

Primary Target Capability Narrative (500 char. max)

Secondary Target Capability (1)	Secondary Target Capability (2)
Secondary Target Capability (3)	Secondary Target Capability (4)

II.C. Strategy – National Priorities

Identify the National Priority(ies) that this Investment supports; up to four may be selected.

(For a list of the current National Priorities, refer to <http://www.fema.gov/pdf/government/training/tcl.pdf>)

National Priority (1)	National Priority (2)
National Priority (3)	National Priority (4)

III.A. Funding Plan

Provide the total estimated cost to implement this Investment during the three year, FY 2009 SHSP Tribal period of performance by completing the following table:

- *For each solution area that has an associated FY 2009 SHSP Tribal cost, provide a brief summary of the planned expenditures (including personnel)*
- *If this Investment includes optional cost sharing funds, provide the cash or in-kind funding amounts in the appropriate section of the funding table and describe how those funds will be applied*
- *Other sources of funding should be identified and described appropriately (1,500 char. max per solution area)*

	FY 2009 State Homeland Security Program Tribal Funding Request				FY 2009 SHSP Tribal Funding Total	Optional Cost Sharing		Other Funding Source	Grand Total
	SHSP	UASI	MMRS	CCP		Cash	In-kind		
Planning									
Organization									
Equipment									
Training									
Exercises									
Total									

Planning (1,500 char. max)

Organization (1,500 char. max)

Equipment (1,500 char. max)

Training (1,500 char. max)

Exercises (1,500 char. max)

Optional Cost Sharing [Cash] (1,500 char. max)

Optional Cost Sharing [In-kind] (1,500 char. max)

Other Funding Sources (1,500 char. max)

III.B. Accomplishments:

Identify up to five accomplishments that will be achieved within the three year, FY 2009 SHSP Tribal period of performance. For each accomplishment:

- **Identify the planned end date for when the accomplishment will occur**
- **Identify at least one milestone that will indicate the Investment is progressing towards the accomplishment**
- **Identify at least one challenge that may impede the achievement of the accomplishment within the period of performance**

(1,000 char. max per solution area)

Accomplishment 1

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 2

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 3

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 4

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

Accomplishment 5

Accomplishment (1,000 char. max)	
---	--

Milestones (1,000 char. max)	
---	--

Challenges (1,000 char. max)	
---	--

III.C. Challenge Mitigation

Explain how the management team described in the Overall section will mitigate challenges and ensure that the expected accomplishments and milestones for this Investment will be achieved within the three year, FY 2009 SHSP Tribal period of performance. (Part of overall Investment 15,000 char. max)

IV.A. Impact on Identified Risk

Describe how achieving this Investment's accomplishments will reduce your identified risk as described in the Overall Investment Justification question (Part of overall Investment 15,000 char. max)

IV.B. Sustainability

Describe the long-term approach to sustaining the capabilities created or enhanced by this Investment, or explain why this Investment will not be sustained. (Part of overall Investment 15,000 char. max)