

**MOTHERSHIP CATCHER  
VESSEL ENDORSEMENT  
& CATCH HISTORY  
ASSIGNMENT APPLICATION  
PACIFIC COAST GROUND FISH**

UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service, Northwest Region  
*Fisheries Permits Office*  
7600 Sand Point Way NE, Bldg. 1  
Seattle, WA 98115-0070



Phone (206) 526-4353 Fax (206) 526-4461 www.nwr.noaa.gov

## INSTRUCTIONS

**APPLICATION DEADLINE: XX/XX/2010**

This form must be completed and submitted to the National Marine Fisheries Service (NMFS) at the address and by the deadline above to apply for a mothership catcher vessel (MS/CV) endorsement and its associated whiting catch history assignment. This application, including any required supplemental documentation must be postmarked or hand delivered to the address given above no later than **XX/XX/2010 [insert date 60 days after publication of the final rule]**. An application postmarked or hand delivered after that date will not be considered or reviewed by NMFS. This is a one-time opportunity to apply for this endorsement and a whiting catch history assignment.

For prequalified applicants, the data presented by NMFS in the sections below is presumed to be correct as of the date provided. However, this information is preliminary and may be amended by NMFS as more information becomes available. Publication of the preliminary data in this application does not represent a guarantee that the applicant will qualify for the endorsement or qualify for a whiting catch history assignment. **The applicant must still complete and submit the prequalified application to NMFS in order to be considered for a MS/CV endorsement and associated catch history assignment.**

Request for an Application: If a current limited entry trawl permit owner does not receive a pre-filled application, and believes he/she may qualify for MS/CV endorsement and whiting catch history assignment, they must submit a complete application to NMFS by the deadline above including any required supplemental documentation.

### **Section A – Applicant (Permit Owner) Information**

Only a current owner of trawl limited entry permit that was registered to a vessel(s) participating in the mothership sector of the Pacific whiting fishery during the qualifying years is eligible for the MS/CV endorsement and catch history assignment. NMFS will pre-fill Section A if it determines that the vessels registered to the permit meet the qualifying criteria. If the permit owner is an individual (or has an individual given as part of the ownership group), please provide the date of birth for the individual(s). If the owner is a business entity, please provide the Tax Identification Number (TIN), if not pre-filled. If any of the information provided in Section A is not correct, please make the necessary changes on the form. If a business entity is listed in Section A, list the state where that entity was established and is currently recognized as active. If an applicant did not receive a pre-filled application, they must complete Section A. If any information is pre-filled, review the information and make any changes as necessary.

## **Section B – Preliminary Endorsement Qualifying Data**

**Qualifying Criteria:** In order to qualify for a MS/CV endorsement, a catcher vessel registered to a trawl limited entry permit must have caught and delivered a total of more than 500 metric tons (mt) of whiting to a mothership from 1994 – 2003.

**For a Prequalified Applicant:** If the applicant is prequalified, NMFS will pre-fill Section B. NMFS will provide the preliminary amounts of whiting caught and delivered for each of the qualifying years in Section B. The preliminary qualifying data provided in Section B are based on the extraction of NMFS whiting observer data from the NORPAC database on July 1, 2010. If the applicant signs and dates Section D, they will have accepted the preliminary qualifying data in Section B as true and correct.

**Request for an Application:** If a current trawl endorsed limited entry permit owner did not receive a prequalified application, the applicant must complete Section B and provide the total amount of whiting that was delivered by the catcher vessel(s) registered to the permit given in Section A for each of the above qualifying years. In addition, the permit owner must provide copies of NMFS whiting observer records or other credible information that demonstrates that permit had catch history that met the qualifying criteria.

## **Section C – Preliminary Whiting Catch History Assignment Qualifying Data**

**Catch History Assignment:** A catch history assignment will be specified as a percent on the MS/CV endorsed permit. The catch history assignment calculation will be based on the relative catch history of Pacific whiting from the vessel at the time the vessel was registered to the permit in each year from 1994 through 2003, after dropping the two years with the lowest relative percent of whiting. The applicant may specify years to drop other than those years selected by NMFS.

**For a Prequalified Applicant:** If an applicant is prequalified, NMFS will pre-fill Section C if it finds that whiting catch history data associated with the permit may qualify the applicant for a whiting catch history assignment. This Section will provide a preliminary amount of permit catch history for each of the qualifying years and the total amount of whiting caught and delivered for the MS sector for each qualifying year. The preliminary data given in Section C was extracted from the Pacific whiting observer in NORPAC database on July 1, 2010. If you accept the preliminary data as given in Section C, please check the appropriate box. If you do not accept the preliminary data for any of the qualifying years, please check the appropriate box and provide a letter with the application detailing which data are not correct, provide the correct amount(s), and provide copies of observer records or other credible information that supports a correction of the data. Also, if you do not accept the lowest relative catch history for the two years designated by NMFS, please indicate which years you would prefer to drop in the letter and on the form.

**Request for an Application:** If a current trawl endorsed limited entry permit owner did not receive a prequalified application, the applicant must complete Section C and provide the amount of whiting catch history for vessels registered to the permit listed in Section A, for each of the qualifying years (if there was no catch of whiting in a given year, insert a zero). The applicant must provide copies of NMFS whiting observer records and any other credible information to establish the amount of whiting catch by the catcher vessels registered to the permit for each the qualifying years. The applicant must designate which two years to be dropped from the calculation.

## **Section D - Certification of Applicant and Notary**

The applicant or authorized representative must sign and date the form in the presence of a notary to certify that the individual(s) signing the form have satisfactorily identified themselves. By signing and dating the form, the authorized agent certifies that all information set forth in the form is true, correct, and complete to the best of the applicant's knowledge and belief. The form will not be considered without the authorized agent's signature. The notary must sign and date this section, and affix notary stamp or seal.



**If the applicant is a business entity, the authorized representative must include a copy of the corporate resolution or other authorizing document allowing the authorized agent to sign and certify on behalf of the business entity.**

**Supplemental Documentation**

All applicants must provide a completed trawl ownership interest form.

NMFS may require other documentation as it deems necessary to make a determination to approve or disapprove the application.

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**SECTION A – APPLICANT (PERMIT OWNER) INFORMATION**

1. Permit Number GF			
2.. Name		3. TIN or DOB	
		4. State Registered, if business entity	
5. Business Mailing Address (also list physical address for first receiver, if different)  Street or PO Box		6. Business Phone ( )	
		7. Business Fax (optional) ( )	
City	State	Zip Code	8. Business Email (optional)

**SECTION B – PRELIMINARY ENDORSEMENT QUALIFYING DATA**

**Qualifying Criteria:** In order to qualify for a MS/CV endorsement, vessels registered to the permit given in Section A must have caught and delivered a total of more than 500 metric tons (mt) of whiting to motherships from 1994 - 2003.

**Prequalified Applicant:** Based on the extraction of Pacific whiting observer data from the NORPAC database, NMFS has determined the total amount of whiting caught and delivered in MS sector by vessels registered to the permit given in Section A during the qualifying years was:

Total amount of whiting delivered to MS from 1994 - 2003: \_\_\_\_\_(mt)

**Note:** If a current trawl endorsed permit owner does not receive a prequalified application, and believes that they may qualify for a MS/CV endorsement, they must submit a complete application to NMFS by the deadline date. The applicant must provide the information requested in this Section and provide copies of NMFS whiting observer records and any other credible information to establish that the permit meets the qualifying criteria.

**SECTION C – Preliminary Whiting Catch History Assignment Qualifying Data**

**Catch History Assignment Computation:** The catch history assignment calculation will be based on the relative catch history of Pacific whiting from the vessel at the time the vessel was registered to the permit in each year from 1994 through 2003, dropping the two years with the lowest relative percent of whiting, unless the applicant specifies different years they would prefer to drop.

**Prequalified Applicant:** Based on the extraction of Pacific whiting data from the NORPAC database, NMFS has determined the preliminary whiting catch history assignment for the permit given in Section A. NMFS has designated the two worst years as those marked with an asterisk. If you agree with all of the permit catch history data and the designated dropped years as given below, please check the appropriate box below. If you do not agree with part or all of the catch history data or the designated years with the lowest relative catch history as given below, please check the appropriate box below.

<u>Year</u>	<u>Permit Catch History (MT)</u>	<u>Total Amt. of MS Sector Whiting Catch</u>	<u>Relative Catch History %</u>
1994			
1995			
1996			
1997			
1998			
1999			
2000			
2001			
2002			
2003			

**Total**

Preliminary Catch History Assignment Percent: \_\_\_\_\_

**Note:** If a current trawl endorsed permit owner does not receive a prequalified application, and believes that they may qualify, they must submit a complete application to NMFS by the deadline date. The applicant must provide the amount of whiting catch accruing to the permit given in Section A for each of the qualifying years (if there was no catch of whiting in a given year, insert a zero). The applicant does not need to provide the total amount of whiting catch for MS Sector or preliminary catch history assignment or the relative history percent. The applicant must provide copies of NMFS whiting observer records and any other credible information to support the amount of whiting catch claimed for the qualifying years.

**I accept ALL** of the preliminary catch history data and the designated dropped years as provided above. I understand that this data is subject to change.

**I DO NOT accept** part or all of the preliminary catch history data or dropped years as provided above. I will provide to NMFS a letter specifying which data amounts and/or dropped year designations are not accepted and provide the correct data amounts and designate the dropped years. Further, I will provide copies of NMFS observer data and other credible information to NMFS to support the requested data changes with this application. I understand that this is my only opportunity to provide such documentation to support my application.

**SECTION D - CERTIFICATION OF APPLICANT AND NOTARY**

**This section must be completed by a notary to certify that the individual(s) have satisfactorily identified themselves.**

*Under penalties of perjury, I hereby declare that I, the undersigned, is authorized to sign this form on behalf of the applicant and completed this form, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.*

Signature of Authorized Representative

Date

Printed Name of Authorized Representative (NOTE: attach authorization, if needed)

Notary Public Signature

ATTEST

Affix Notary Stamp or Seal Here

Date Commission Expires

**WARNING STATEMENT:** A false statement on this form is punishable by permit sanctions (revocation, suspension, or modification) under 15 CFR 904, a civil penalty of up to \$140,000 under 16 USC 1858, and/or criminal penalties including, but not limited to, fines or imprisonment or both under 18 USC 1001.

**PRIVACY ACT STATEMENT:** Your DOB and/or TIN are confidential and protected under the Privacy Act. Provision of your DOB or TIN is mandatory as part of this collection. The primary purpose for requiring the DOB and/or TIN is to verify the identity of individuals/entities doing business with the government to provide a unique identification for assistance to comply with the Debt Collection Improvement Act of 1996 (Public Law 104-134) and for enforcement activities. The information collected is part of a Privacy Act System of Records, COMMERCE/NOAA #19, Permits and Registration for United States Federally Regulated Fisheries. A notice was published in the Federal Register on April 17, 2008 (73 FR 20914) and became effective on June 11, 2008 (73 FR 33065).

**PRA STATEMENT:** Public reporting burden for this collection of information is estimated to average 2.0 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA/National Marine Fisheries Service, Northwest Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, 7600 Sand Point Way NE, Seattle, WA 98115. Some of the information collection described above is confidential under section 402(b) of the Magnuson-Stevens Act and under NOAA Administrative Order 216-100, Protection of Confidential Fisheries Statistics. Phone number, fax, email, TIN, and DOB are not released to the public. The names of individuals who have an ownership interest in an entity that owns a permit, vessel or processing plant and the actual percentage of ownership are considered business confidential and released to the public.